Lecture 11: Seq2Seq + Attention

Alan Ritter

(many slides from Greg Durrett)

nxk

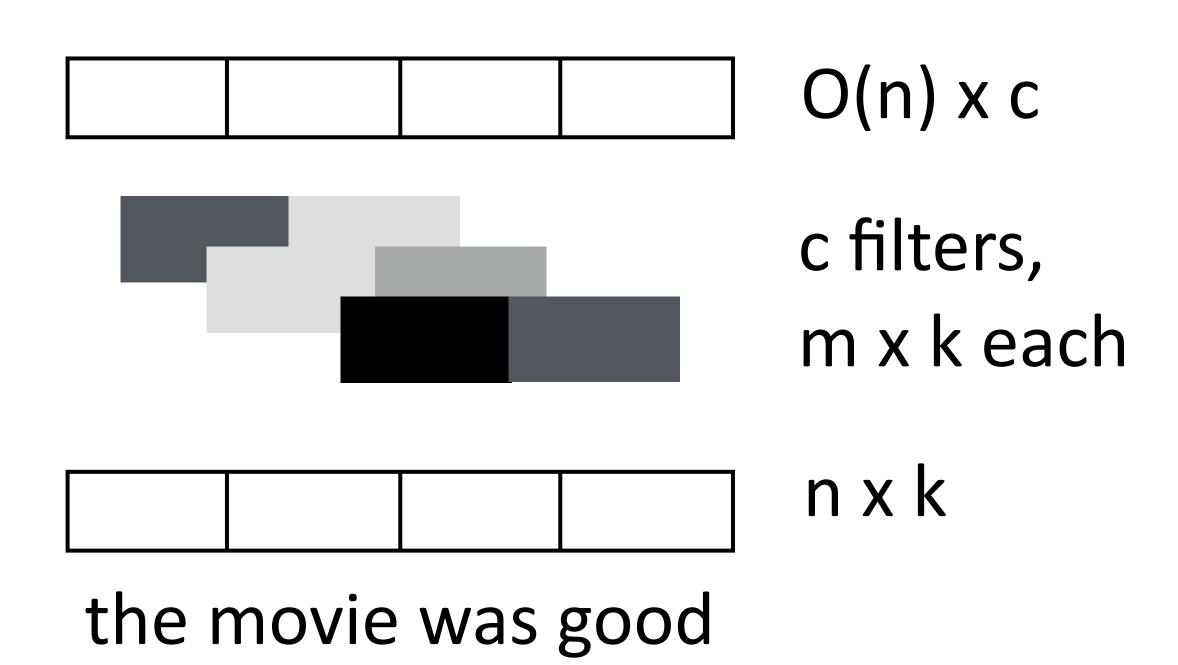
the movie was good

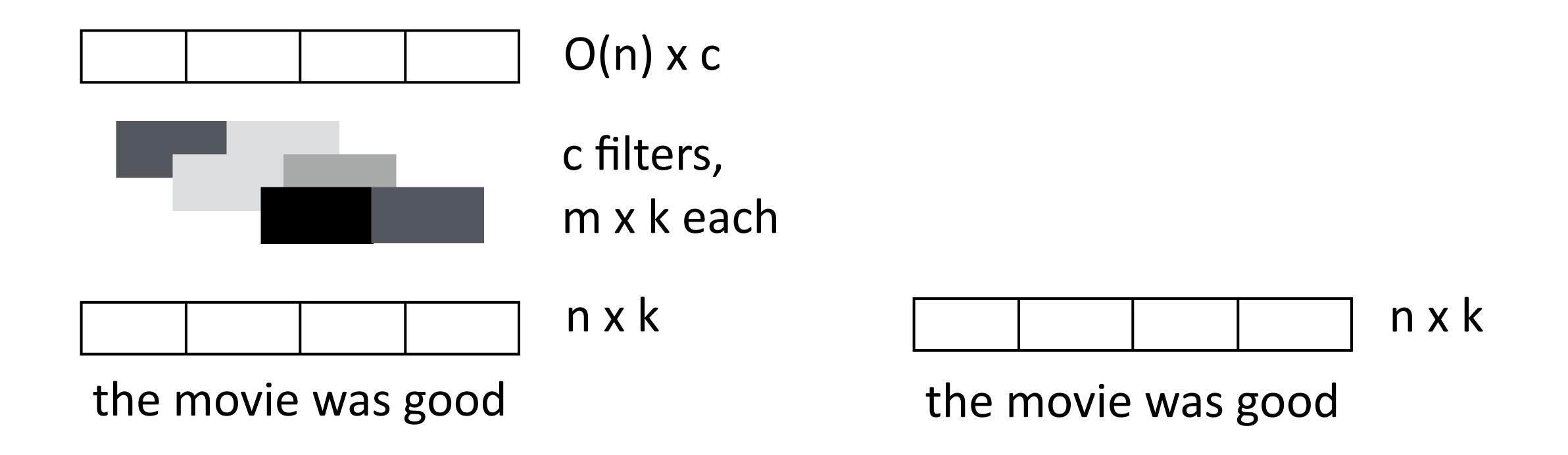


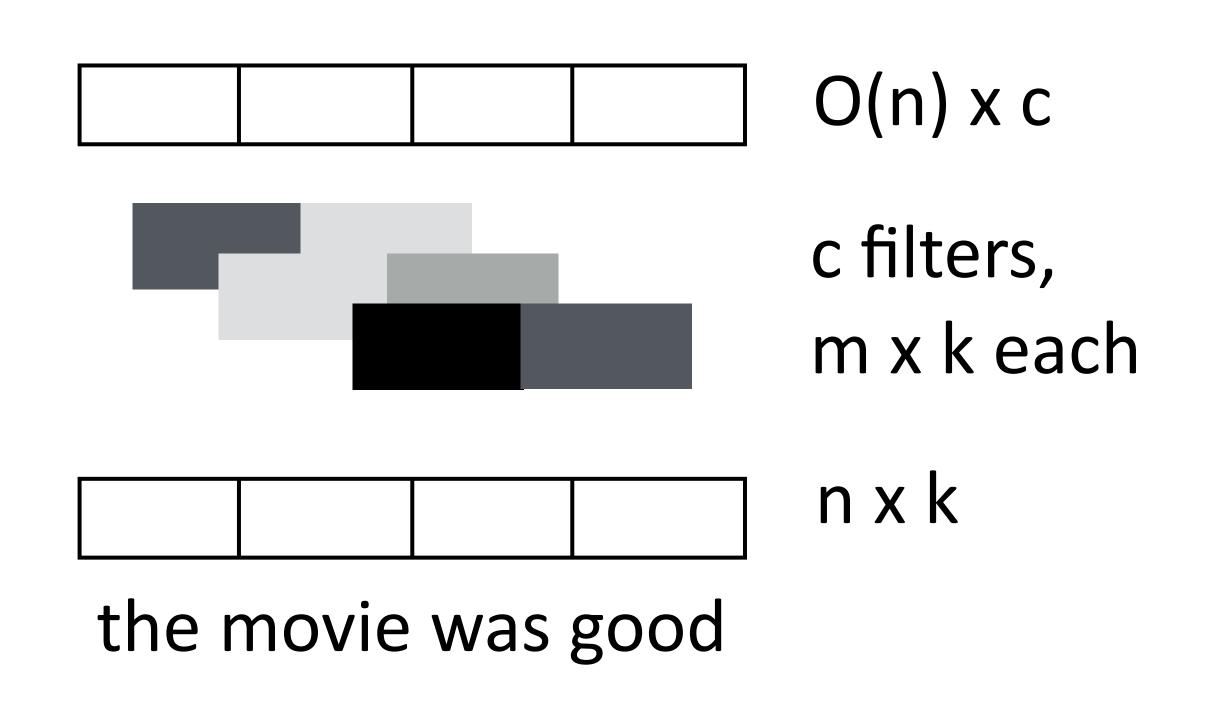
c filters, m x k each

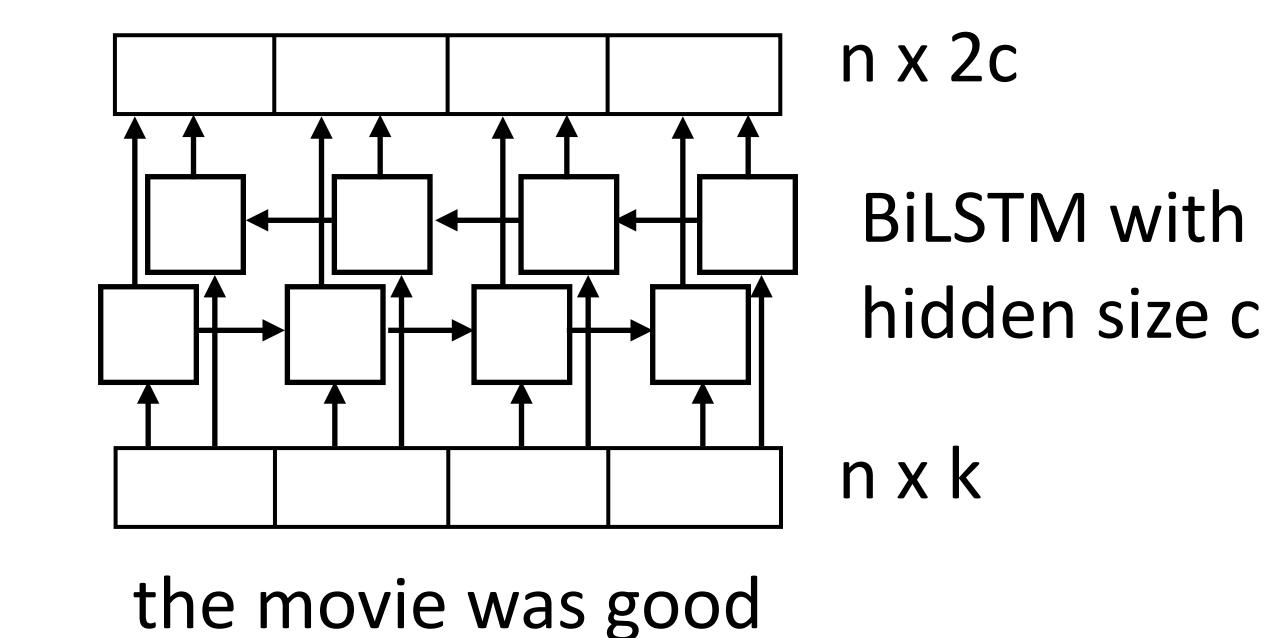
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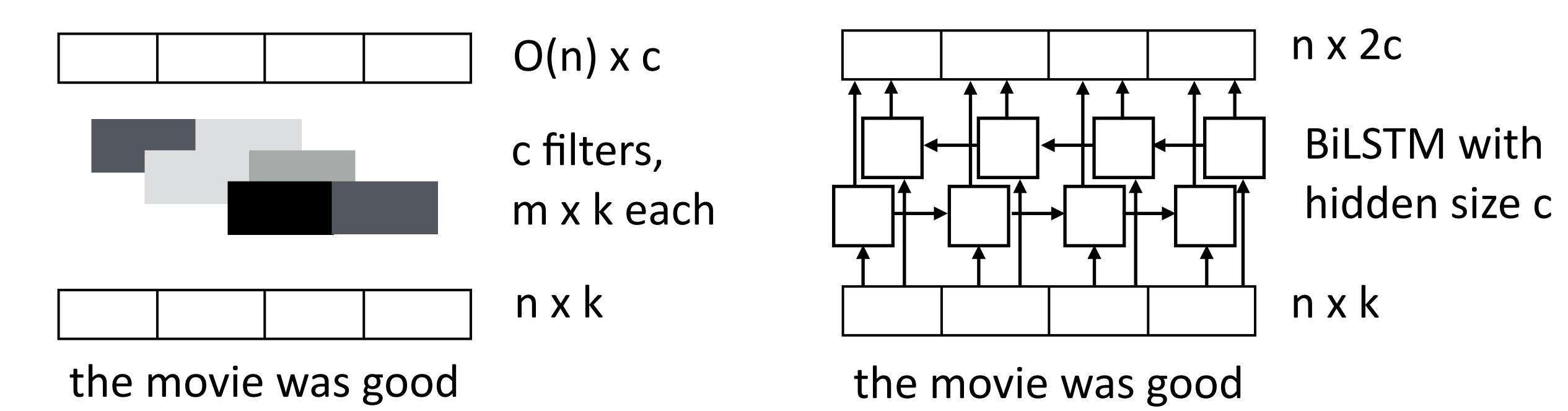
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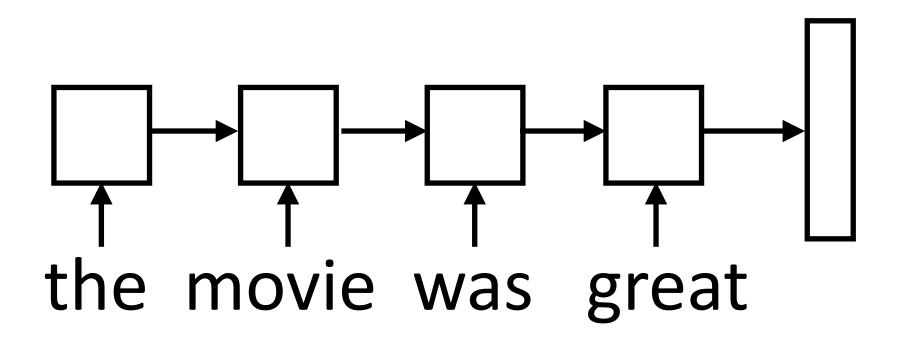




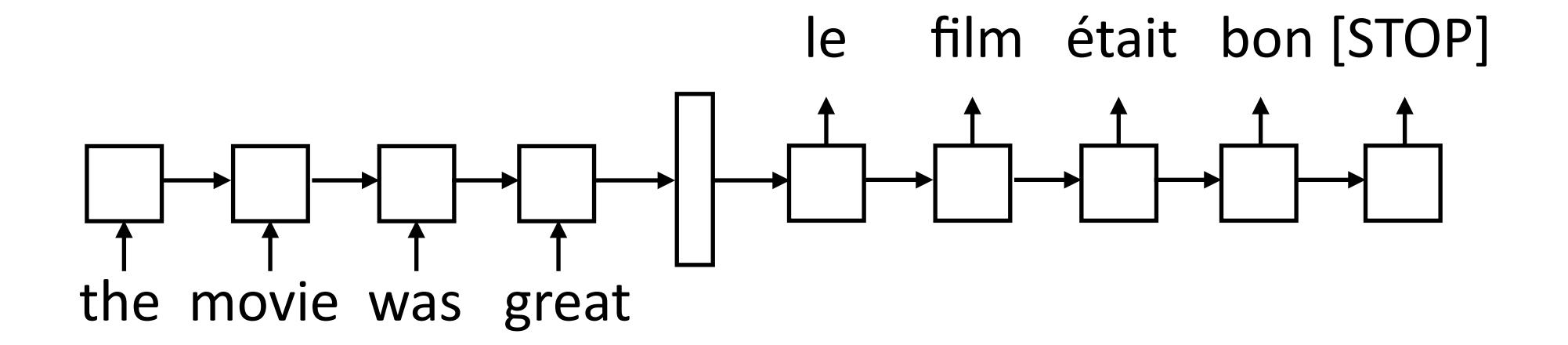


- Both LSTMs and convolutional layers transform the input using context
- LSTM: "globally" looks at the entire sentence (but local for many problems)
- CNN: local depending on filter width + number of layers

Encode a sequence into a fixed-sized vector



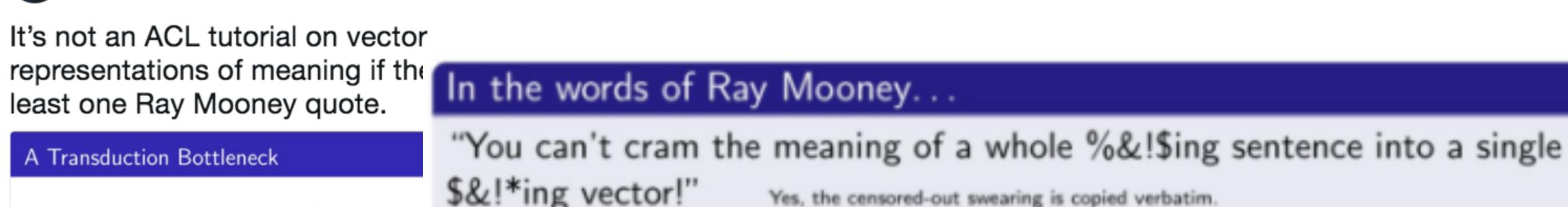
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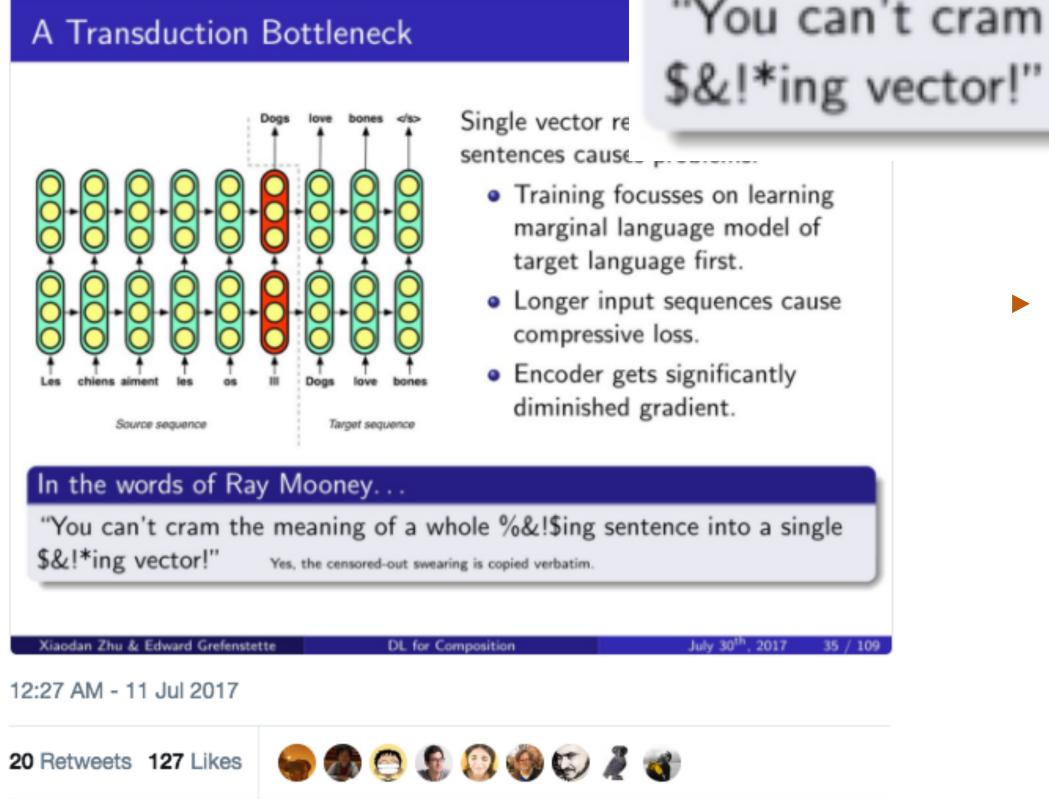


 Now use that vector to produce a series of tokens as output from a separate LSTM decoder



It's not an ACL tutorial on vector least one Ray Mooney quote.





Follow

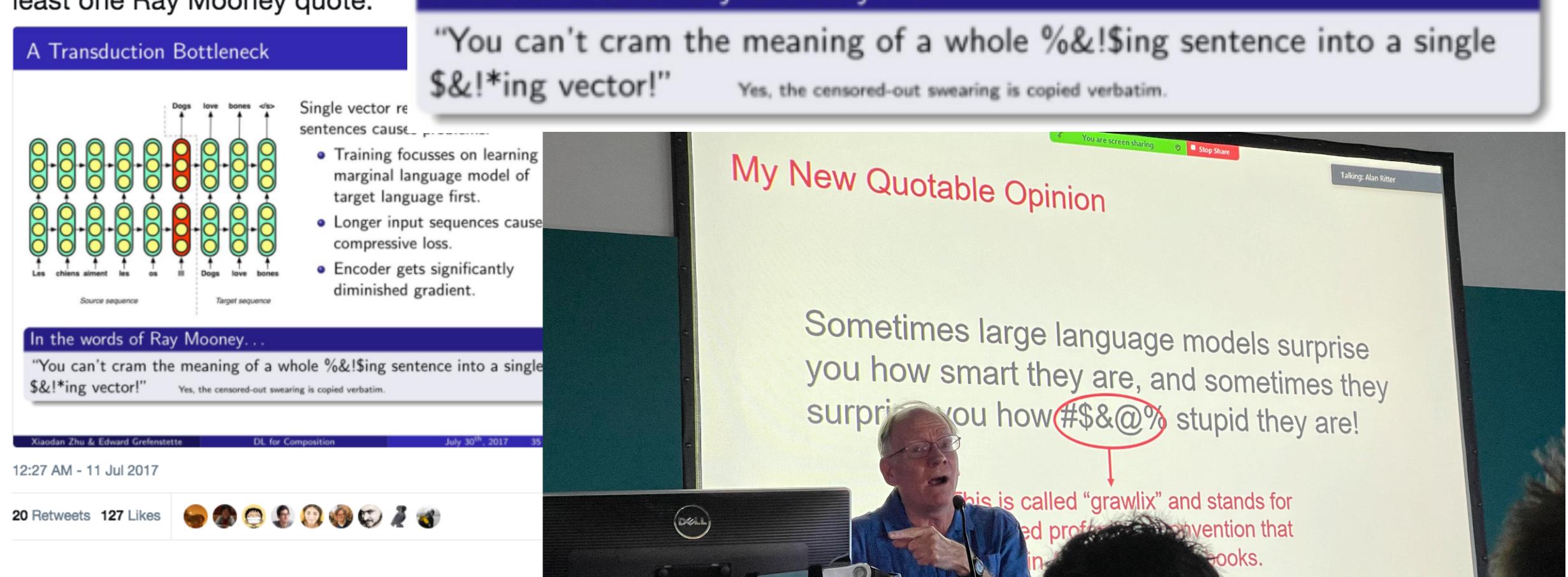
Is this true? Sort of...we'll come back to this later

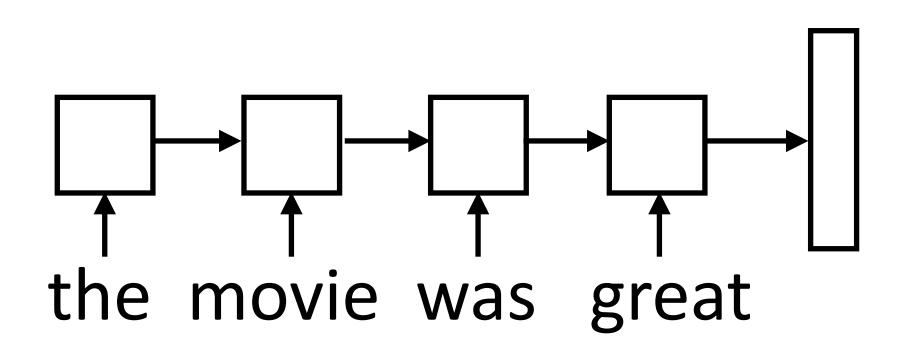


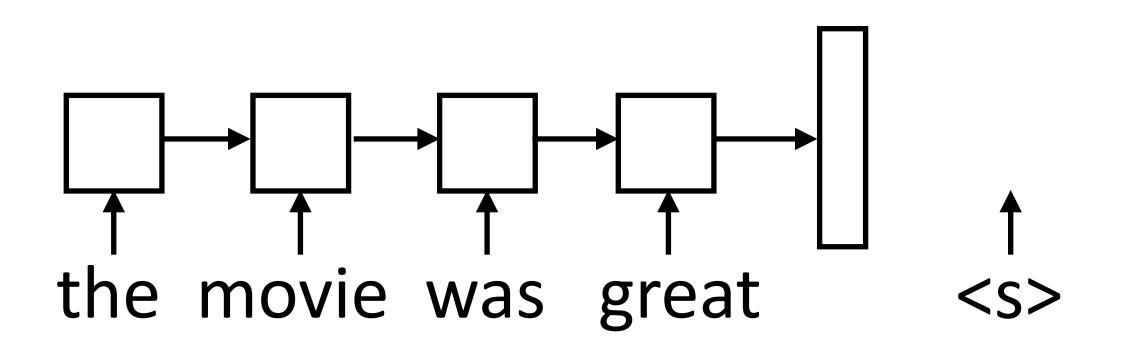
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representations of meaning if the In the words of Ray Mooney...

Follow

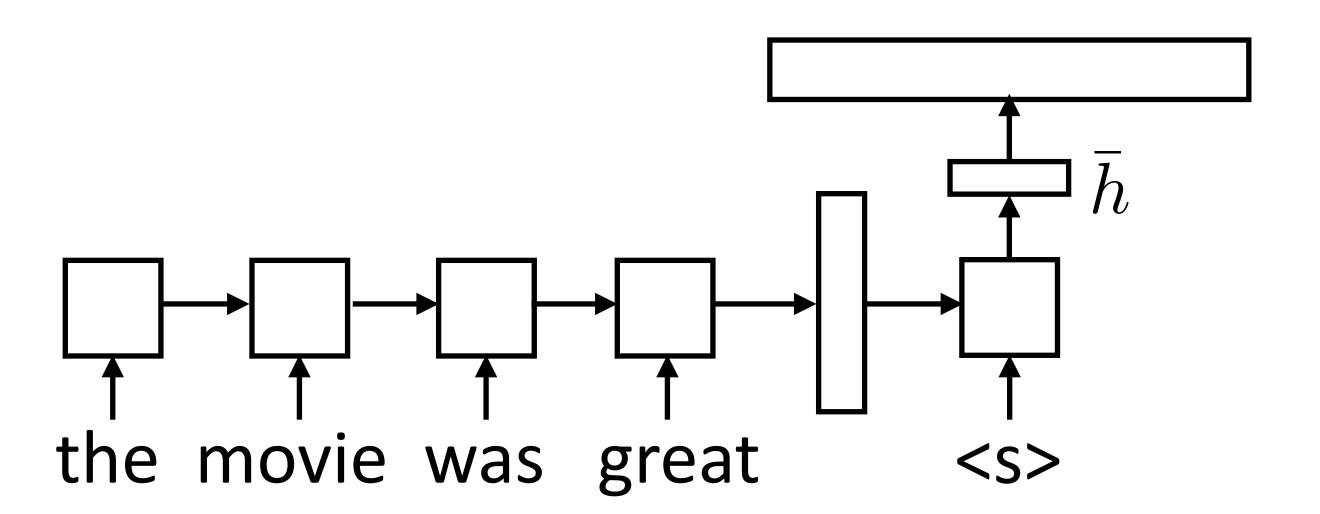




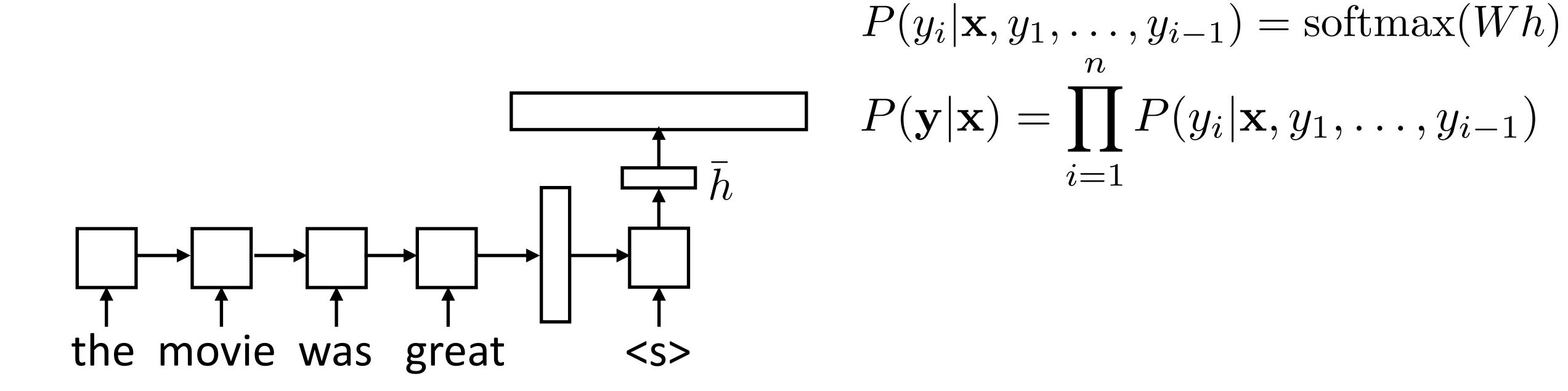


- Generate next word conditioned on previous word as well as hidden state
- W size is |vocab| x |hidden state|, softmax over entire vocabulary

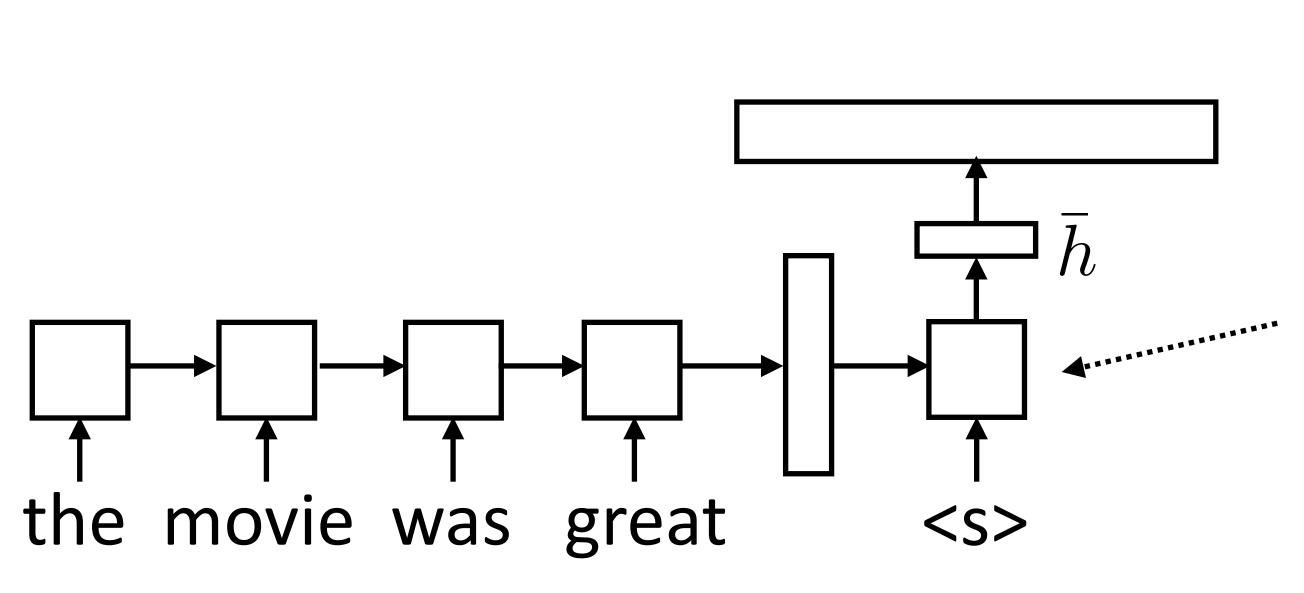
$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W\bar{h})$$



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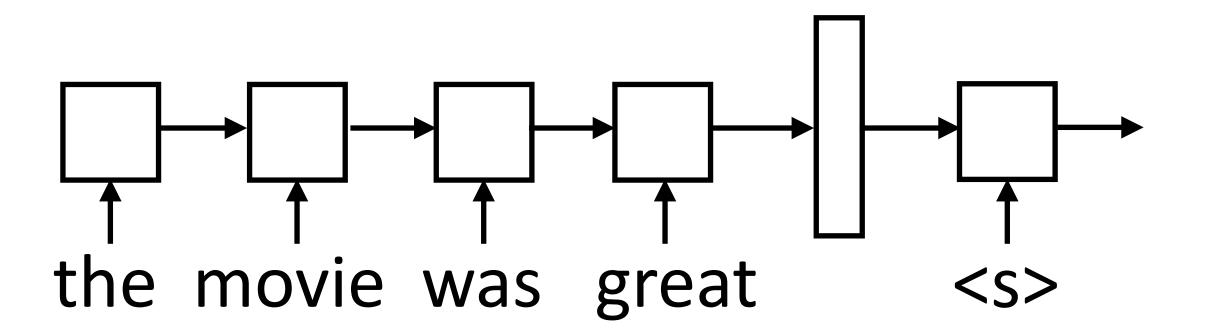
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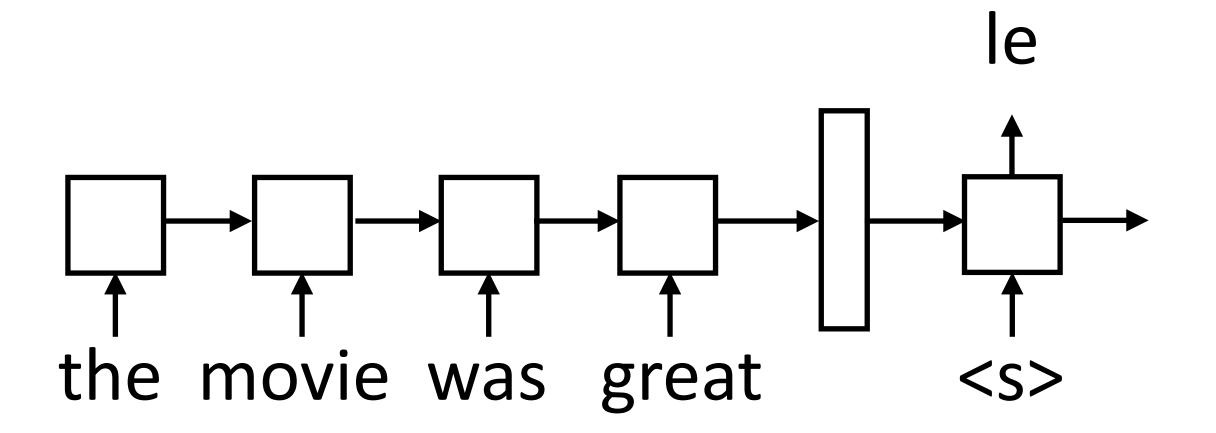


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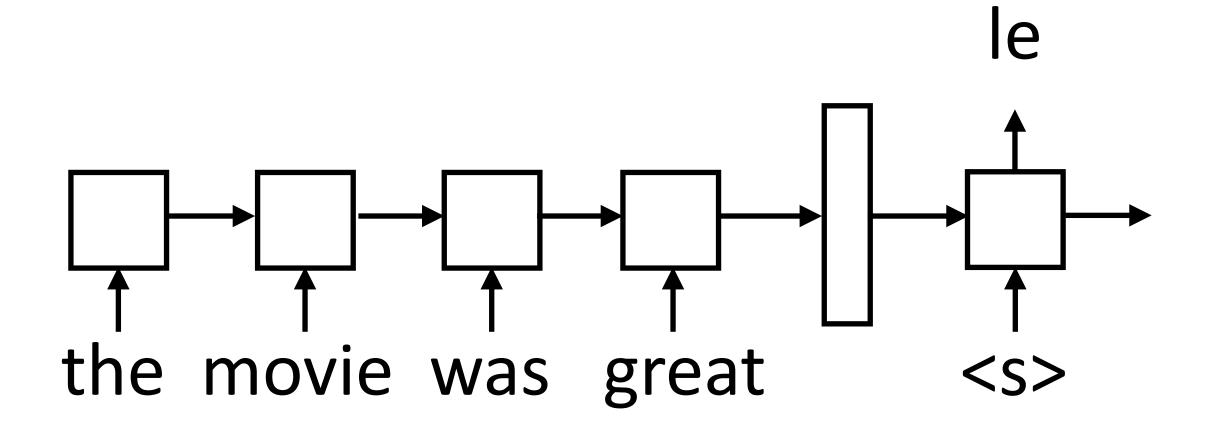
$$P(\mathbf{y}|\mathbf{x}) = \prod_{i=1}^{n} P(y_i|\mathbf{x}, y_1, \dots, y_{i-1})$$

Decoder has separate parameters from encoder, so this can learn to be a language model (produce a plausible next word given current one)



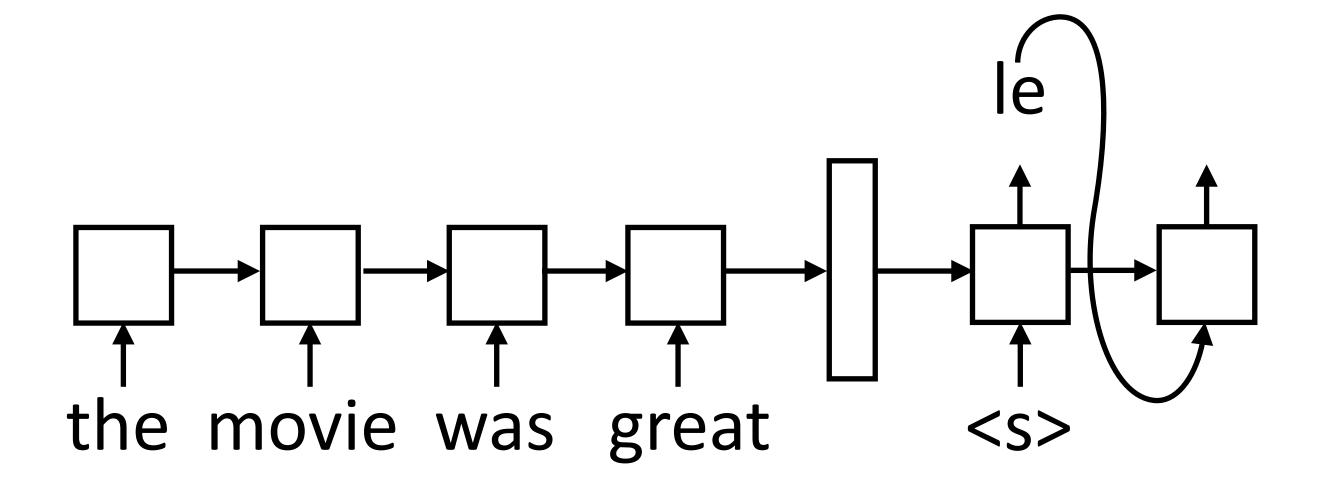


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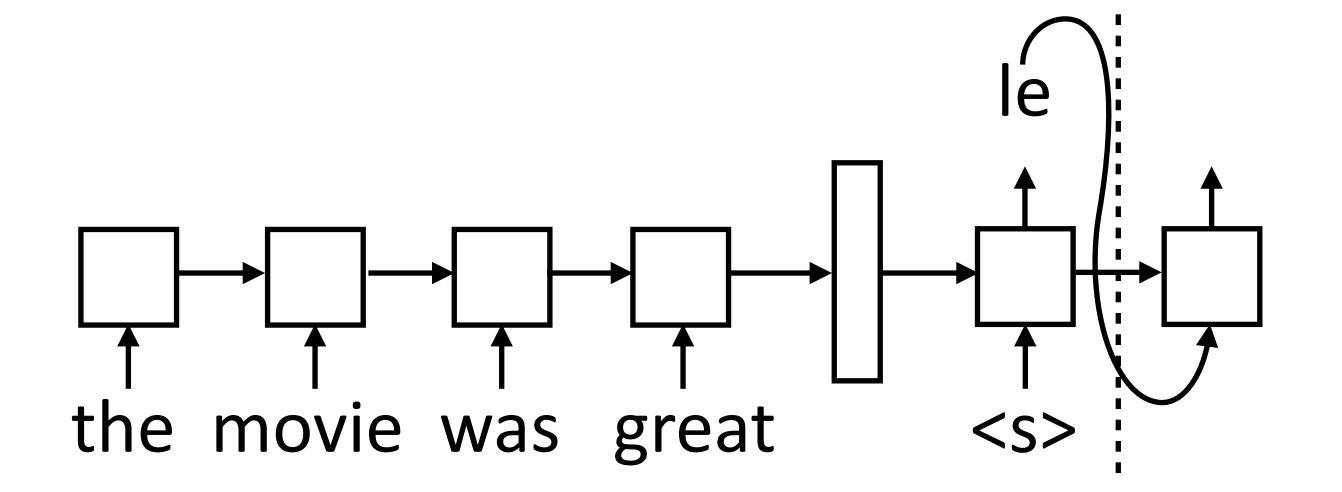


 During inference: need to compute the argmax over the word predictions and then feed that to the next RNN state

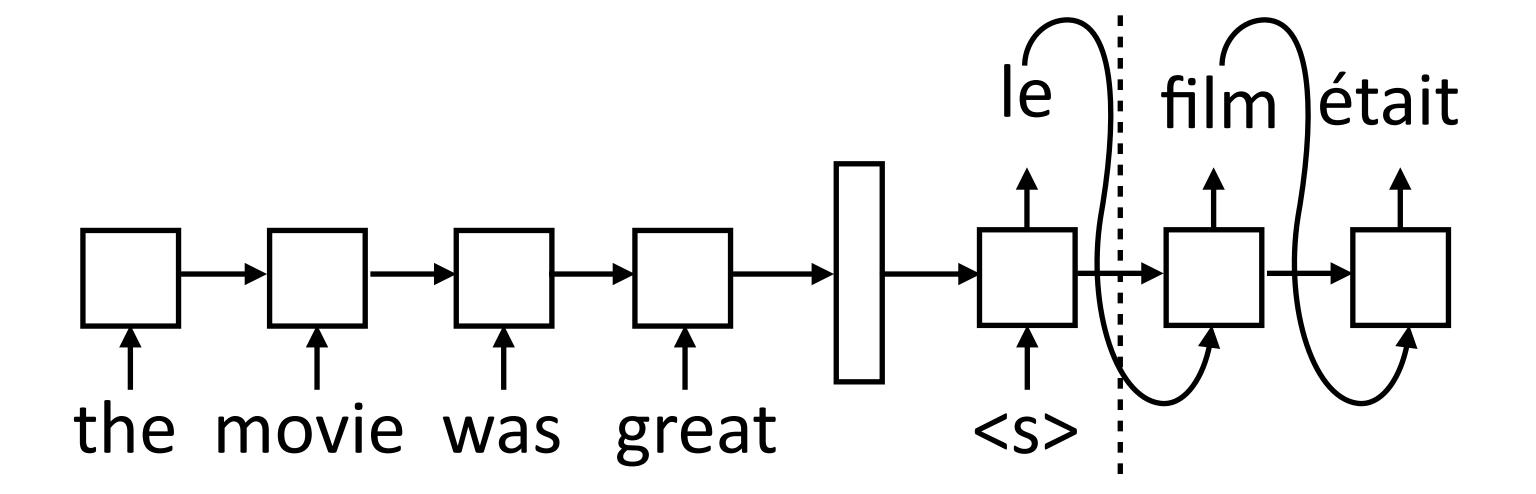
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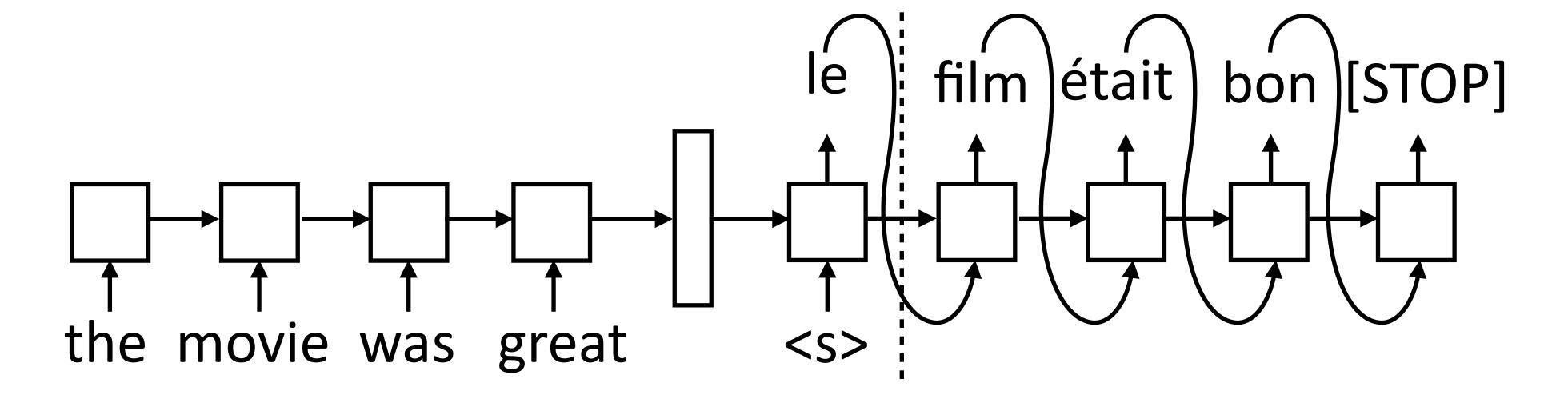
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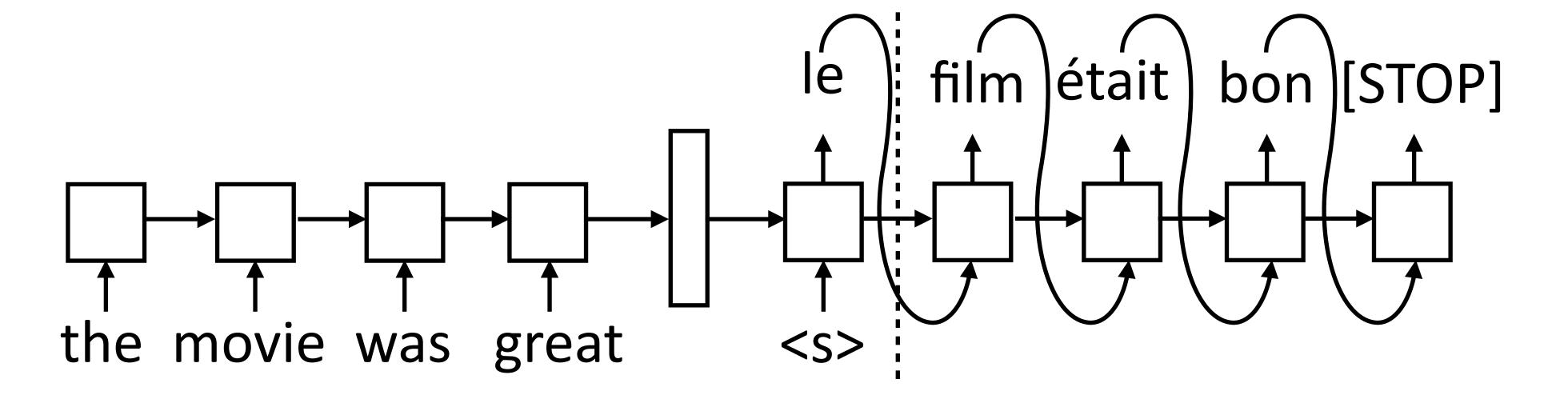
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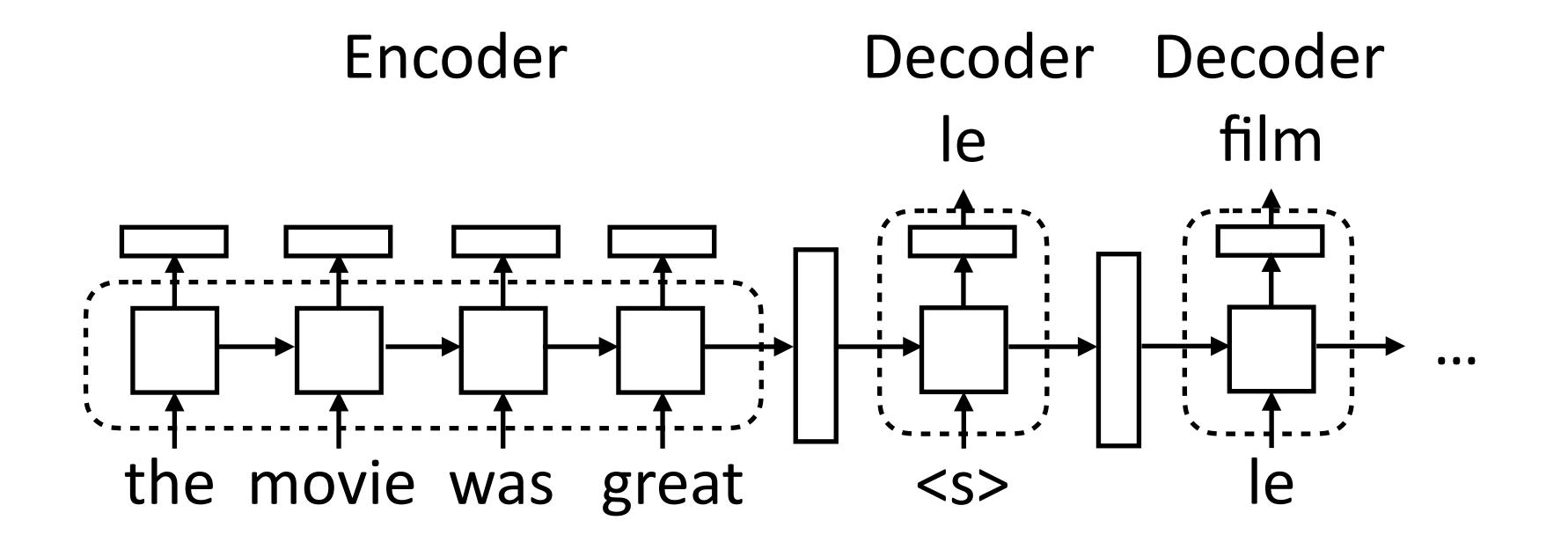


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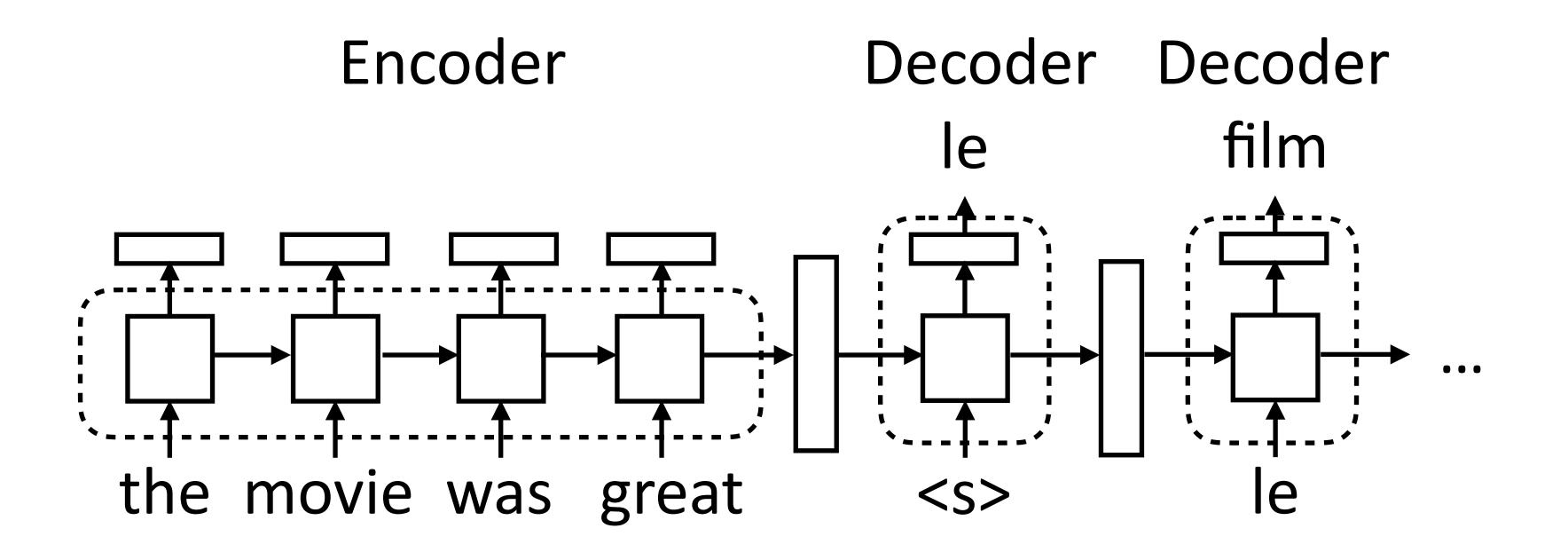


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- Decoder is advanced one state at a time until [STOP] is reached

Implementing seq2seq Models

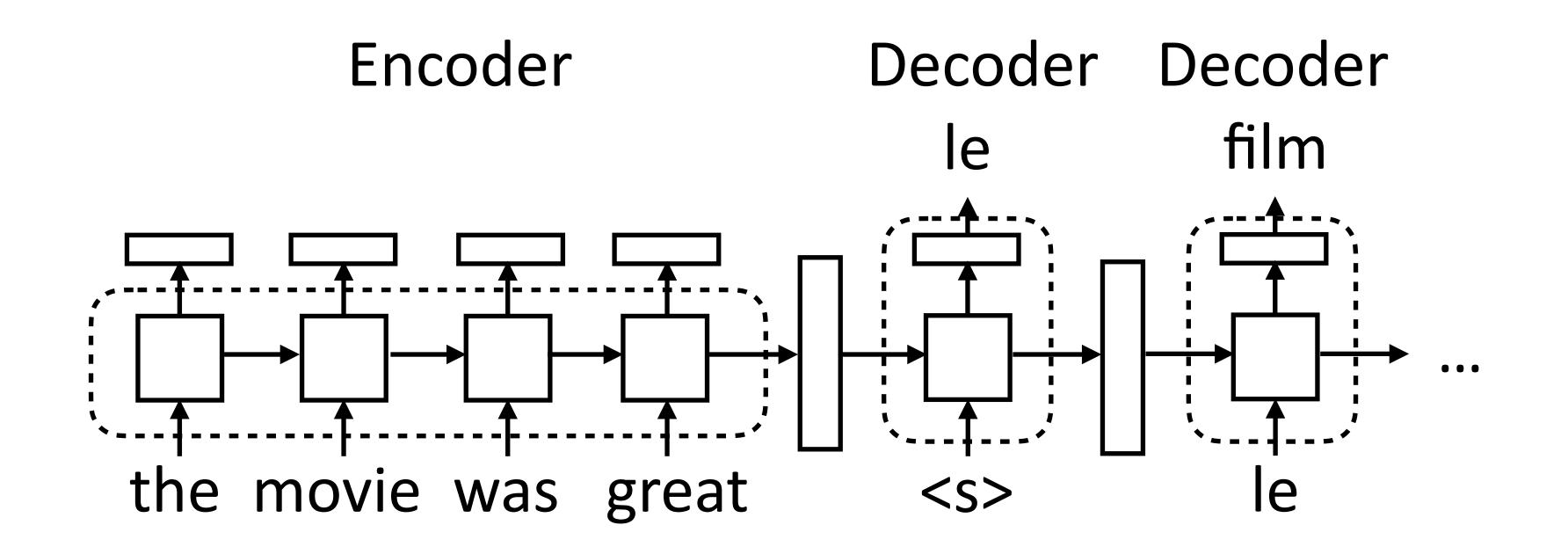


Implementing seq2seq Models



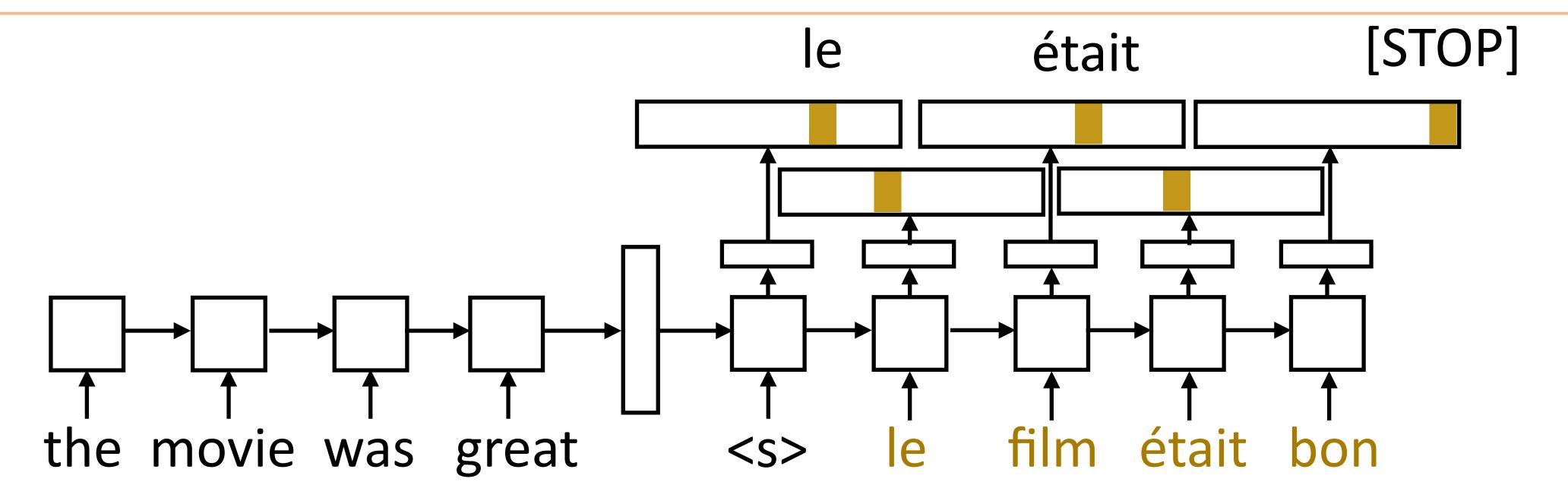
 Encoder: consumes sequence of tokens, produces a vector. Analogous to encoders for classification/tagging tasks

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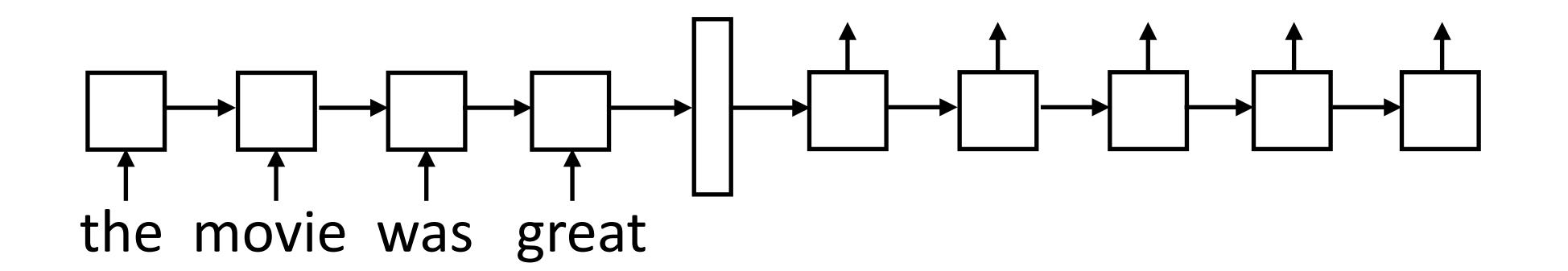
- Encoder: consumes sequence of tokens, produces a vector. Analogous to encoders for classification/tagging tasks
- Decoder: separate module, single cell. Takes two inputs: hidden state (vector h or tuple (h, c)) and previous token. Outputs token + new state

Training

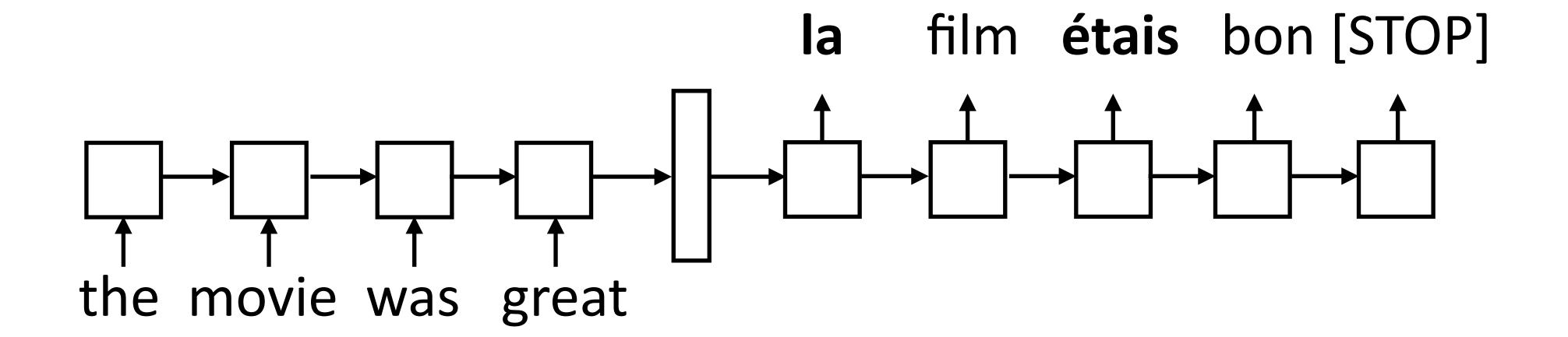


- Objective: maximize $\sum_{(\mathbf{x},\mathbf{y})} \sum_{i=1}^{n} \log P(y_i^*|\mathbf{x},y_1^*,\ldots,y_{i-1}^*)$
- One loss term for each target-sentence word, feed the correct word regardless of model's prediction

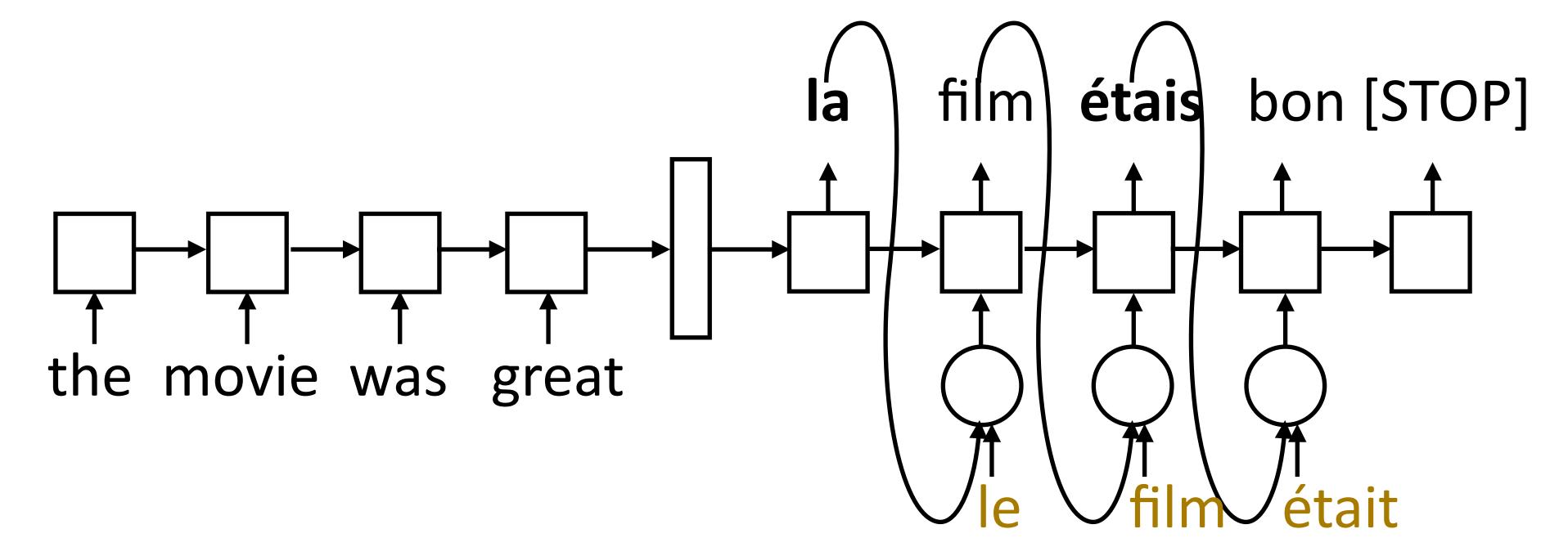
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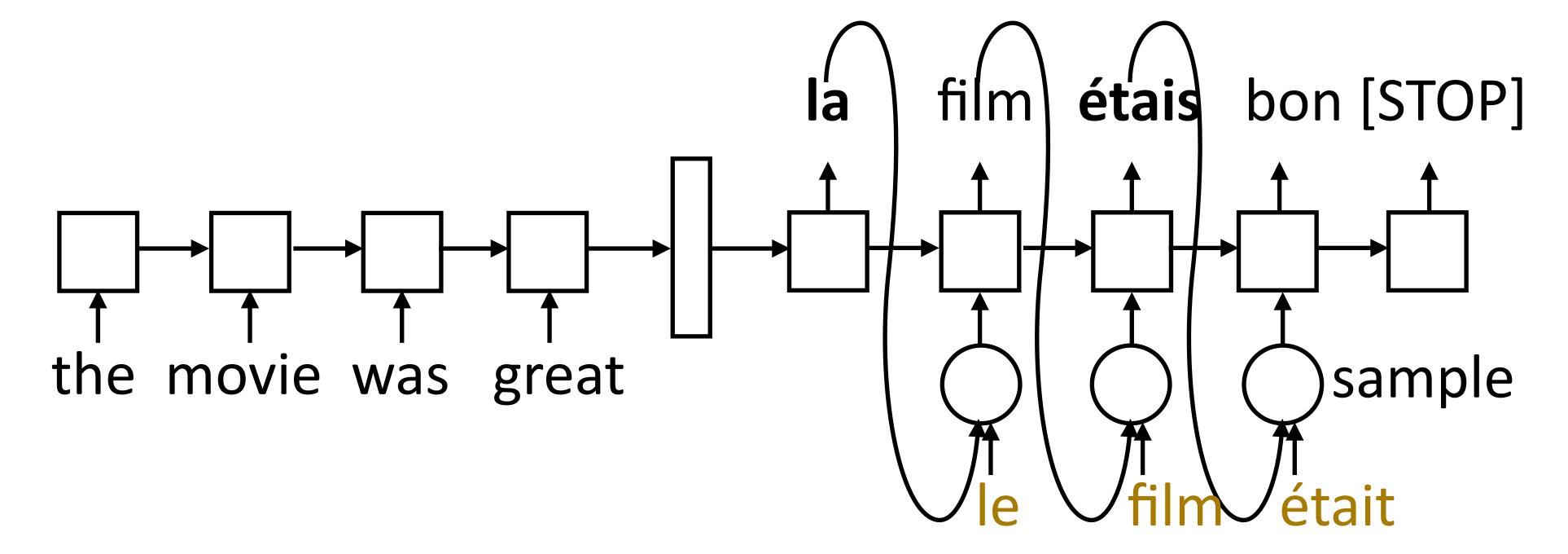


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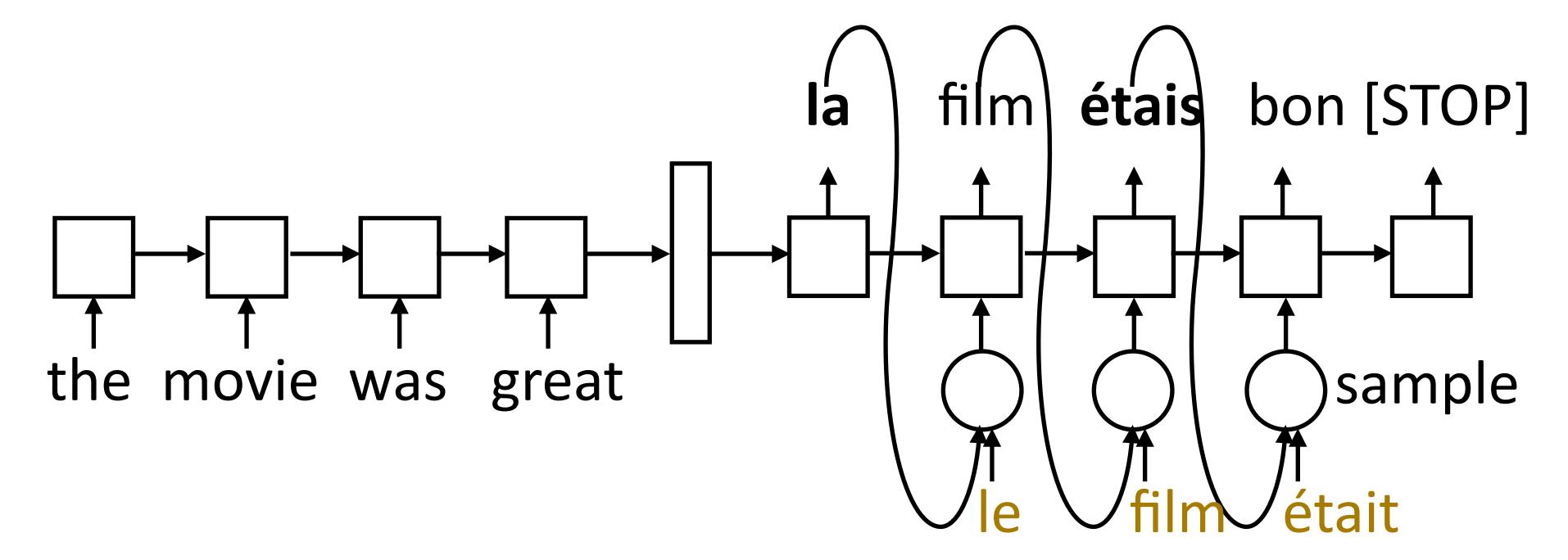
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- Scheduled sampling: with probability p, take the gold as input, else take the model's prediction
- Starting with p = 1 and decaying it works best
- Ideally (in theory), use RL for this...

Bengio et al. (2015)

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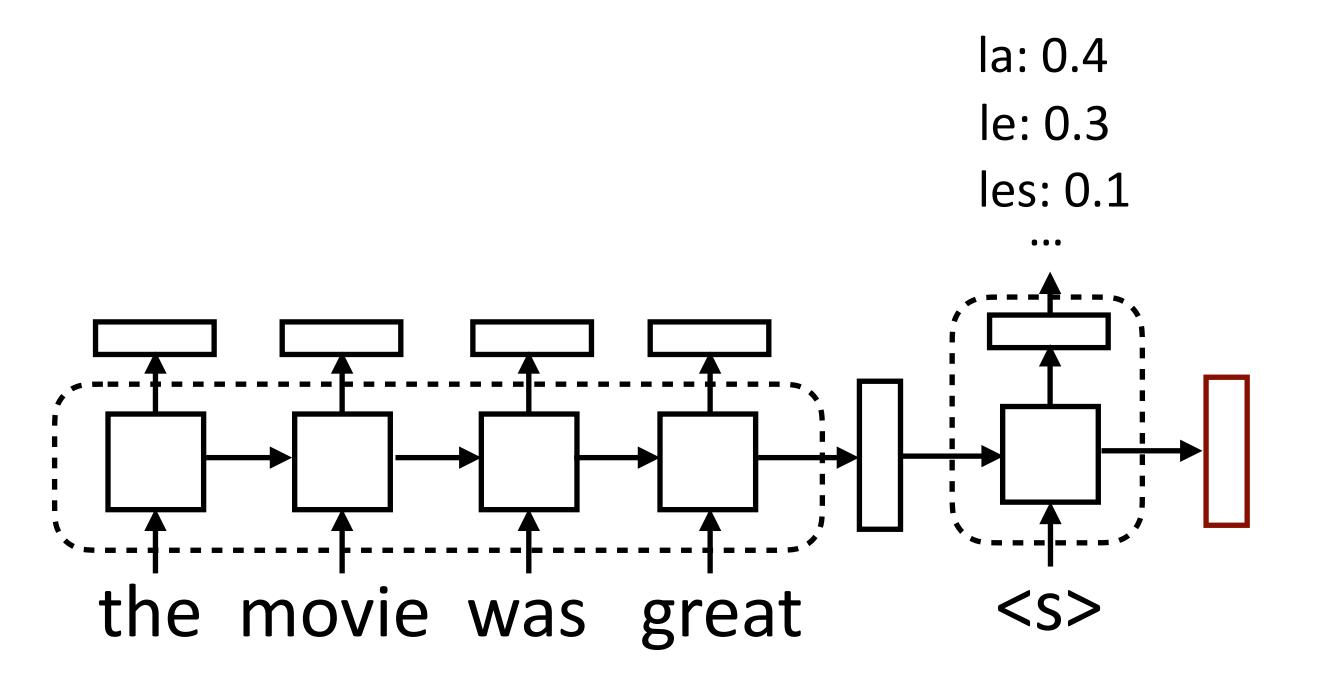
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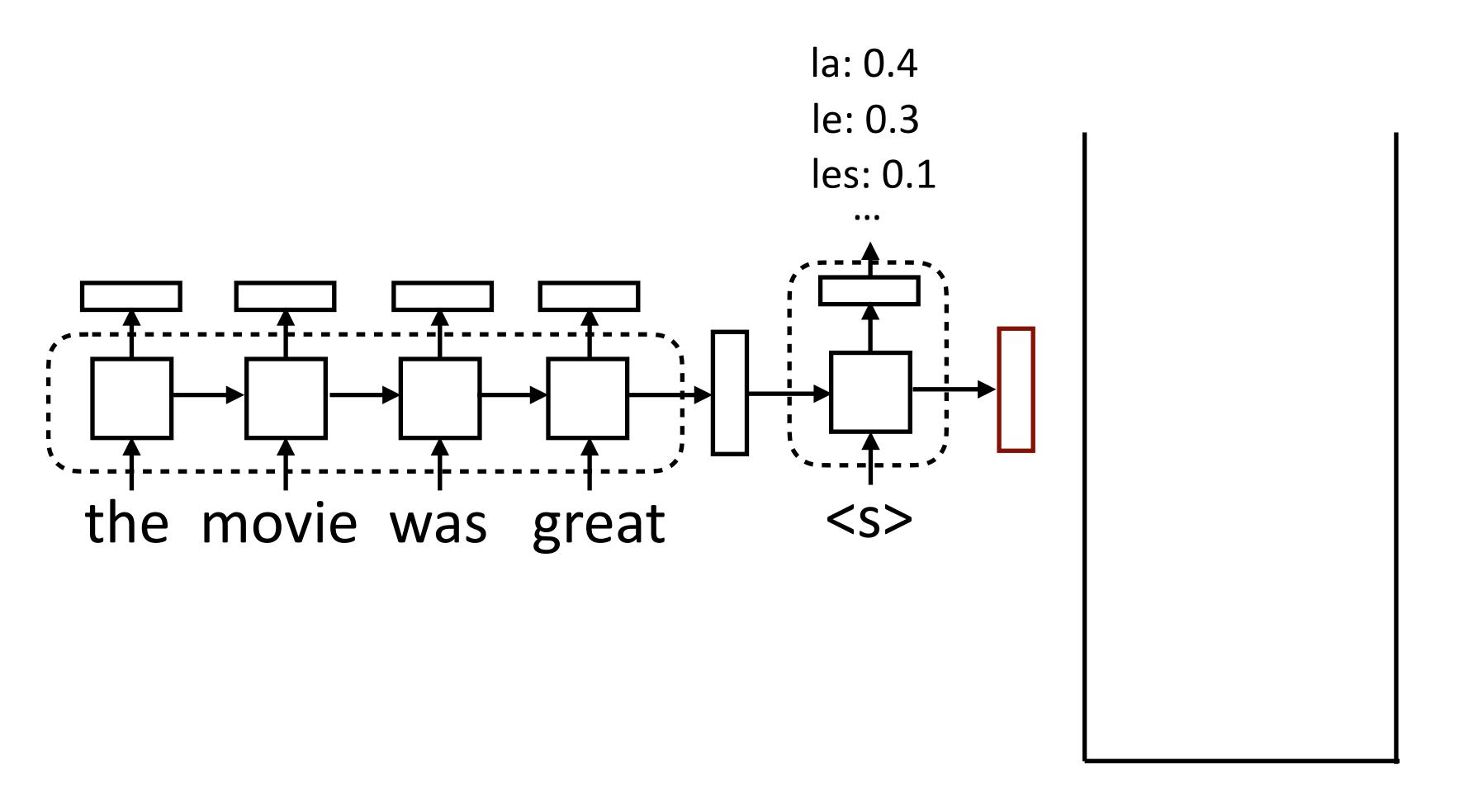
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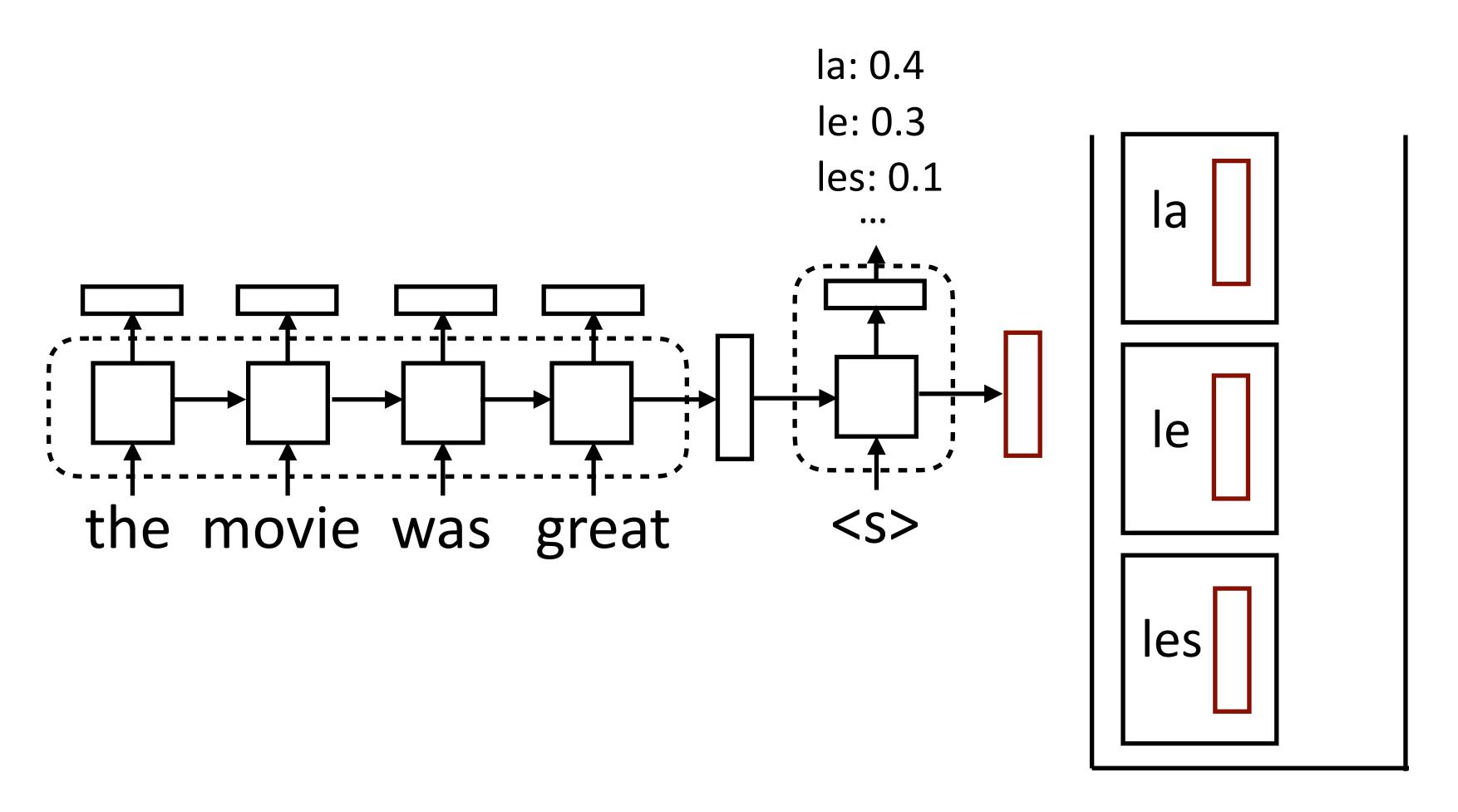
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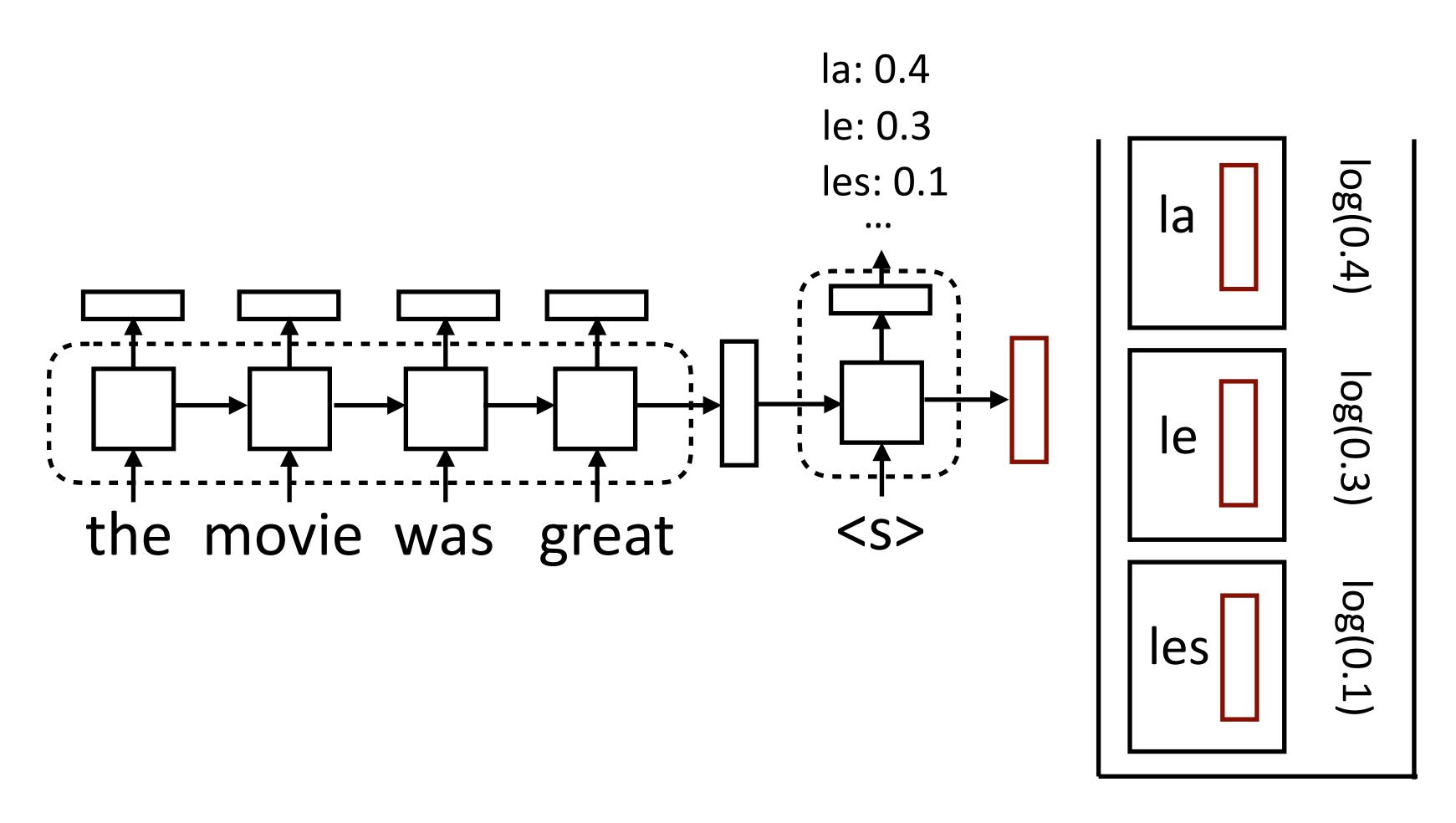
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- Beam search: can help with lookahead. Finds the (approximate) highest scoring sequence: n

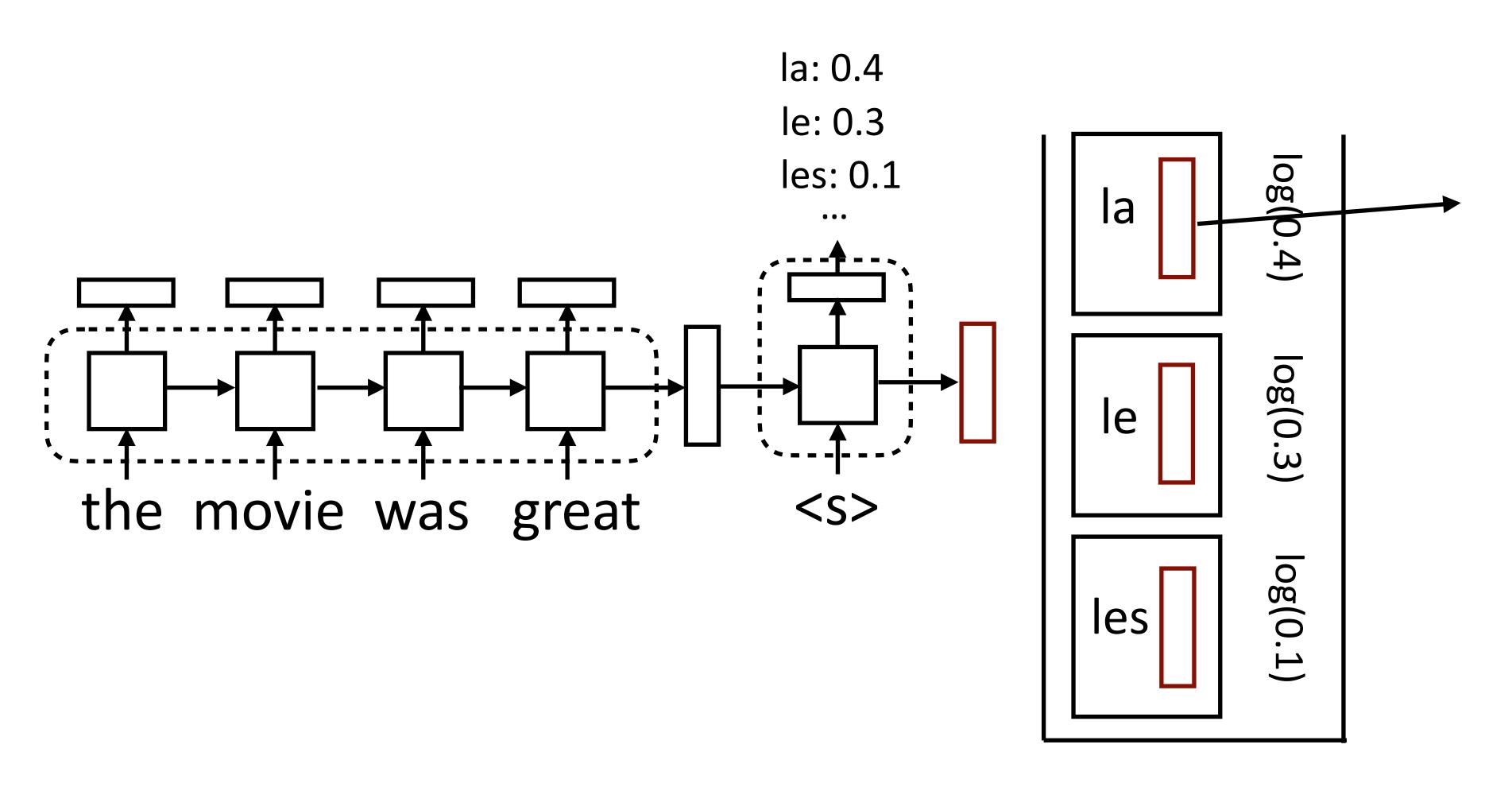
$$\underset{i=1}{\operatorname{argmax}} \prod_{i=1} P(y_i | \mathbf{x}, y_1, \dots, y_{i-1})$$











Maintain decoder state, token history in beam film: 0.4 la: 0.4 le: 0.3 les: 0.1 la log(0.3)le the movie was great **<**S> $\log(0.1)$ les

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Do not max over the two film states! Hidden state vectors are different

```
"what states border Texas"

lambda x ( state ( x ) and border ( x , e89 ) ) )
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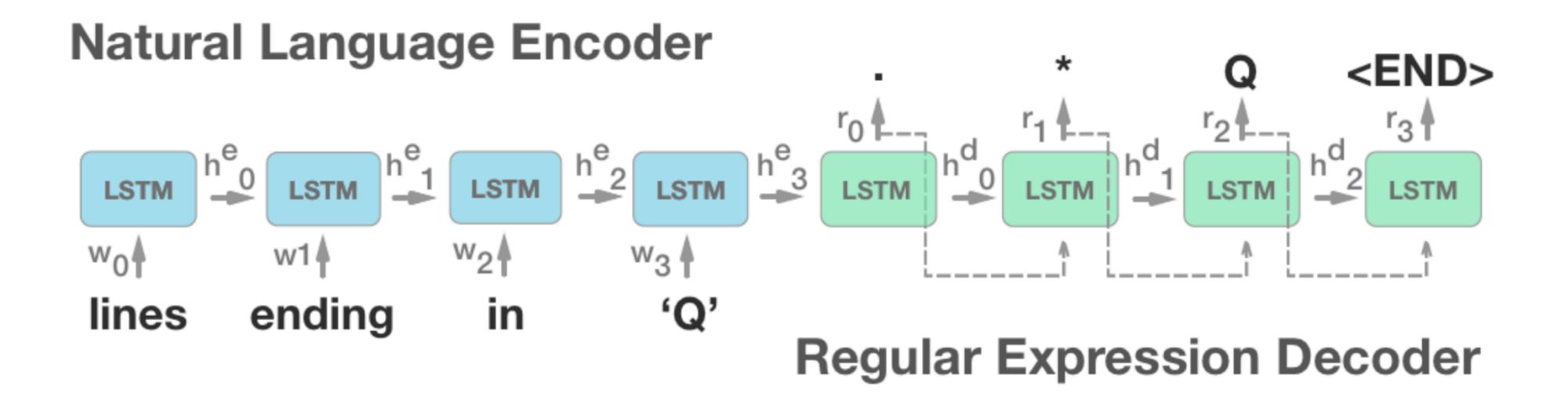
- Write down a linearized form of the semantic parse, train seq2seq models to directly translate into this representation
- No need to have an explicit grammar, simplifies algorithms
- Might not produce well-formed logical forms, might require lots of data

Jia and Liang (2015)

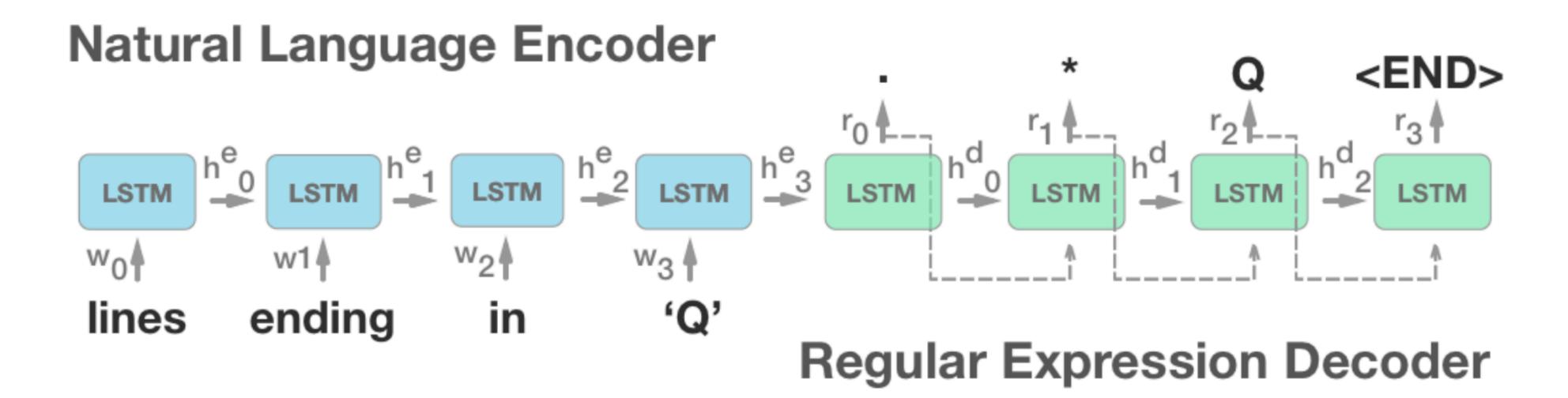
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Problem: requires a lot of data: 10,000 examples needed to get ~60% accuracy on pretty simple regexes

Locascio et al. (2016)

 Convert natural language description into a SQL query against some DB

Question:

How many CFL teams are from York College?

SQL:

```
SELECT COUNT CFL Team FROM
CFLDraft WHERE College = "York"
```

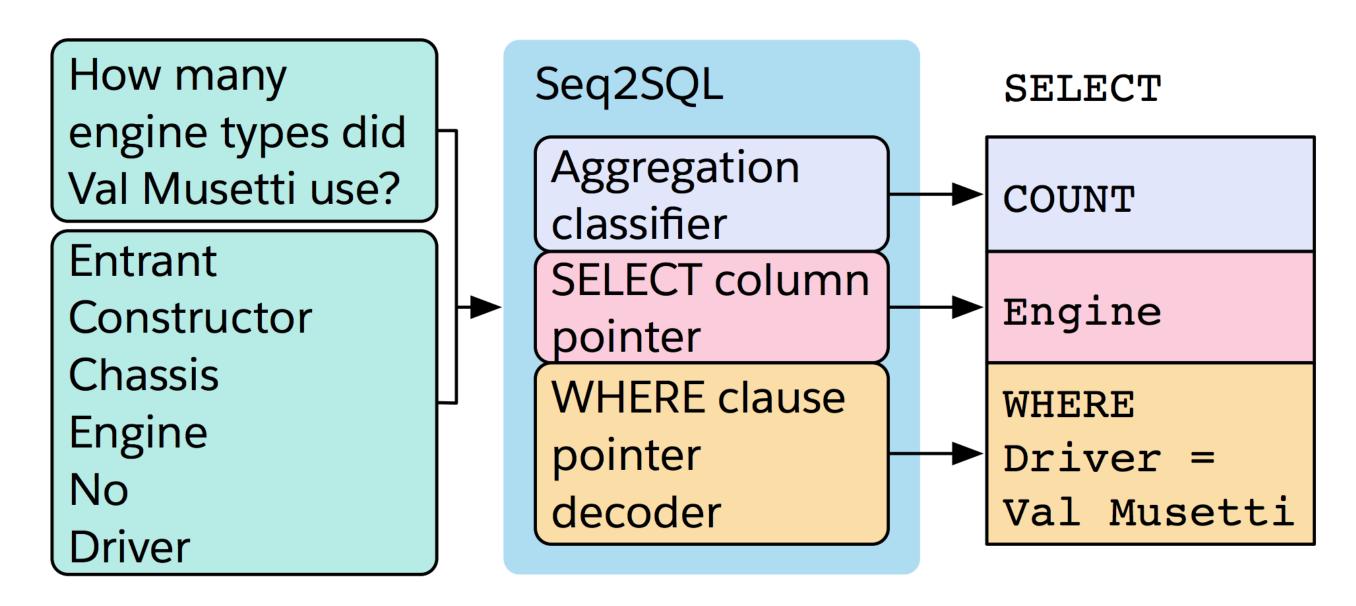
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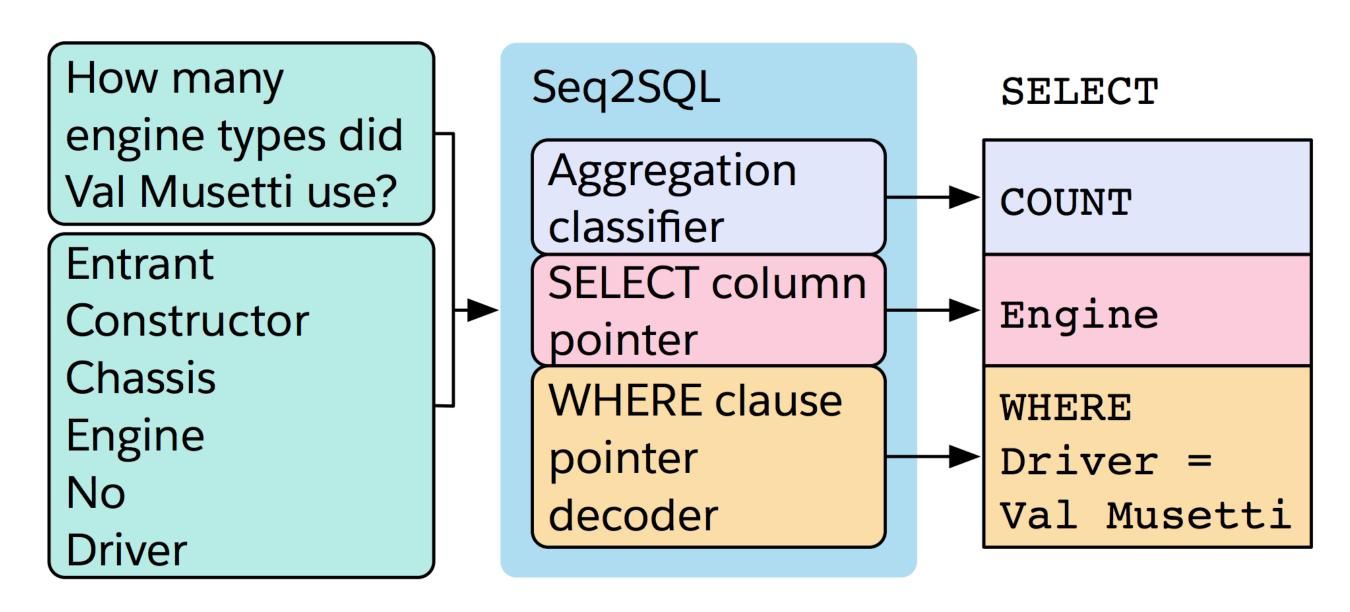
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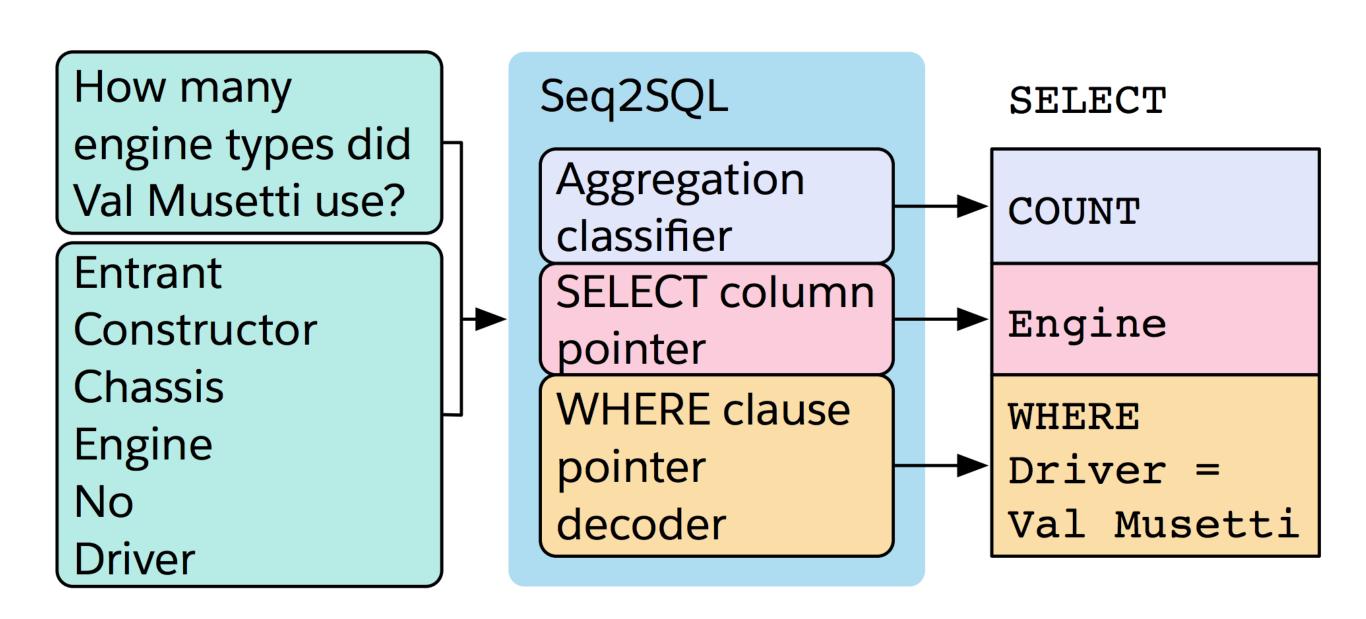
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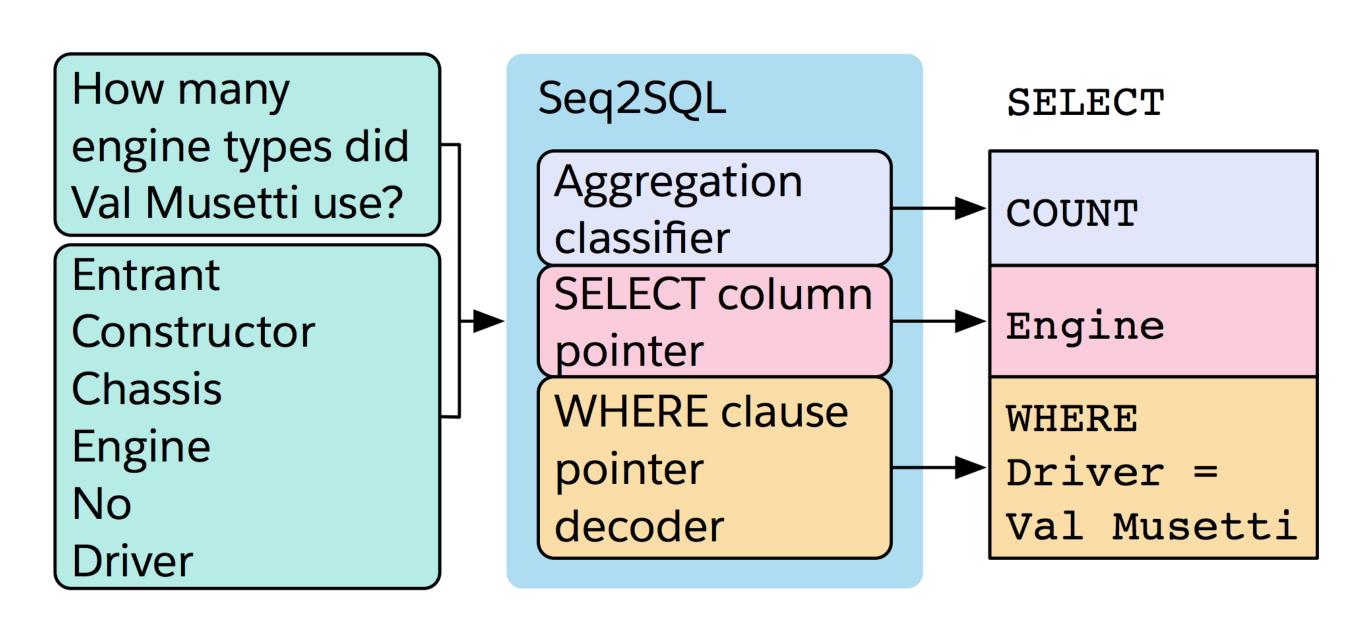
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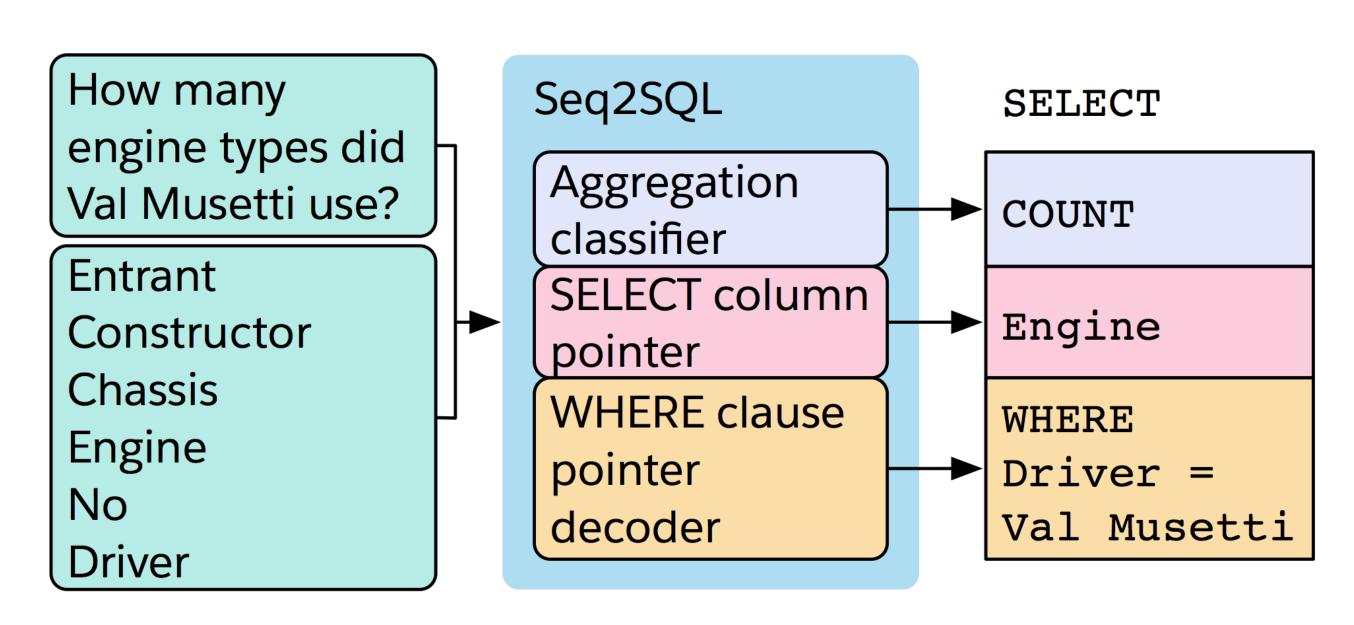
- How to ensure that wellformed SQL is generated?
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 - Pointer mechanisms

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Attention

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Often a byproduct of training these models poorly

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Often a byproduct of training these models poorly

 Need some notion of input coverage or what input words we've translated

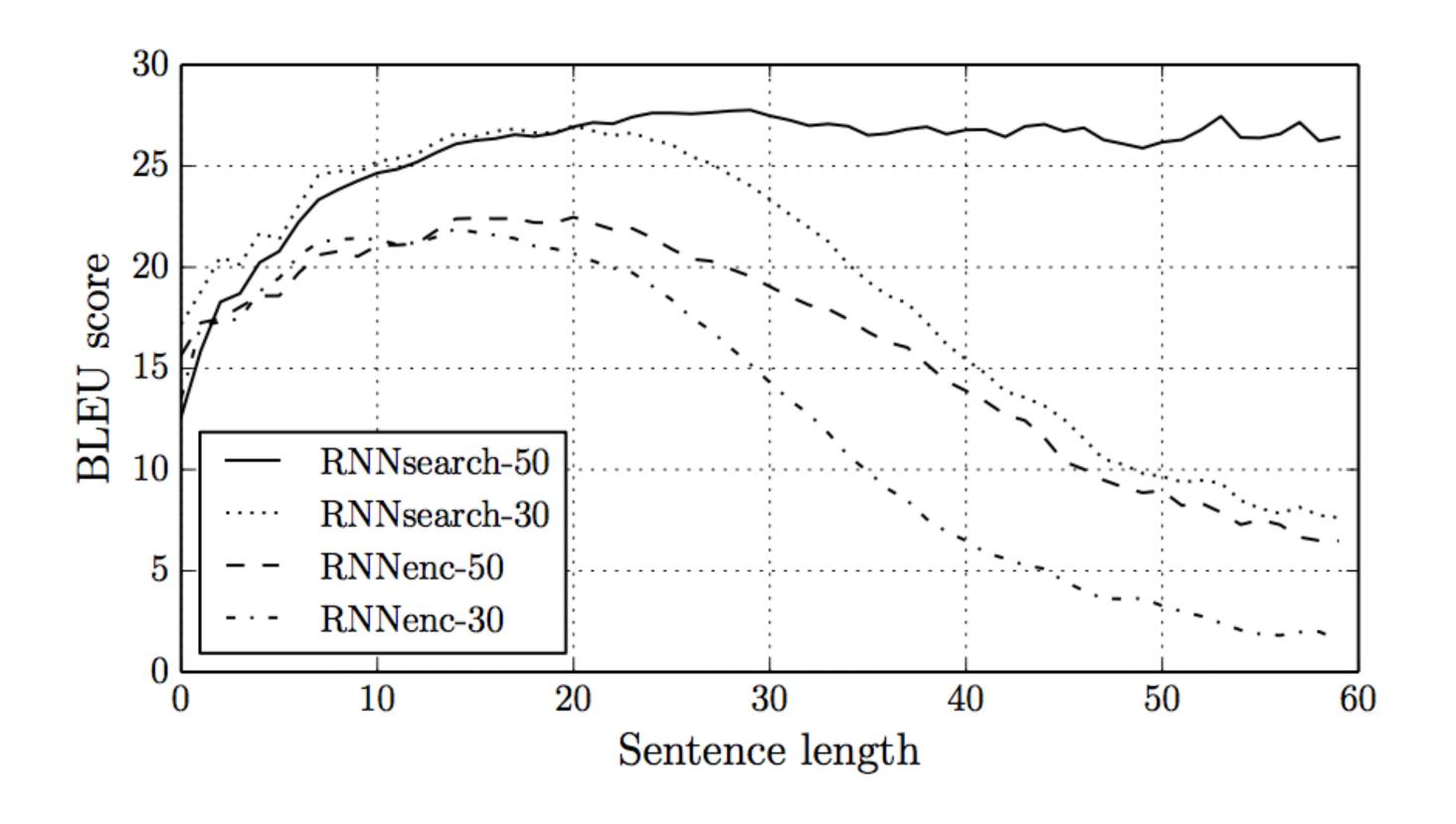
Unknown words:

```
en: The ecotax portico in Pont-de-Buis , ... [truncated] ..., was taken down on Thursday morning
fr: Le portique écotaxe de Pont-de-Buis , ... [truncated] ..., a été démonté jeudi matin
nn: Le unk de unk à unk , ... [truncated] ..., a été pris le jeudi matin
```

No matter how much data you have, you'll need some mechanism to copy a word like Pont-de-Buis from the source to target

Problems with Seq2seq Models

Bad at long sentences: 1) a fixed-size representation doesn't scale; 2)
 LSTMs still have a hard time remembering for really long periods of time



RNNsearch: introduces attention mechanism to give "variable-sized" representation

Bahdanau et al. (2014)

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Suppose we knew the source and target would be word-by-word translated the movie was great

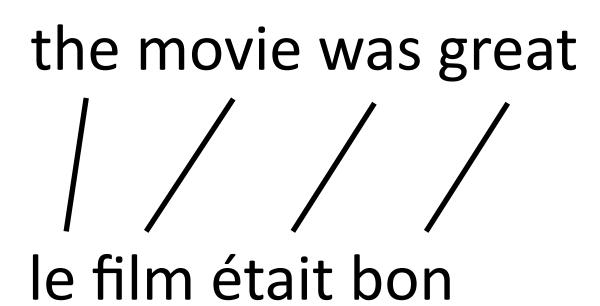
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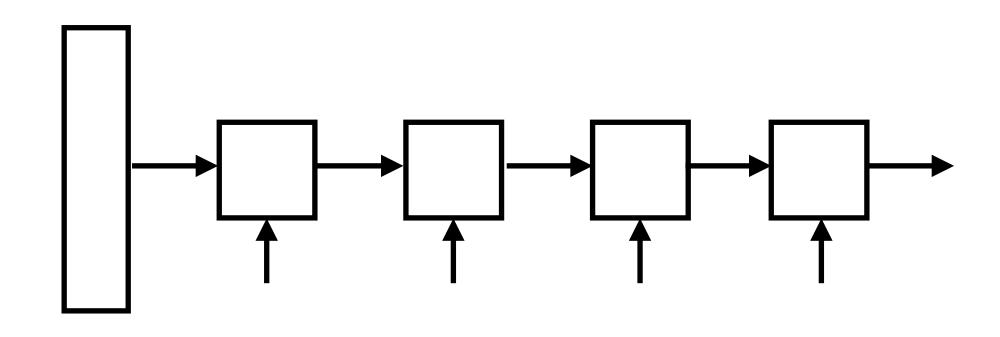
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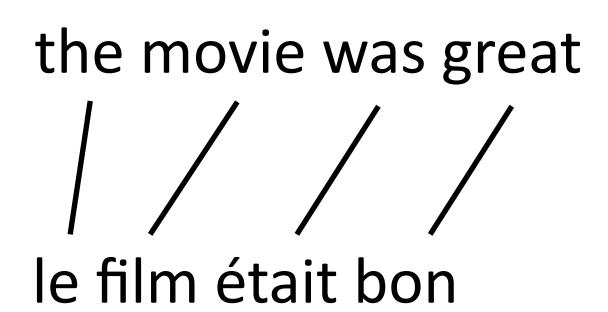
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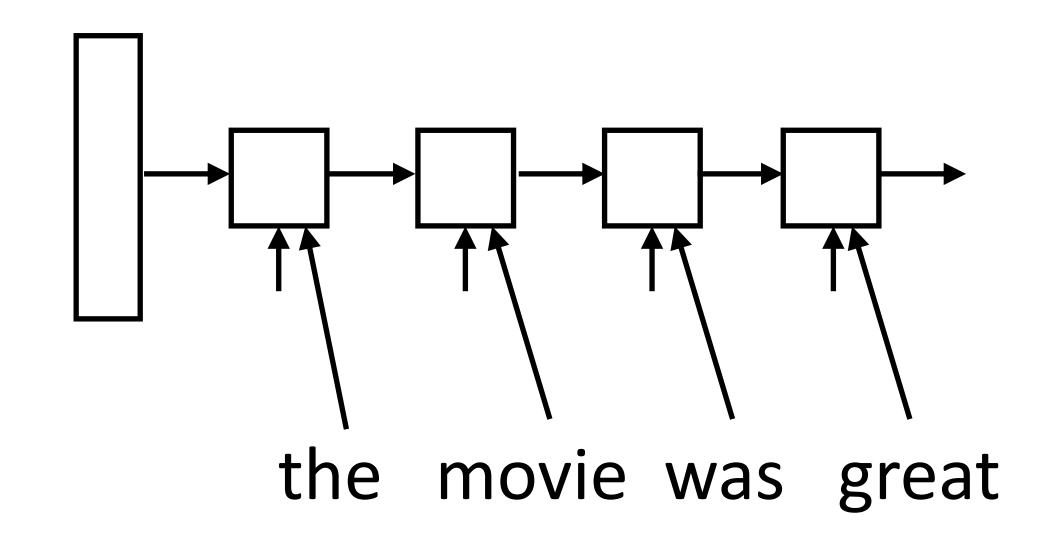
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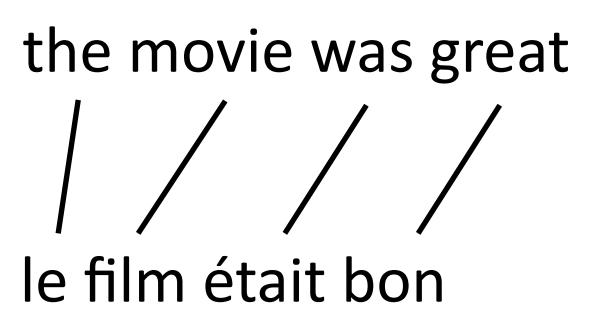


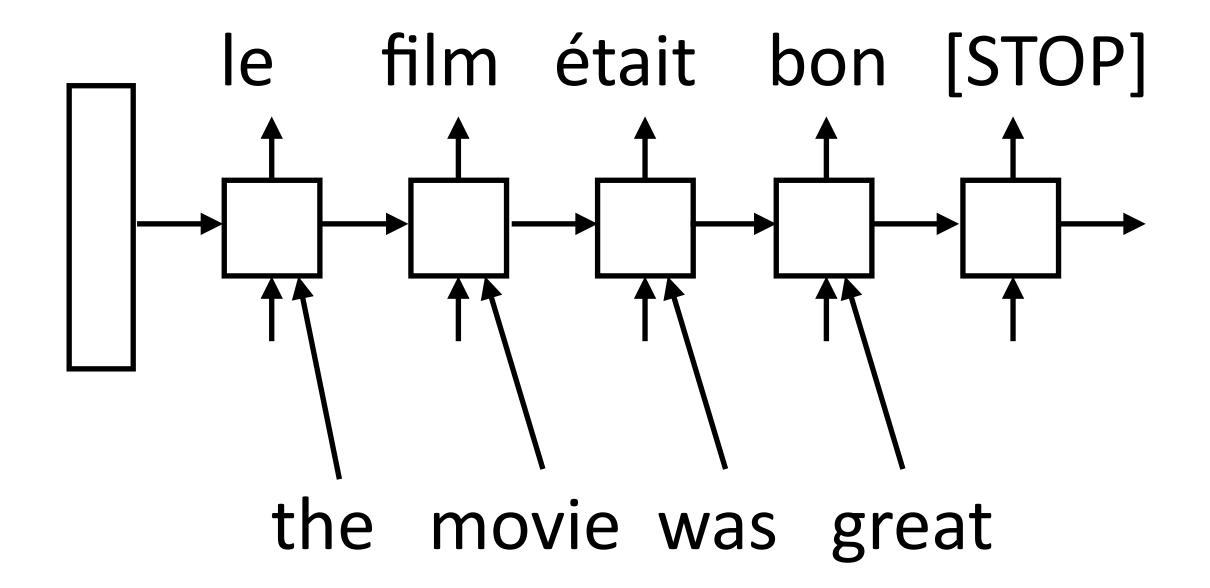
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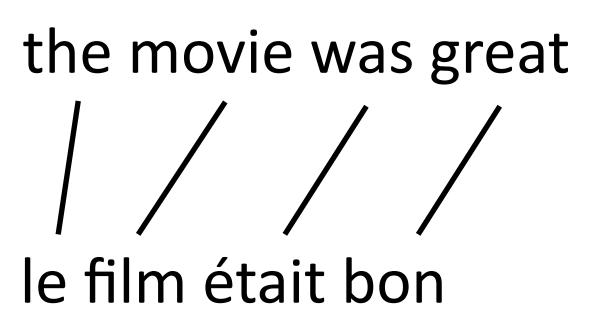


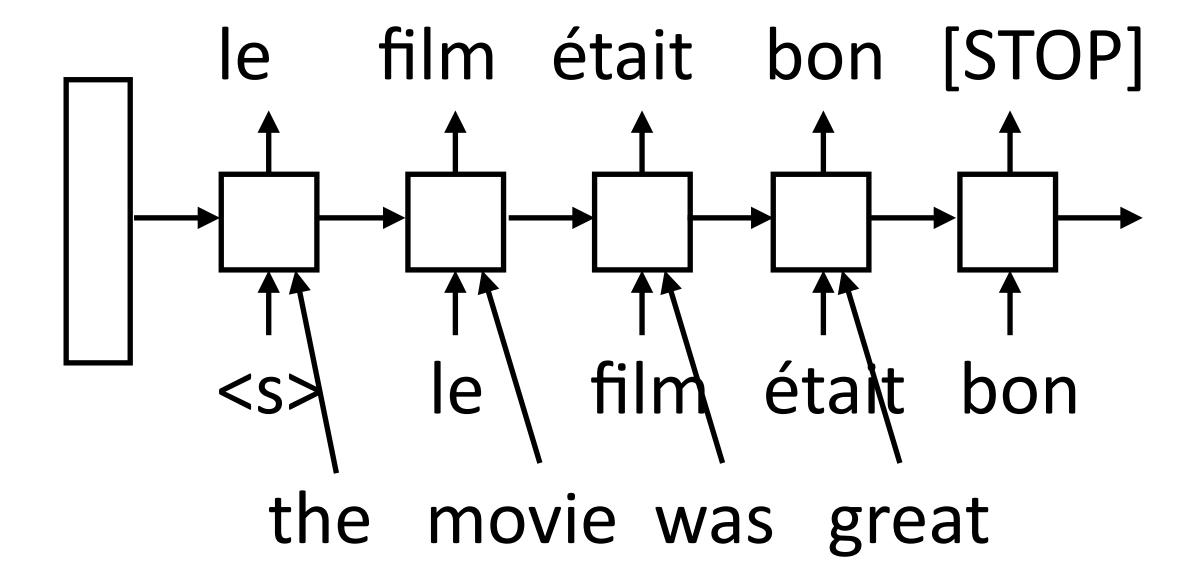
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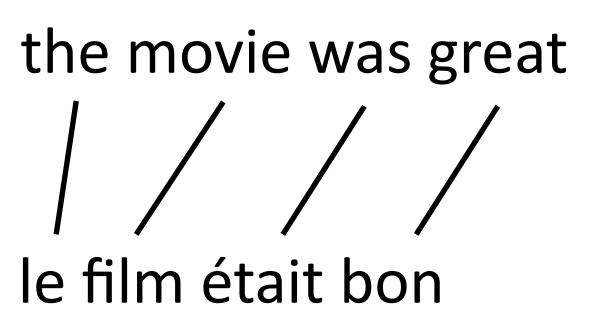


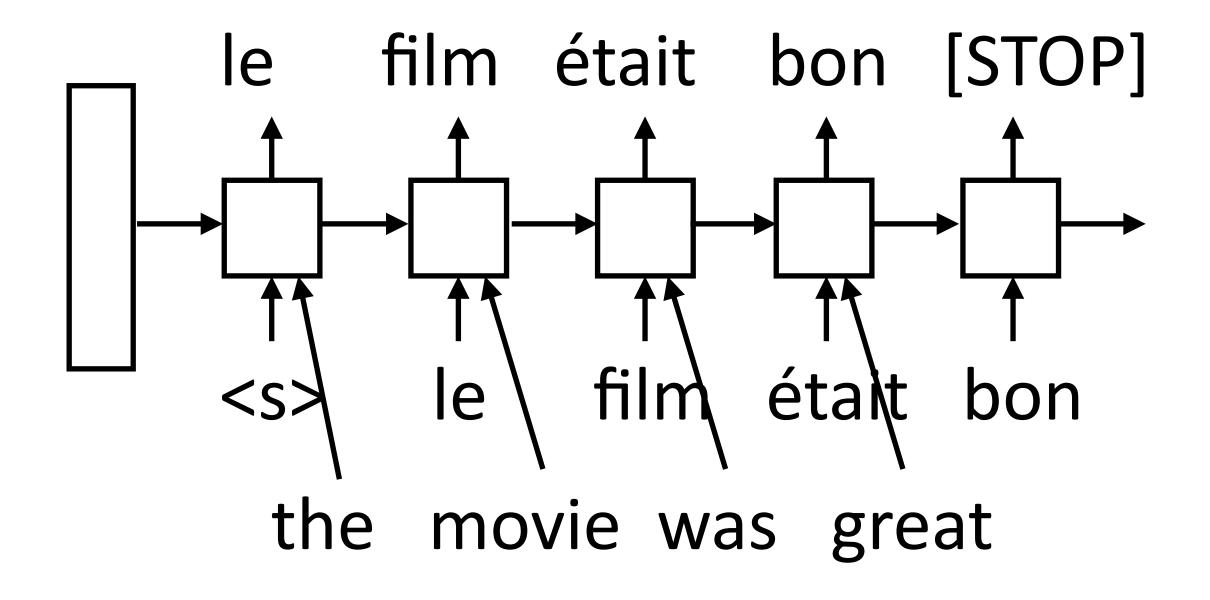


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 Can look at the corresponding input word when translating this could scale!

Much less burden on the hidden state

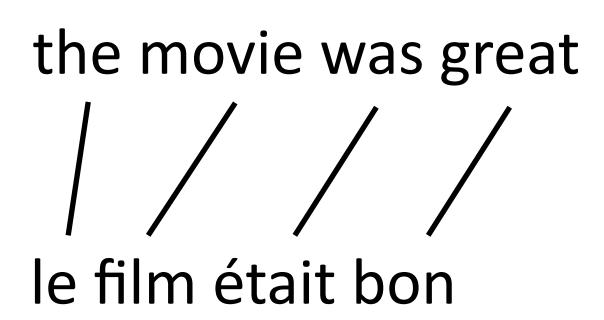


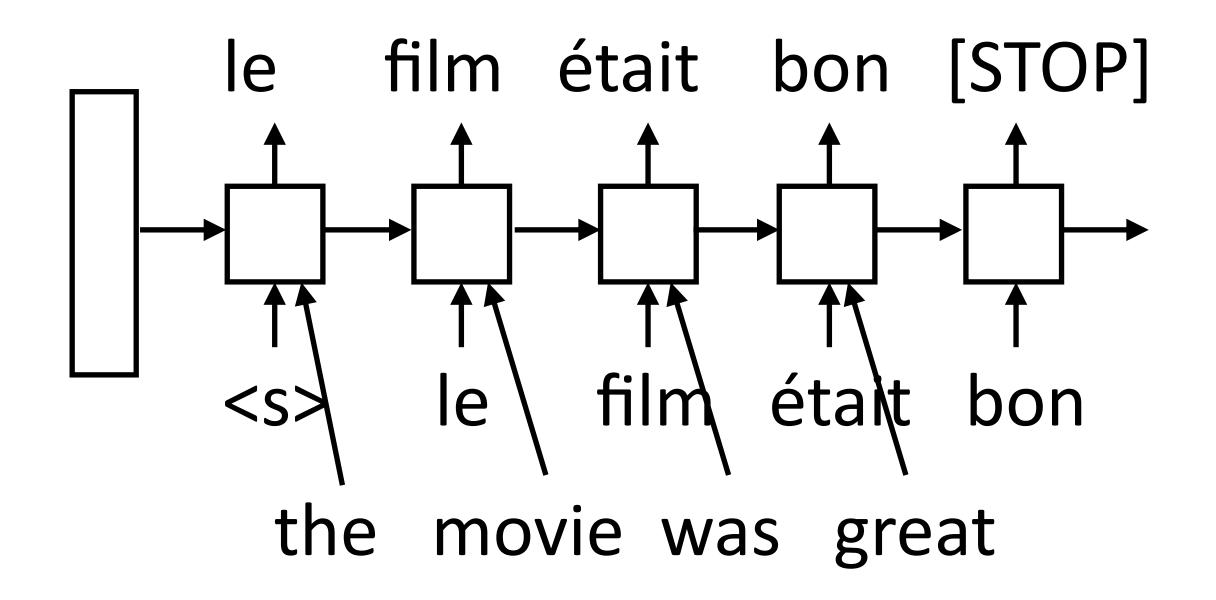


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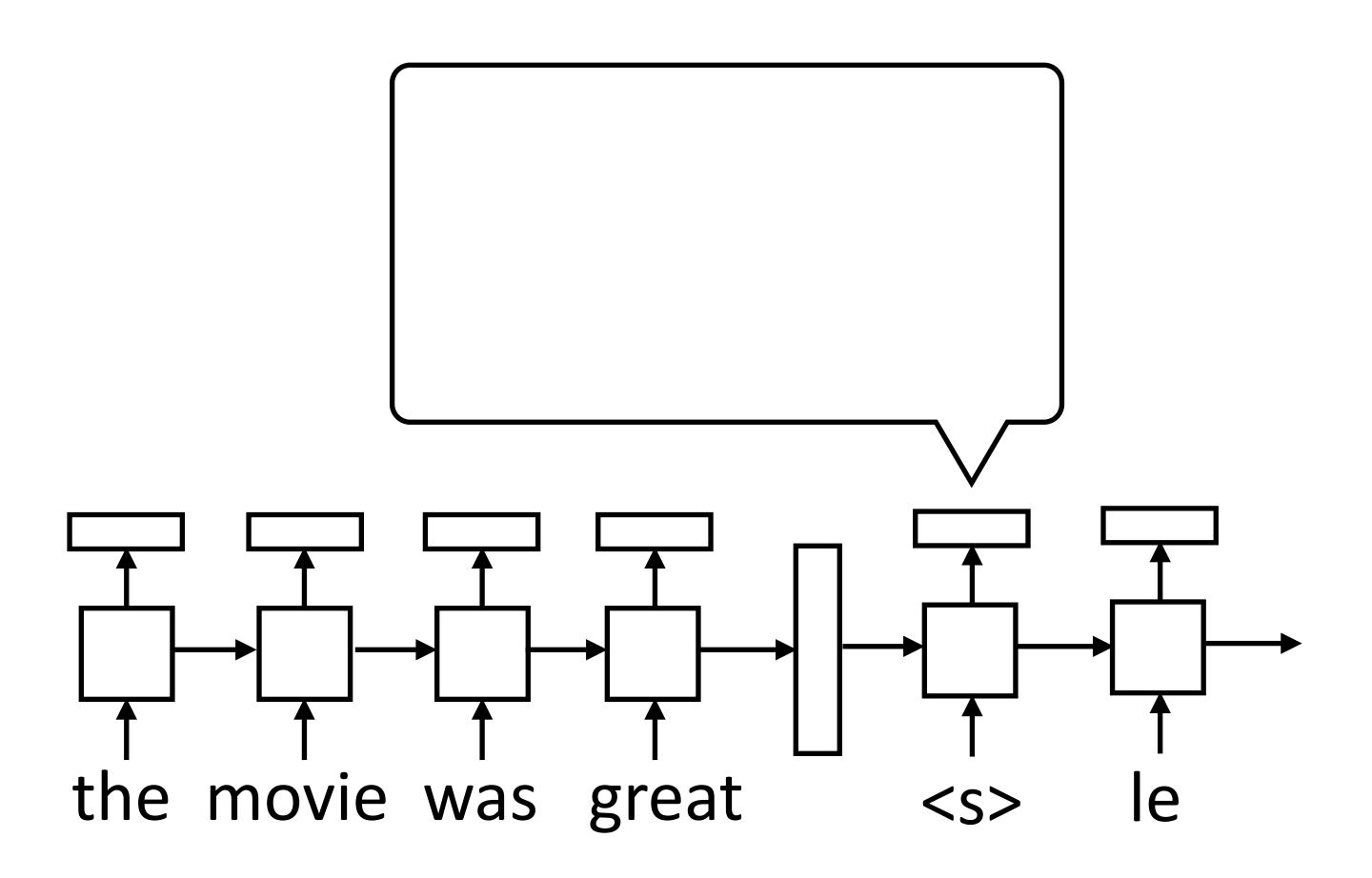
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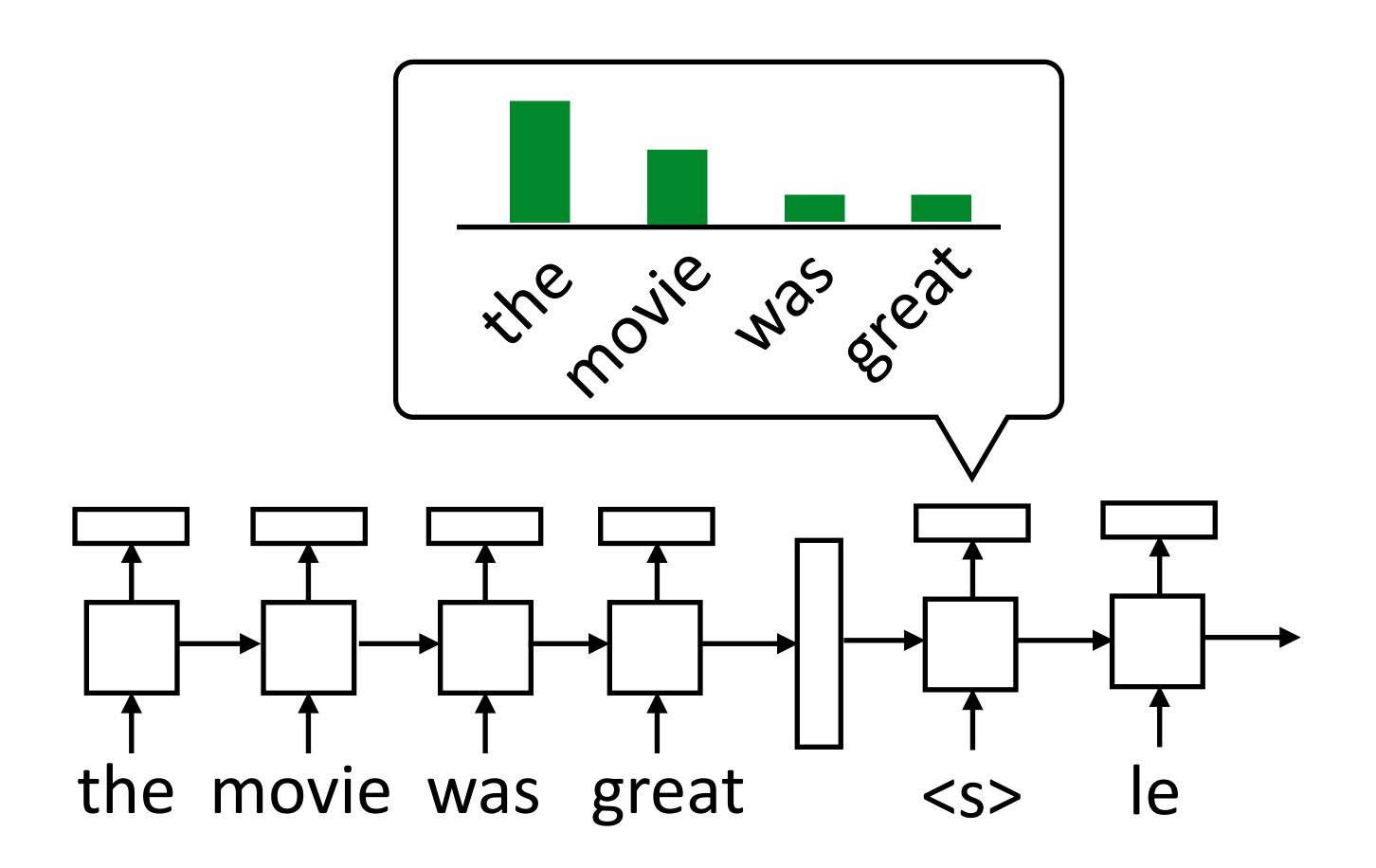
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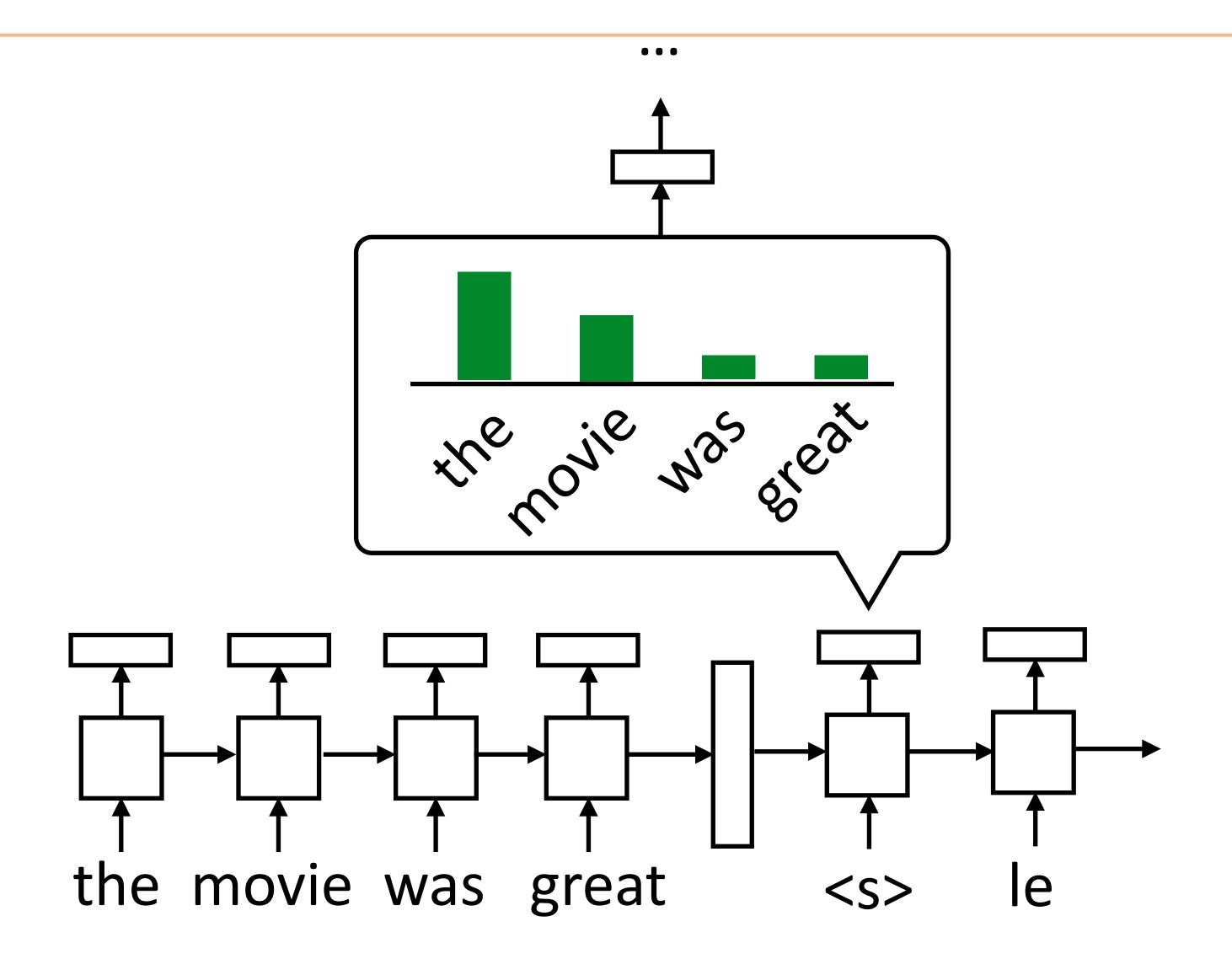


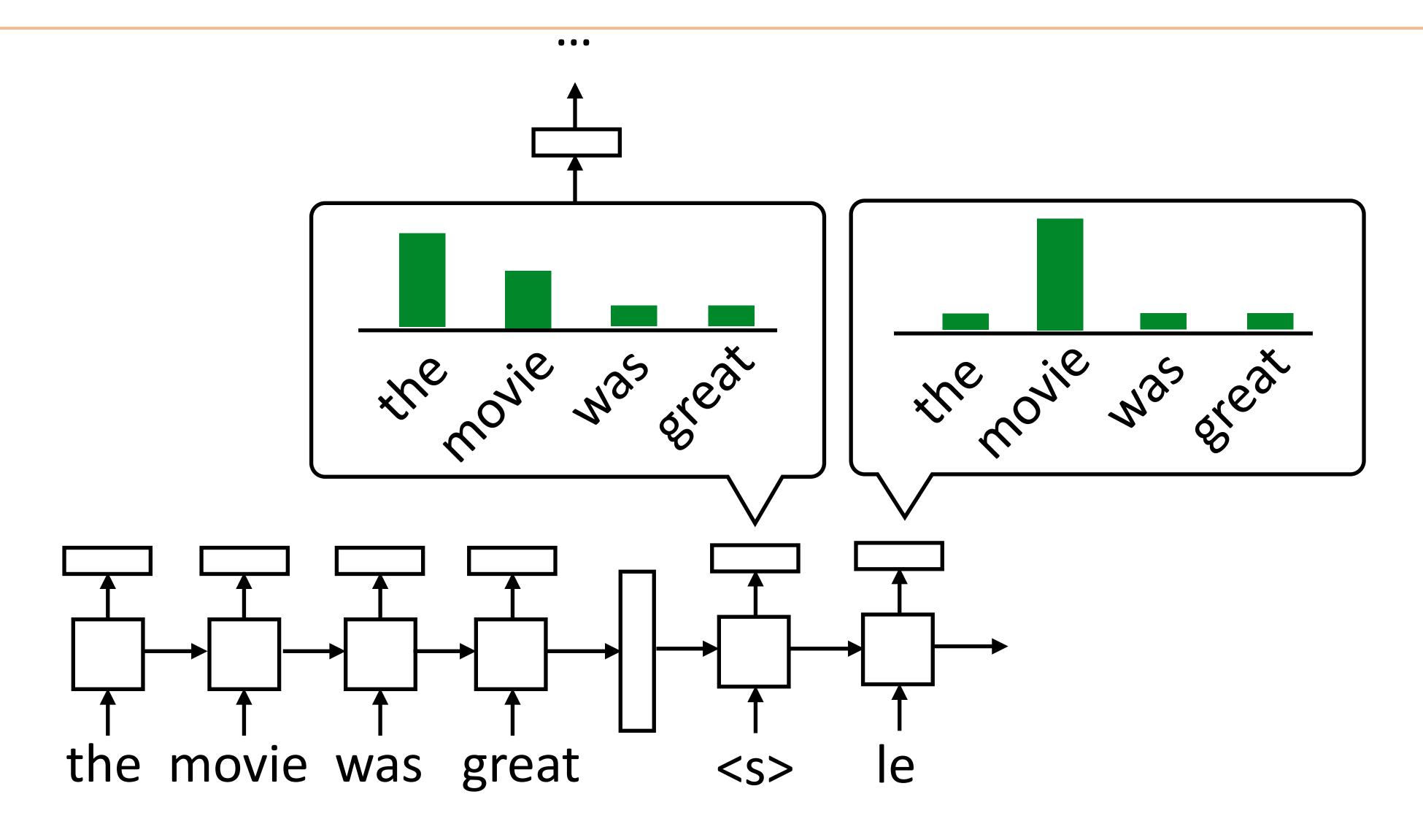


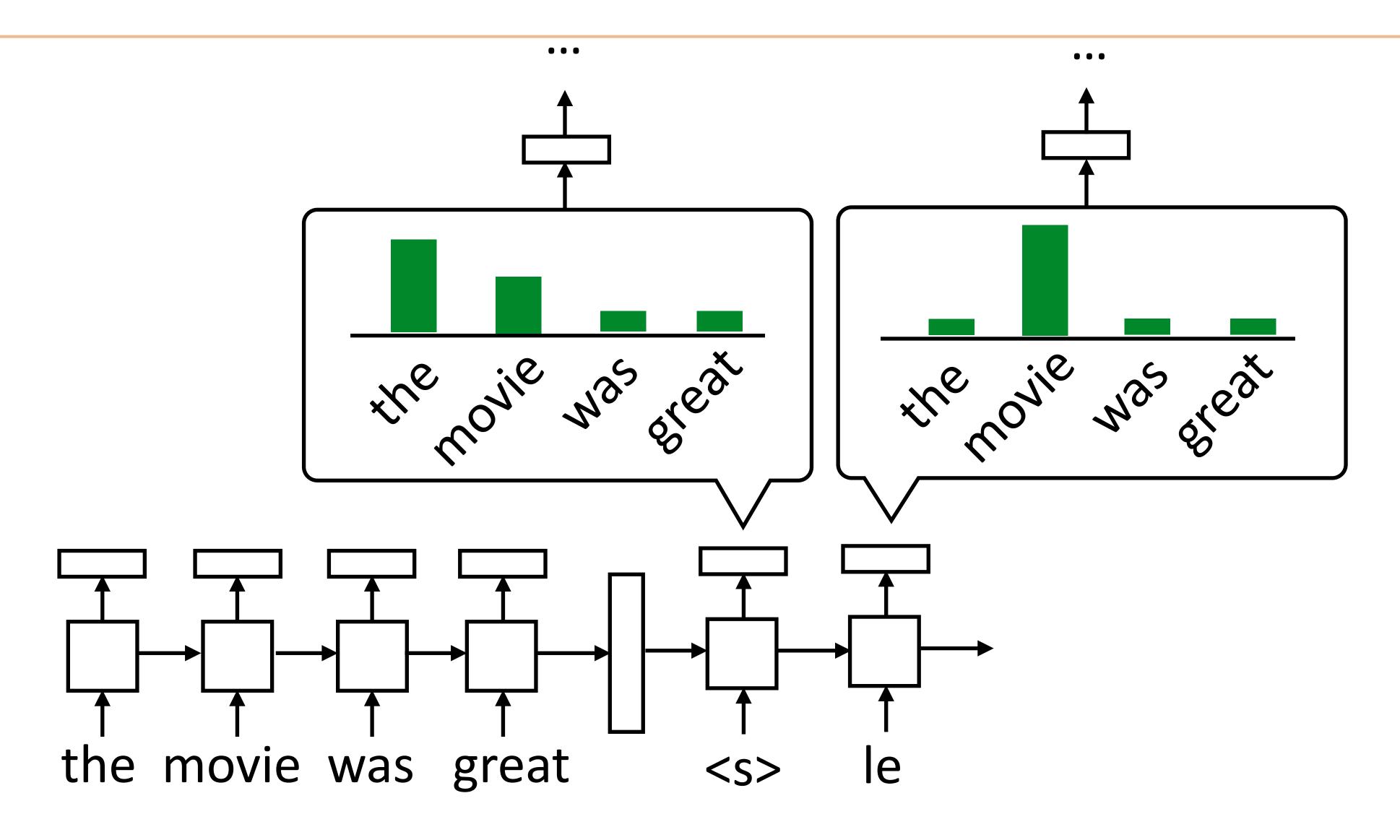
How can we achieve this without hardcoding it?

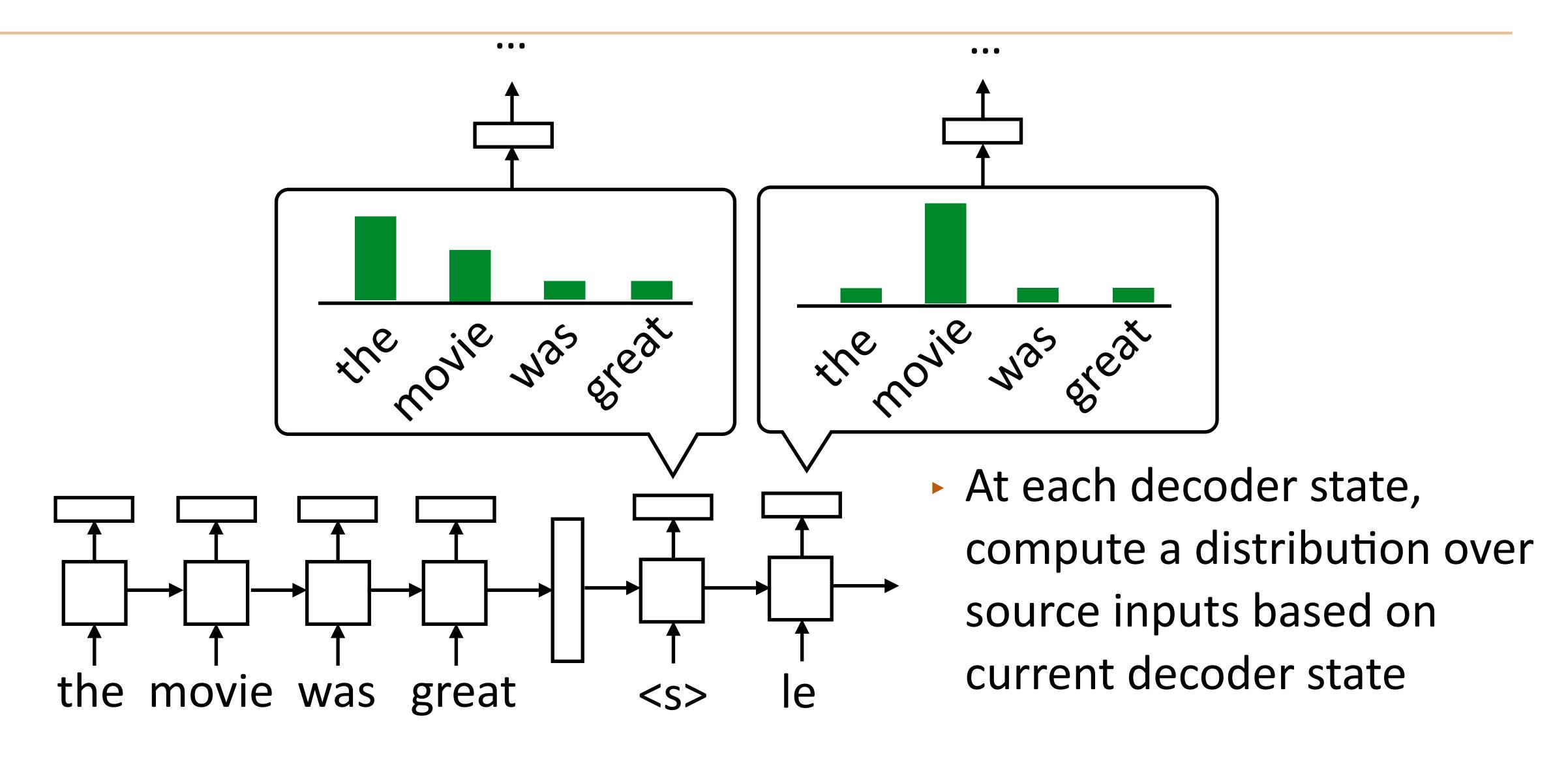


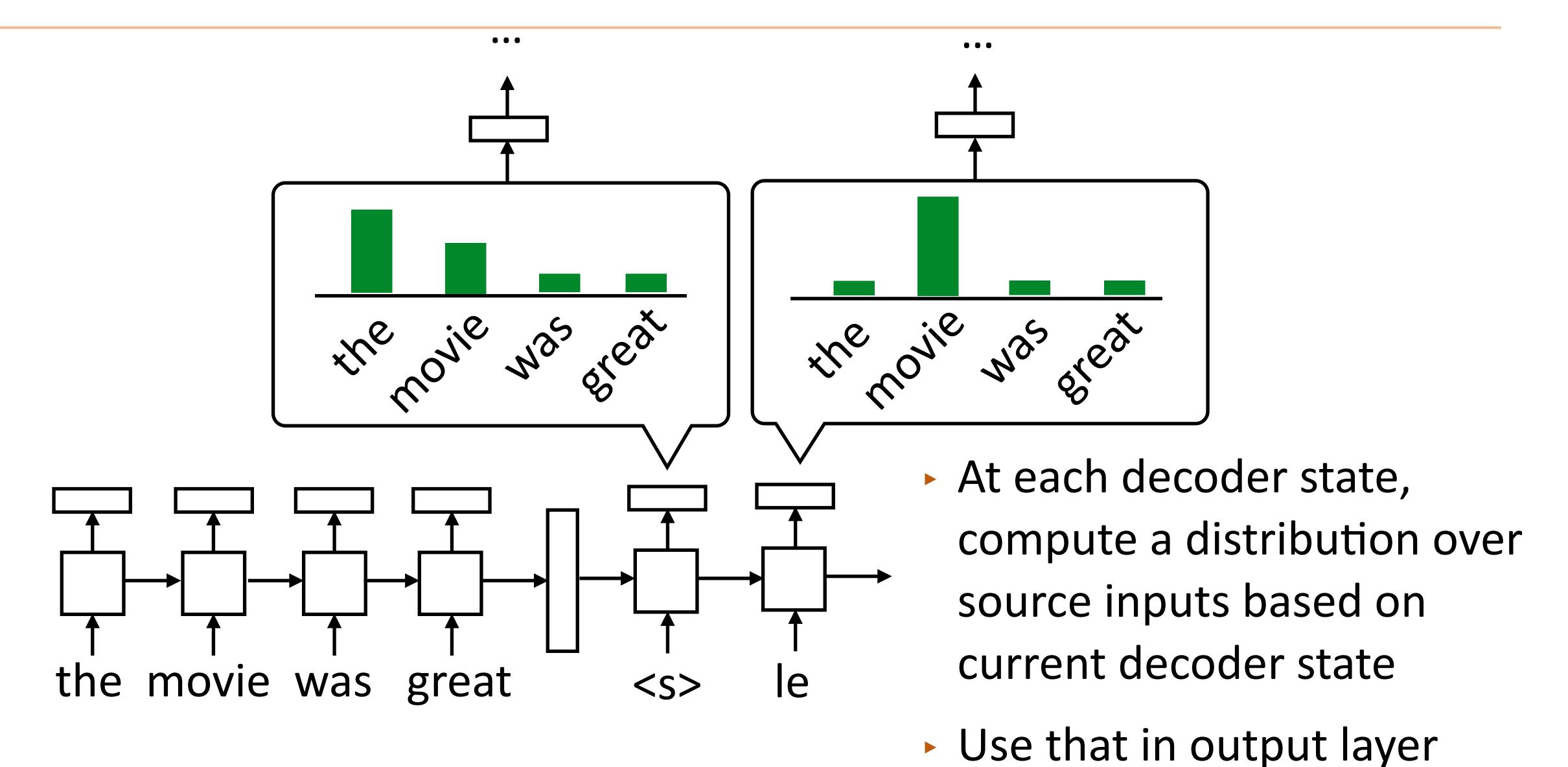




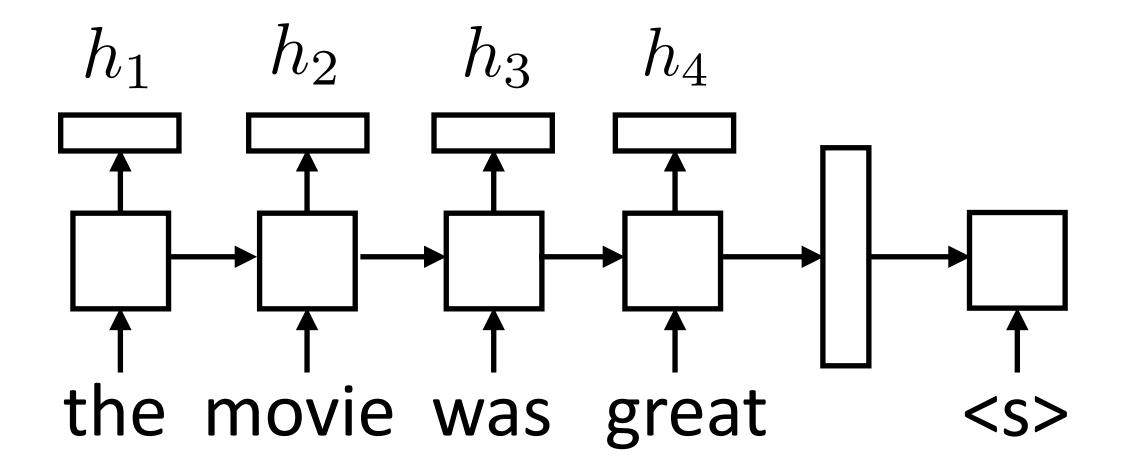




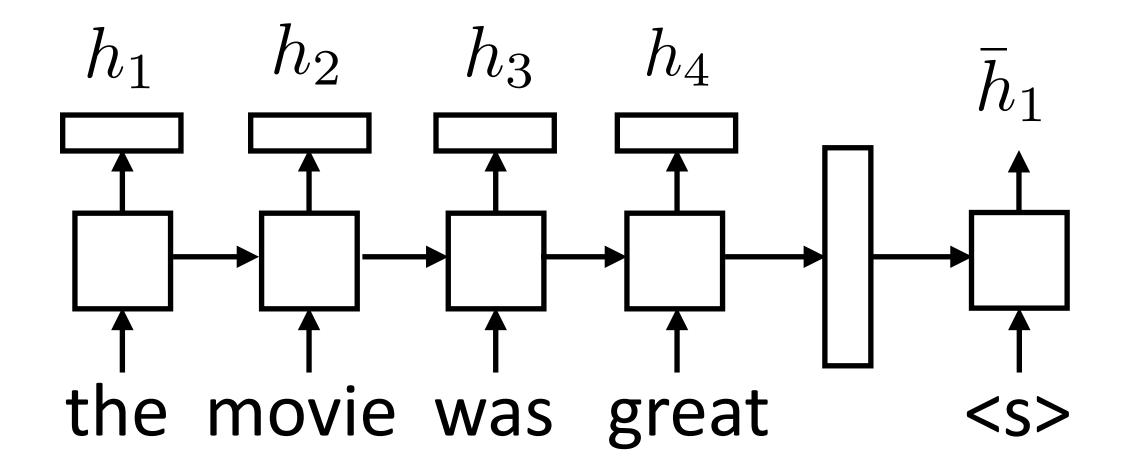




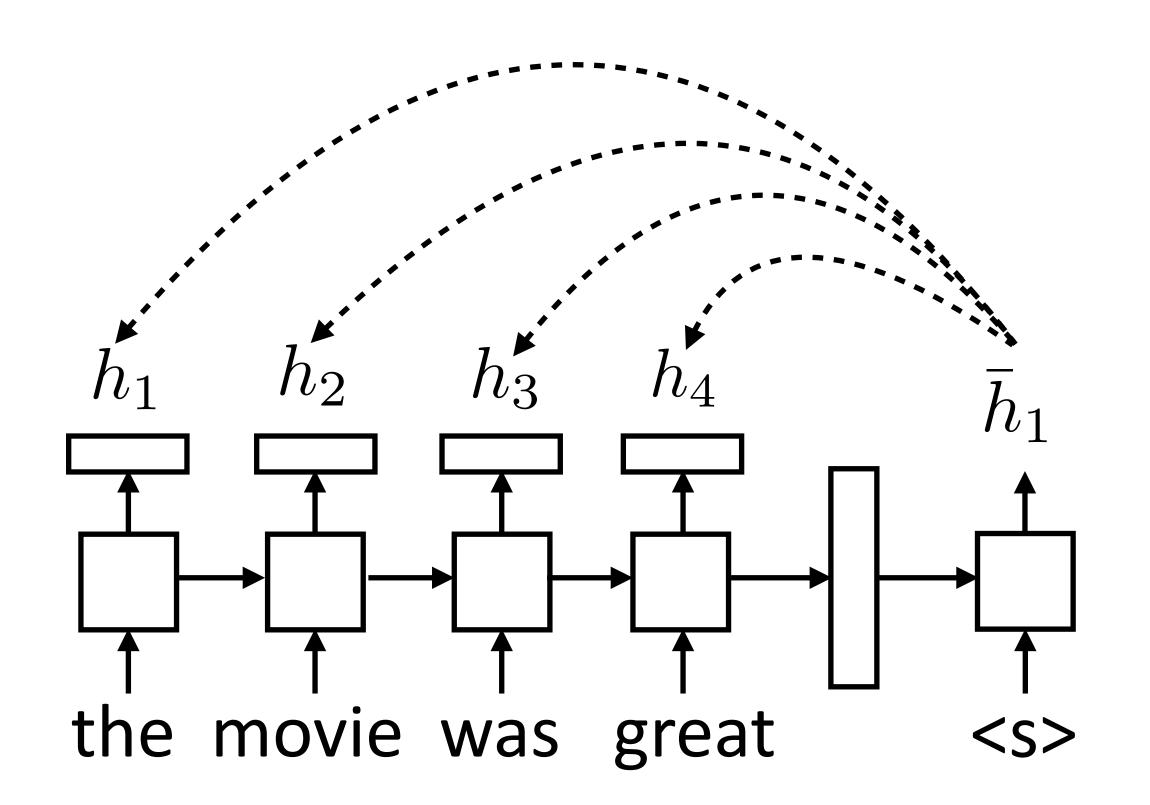
 For each decoder state, compute weighted sum of input states



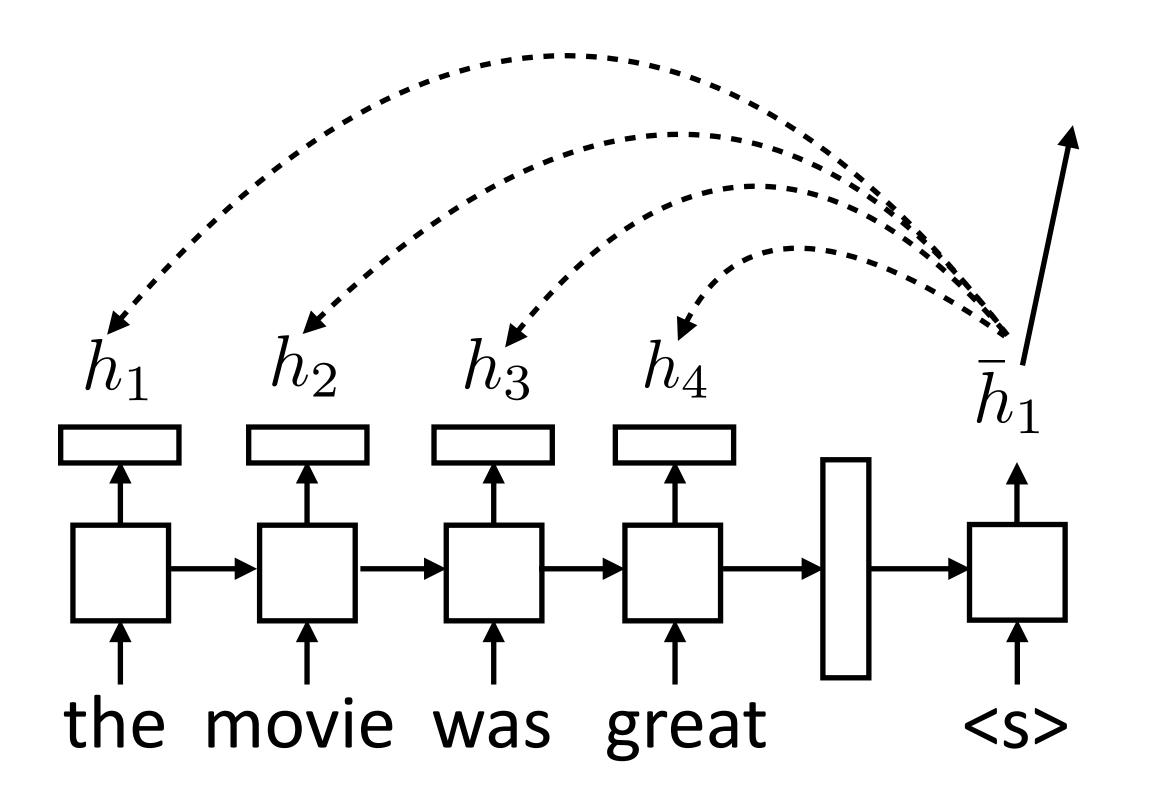
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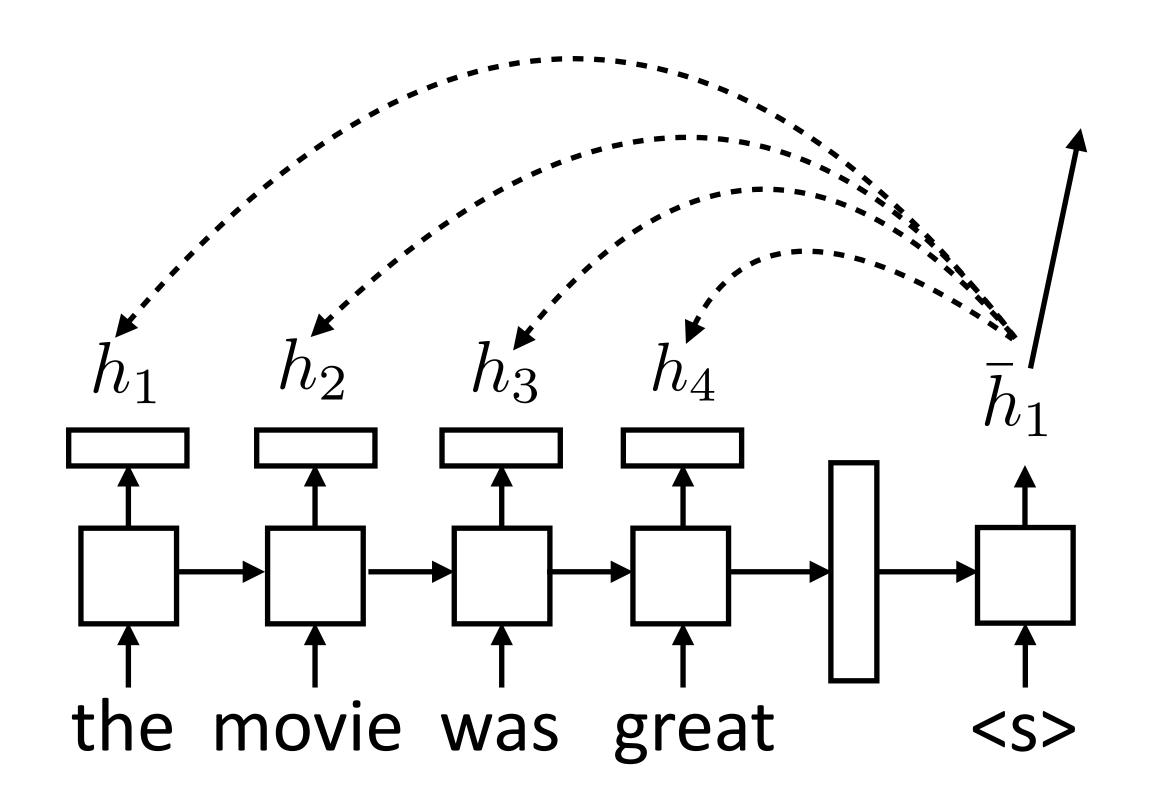
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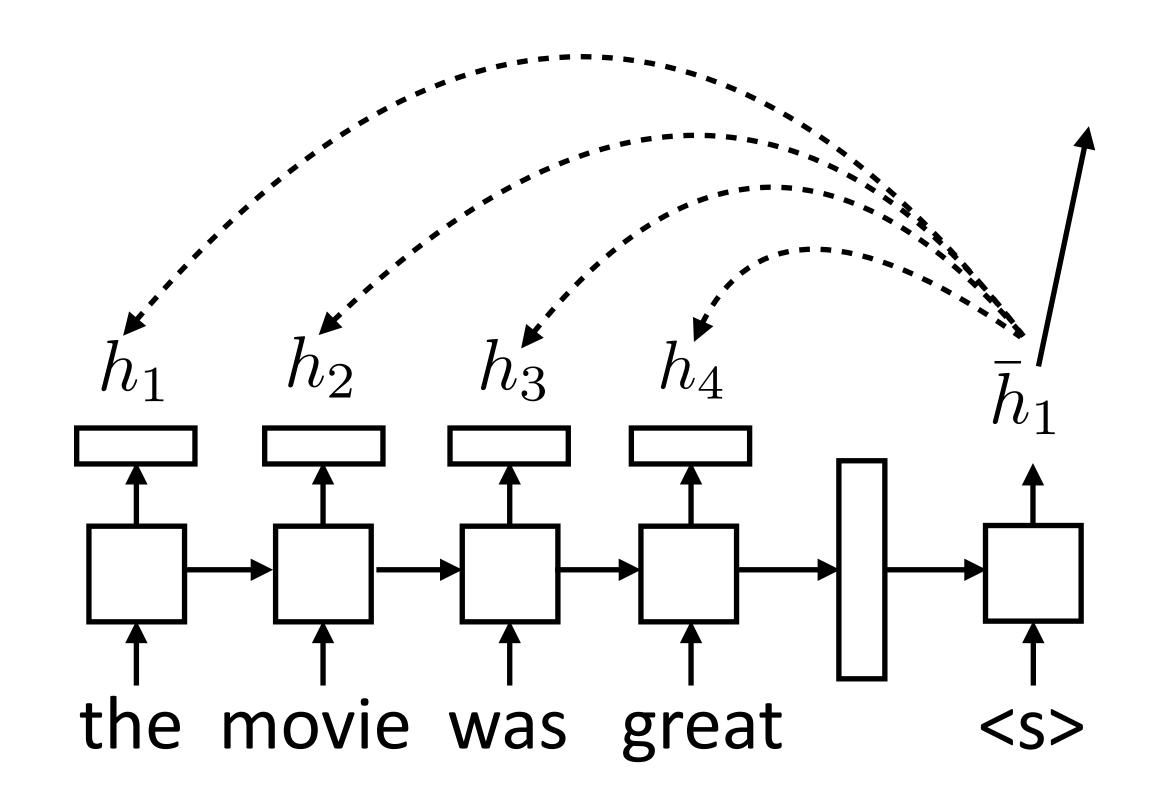


 For each decoder state, compute weighted sum of input states



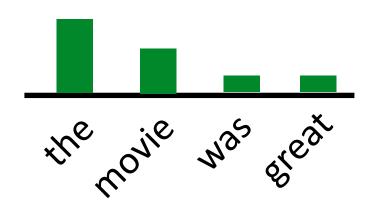
$$e_{ij} = f(\bar{h}_i, h_j)$$

 For each decoder state, compute weighted sum of input states

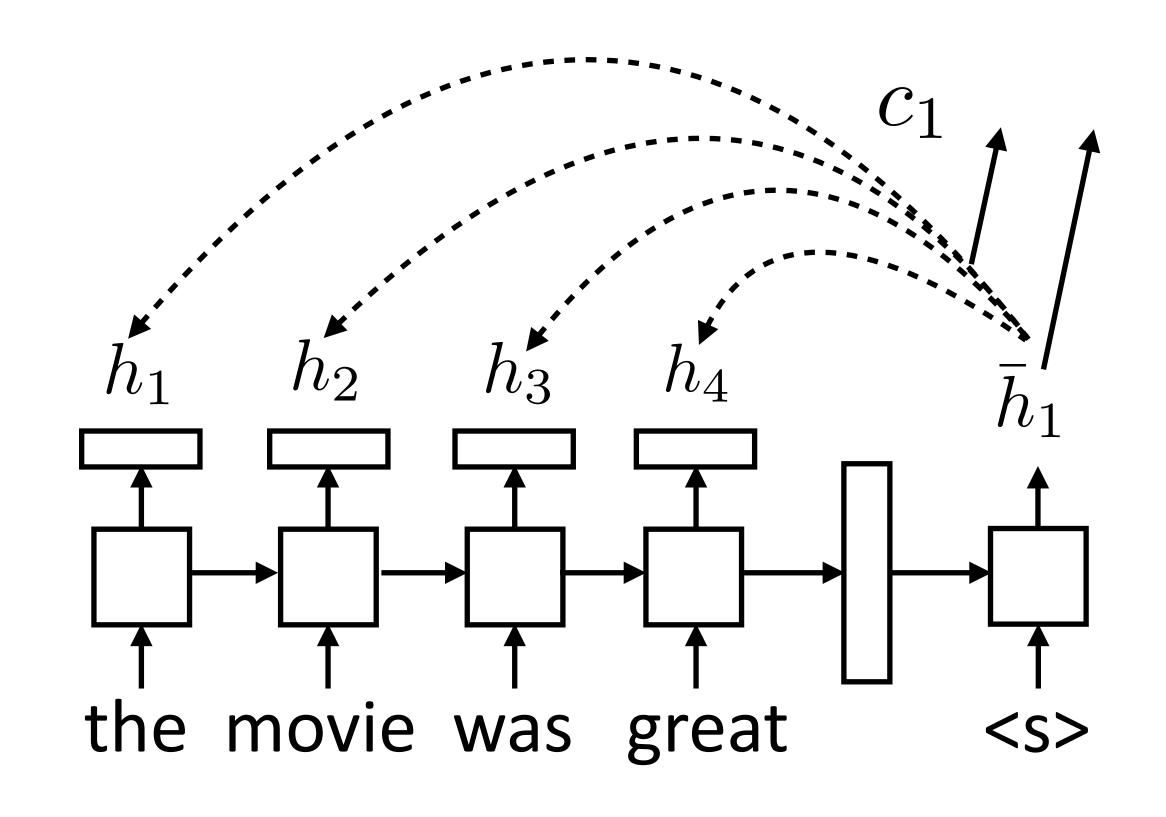


$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

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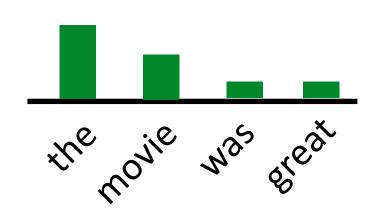


$$c_i = \sum_j \alpha_{ij} h_j$$

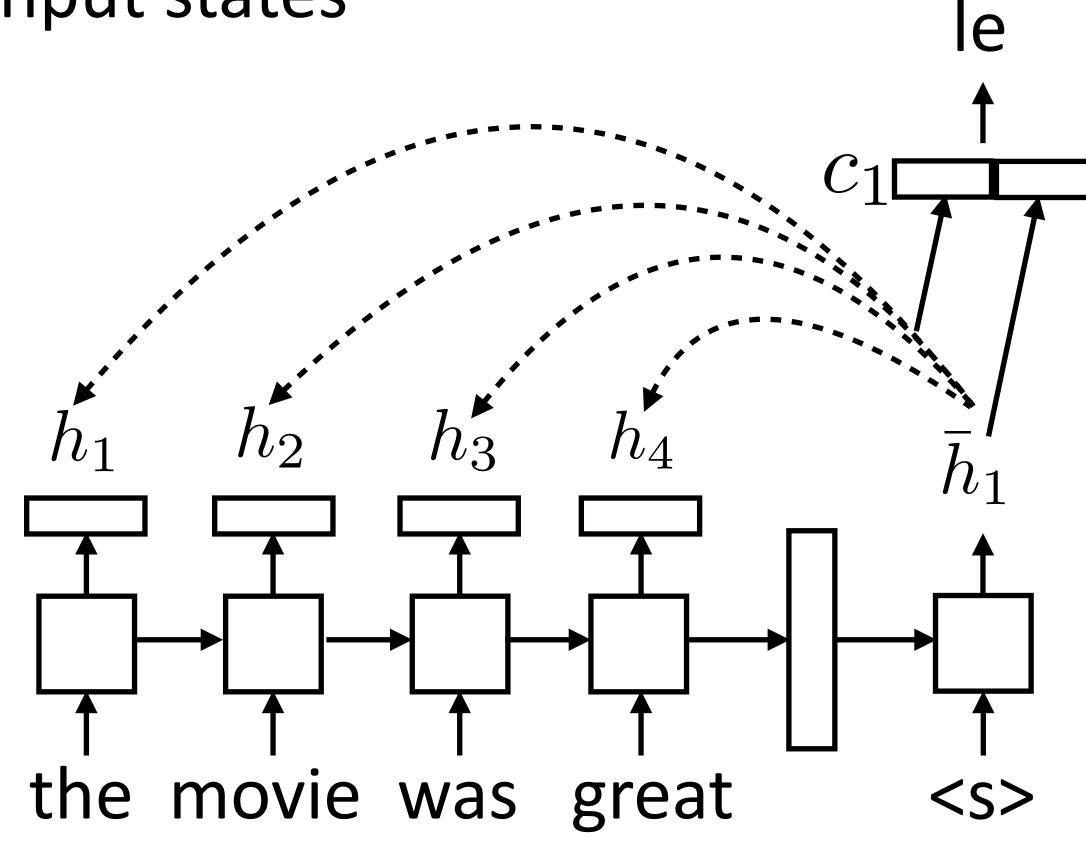
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Weighted sum of input hidden states (vector)



 For each decoder state, compute weighted sum of input states

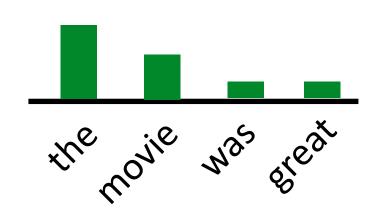


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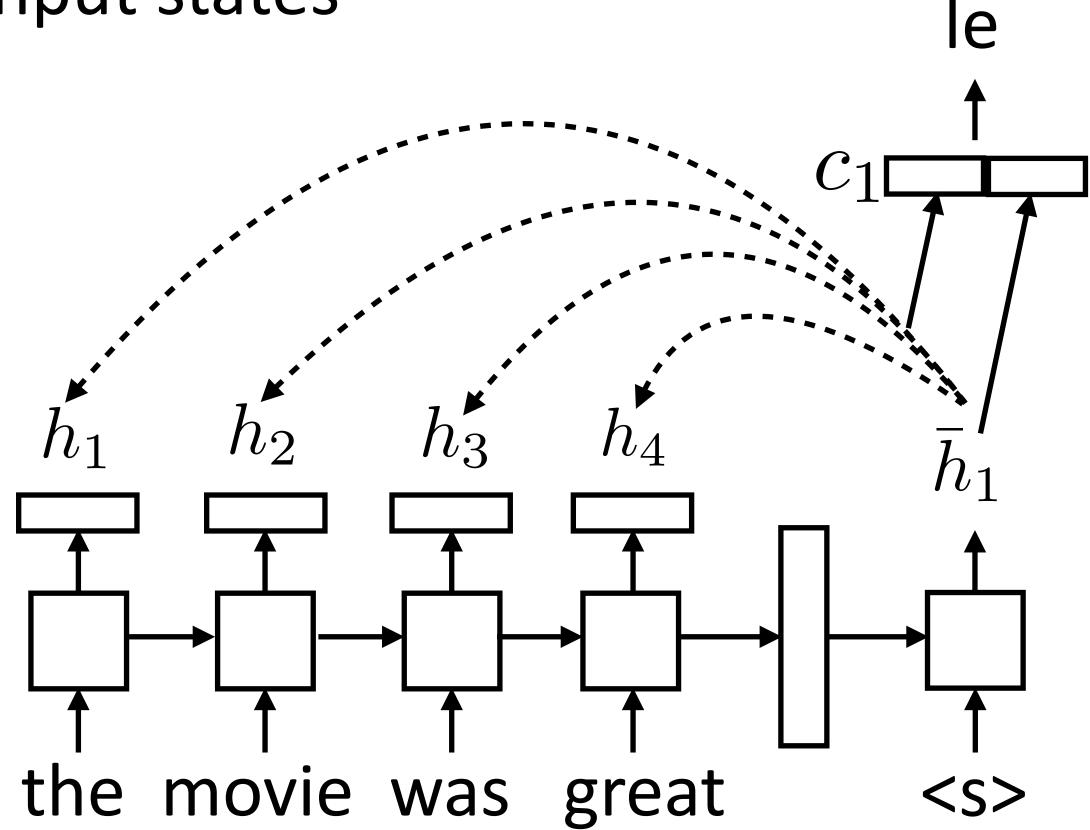
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Weighted sum of input hidden states (vector)



 For each decoder state, compute weighted sum of input states



$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$

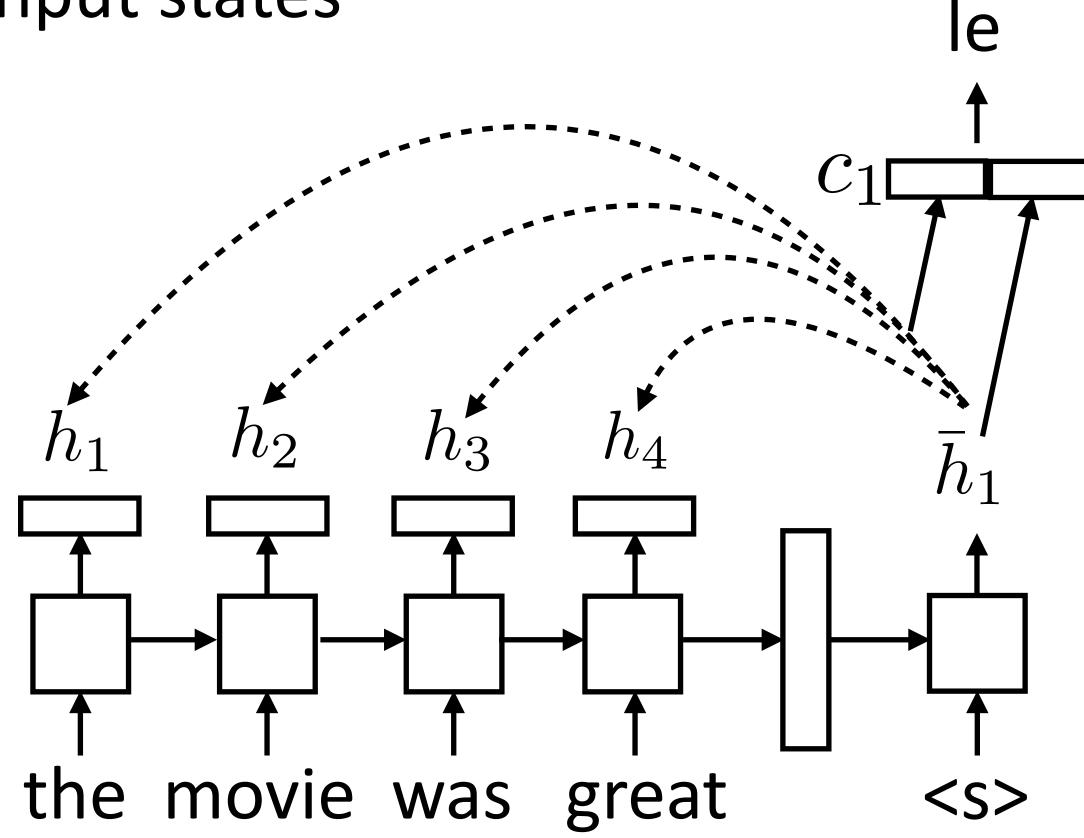
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 For each decoder state, compute weighted sum of input states

• No attn: $P(y_i|\mathbf{x}, y_1, ..., y_{i-1}) = \operatorname{softmax}(W\bar{h}_i)$

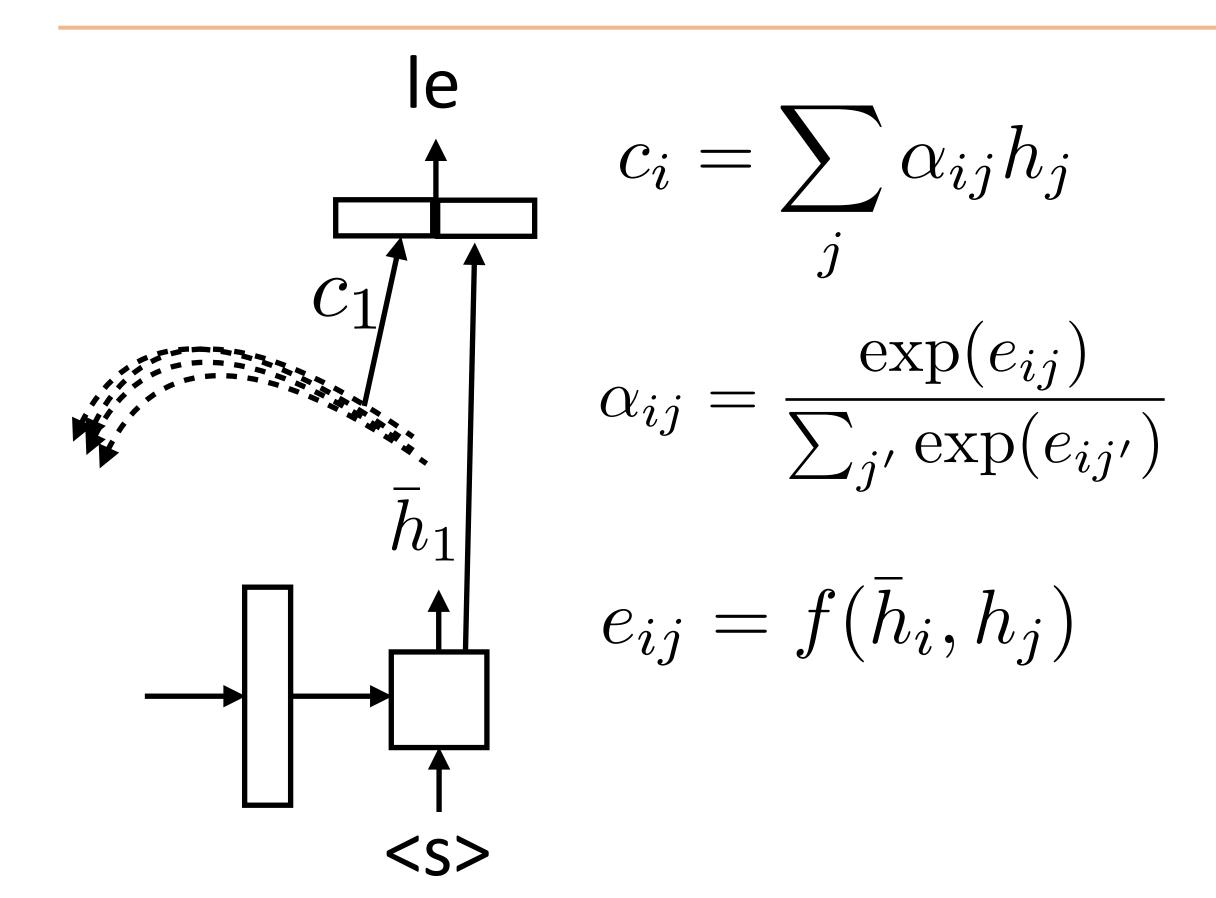


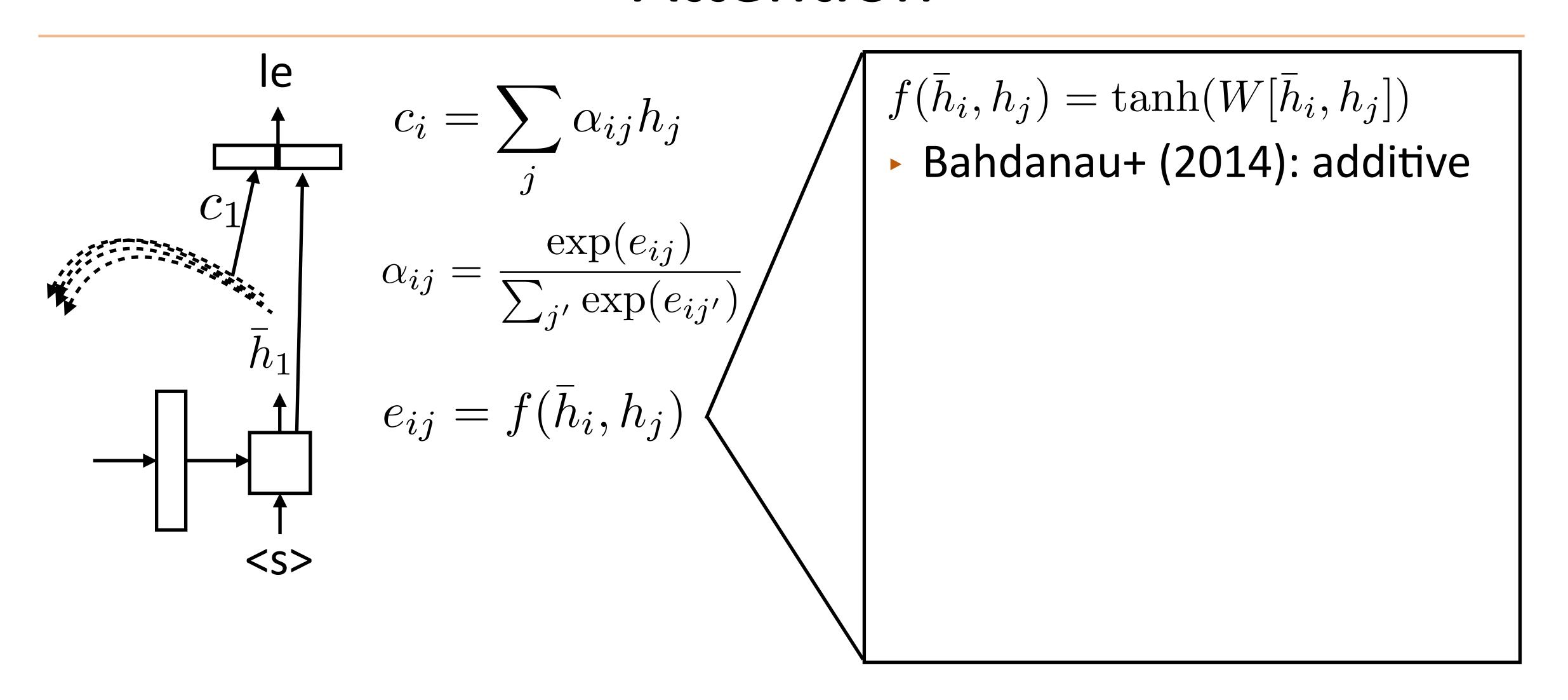
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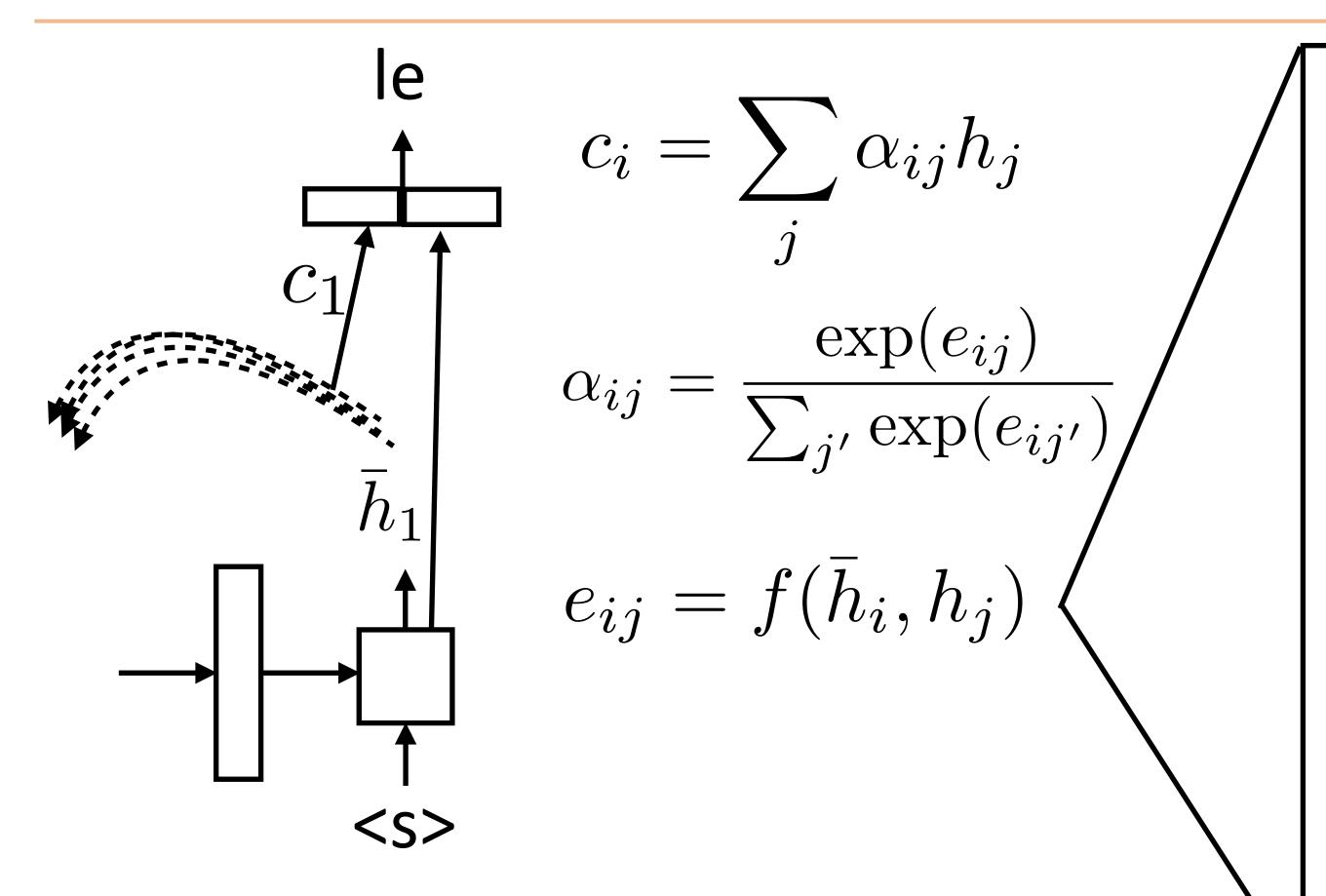
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$$e_{ij} = f(\bar{h}_i, h_j)$$





Luong et al. (2015)

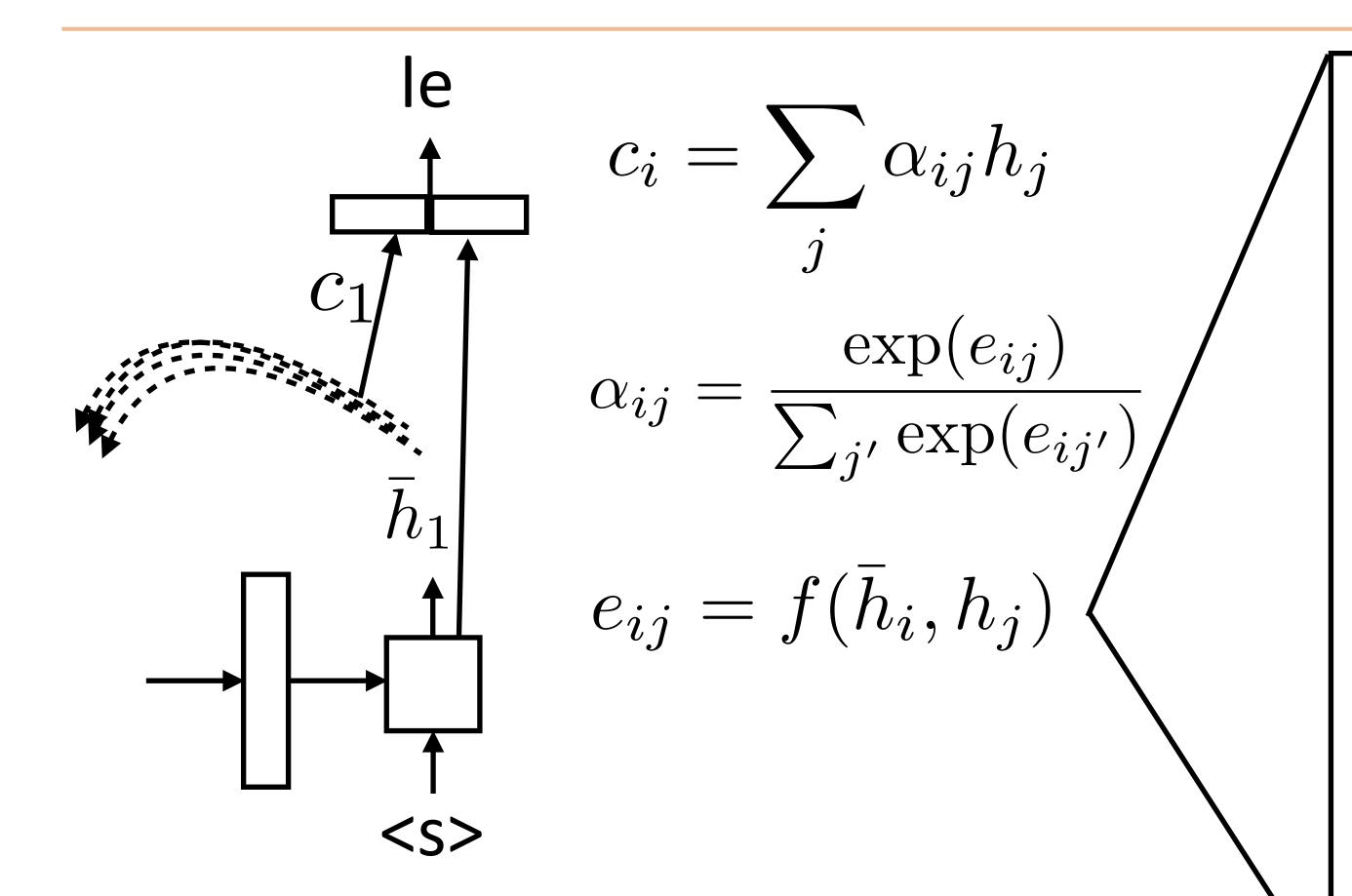


$$f(\bar{h}_i, h_j) = \tanh(W[\bar{h}_i, h_j])$$

► Bahdanau+ (2014): additive

$$f(\bar{h}_i, h_j) = \bar{h}_i \cdot h_j$$

Luong+ (2015): dot product



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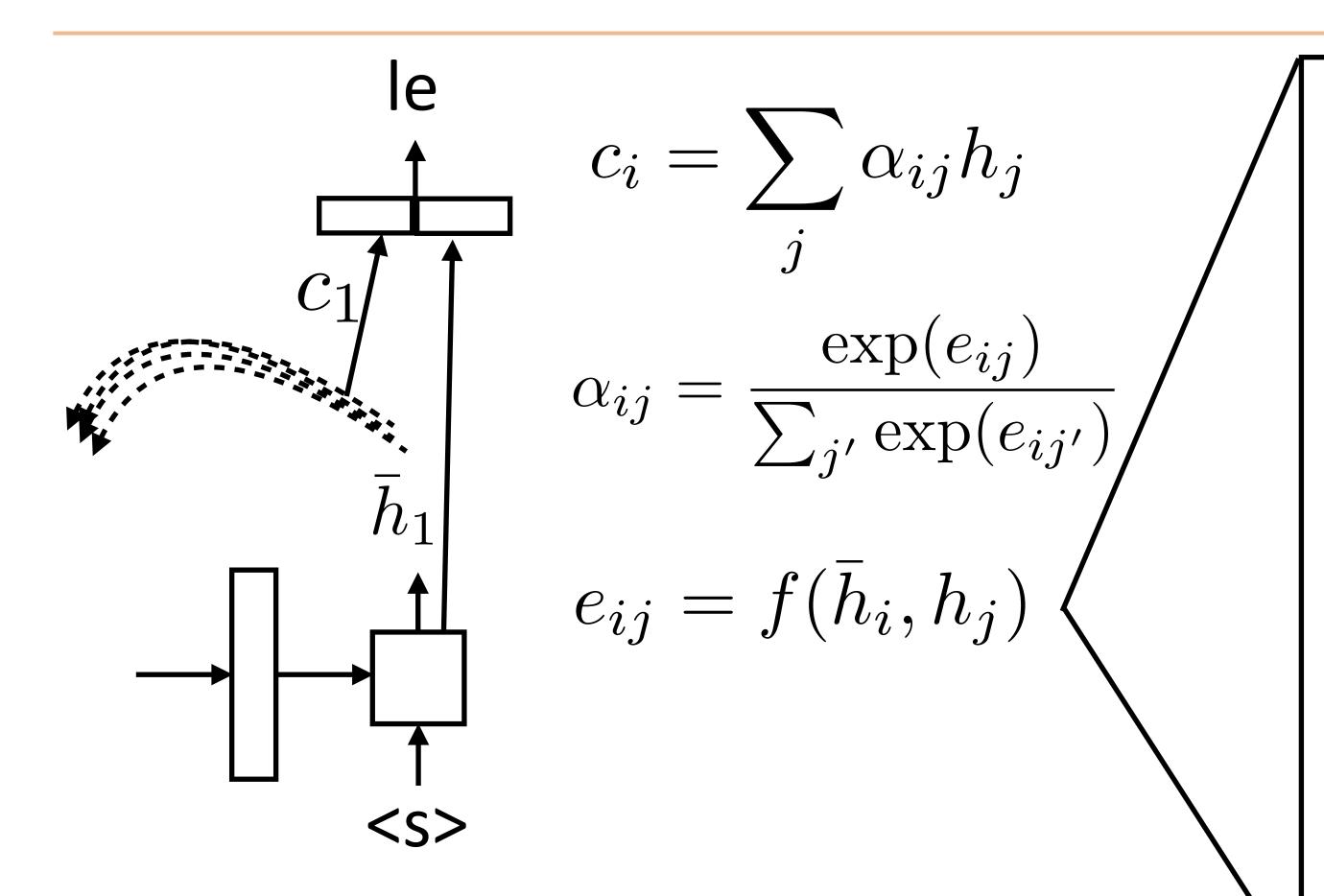
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Luong+ (2015): bilinear



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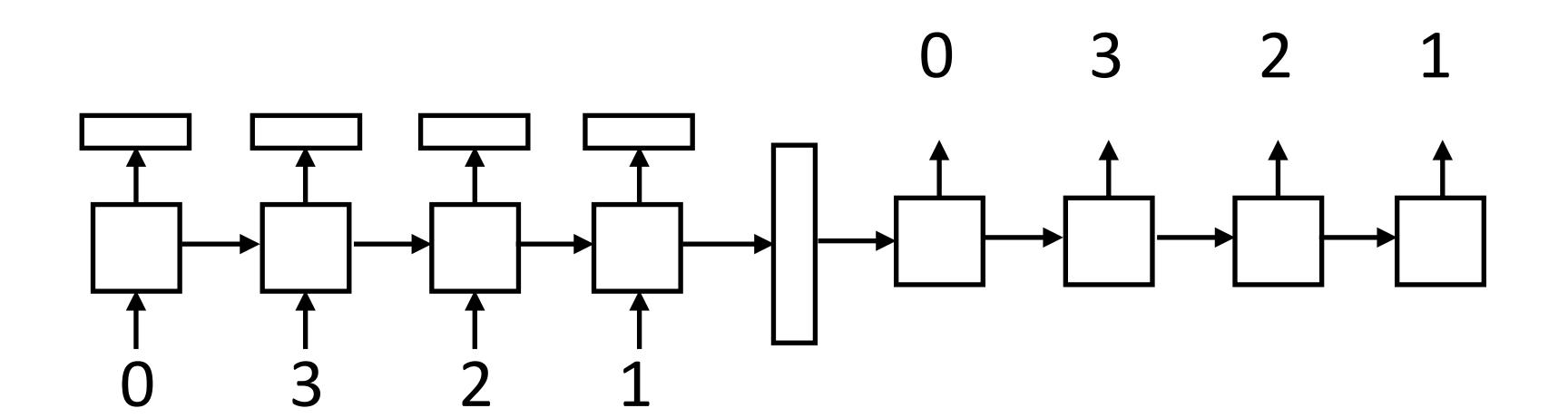
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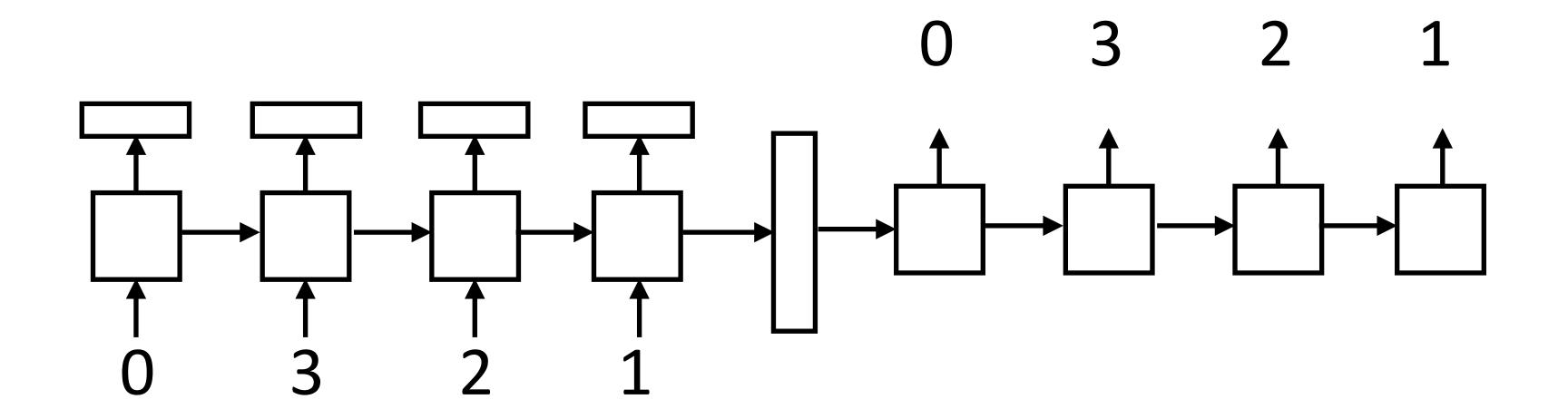
Luong+ (2015): bilinear

Note that this all uses outputs of hidden layers

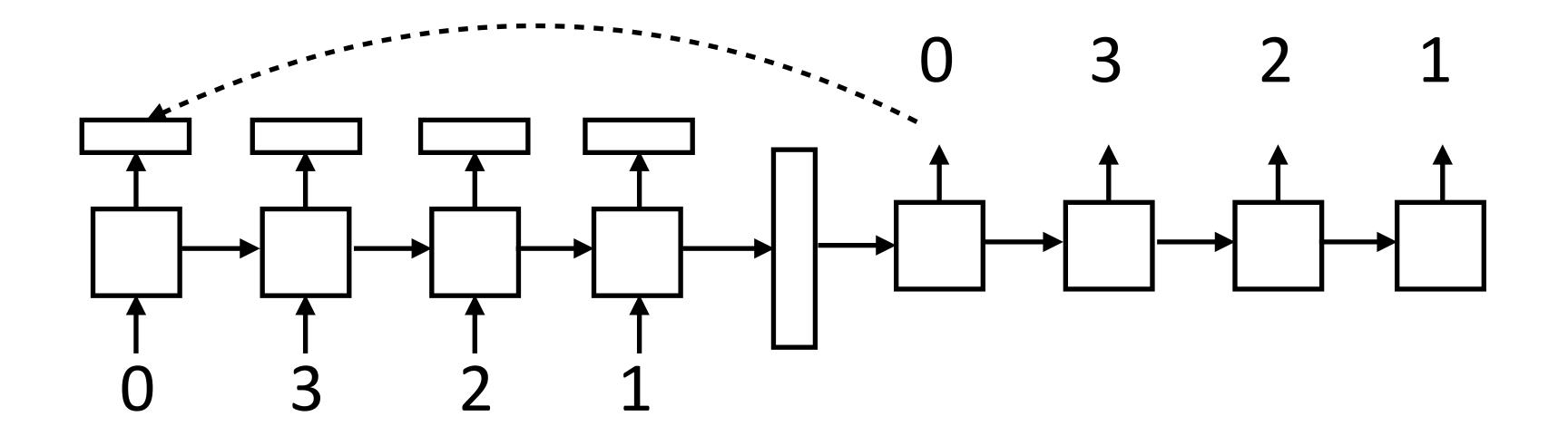
Luong et al. (2015)



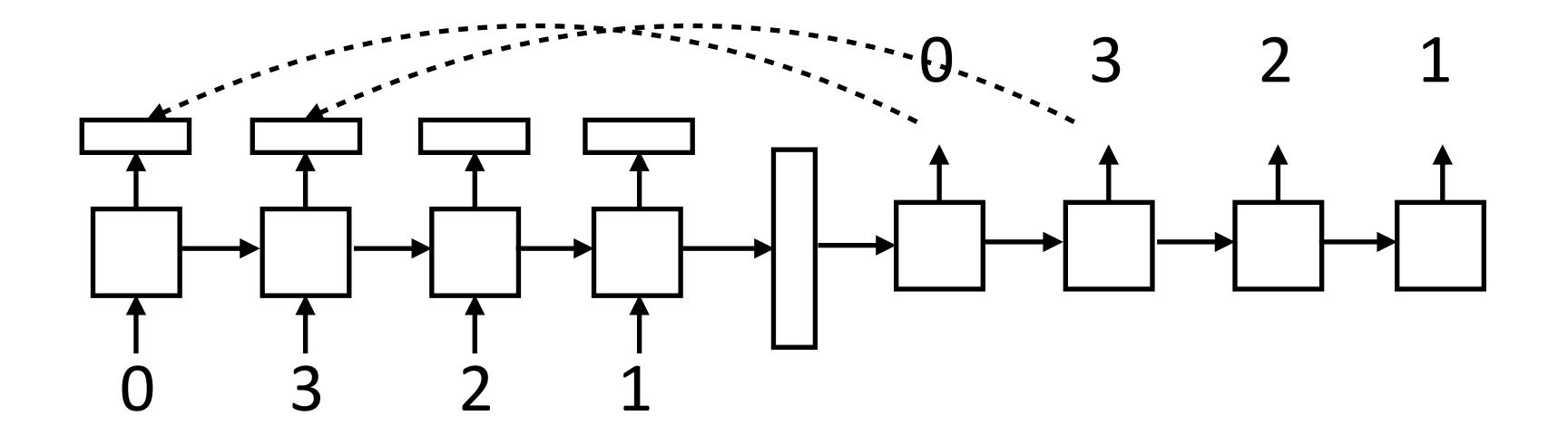
Learning to copy — how might this work?



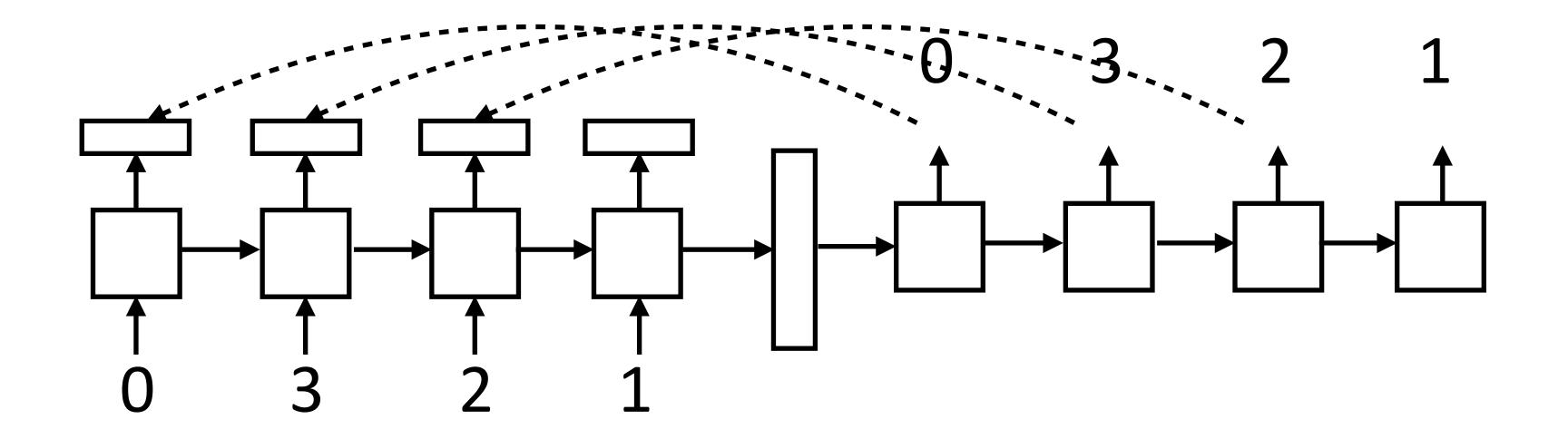
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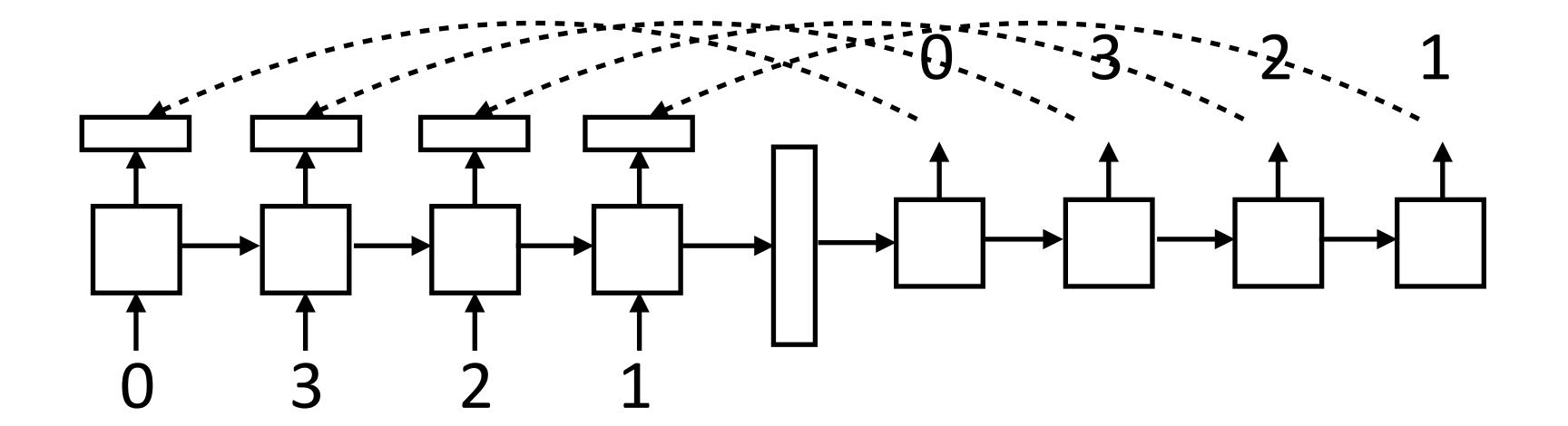
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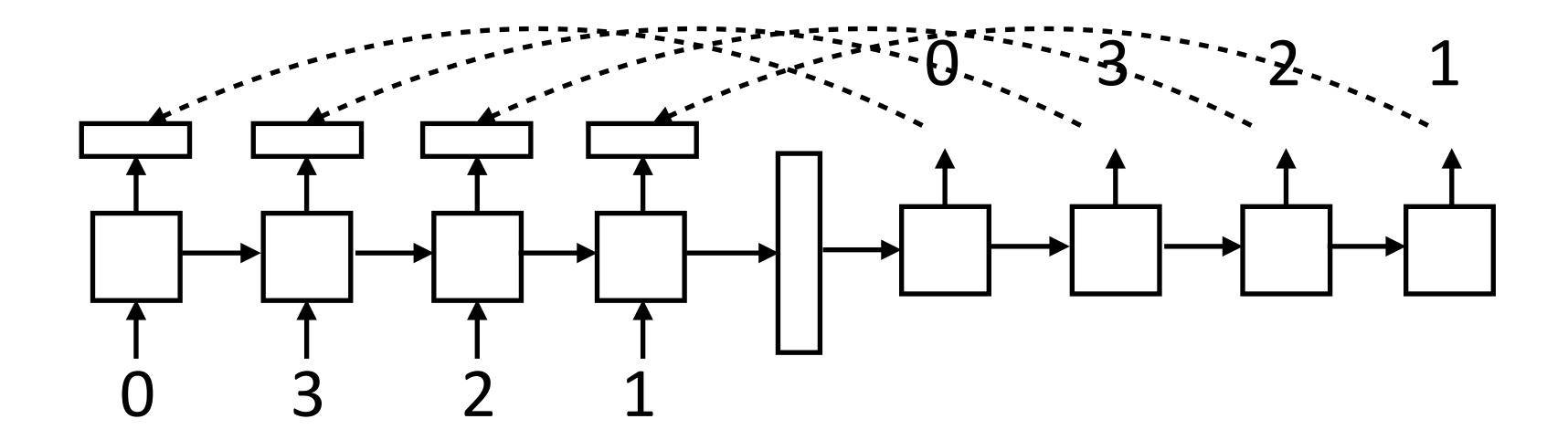
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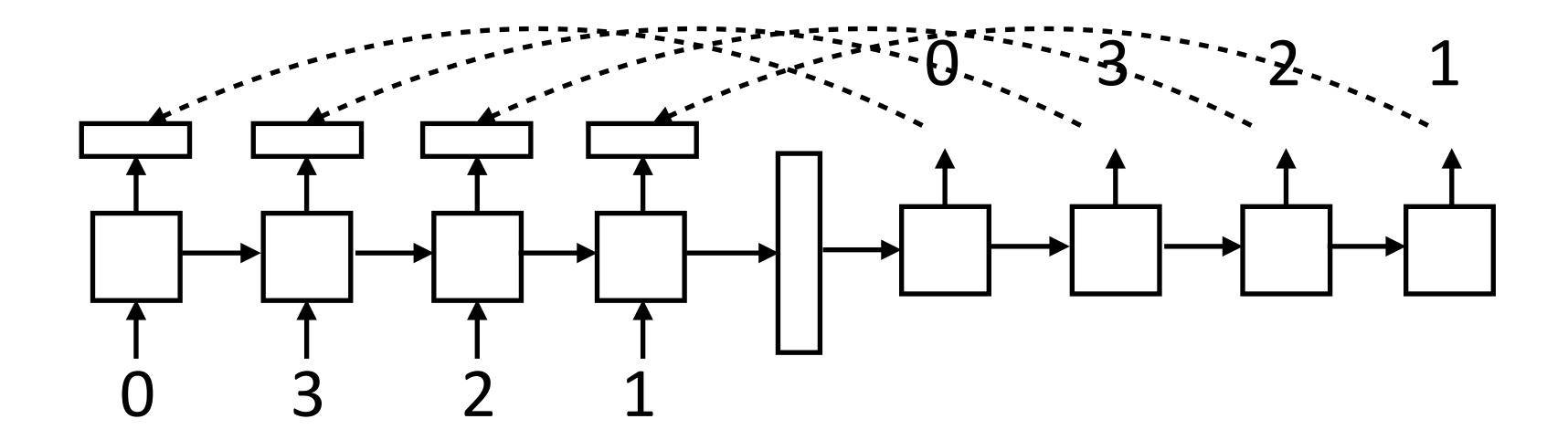


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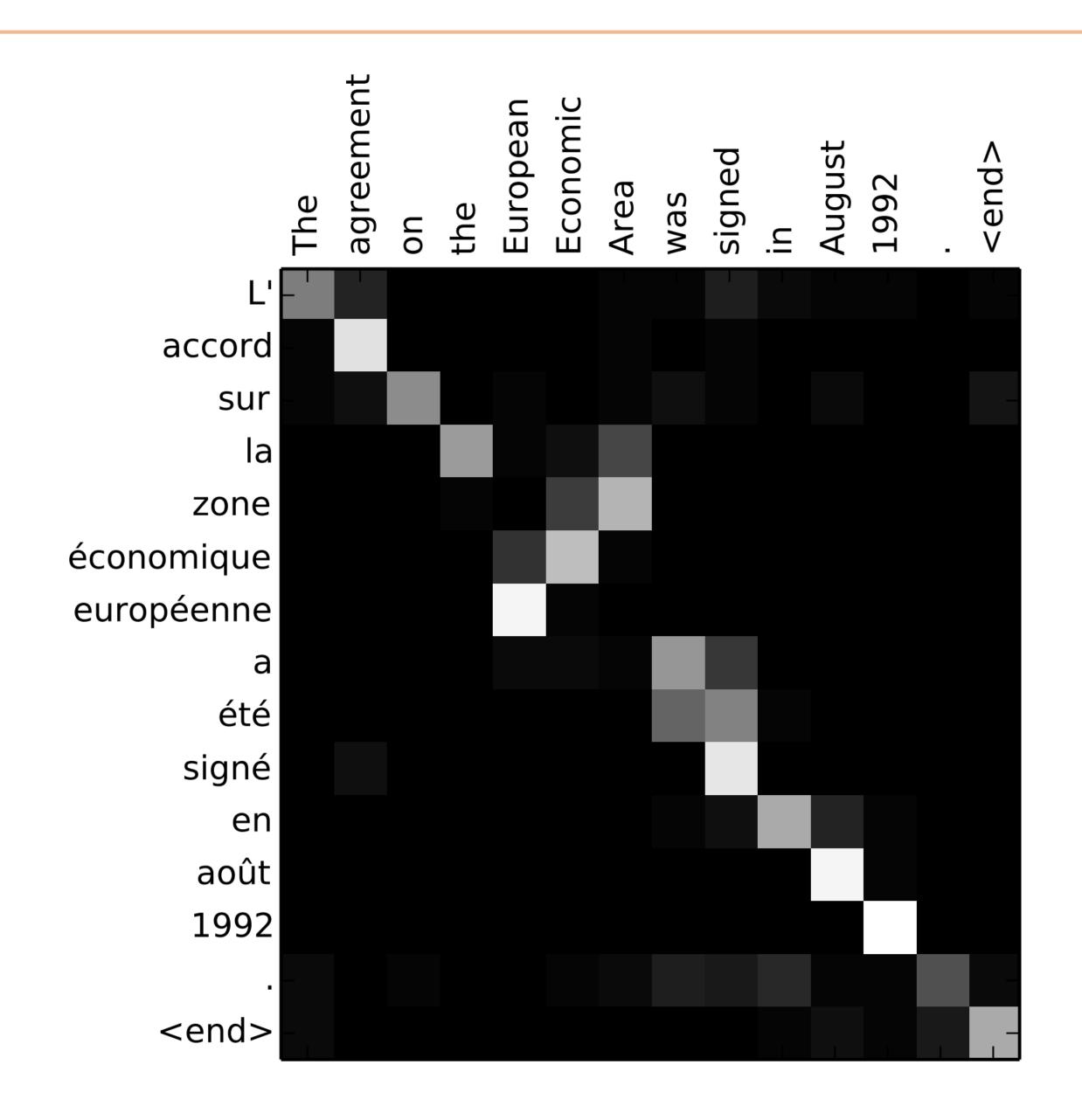


LSTM can learn to count with the right weight matrix

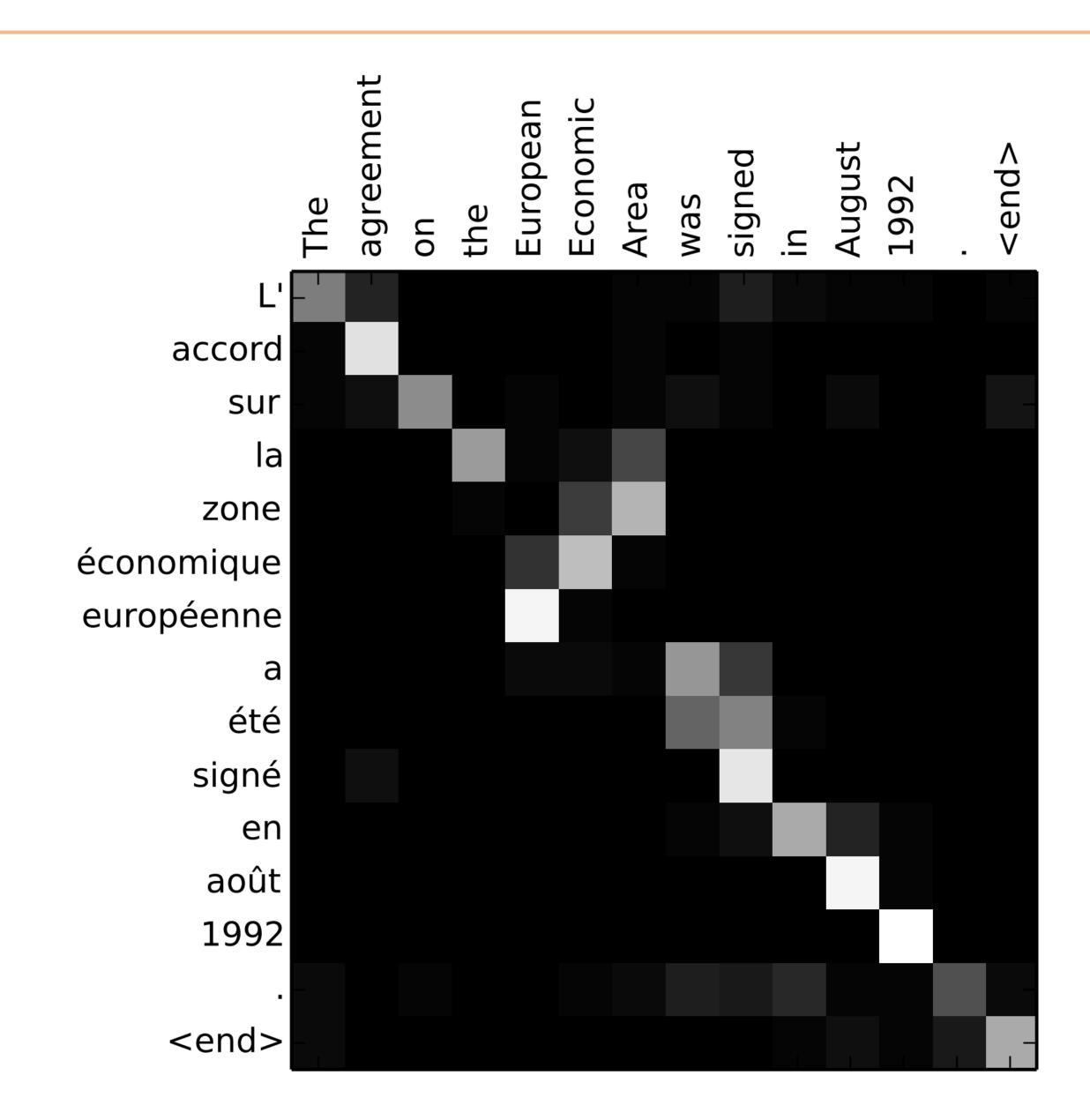
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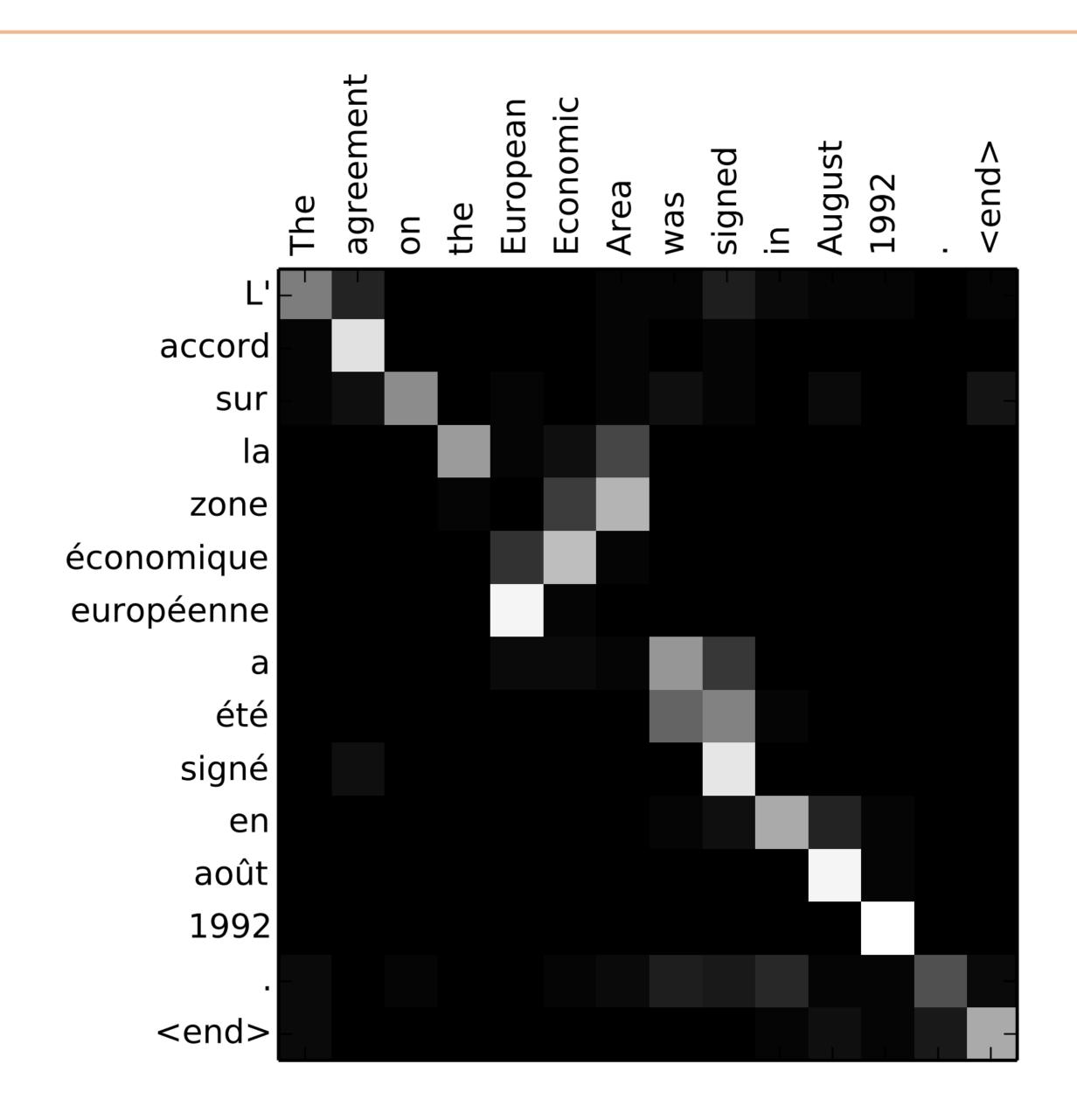
- LSTM can learn to count with the right weight matrix
- This is effectively position-based addressing



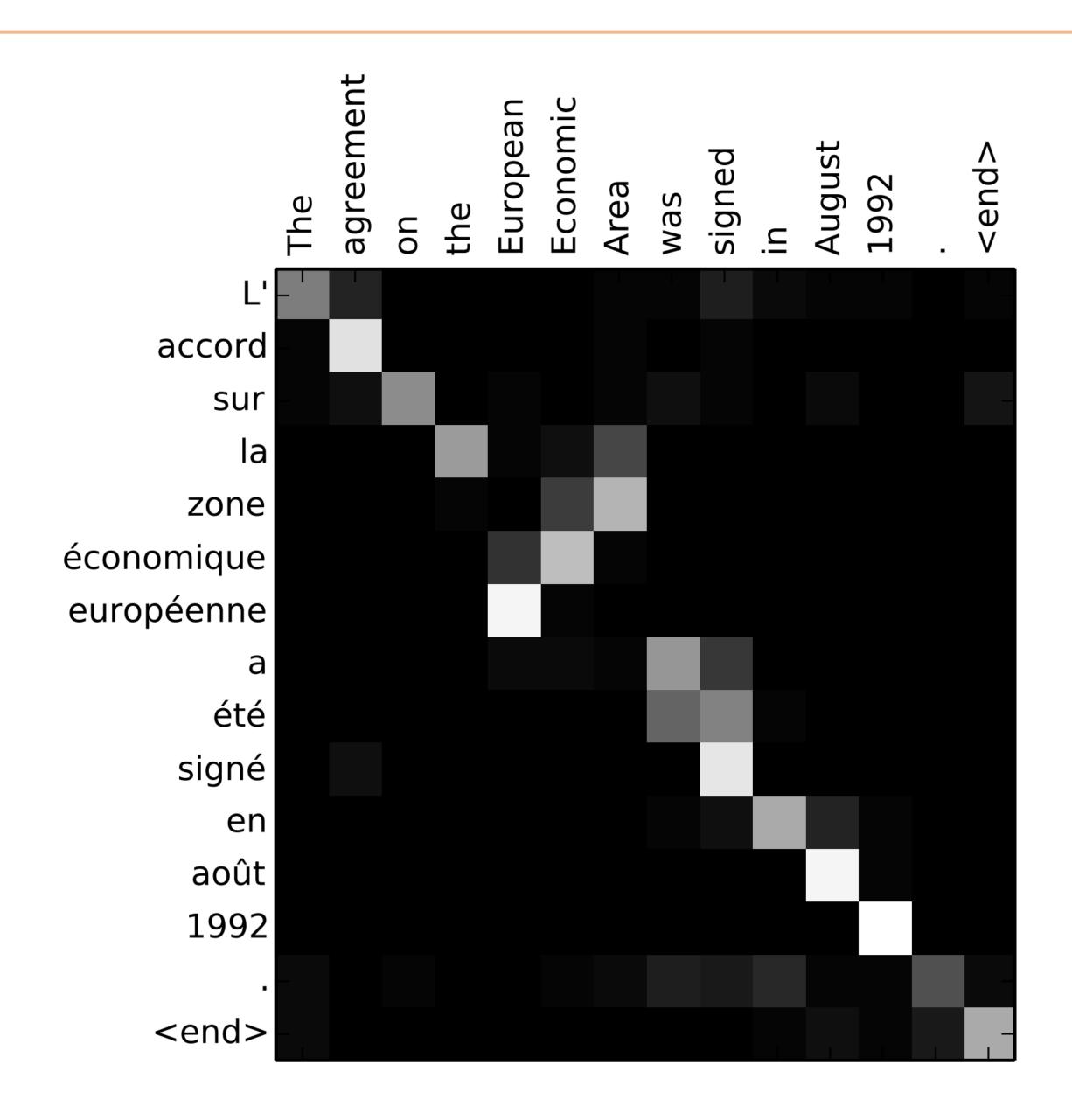
 Encoder hidden states capture contextual source word identity

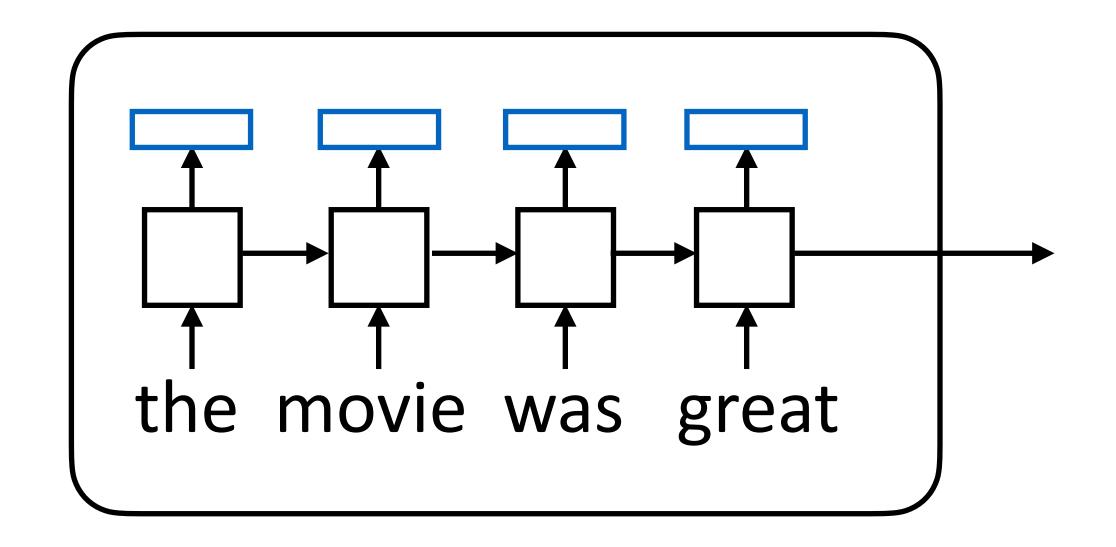


- Encoder hidden states capture contextual source word identity
- Decoder hidden states are now mostly responsible for selecting what to attend to

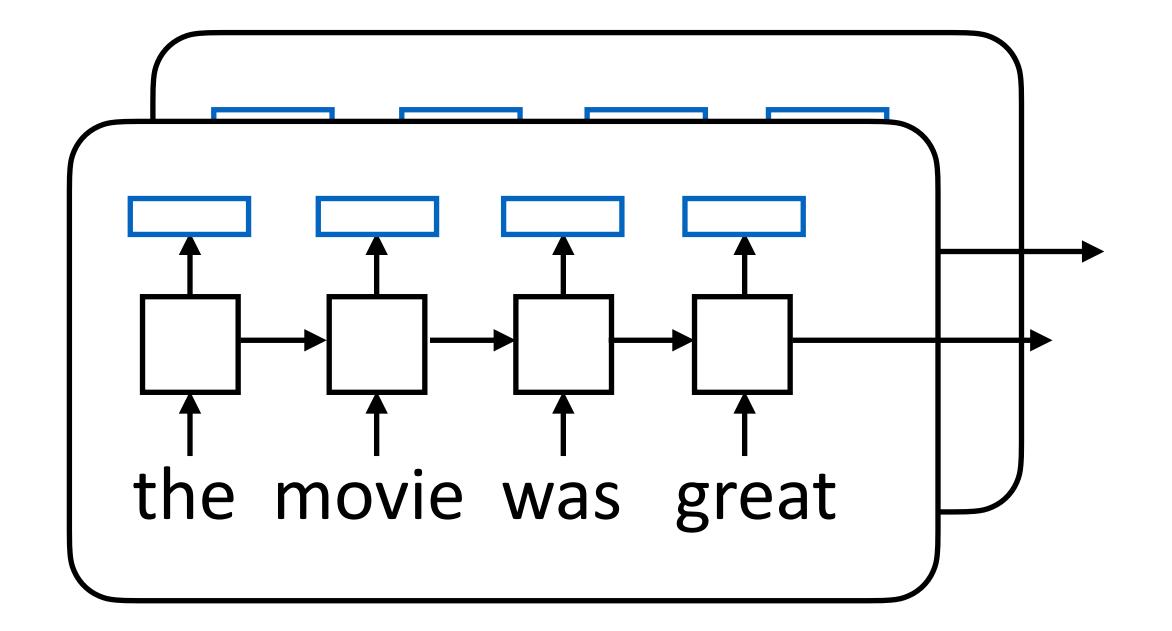


- Encoder hidden states capture contextual source word identity
- Decoder hidden states are now mostly responsible for selecting what to attend to
- Doesn't take a complex hidden state to walk monotonically through a sentence and spit out word-by-word translations

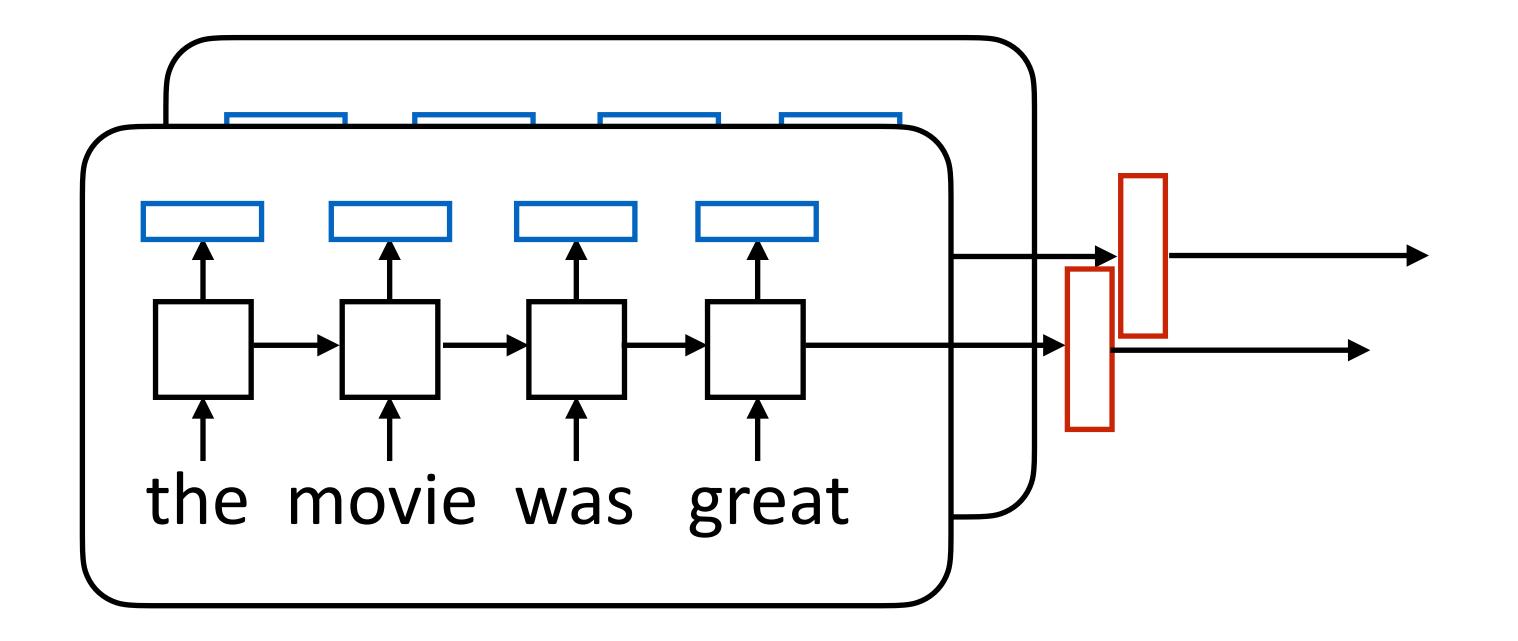




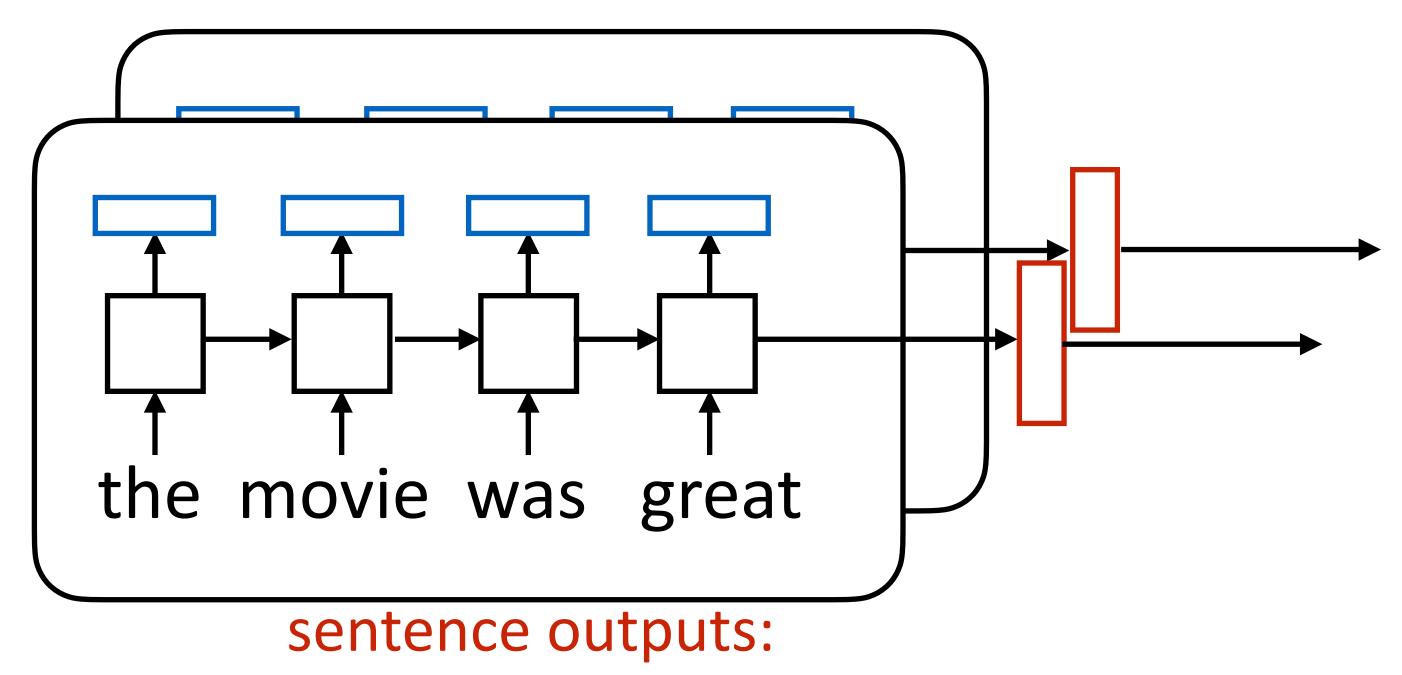
token outputs: batch size x sentence length x dimension



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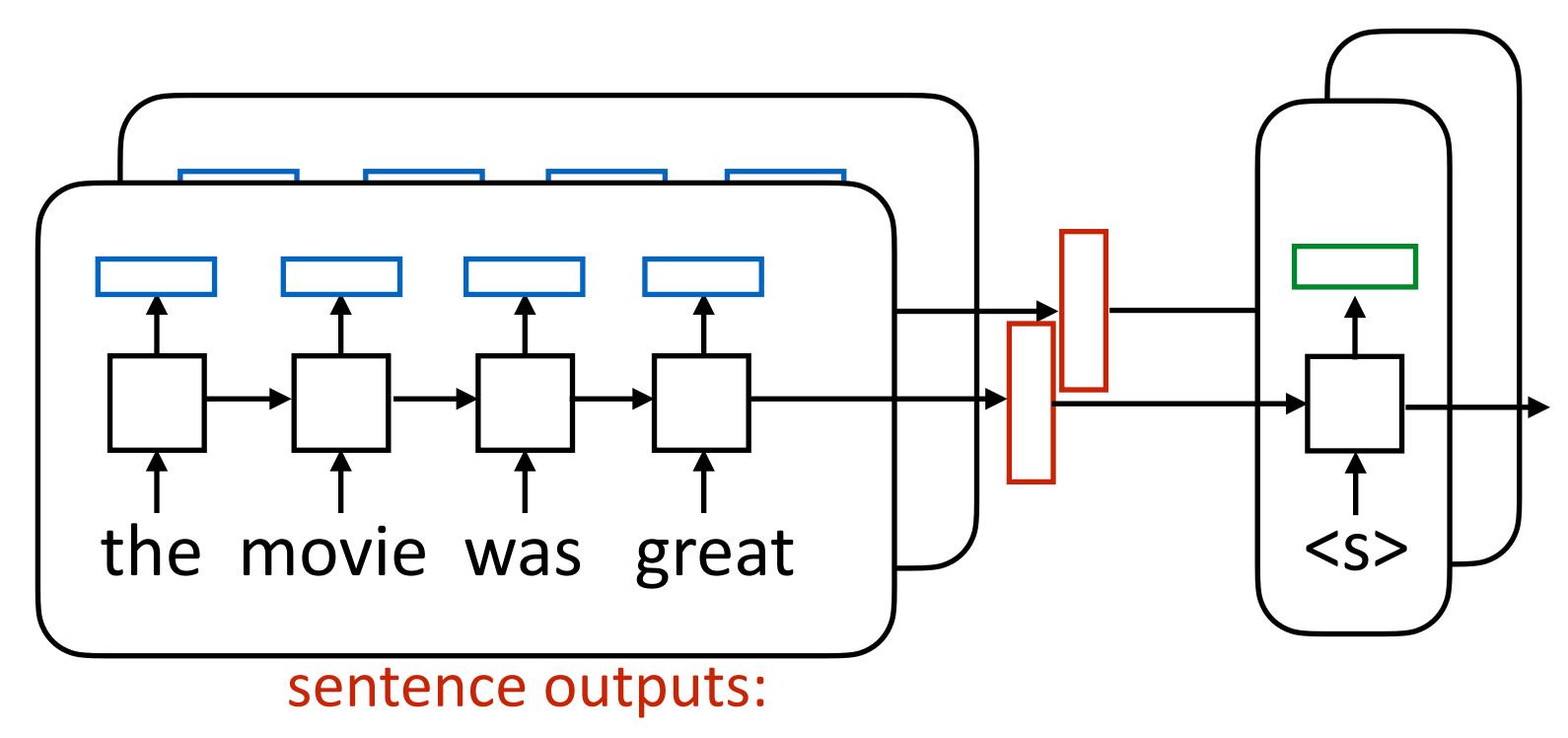


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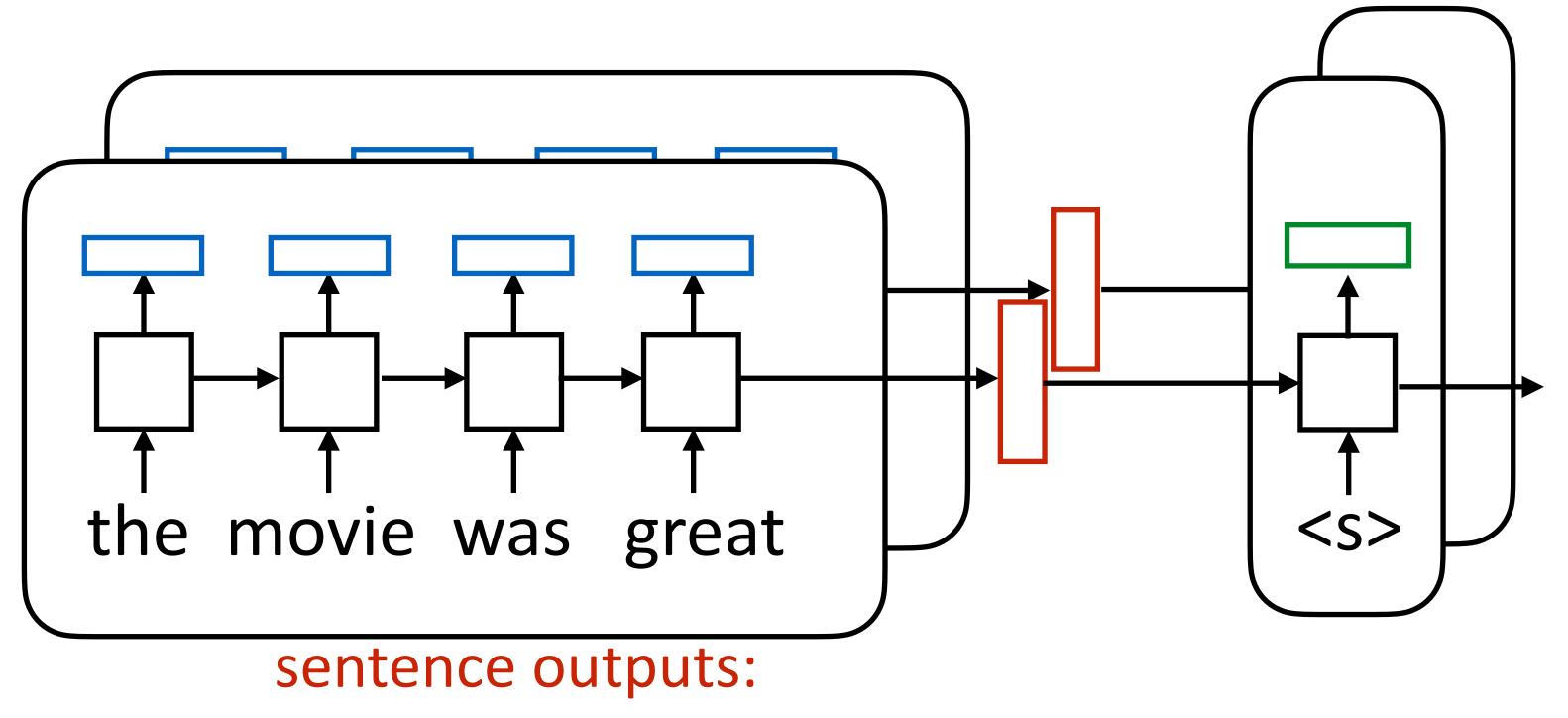
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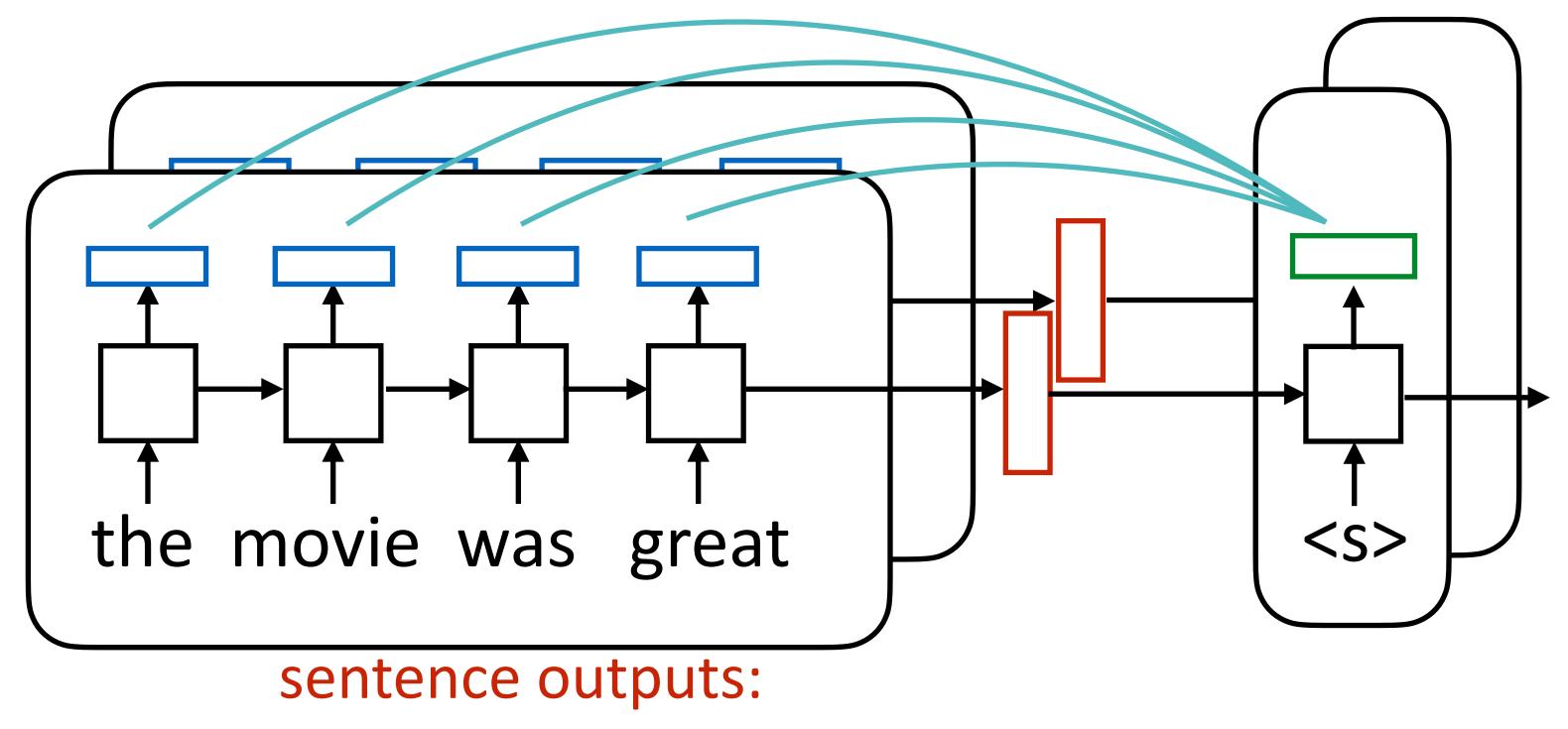


hidden state: batch size

x hidden size

batch size x hidden size

token outputs: batch size x sentence length x dimension

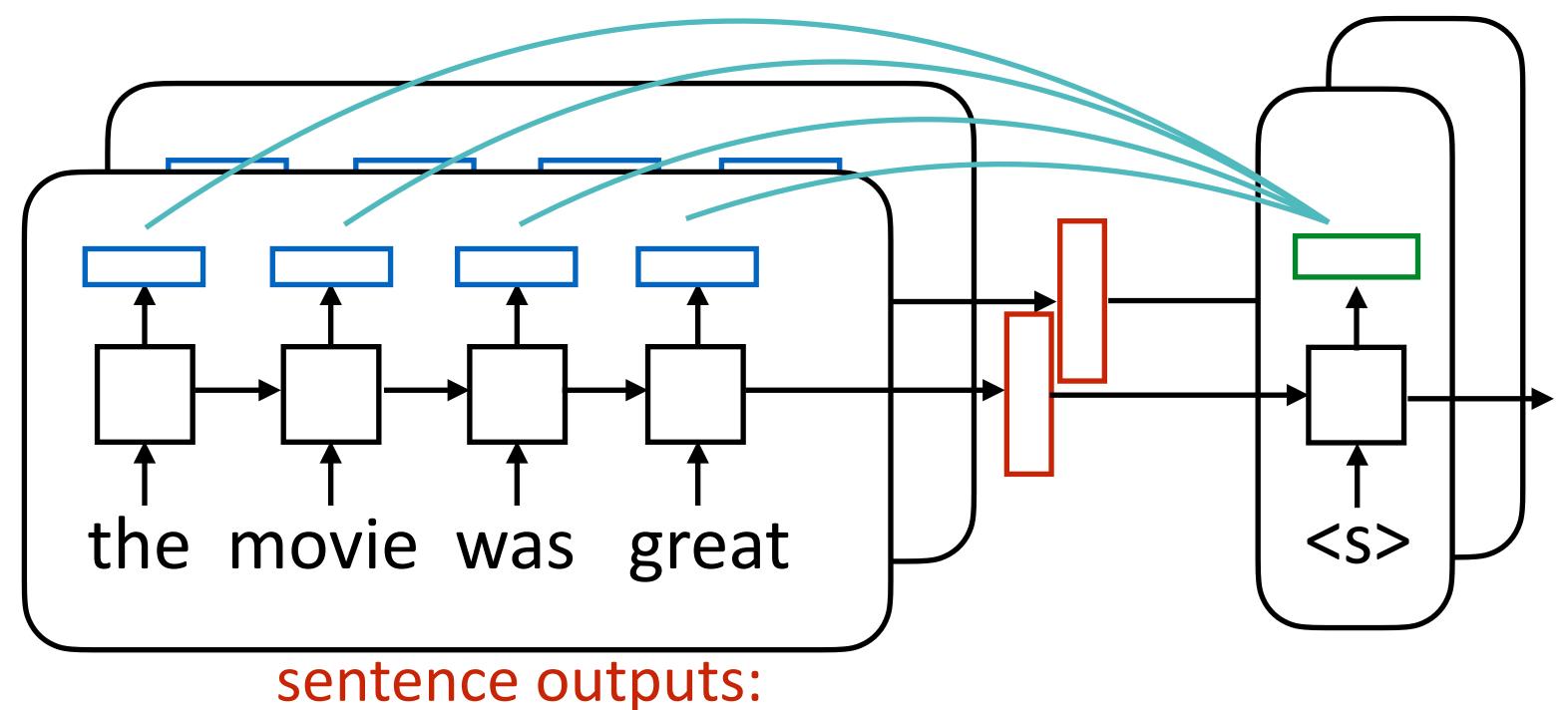


hidden state: batch size

x hidden size

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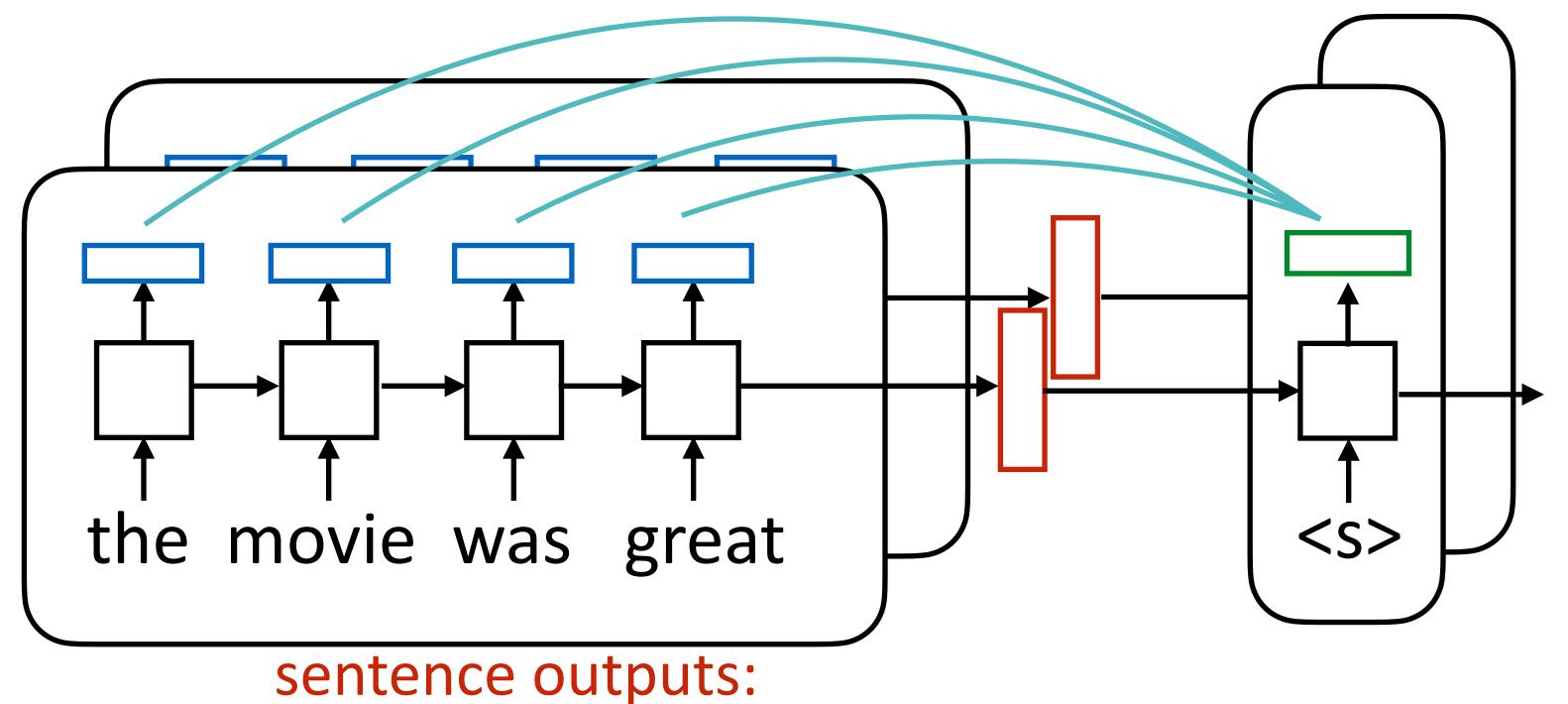


hidden state: batch size x hidden size

$$e_{ij} = f(\bar{h}_i, h_j)$$

batch size x hidden size

token outputs: batch size x sentence length x dimension



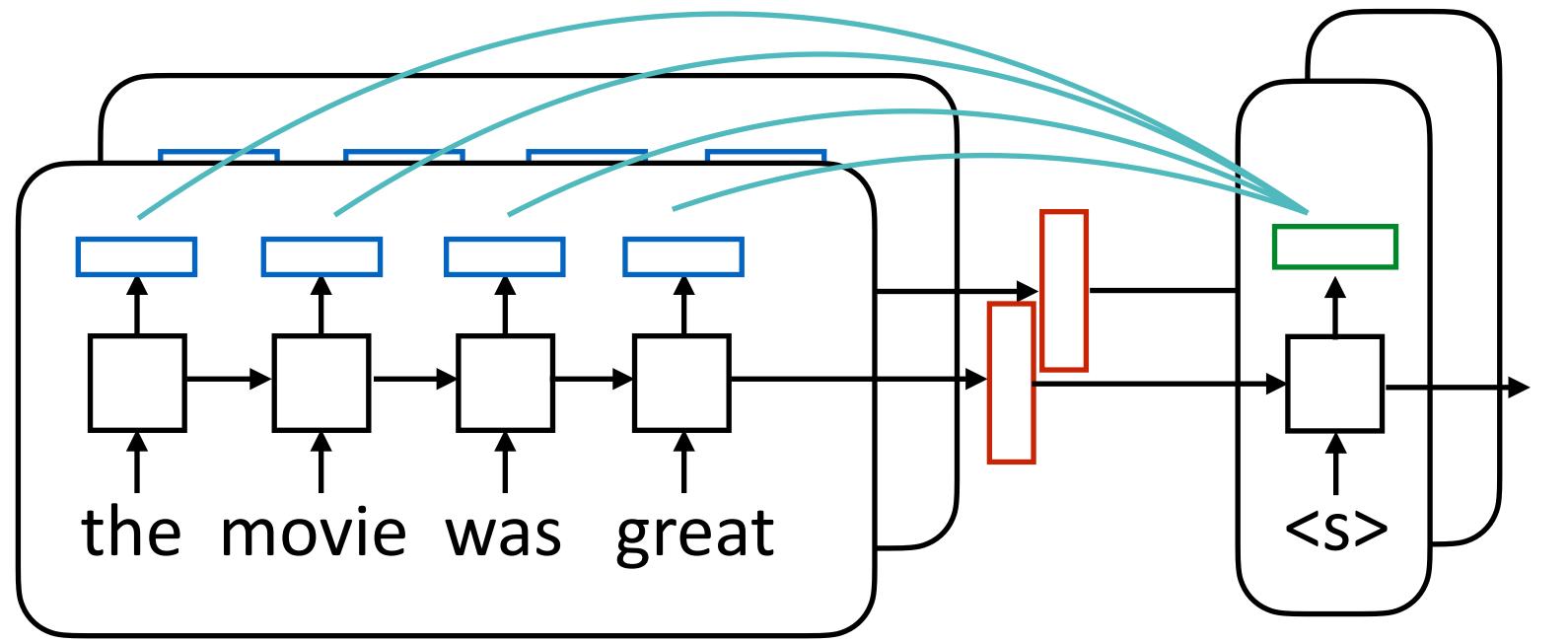
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batch size x hidden size

token outputs: batch size x sentence length x dimension



hidden state: batch size x hidden size

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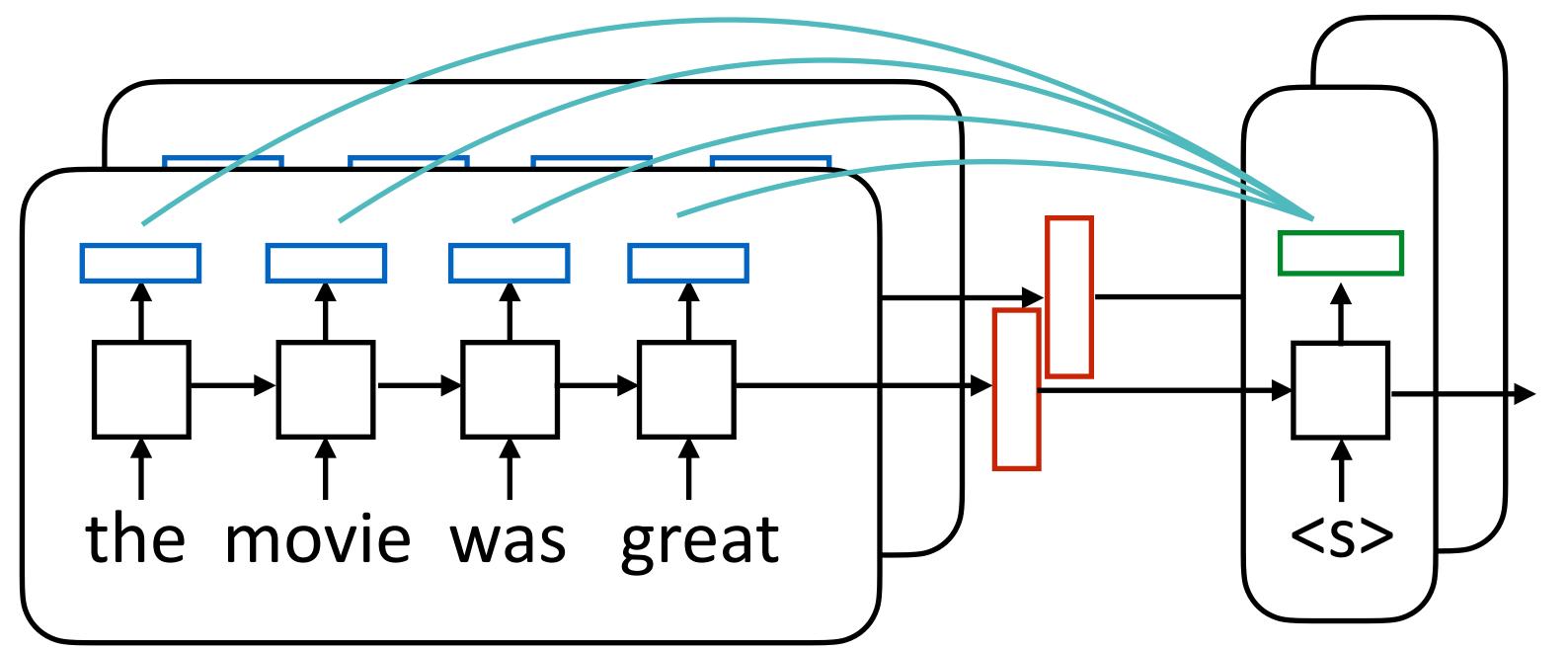
$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

sentence outputs:

batch size x hidden size

attention scores = batch size x sentence length

token outputs: batch size x sentence length x dimension



hidden state: batch size x hidden size

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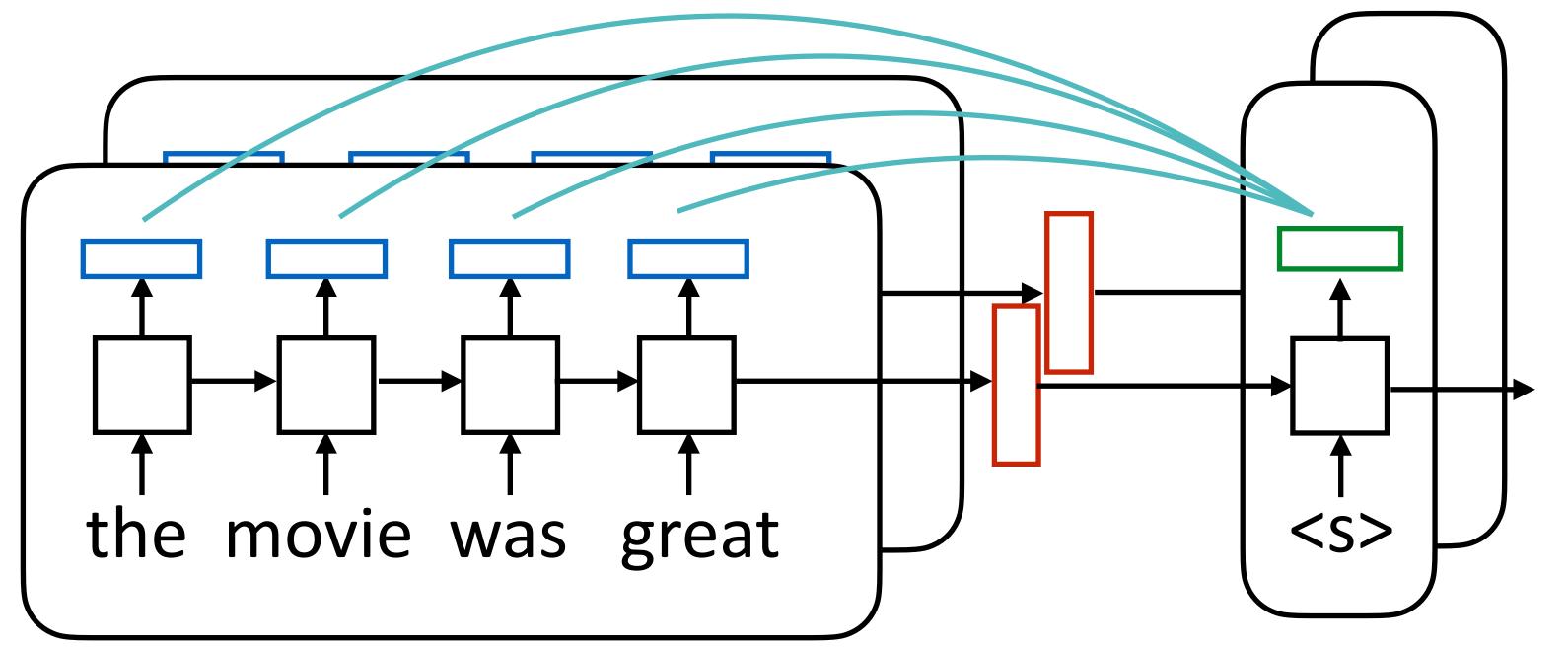
sentence outputs:

batch size x hidden size

attention scores = batch size x sentence length

c = batch size x hidden size
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token outputs: batch size x sentence length x dimension



hidden state: batch size x hidden size

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sentence outputs:

batch size x hidden size

attention scores = batch size x sentence length

c = batch size x hidden size
$$c_i = \sum_j \alpha_{ij} h_j$$

Make sure tensors are the right size!

 Machine translation: BLEU score of 14.0 on English-German -> 16.8 with attention, 19.0 with smarter attention (we'll come back to this later)

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Summarization/headline generation: bigram recall from 11% -> 15%

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Summarization/headline generation: bigram recall from 11% -> 15%

Semantic parsing: ~30% accuracy -> 70+% accuracy on Geoquery

Copying Input/Pointers

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ..., was taken down on Thursday morning

fr: Le <u>portique écotaxe</u> de <u>Pont-de-Buis</u>, ... [truncated] ..., a été <u>démonté</u> jeudi matin

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris le jeudi matin

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Want to be able to copy named entities like Pont-de-Buis

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Want to be able to copy named entities like Pont-de-Buis

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$
 from RNN from attention hidden state

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Want to be able to copy named entities like Pont-de-Buis

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$
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Still can only generate from the vocabulary

Learning to Copy

 Suppose we only care about being able to copy words from the input (maybe we're summarizing a document)

the movie was, despite its many flaws, great \longrightarrow the movie was great

Standard models predict from a vocabulary, but here the vocabulary changes with every new input

On Thursday, police arrested two suspects \longrightarrow police arrested two

Predicting from a fixed vocabulary doesn't make sense here

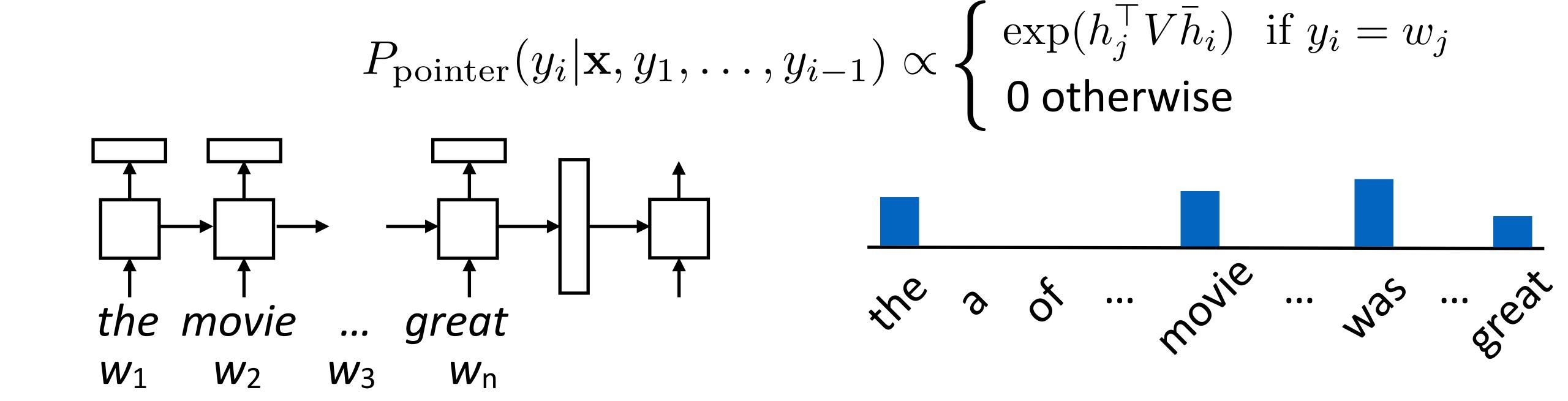
Output Space

- Let $[x_1, ..., x_n]$ be the set of words in the input
- Rather than distribution over the vocabulary, predict distribution over the x_i
- ▶ **Key observation:** this is exactly the same thing that attention gives us!
- Instead of a traditional softmax layer, we use attention to predict the output directly.
- This is called a pointer network (or a copy mechanism)

Pointer Networks

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$

- the a of ... notile ... was ... great
- Standard decoder (P_{vocab}): softmax over vocabulary, all words get >0 prob
- Pointer network: predict from source words instead of target vocab

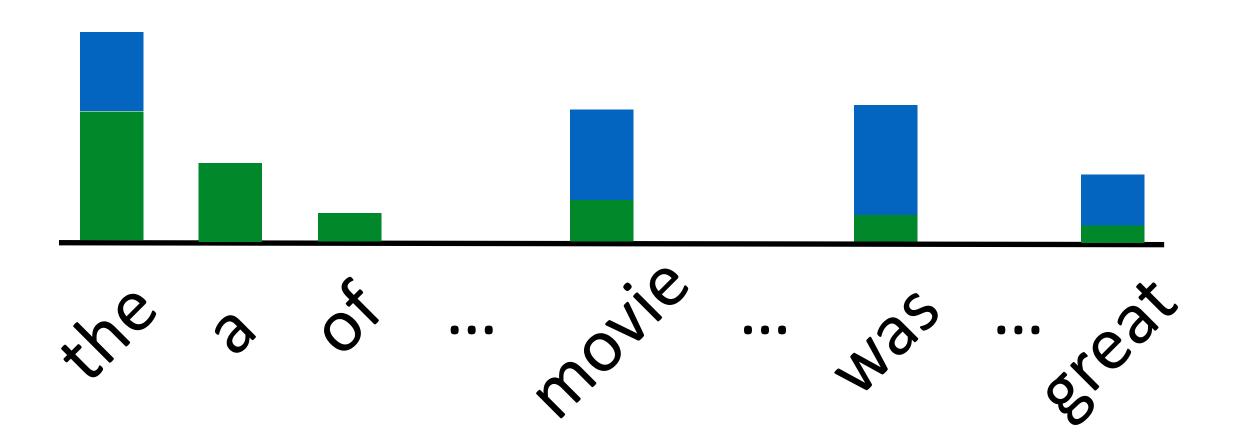


Pointer Generator Mixture Models

• Define the decoder model as a mixture model of the $P_{
m vocab}$ and $P_{
m pointer}$ models (previous slide)

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = P(\text{copy})P_{\text{pointer}} + (1 - P(\text{copy}))P_{\text{vocab}}$$

- Predict P(copy) based on decoder state, input, etc.
- Marginalize over copy variable during training and inference
- Model will be able to both generate and copy, flexibly adapt between the two



Copying

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ...

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nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris

Copying

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Vocabulary contains "normal" vocab as well as words in input. Normalizes over both of these:

Copying

```
en: The ecotax portico in Pont-de-Buis, ... [truncated] ...
fr: Le portique écotaxe de Pont-de-Buis, ... [truncated]
nn: Le unk de unk à unk, ... [truncated] ..., a été pris
```

Vocabulary contains "normal" vocab as well as

words in input. Normalizes over both of these:

the
a
...
zebra

Pont-de-Buis
ecotax

Copying

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ...

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Vocabulary contains "normal" vocab as well as words in input. Normalizes over both of these:

$$P(y_i = w | \mathbf{x}, y_1, \dots, y_{i-1}) \propto \begin{cases} \exp W_w[c_i; \bar{h}_i] \\ h_j^\top V \bar{h}_i \end{cases}$$

the
a
...
zebra

Pont-de-Buis ecotax

if w in vocab

if $w = x_j$

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the
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Pont-de-Buis
ecotax

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Bilinear function of input representation + output hidden state

Results

	GEO	ATIS
No Copying	74.6	69.9
With Copying	85.0	76.3

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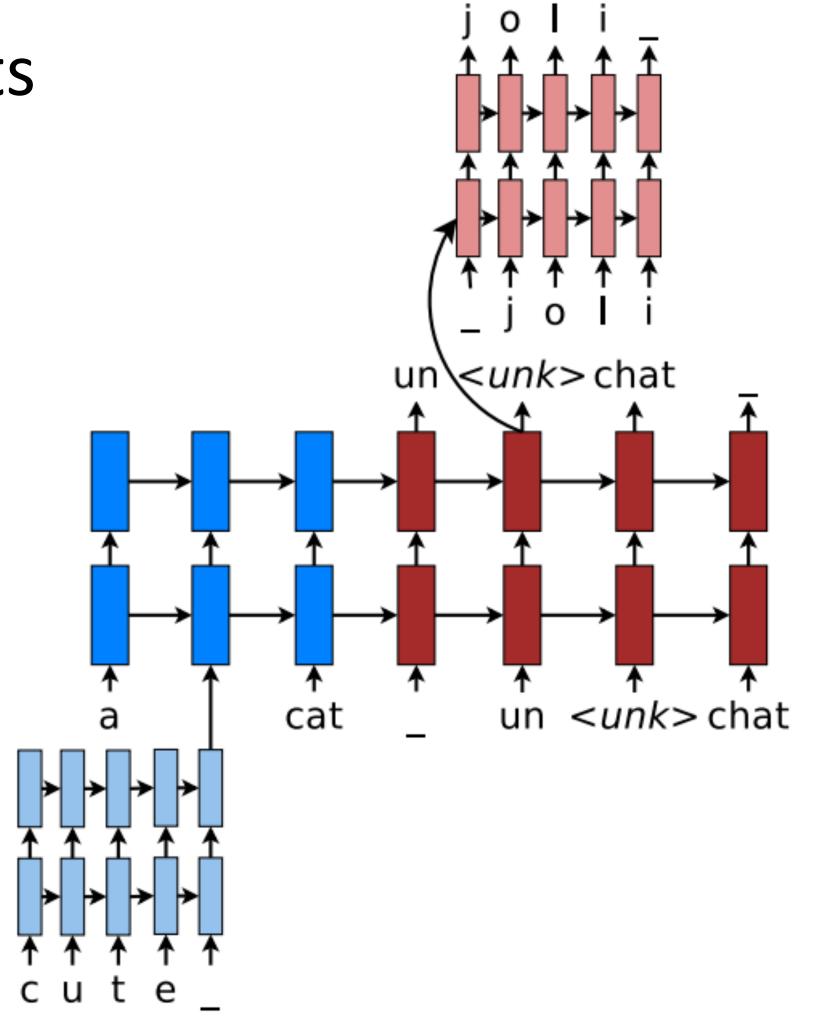
 For semantic parsing, copying tokens from the input (texas) can be very useful

In many settings, attention can roughly do the same things as copying

Rare Words: Character Models

- If we predict an unk token, generate the results from a character LSTM
- Can potentially transliterate new concepts, but architecture is more complicated and slower to train

We will talk about alternatives to this when we talk about machine translation

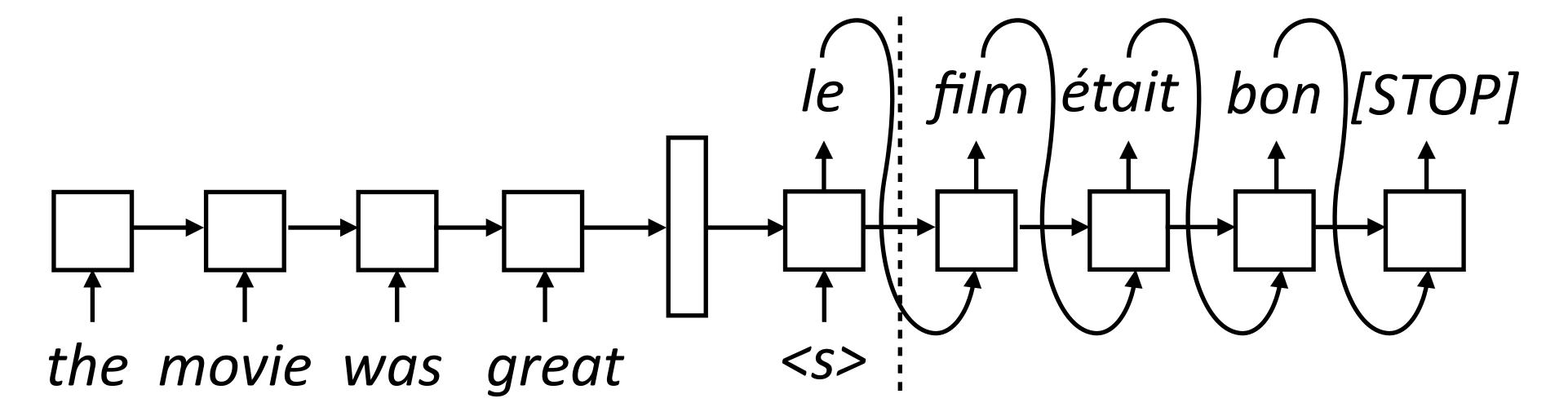


Luong et al. (2016)

Decoding Strategies

Greedy Decoding

Generate next word conditioned on previous word as well as hidden state



During inference: need to compute the argmax over the word predictions and then feed that to the next RNN state. This is greedy decoding

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W\bar{h})$$
 (or attention/copying/etc.) $y_{\mathrm{pred}} = \operatorname{argmax}_y P(y|\mathbf{x},y_1,\ldots,y_{i-1})$

Problems with Greedy Decoding

- Only returns one solution, and it may not be optimal
- ▶ Can address this with **beam search**, which usually works better...but even beam search may not find the correct answer! (max probability sequence)

Model	Beam-10				
	BLEU	#Search err.			
LSTM*	28.6	58.4%			
SliceNet*	28.8	46.0%			
Transformer-Base	30.3	57.7%			
Transformer-Big*	31.7	32.1%			

"Problems" with Beam Decoding

▶ For machine translation, the highest probability sequence is often the empty string! (>50% of the time)

Search	BLEU	Ratio	#Search errors	#Empty
Greedy	29.3	1.02	73.6%	0.0%
Beam-10	30.3	1.00	57.7%	0.0%
Exact	2.1	0.06	0.0%	51.8%

Beam search results in fortuitous search errors that avoid these bad solutions

Sampling

Beam search may give many similar sequences, and these actually may be too close to the optimal. Can sample instead:

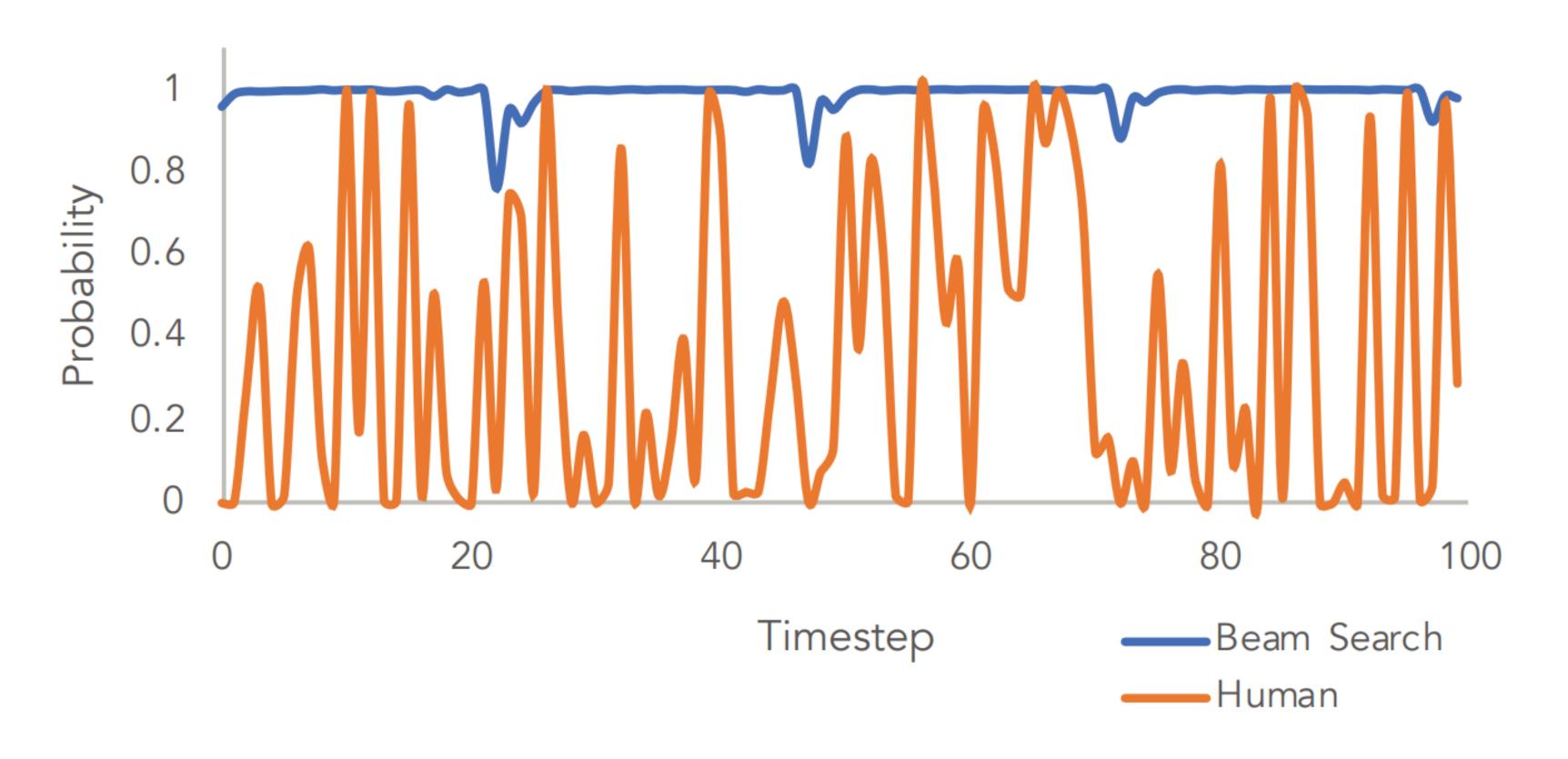
$$P(y_i|\mathbf{x}, y_1, \dots, y_{i-1}) = \operatorname{softmax}(W\bar{h})$$

 $y_{\text{sampled}} \sim P(y|\mathbf{x}, y_1, \dots, y_{i-1})$

▶ Text *degeneration*: greedy solution can be uninteresting / vacuous for various reasons. Sampling can help.

Beam Search vs. Sampling

Beam Search Text is Less Surprising



Holtzman et al. (2019)

Beam Search vs. Sampling

These are samples from an unconditioned language model (not seq2seq model)

Context: In a shocking finding, scientist discovered a herd of unicorns living in a remote, previously unexplored valley, in the Andes Mountains. Even more surprising to the researchers was the fact that the unicorns spoke perfect English.

Beam Search, b=32:

"The study, published in the Proceedings of the National Academy of Sciences of the United States of America (PNAS), was conducted by researchers from the Universidad Nacional Autónoma de México (UNAM) and the Universidad Nacional Autónoma de México (UNAM/Universidad Nacional Autónoma de México/Universidad Nacional Autónoma de México/Universidad Nacional Autónoma de México/Universidad Nacional Autónoma de ..."

Pure Sampling:

They were cattle called Bolivian Cavalleros; they live in a remote desert uninterrupted by town, and they speak huge, beautiful, paradisiacal Bolivian linguistic thing. They say, 'Lunch, marge.' They don't tell what the lunch is," director Professor Chuperas Omwell told Sky News. "They've only been talking to scientists, like we're being interviewed by TV reporters. We don't even stick around to be interviewed by TV reporters. Maybe that's how they figured out that they're cosplaying as the Bolivian Cavalleros."

Sampling is better but sometimes draws too far from the tail of the distribution

Holtzman et al. (2019)

Decoding Strategies

- Greedy
- Beam search
- Sampling
- Nucleus or top-k sampling:
 - Nucleus: take the top p% (95%) of the distribution, sample from within that
 - ▶ Top-k: take the top k most likely words (k=5), sample from those

Generation Tasks







Beam Search, b=16

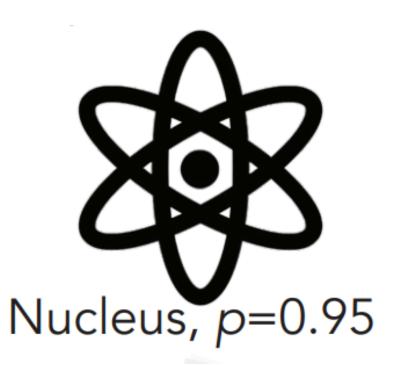


with the number of stranded whales on the West Australian coast increasing by more than 50 per cent in the past year. The number of whales stranded on the West Australian coast has increased by more than 50 per cent in the past year, with the number of stranded whales on the West Australian coast increasing by more than 50 per cent in the past year.

The Australian Food Safety Authority has warned Australia's beaches may be revitalised.

The number of stranded whales has increased by more than 50 per cent in the past year,

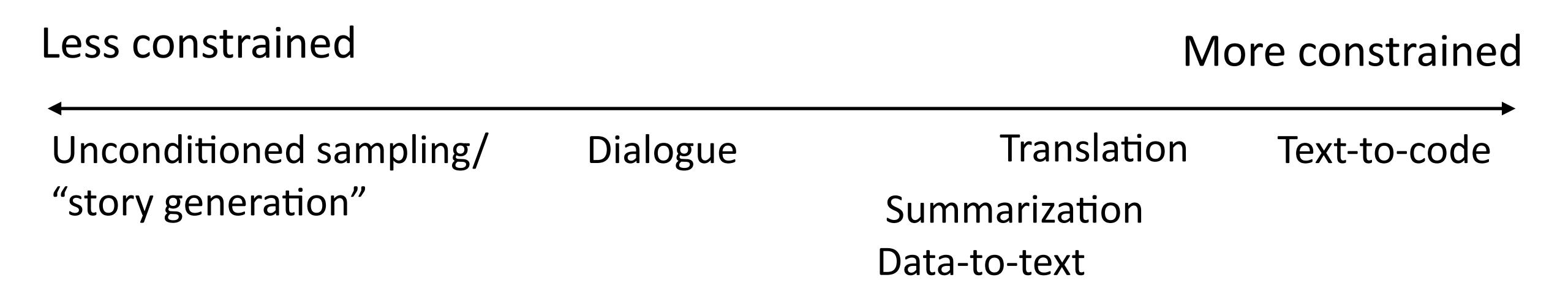
this year because healthy seabirds and seals have been on the move. More than 50,000 seabirds, sea mammals and seahorses have been swept into the sea by the Holden CS118 and Adelaide Airport CS300 from 2013. A major white-bat and umidauda migration across Australia is under way in Australia for the first time, with numbers reaching an estimated 50,000.



There has been an unprecedented number of calves caught in the nets of whaling stations that operate in WA. Pilot whales continue to migrate to feeding grounds to feed their calves. They are now vulnerable due to the decline of wild populations; they are restricted to one breeding site each year. Image copyright Yoon Bo Kim But, with sharp decline in wild populations the size of the Petrels are shrinking and dwindling population means there will only be room for a few new fowl.

Generation Tasks

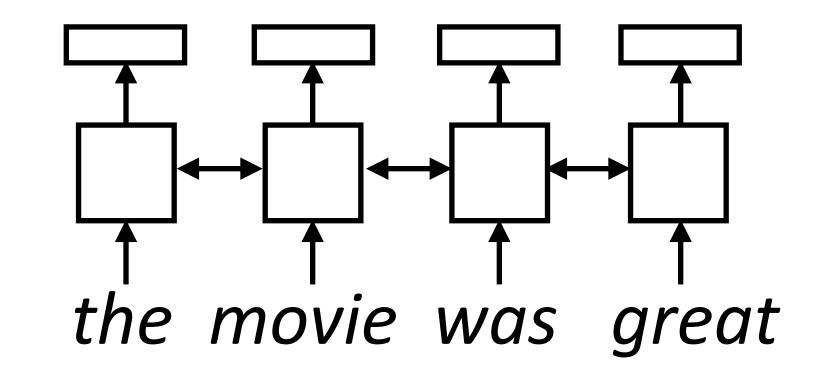
- ▶ There are a range of seq2seq modeling tasks we will address
- For more constrained problems: greedy/beam decoding are usually best
- For less constrained problems: nucleus sampling introduces favorable variation in the output



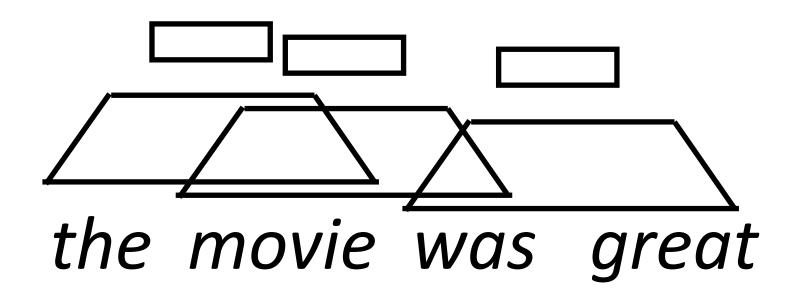
Transformers

Sentence Encoders

LSTM abstraction: maps each vector in a sentence to a new, context-aware vector



CNNs do something similar with filters



Attention can give us a third way to do this

▶ Assume we're using GloVe — what do we want our neural network to do?



The ballerina is very excited that she will dance in the show.

- What words need to be contextualized here?
 - Pronouns need to look at antecedents
 - Ambiguous words should look at context
 - Words should look at syntactic parents/children
- Problem: LSTMs and CNNs don't do this

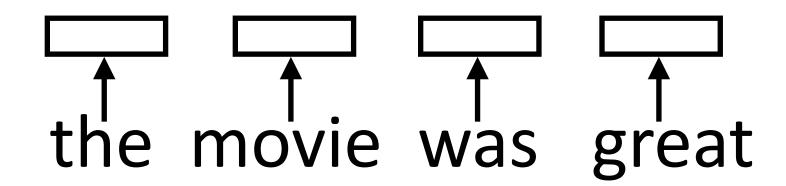
Want:

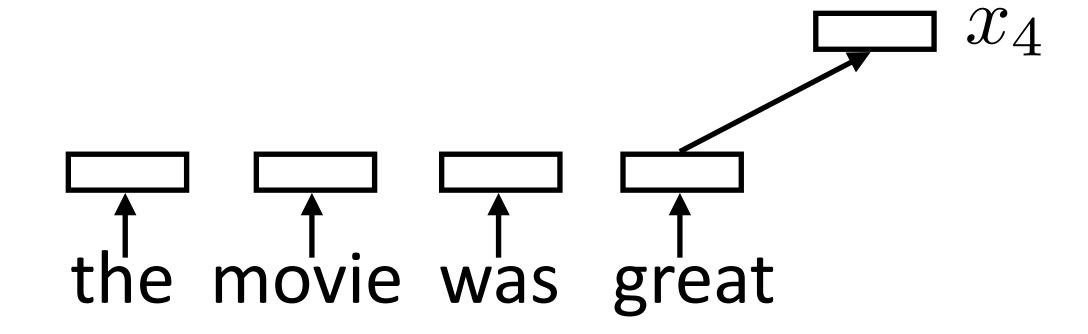


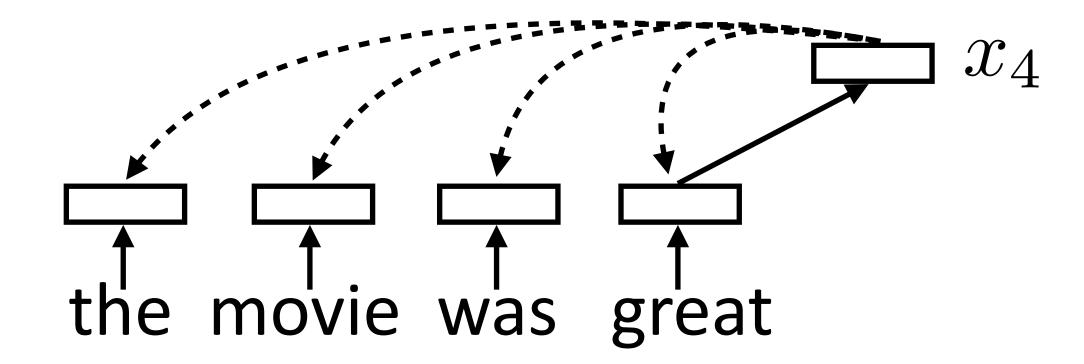
LSTMs/CNNs: tend to look at local context

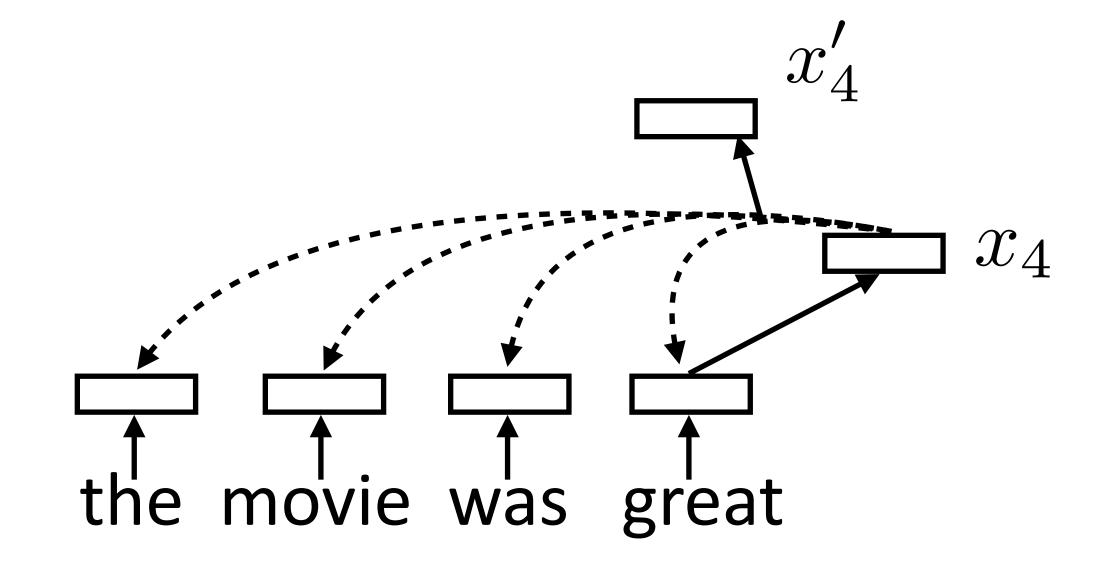


To appropriately contextualize embeddings, we need to pass information over long distances dynamically for each word

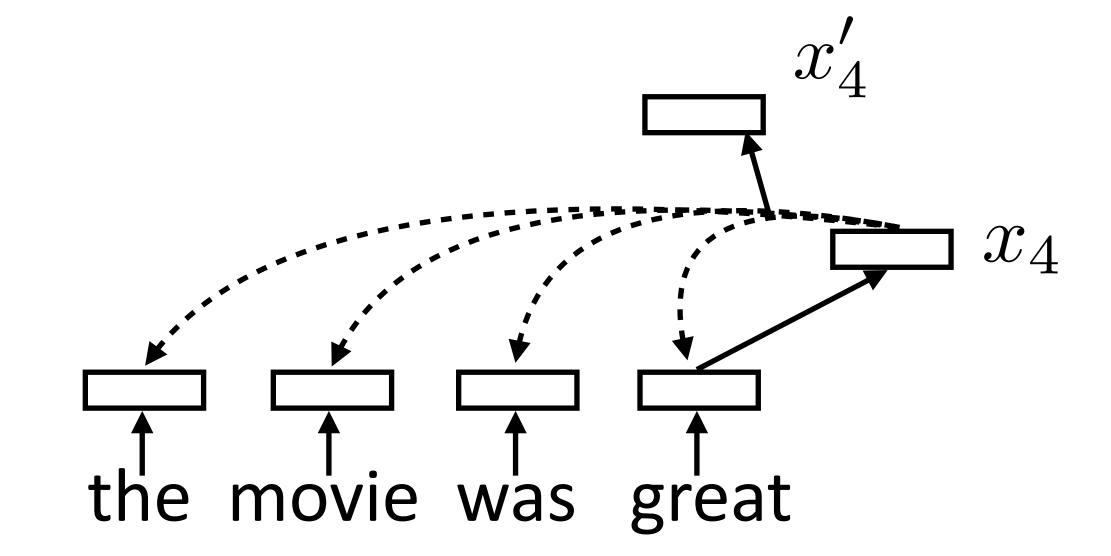




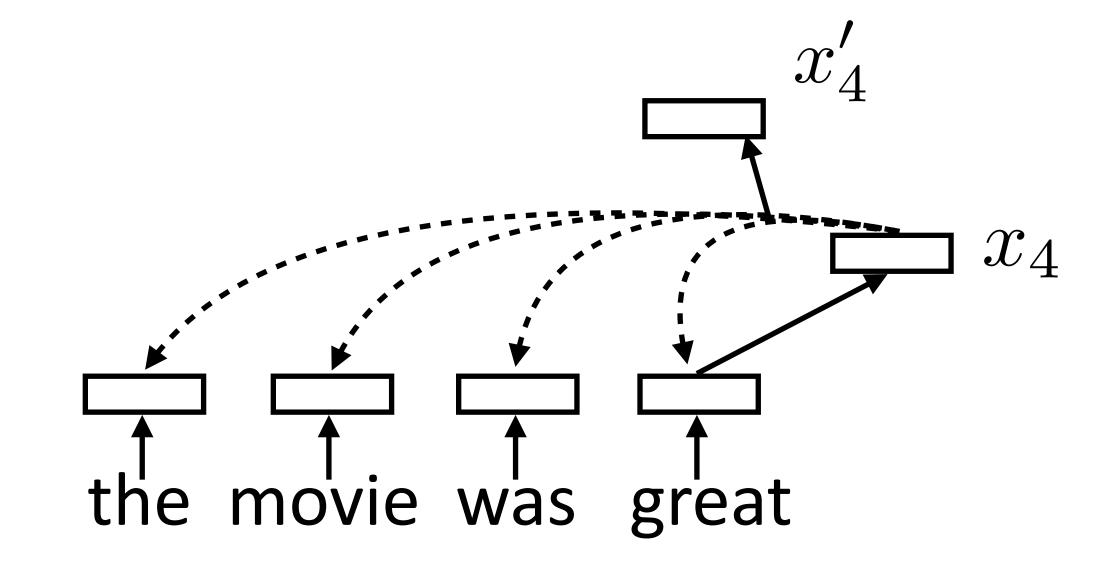




$$\alpha_{i,j} = \operatorname{softmax}(x_i^{\top} x_j)$$
 scalar

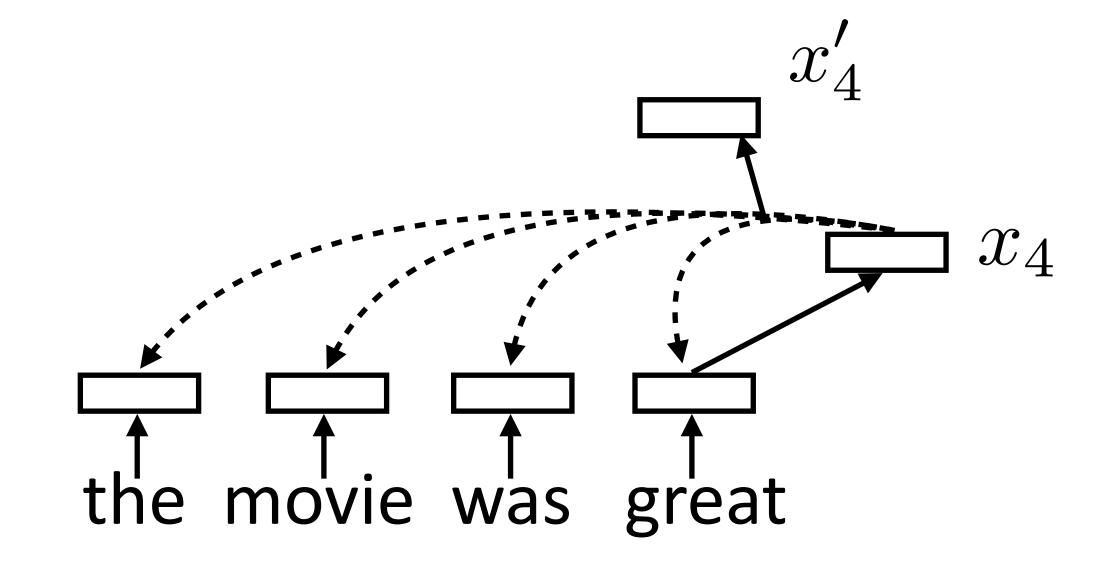


$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
 scalar $x_i' = \sum_{j=1}^n lpha_{i,j} x_j$ vector = sum of scalar * vector



 Each word forms a "query" which then computes attention over each word

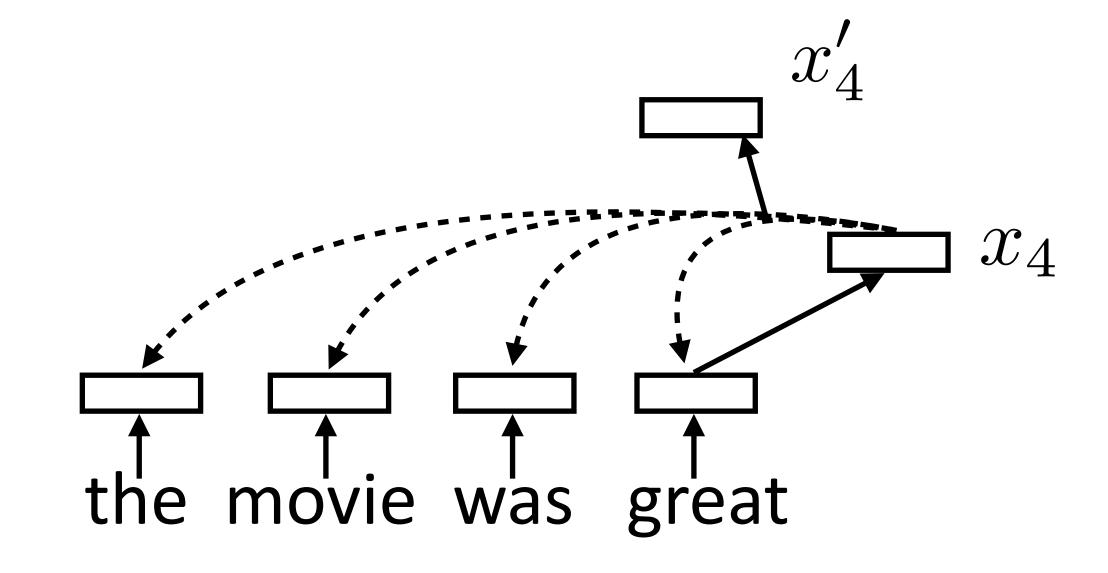
$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
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• Multiple "heads" analogous to different convolutional filters. Use parameters W_k and V_k to get different attention values + transform vectors

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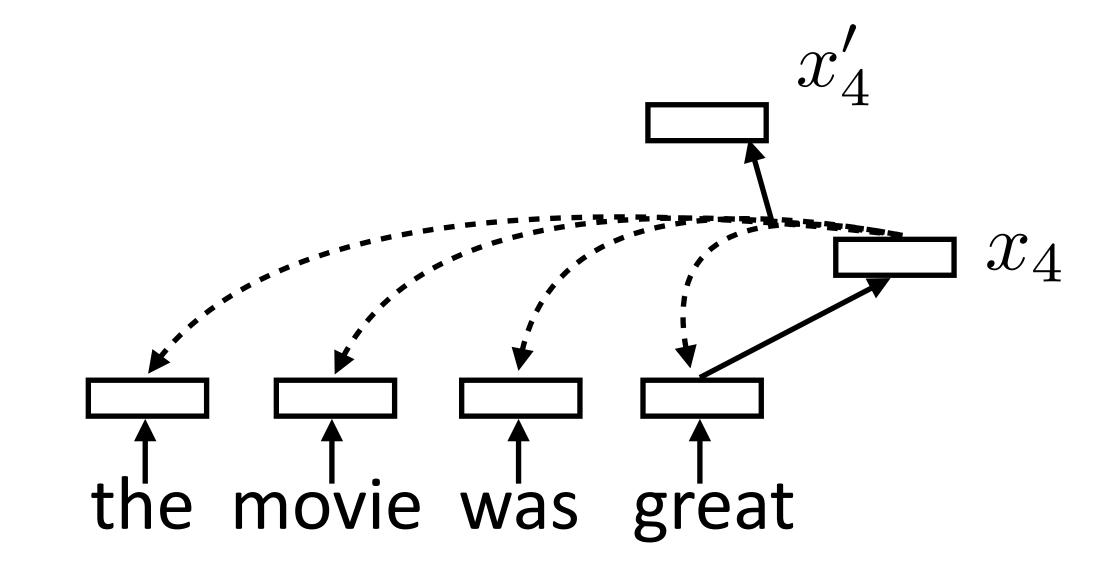


Multiple "heads" analogous to different convolutional filters. Use parameters W_k and V_k to get different attention values + transform vectors

$$\alpha_{k,i,j} = \operatorname{softmax}(x_i^{\mathsf{T}} W_k x_j)$$

 Each word forms a "query" which then computes attention over each word

$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
 scalar $x_i' = \sum_{i=1}^n lpha_{i,j} x_j$ vector = sum of scalar * vector



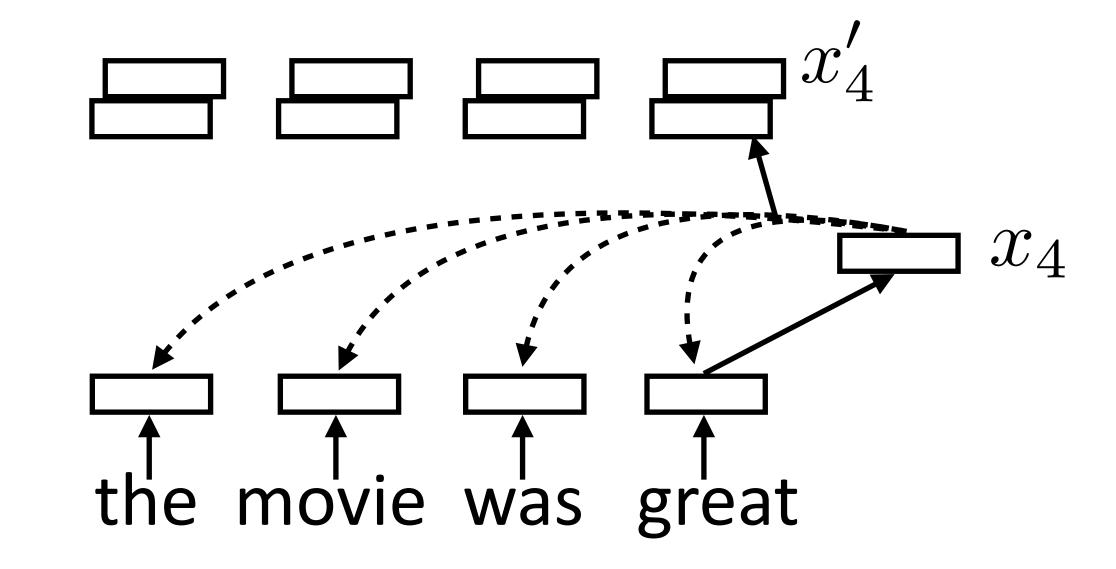
• Multiple "heads" analogous to different convolutional filters. Use parameters W_k and V_k to get different attention values + transform vectors

$$\alpha_{k,i,j} = \operatorname{softmax}(x_i^\top W_k x_j) \quad x'_{k,i} = \sum_{j=1}^n \alpha_{k,i,j} V_k x_j$$

Vaswani et al. (2017)

 Each word forms a "query" which then computes attention over each word

$$lpha_{i,j} = \operatorname{softmax}(x_i^{ op} x_j)$$
 scalar
$$x_i' = \sum^n lpha_{i,j} x_j \quad \text{vector = sum of scalar * vector}$$



• Multiple "heads" analogous to different convolutional filters. Use parameters W_k and V_k to get different attention values + transform vectors

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Vaswani et al. (2017)

What can self-attention do?



The ballerina is very excited that she will dance in the show.

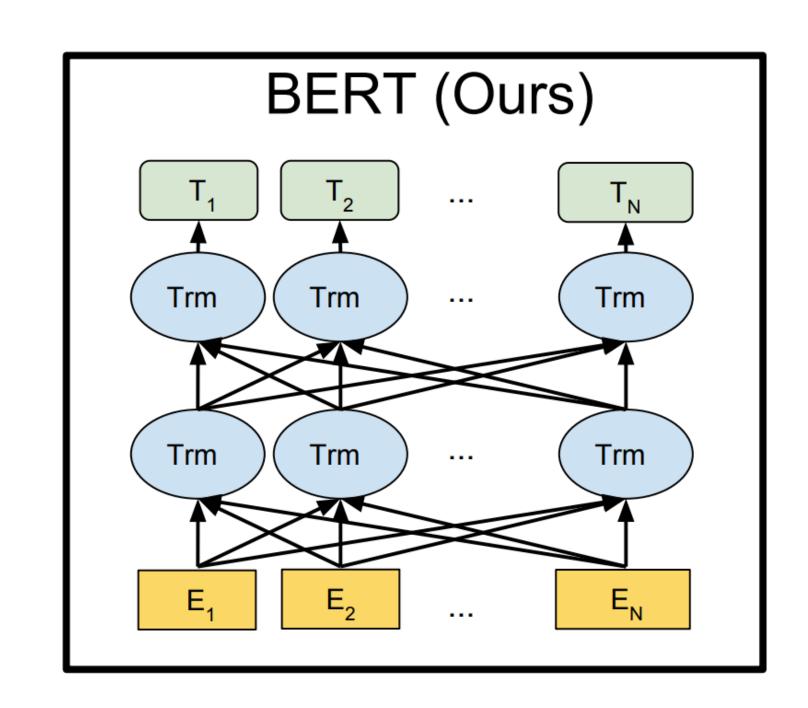
0	0.5	0	0	0.1	0.1	0	0.1	0.2	0	0	0
0	0.1		\cap	\cap	\cap	\cap	0	0.5	0	0 4	0

- Attend nearby + to semantically related terms
- ▶ This is a demonstration, we will revisit what these models actually learn when we discuss BERT
- Why multiple heads? Softmaxes end up being peaked, single distribution cannot easily put weight on multiple things

Vaswani et al. (2017)

Transformer Uses

- Supervised: transformer can replace LSTM as encoder, decoder, or both; will revisit this when we discuss MT
- Unsupervised: transformers work better than LSTM for unsupervised pre-training of embeddings: predict word given context words
- BERT (Bidirectional Encoder Representations from Transformers): pretraining transformer language models similar to ELMo
- ▶ Stronger than similar methods, SOTA on ~11 tasks (including NER 92.8 F1)



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Used for tasks including summarization and sentence ordering

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Explicitly copying input can be beneficial as well

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Transformers are strong models we'll come back to later