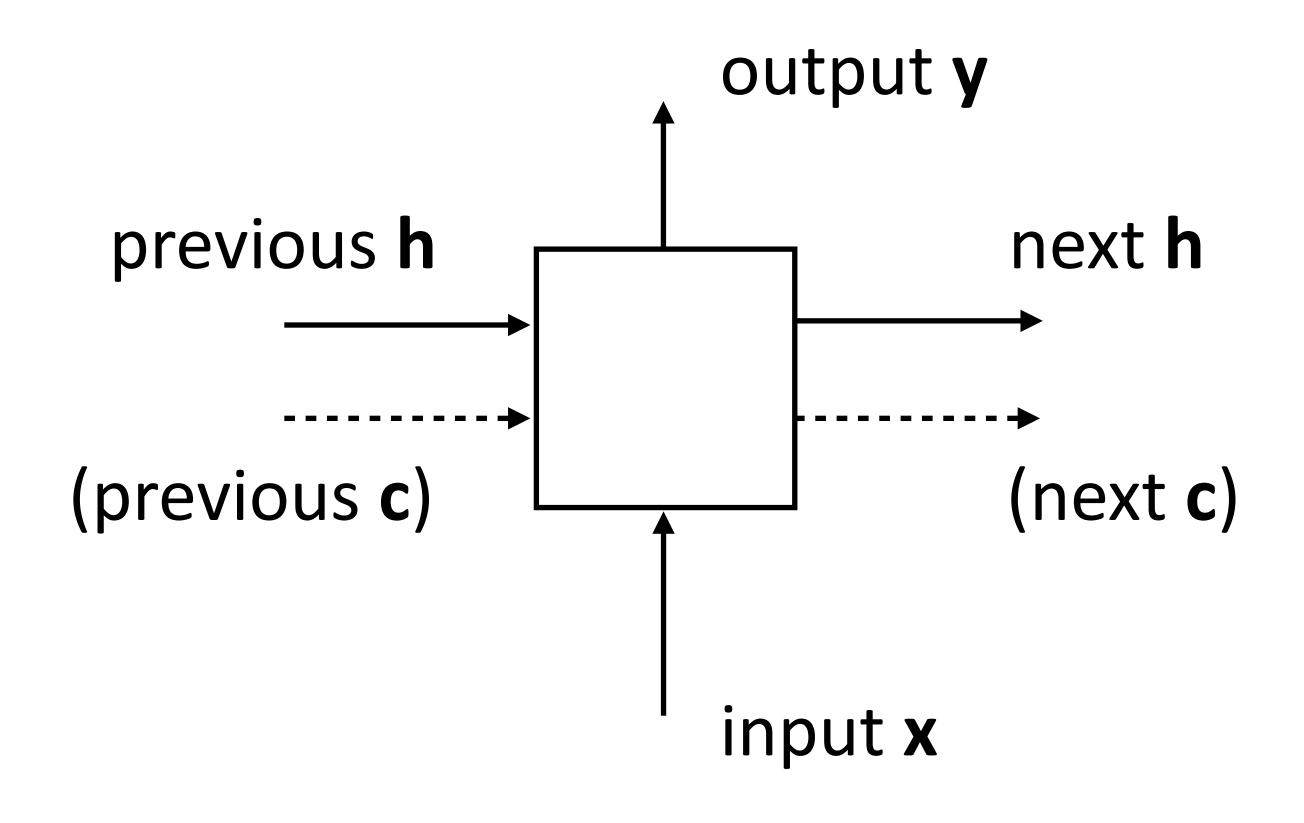
Lecture 9: CNNs, Neural CRFs

Alan Ritter

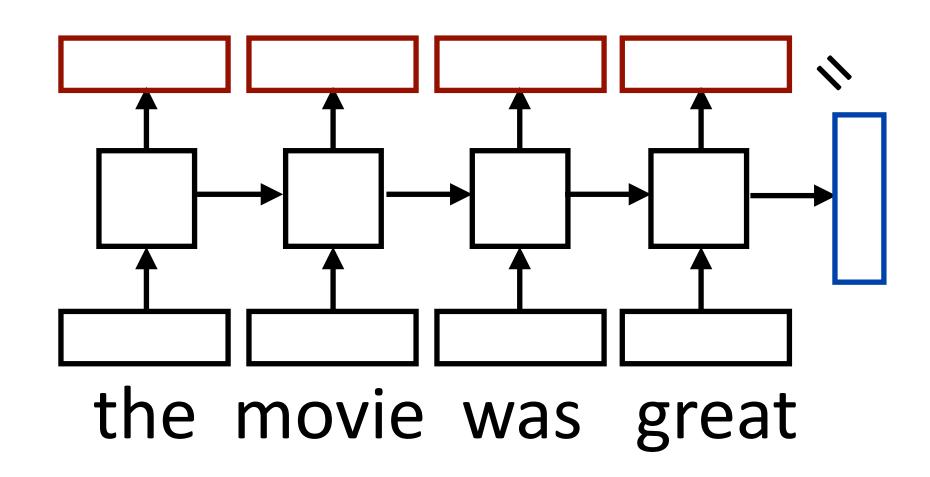
(many slides from Greg Durrett)

Recall: RNNs

 Cell that takes some input x, has some hidden state h, and updates that hidden state and produces output y (all vector-valued)



Recall: RNN Abstraction



- Encoding of the sentence can pass this a decoder or make a classification decision about the sentence
- Encoding of each word can pass this to another layer to make a prediction (can also pool these to get a different sentence encoding)
- RNN can be viewed as a transformation of a sequence of vectors into a sequence of context-dependent vectors

What can LSTMs model?

- Sentiment
 - Encode one sentence, predict
- Language models
 - Move left-to-right, per-token prediction
- Translation
 - Encode sentence + then decode, use token predictions for attention weights (next lecture)

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What can LSTMs model?

- Sentiment
 - Encode one sentence, predict
- Language models
 - Move left-to-right, per-token prediction
- Translation
 - Encode sentence + then decode, use token predictions for attention weights (next lecture)
- Textual entailment
 - Encode two sentences, predict

Premise Hypothesis

A boy plays in the snow

A boy is outside

Premise Hypothesis

A boy plays in the snow entails A boy is outside

Premise Hypothesis

A boy plays in the snow entails A boy is outside

A man inspects the uniform of a figure

The man is sleeping

Premise Hypothesis

A boy plays in the snow entails A boy is outside

A man inspects the uniform of a figure contradicts The man is sleeping

Premise Hypothesis

A boy plays in the snow entails A boy is outside

A man inspects the uniform of a figure *contradicts* The man is sleeping

An older and younger man smiling

The mair is steeping

Two men are smiling and laughing at cats playing

Premise Hypothesis

A boy plays in the snow entails A boy is outside

A man inspects the uniform of a figure *contradicts* The man is sleeping

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Premise Hypothesis

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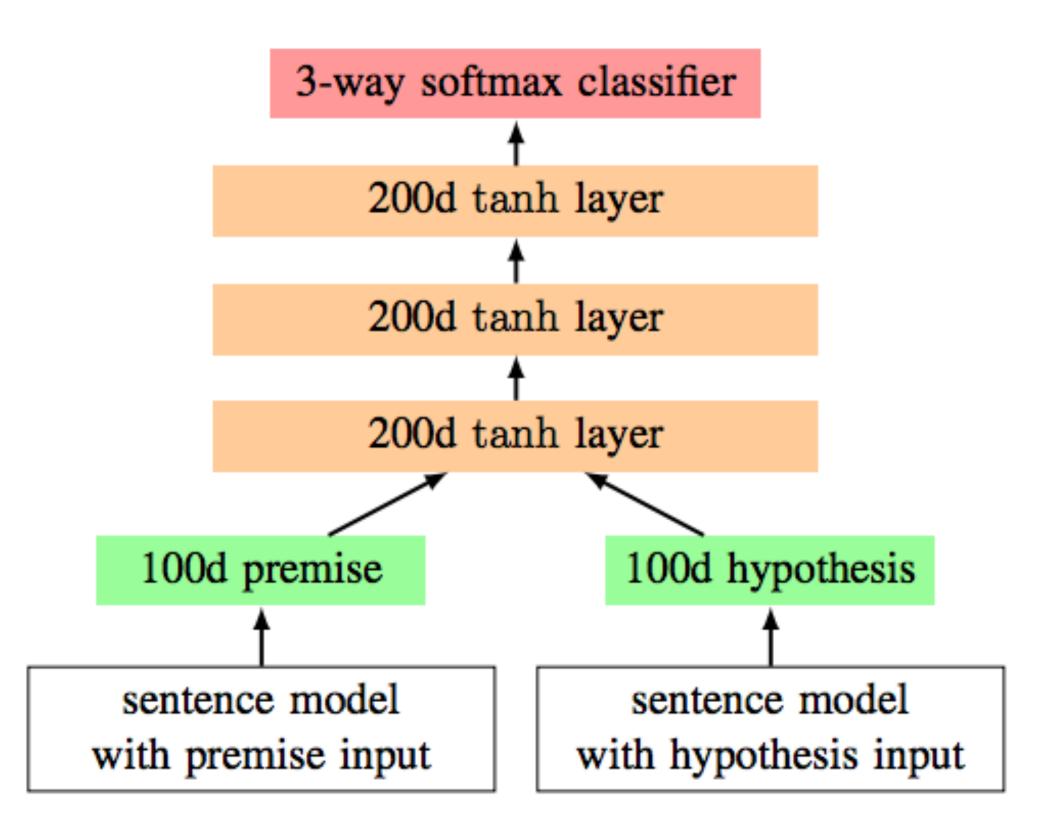
 Long history of this task: "Recognizing Textual Entailment" challenge in 2006 (Dagan, Glickman, Magnini)

Premise		Hypothesis
A boy plays in the snow	entails	A boy is outside
A man inspects the uniform of a figure	contradicts	The man is sleeping
An older and younger man smiling	neutral	Two men are smiling and laughing at cats playing

- Long history of this task: "Recognizing Textual Entailment" challenge in 2006 (Dagan, Glickman, Magnini)
- Early datasets: small (hundreds of pairs), very ambitious (lots of world knowledge, temporal reasoning, etc.)

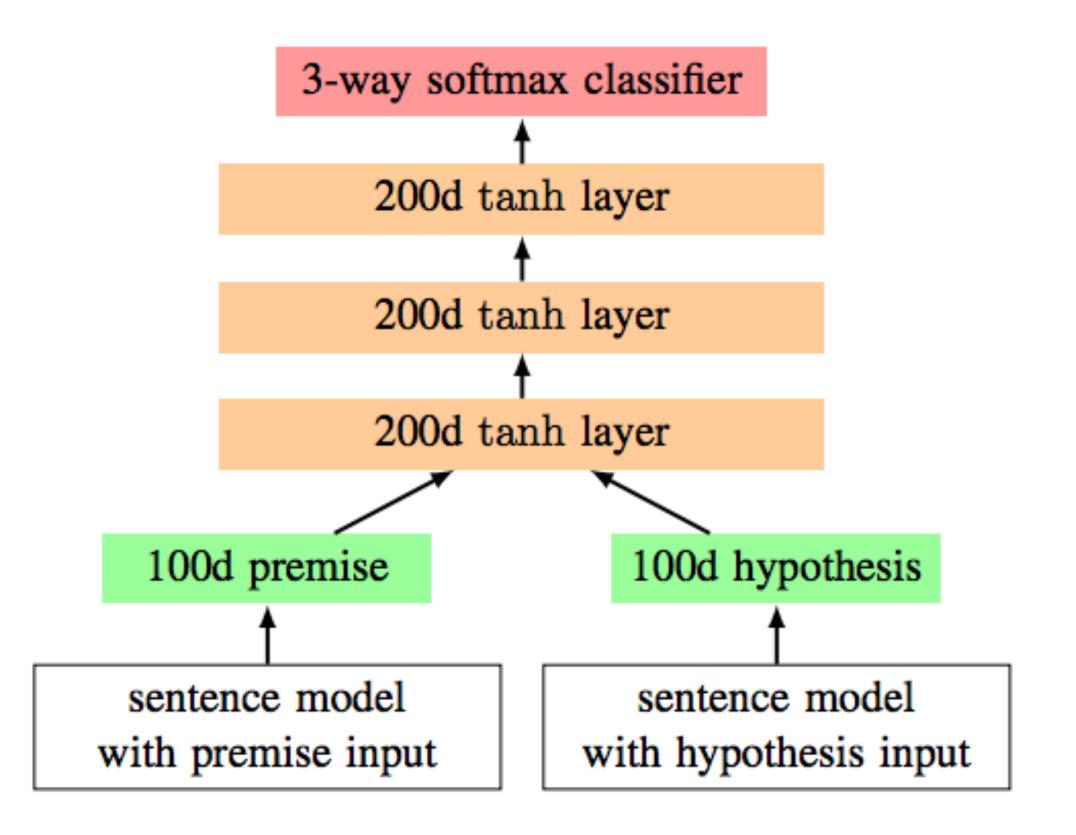
- Show people captions for (unseen) images and solicit entailed / neural / contradictory statements
- >500,000 sentence pairs

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100D LSTM: 78% accuracy

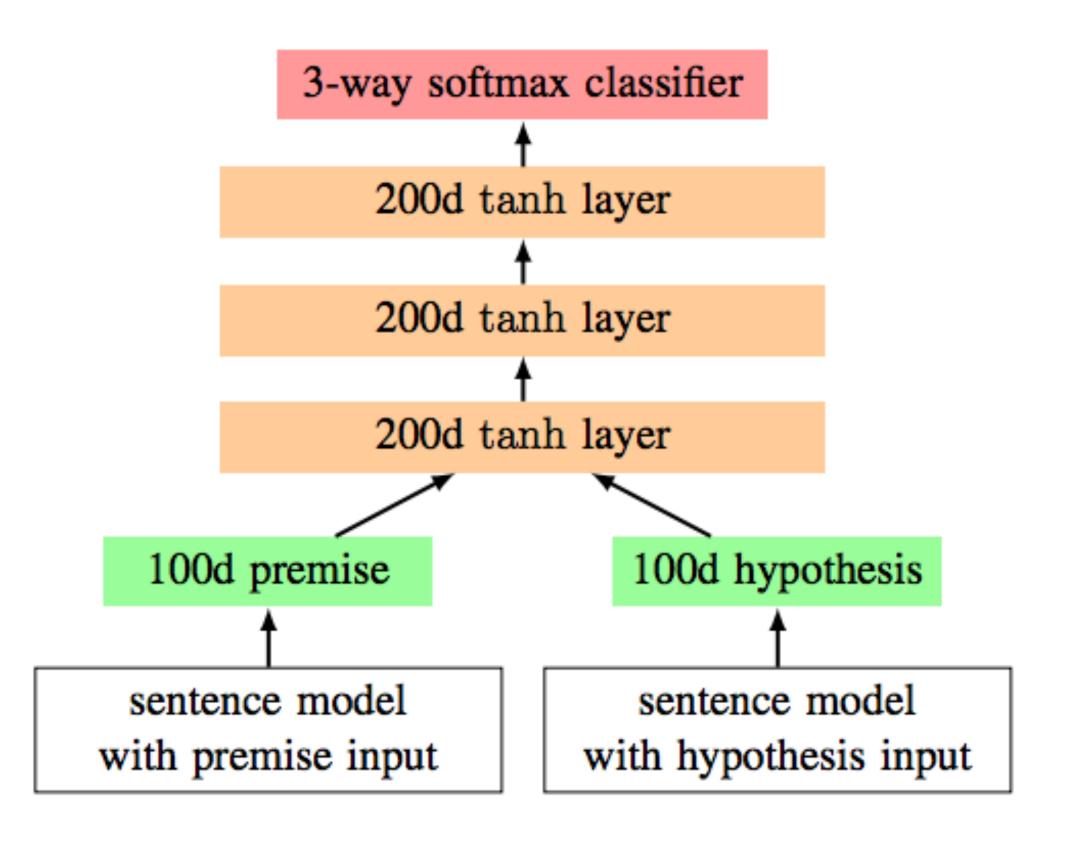


- Show people captions for (unseen) images and solicit entailed / neural / contradictory statements
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- Encode each sentence and process

100D LSTM: 78% accuracy

300D LSTM: 80% accuracy

(Bowman et al., 2016)



- Show people captions for (unseen) images and solicit entailed / neural / contradictory statements
- >500,000 sentence pairs
- Encode each sentence and process

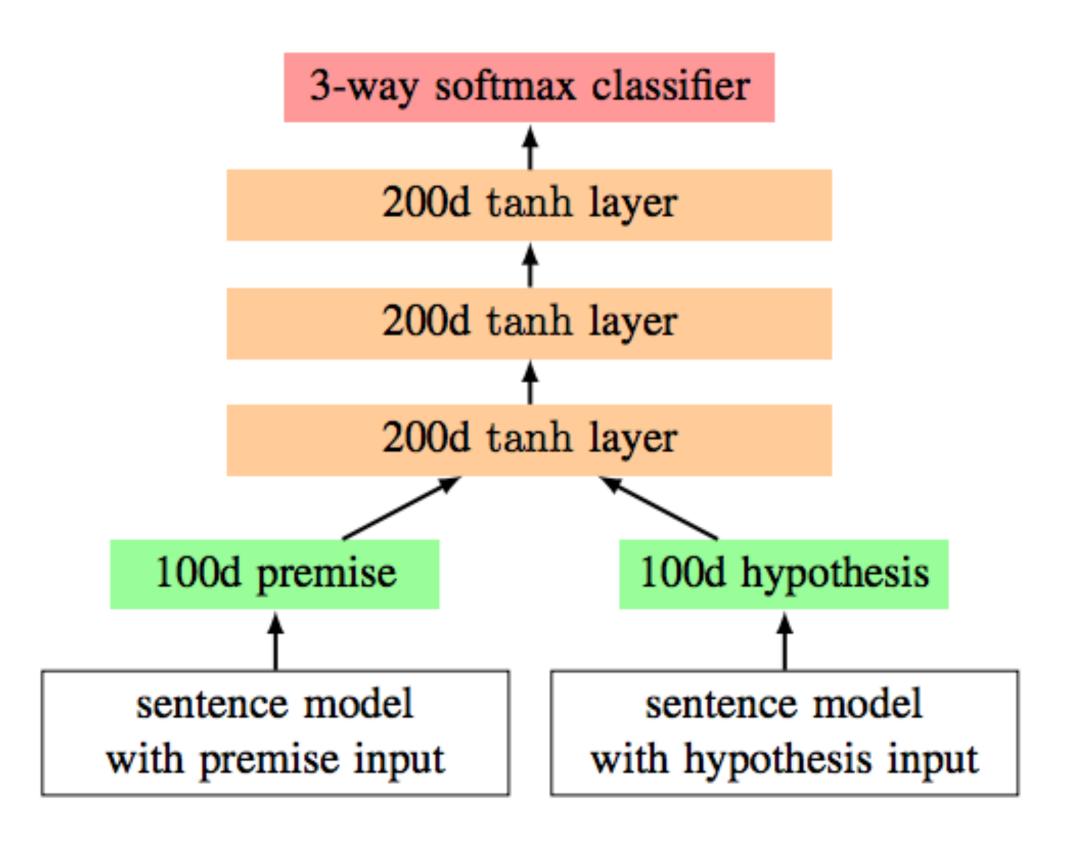
100D LSTM: 78% accuracy

300D LSTM: 80% accuracy

(Bowman et al., 2016)

300D BiLSTM: 83% accuracy

(Liu et al., 2016)



- Show people captions for (unseen) images and solicit entailed / neural / contradictory statements
- >500,000 sentence pairs
- Encode each sentence and process

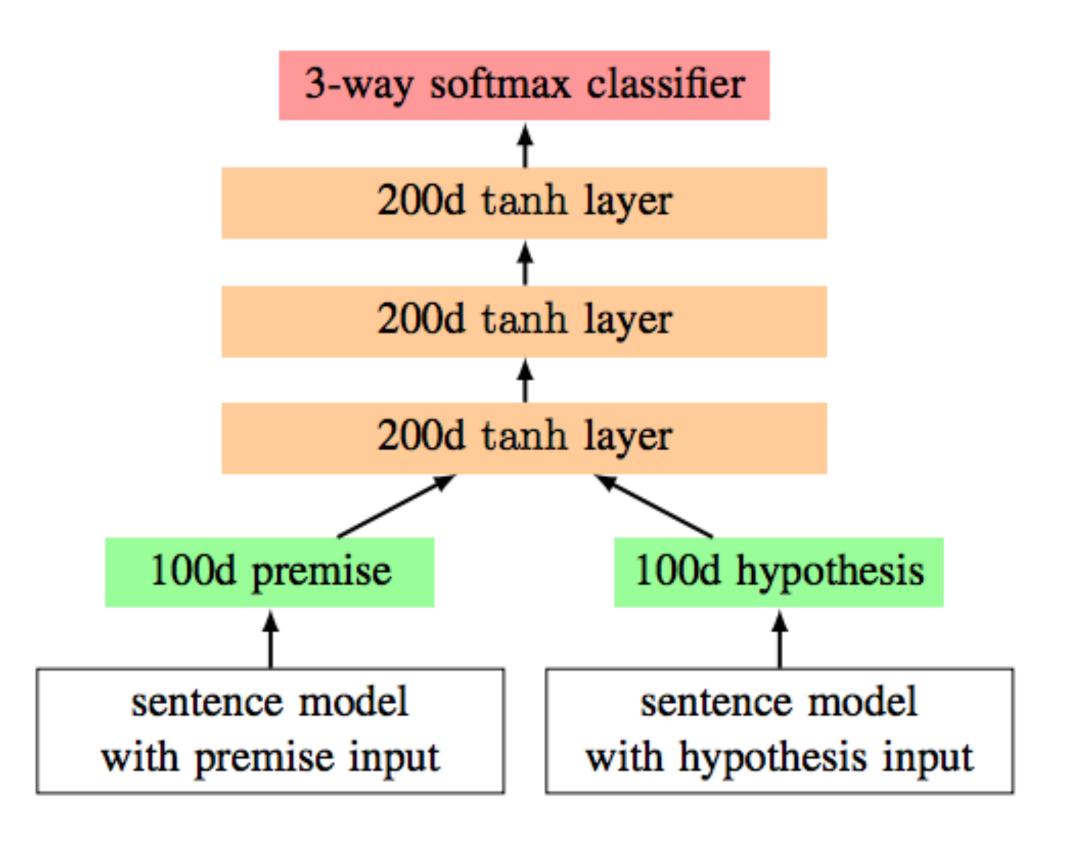
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(Bowman et al., 2016)

300D BiLSTM: 83% accuracy (Liu et al., 2016)

Later: better models for this



This Lecture

CNNs

CNNs for Sentiment

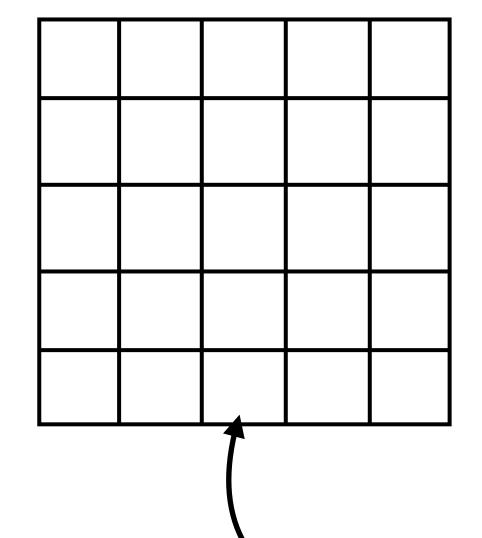
Neural CRFs

CNNs

- Applies a filter over patches of the input and returns that filter's activations
- Convolution: take dot product of filter with a patch of the input

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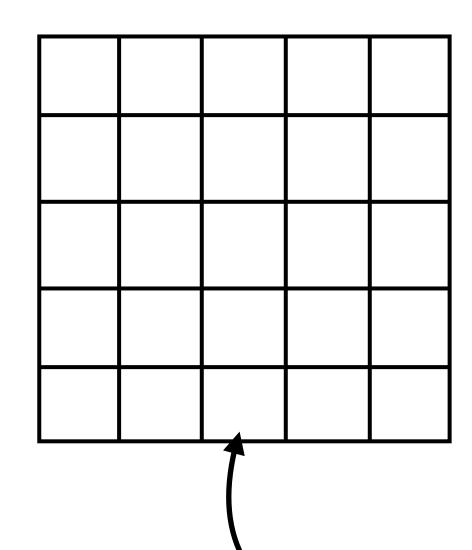
image: n x n x k

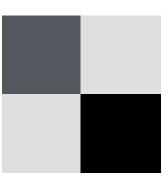


Each of these cells is a vector with multiple values Images: RGB values (3 dim)

- Applies a filter over patches of the input and returns that filter's activations
- Convolution: take dot product of filter with a patch of the input

image: n x n x k filter: m x m x k

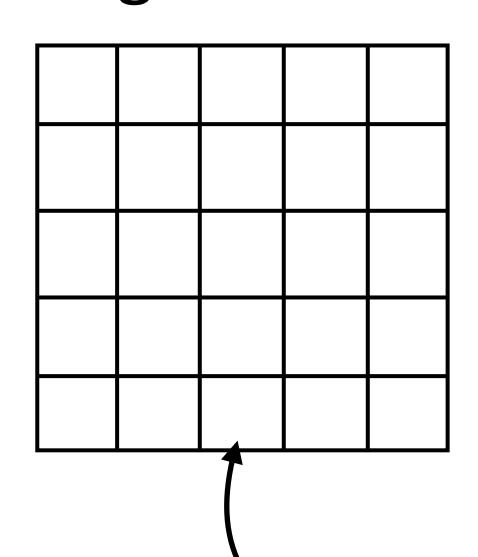




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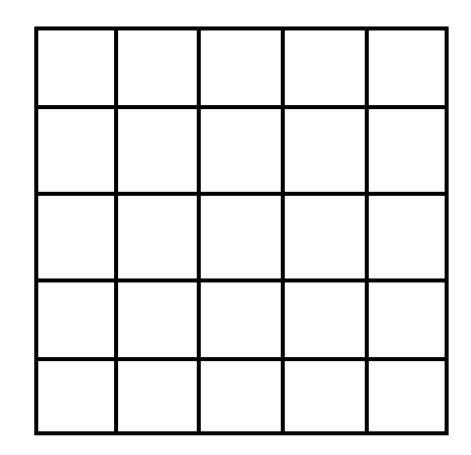
sum over dot products

activation_{ij} =
$$\sum_{i_o=0}^{k-1} \sum_{j_o=0}^{k-1} \text{image}(i+i_o, j+j_o) \cdot \text{filter}(i_o, j_o)$$
offsets

Each of these cells is a vector with multiple values Images: RGB values (3 dim)

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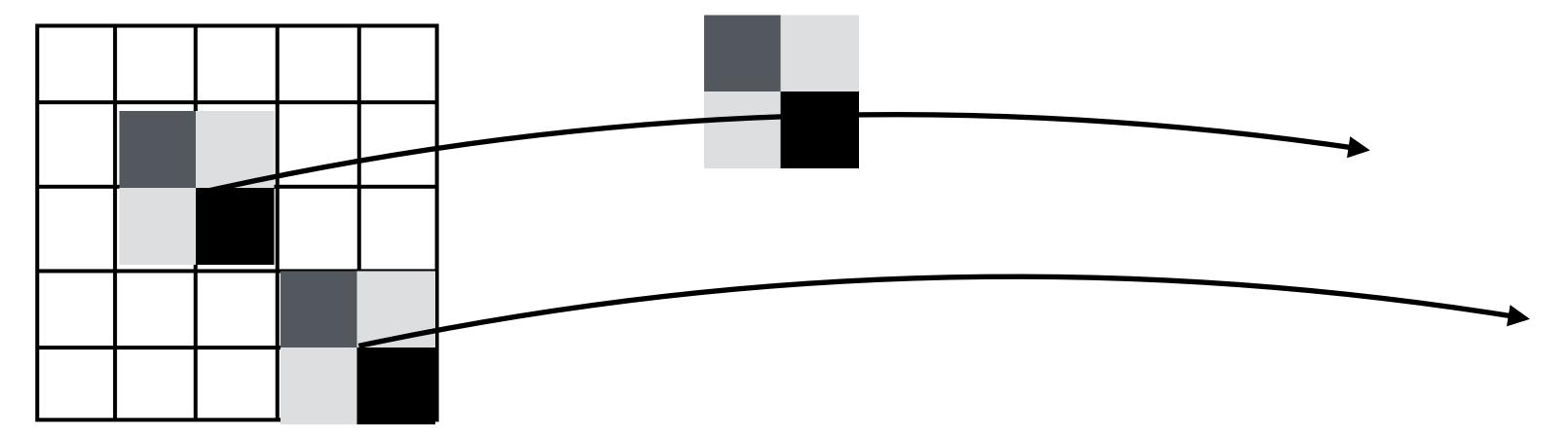
image: n x n x k filter: m x m x k





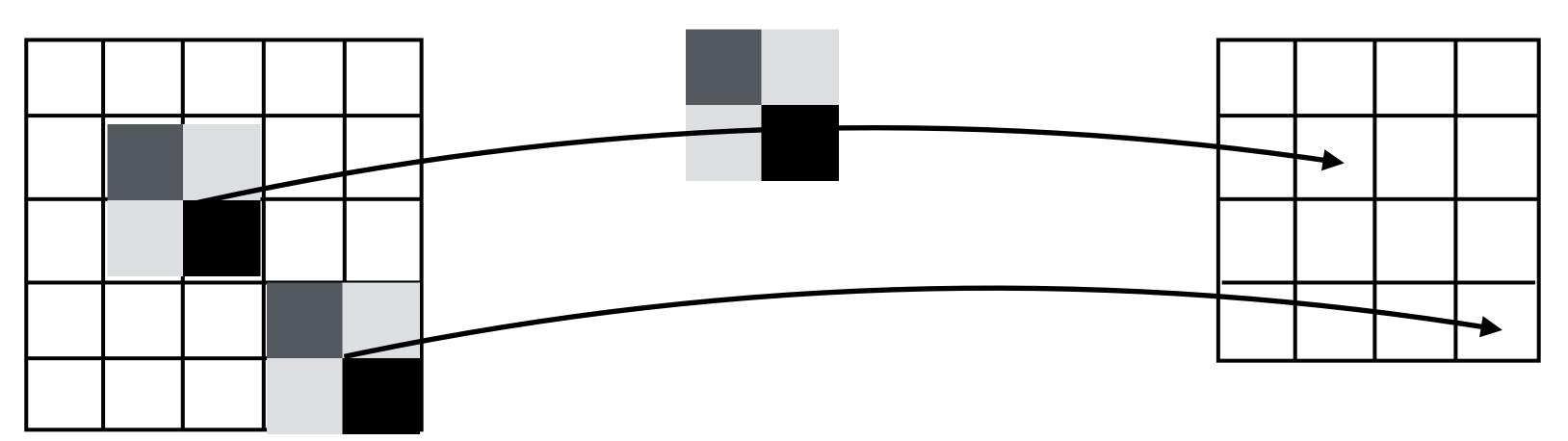
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image: n x n x k filter: m x m x k



- Applies a filter over patches of the input and returns that filter's activations
- Convolution: take dot product of filter with a patch of the input

image: $n \times n \times k$ filter: $m \times m \times k$ activations: $(n - m + 1) \times (n - m + 1) \times 1$

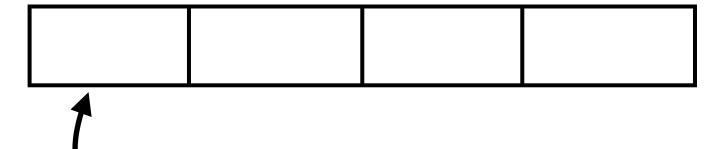


Input and filter are 2-dimensional instead of 3-dimensional

Input and filter are 2-dimensional instead of 3-dimensional

sentence: n words x k vec dim

the movie was good



vector for each word

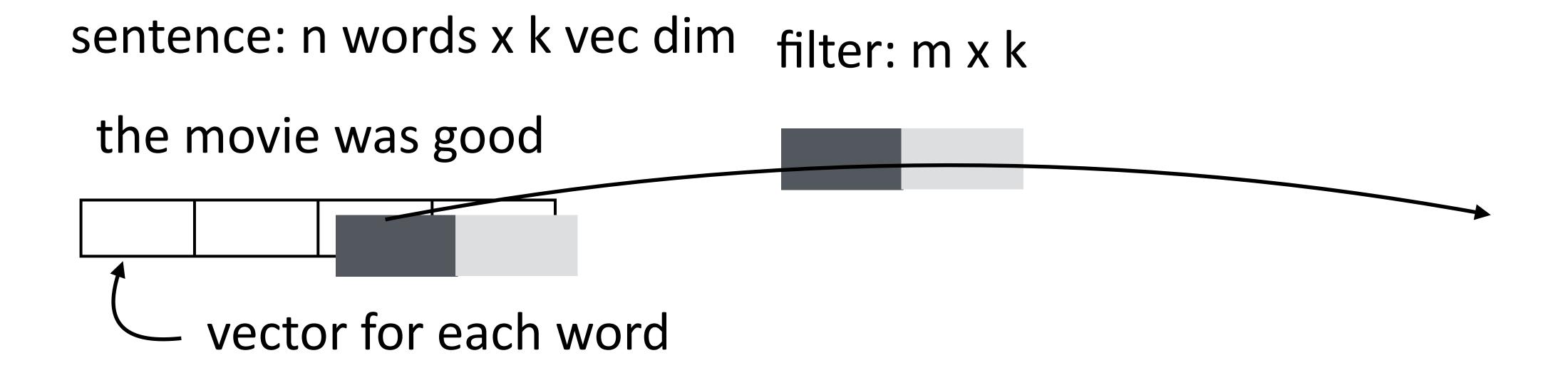
Input and filter are 2-dimensional instead of 3-dimensional

sentence: n words x k vec dim filter: m x k

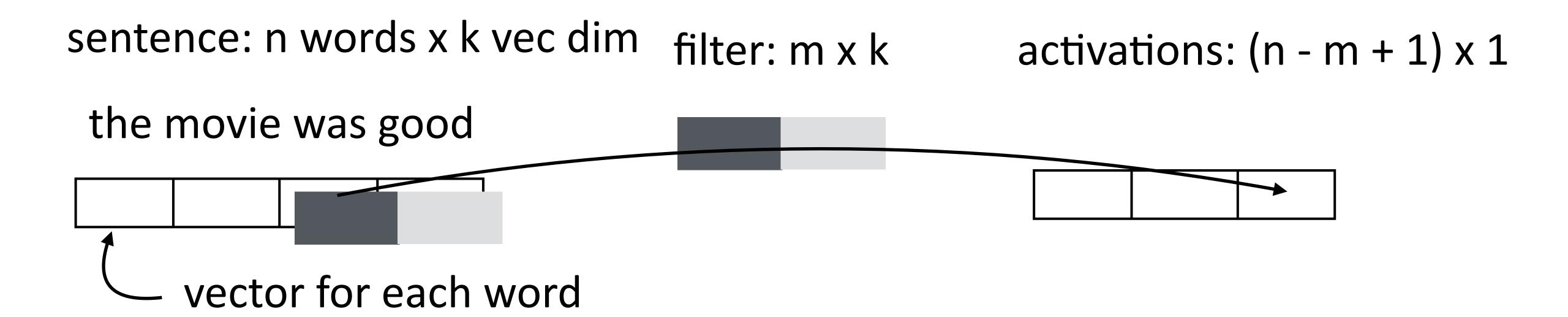
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vector for each word

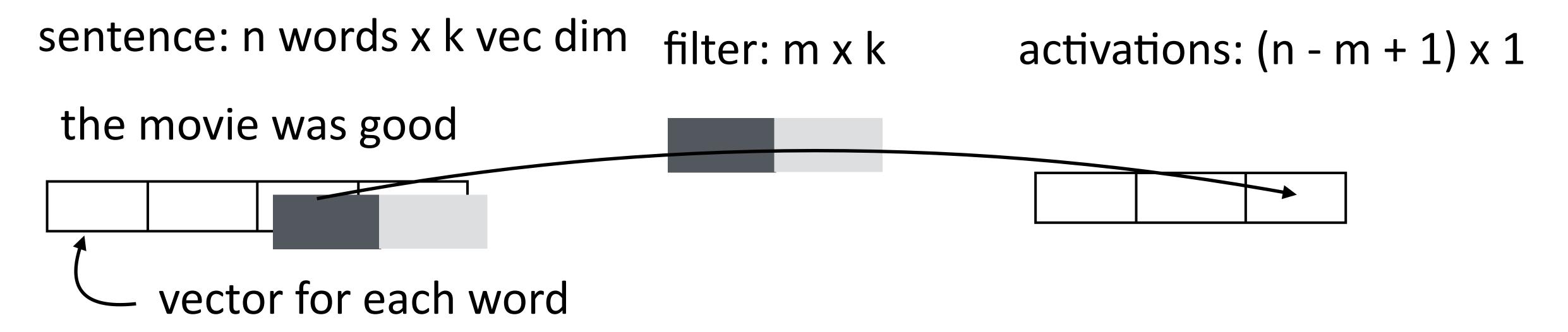
Input and filter are 2-dimensional instead of 3-dimensional



Input and filter are 2-dimensional instead of 3-dimensional



Input and filter are 2-dimensional instead of 3-dimensional



 Combines evidence locally in a sentence and produces a new (but still variable-length) representation

Compare: CNNs vs. LSTMs

n x k

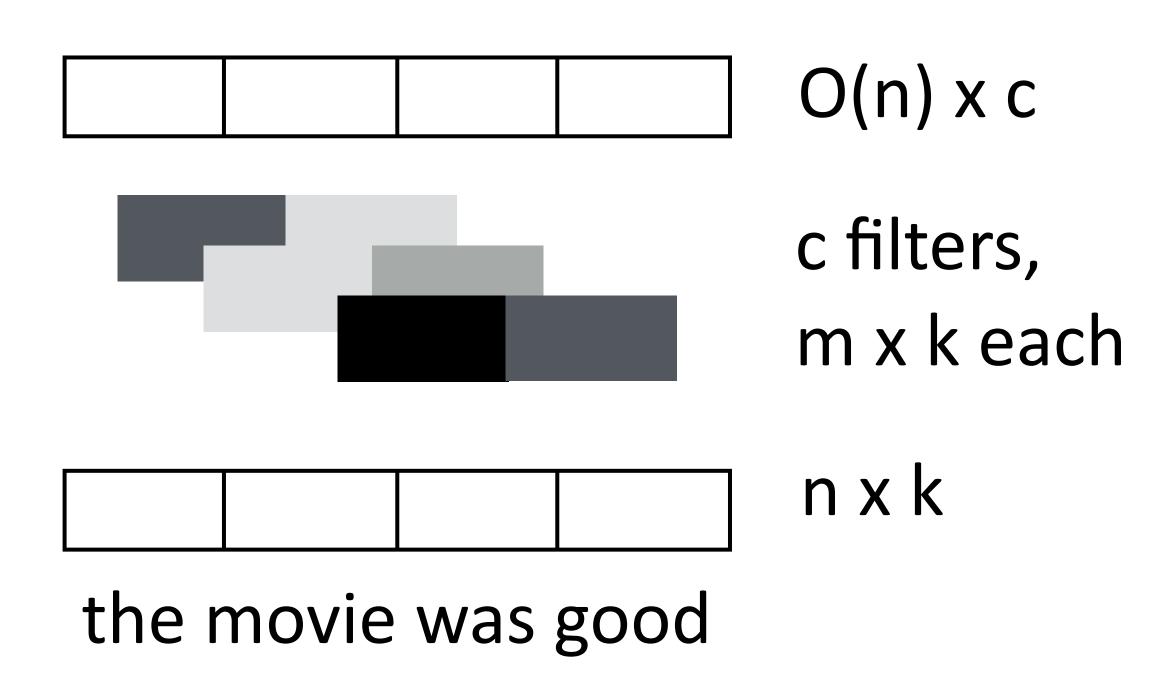
the movie was good

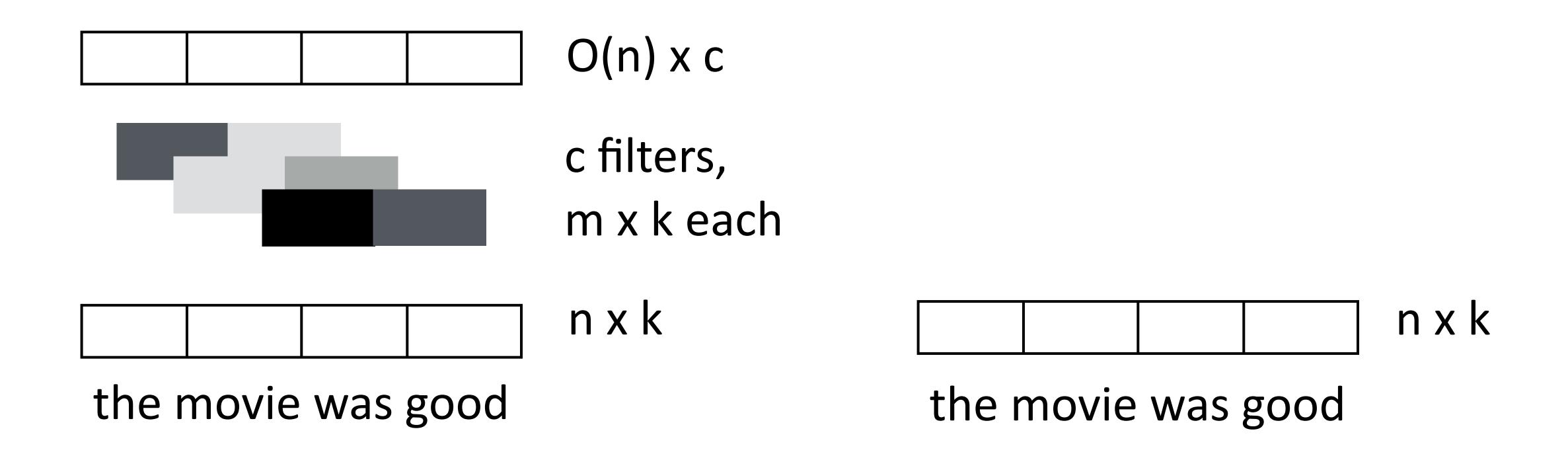


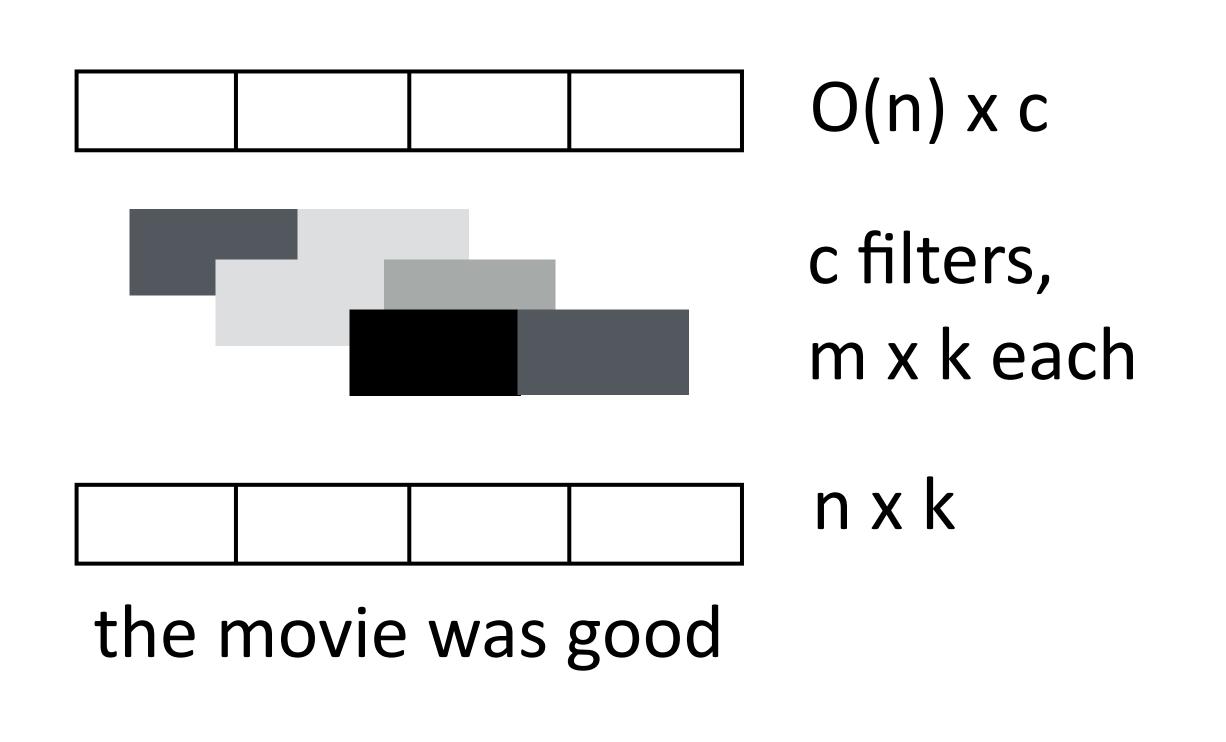
c filters, m x k each

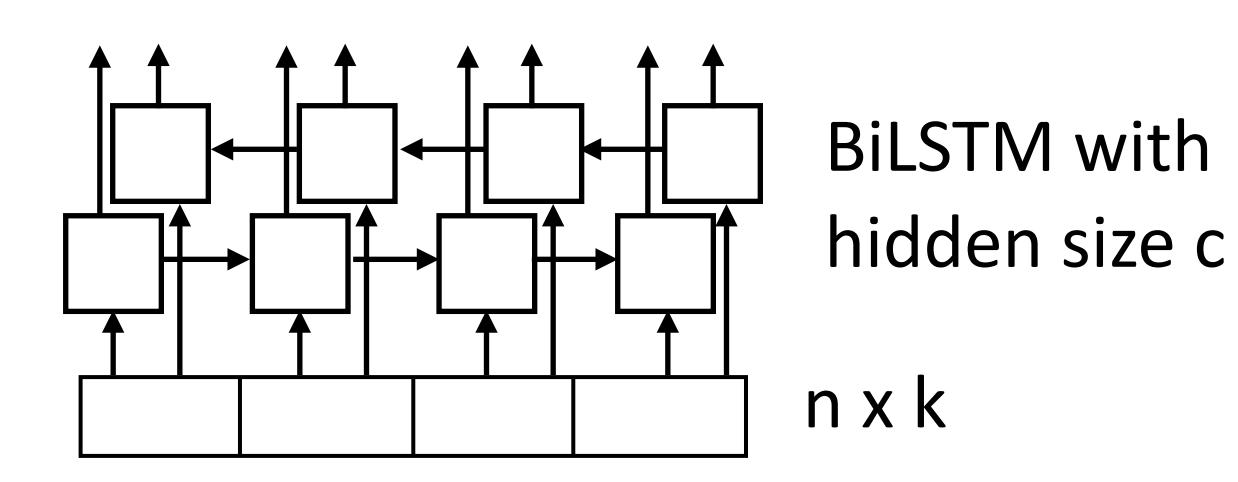
nxk

the movie was good

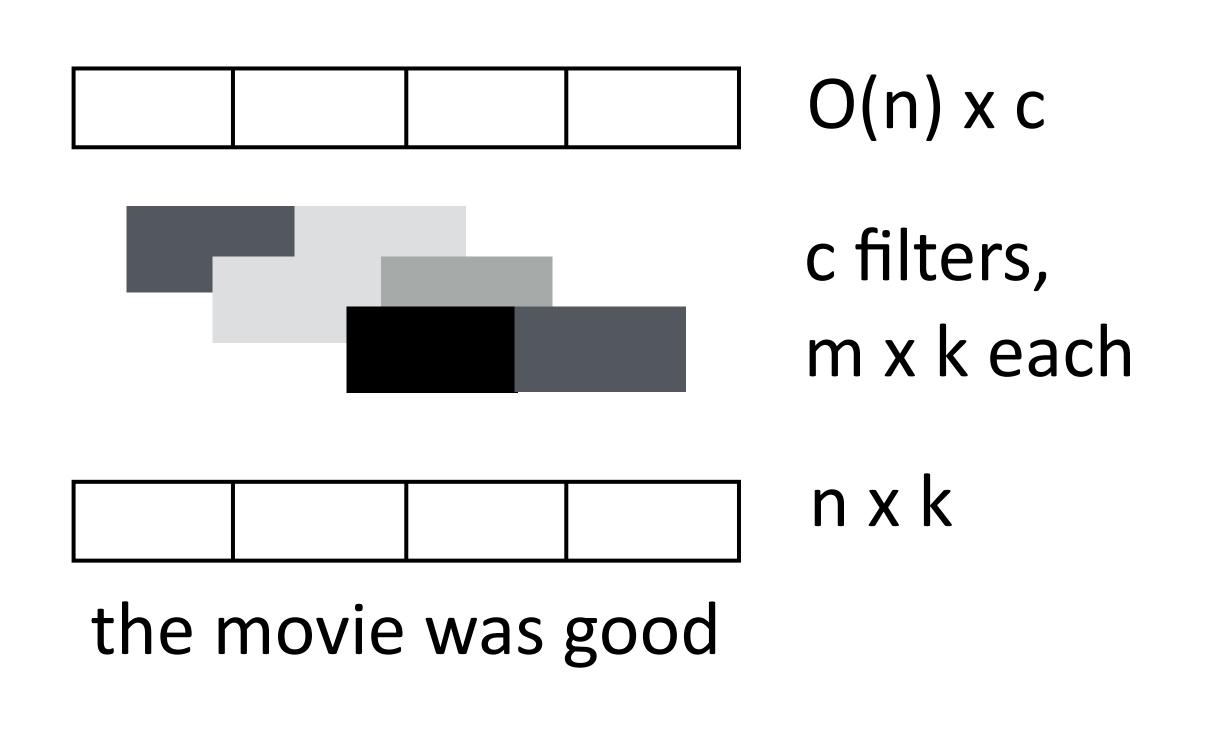


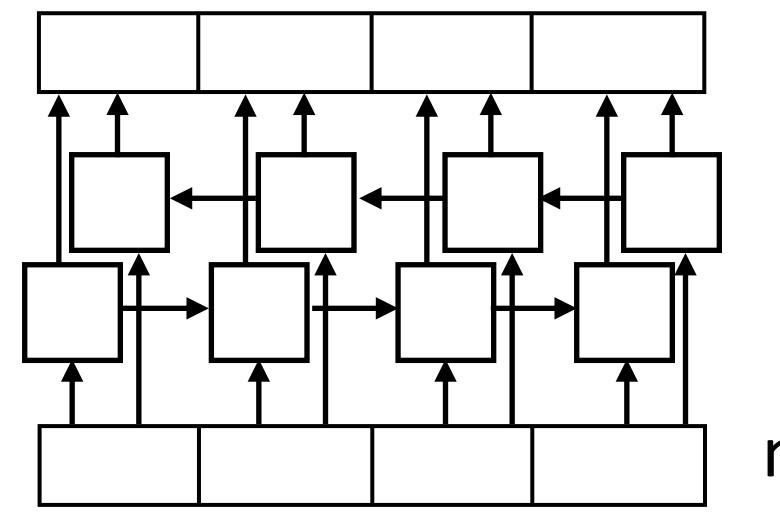






the movie was good

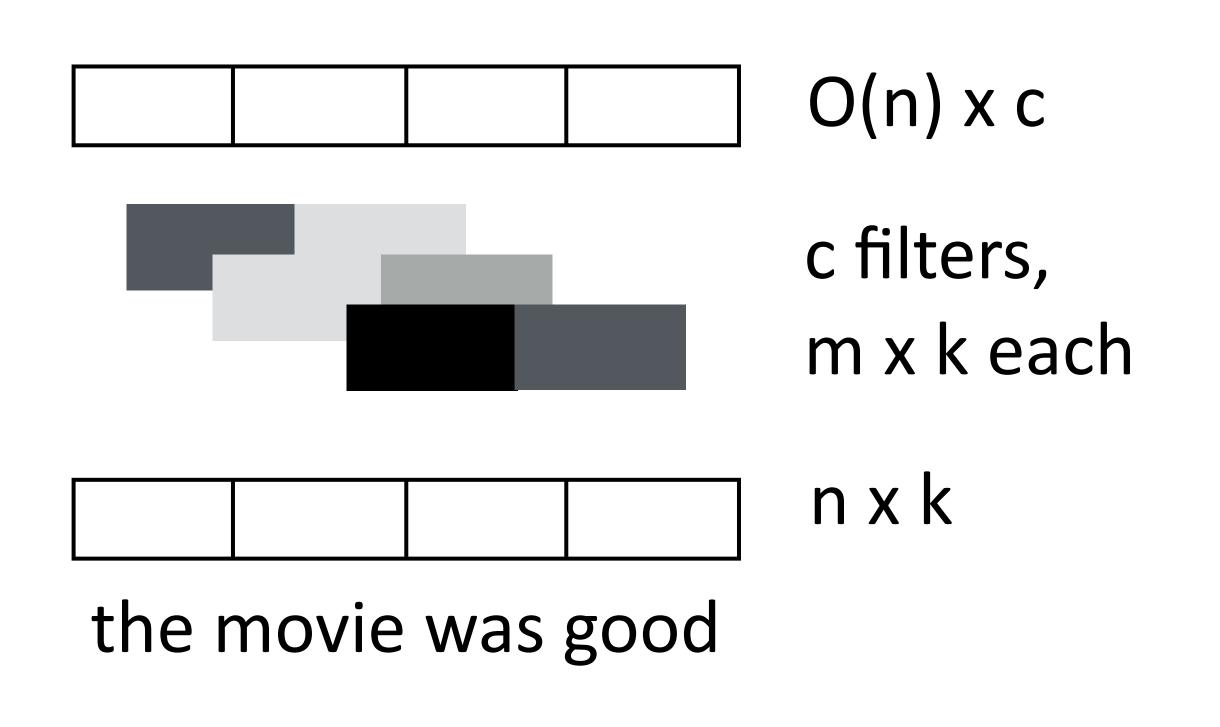


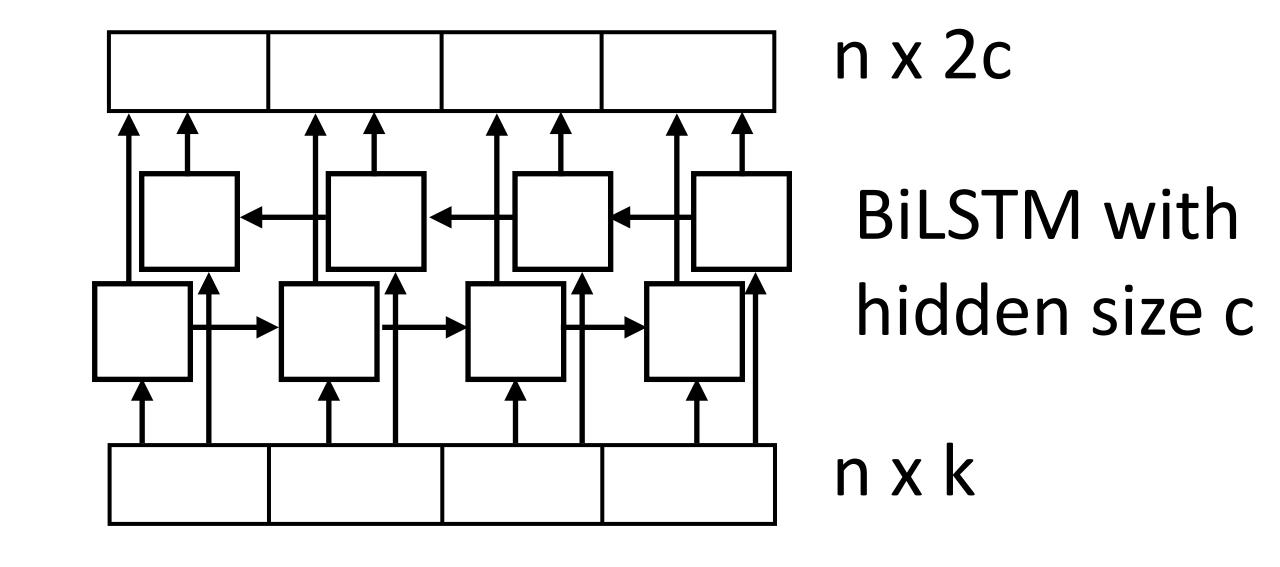


the movie was good

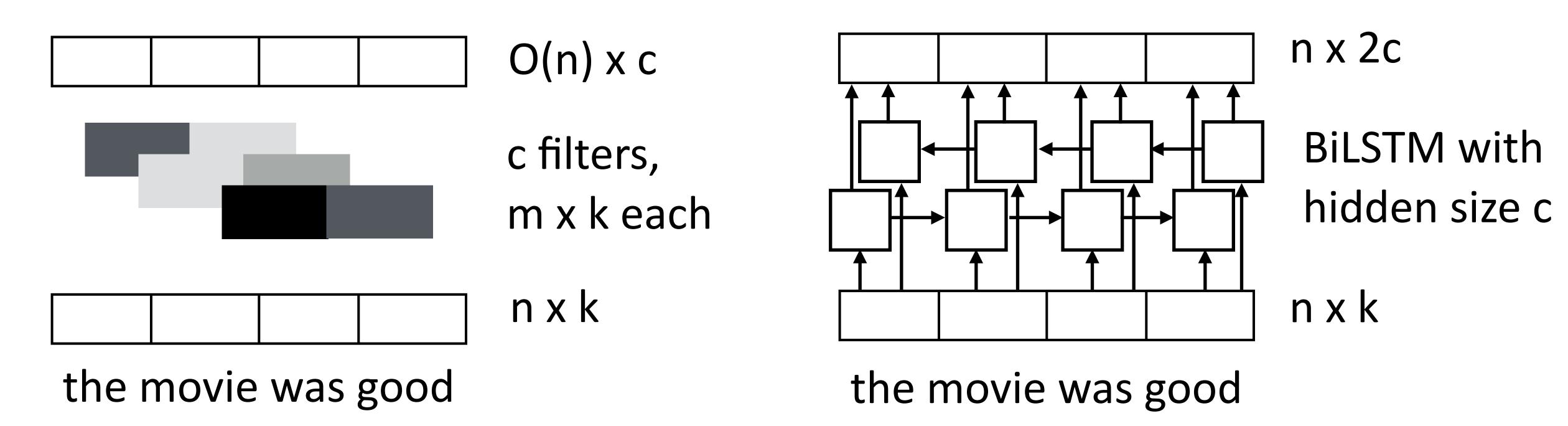
BiLSTM with hidden size c

nxk

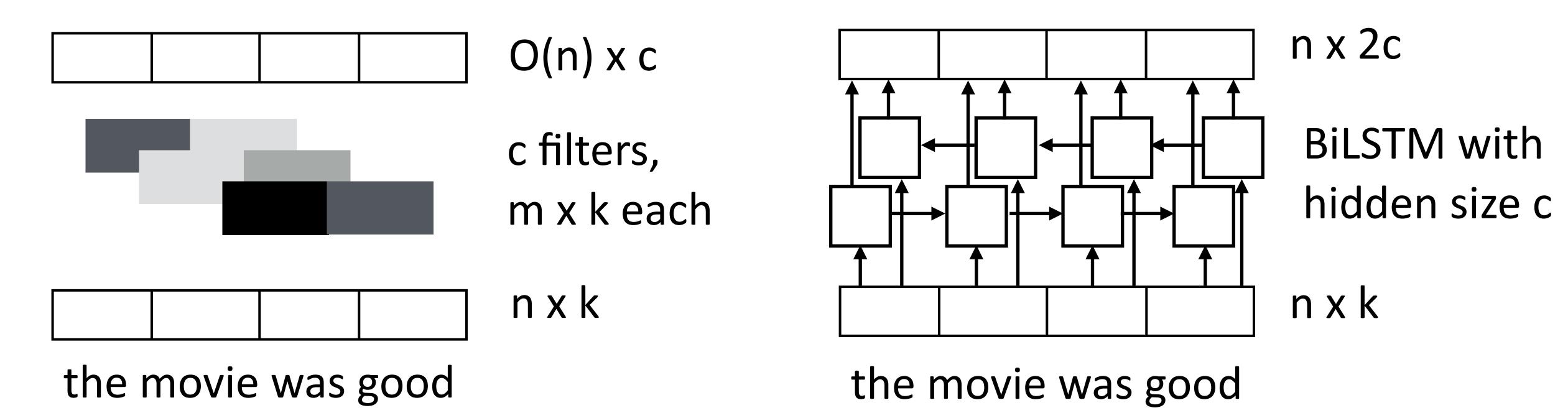




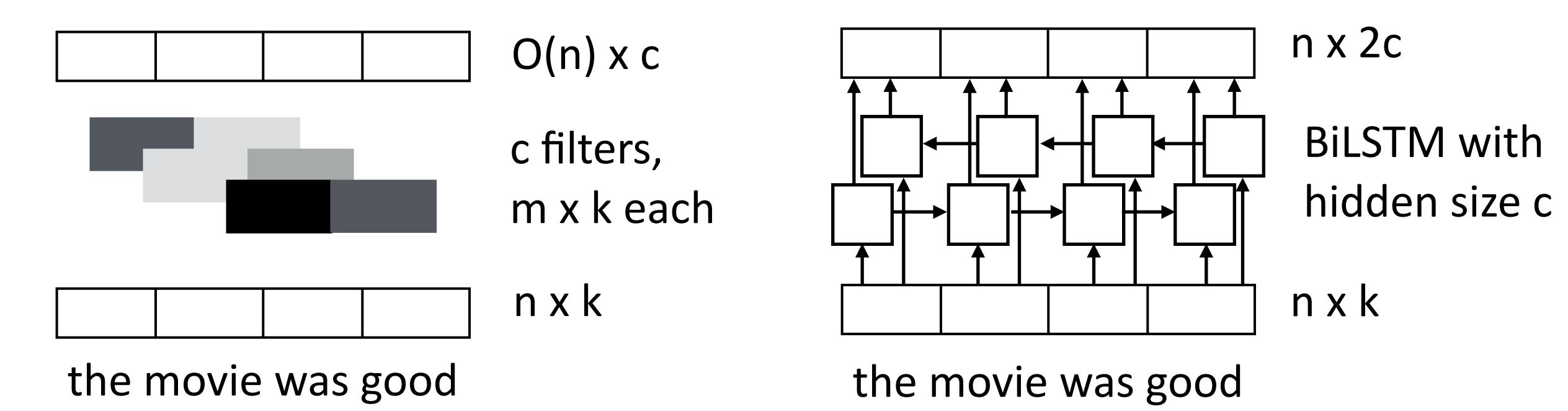
the movie was good



Both LSTMs and convolutional layers transform the input using context



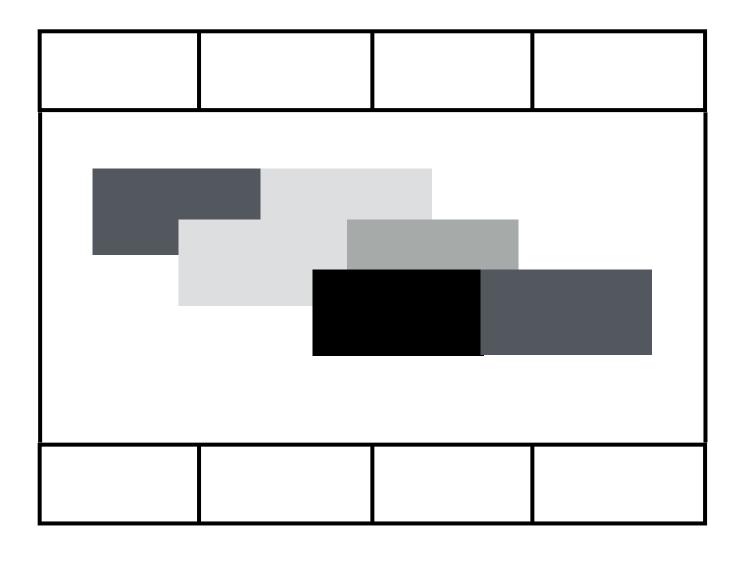
- Both LSTMs and convolutional layers transform the input using context
- LSTM: "globally" looks at the entire sentence (but local for many problems)



- Both LSTMs and convolutional layers transform the input using context
- LSTM: "globally" looks at the entire sentence (but local for many problems)
- CNN: local depending on filter width + number of layers

CNNs for Sentiment

CNNs for Sentiment Analysis



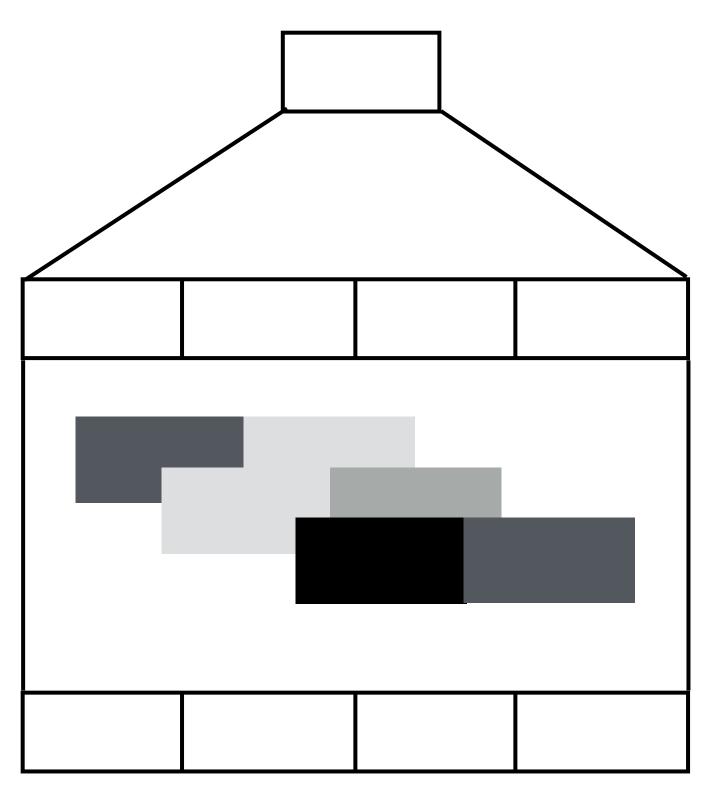
n x c

c filters, m x k each

n x k

the movie was good

CNNs for Sentiment Analysis



the movie was good

c-dimensional vector
max pooling over the sentence

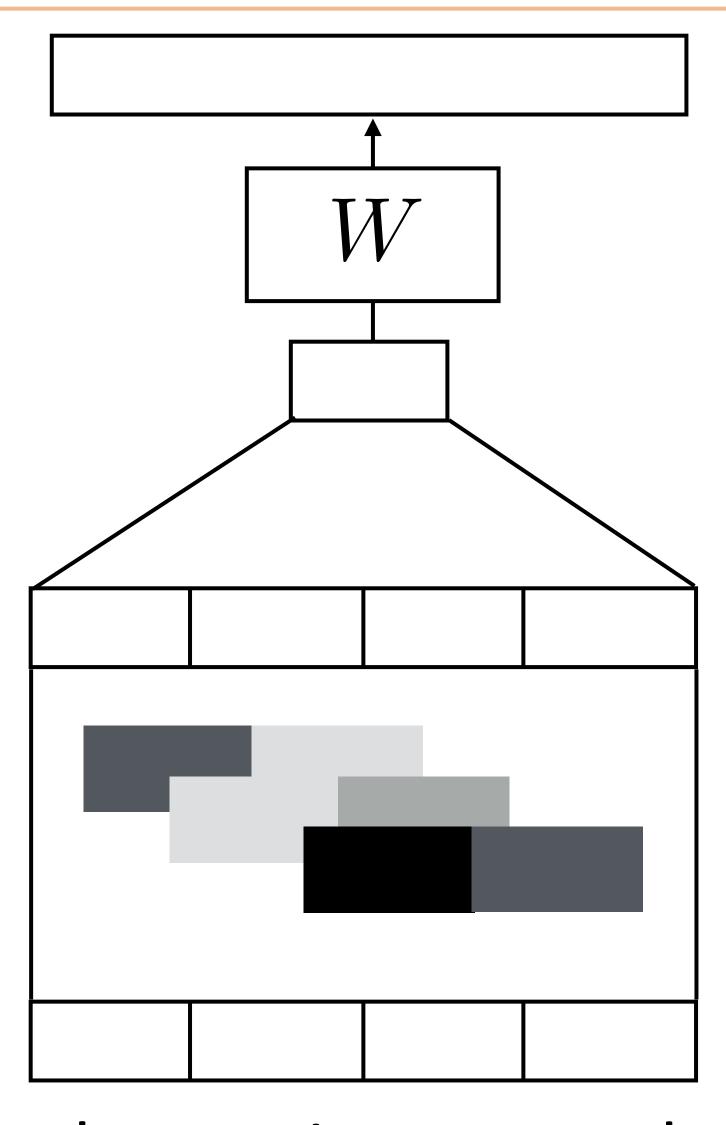
n x c

c filters, m x k each

nxk

 Max pooling: return the max activation of a given filter over the entire sentence; like a logical OR (sum pooling is like logical AND)

CNNs for Sentiment Analysis



the movie was good

$$P(y|\mathbf{x})$$

projection + softmax

c-dimensional vector

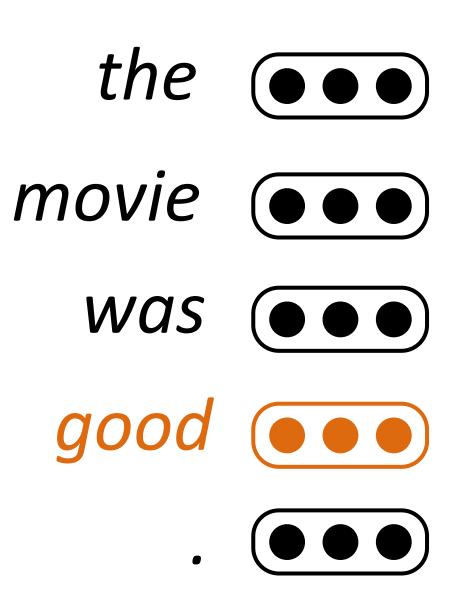
max pooling over the sentence

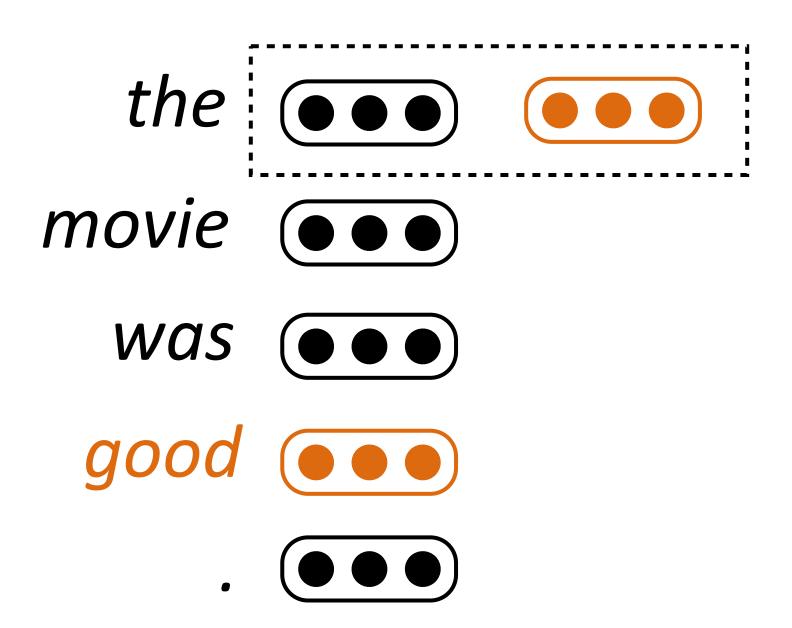
n x c

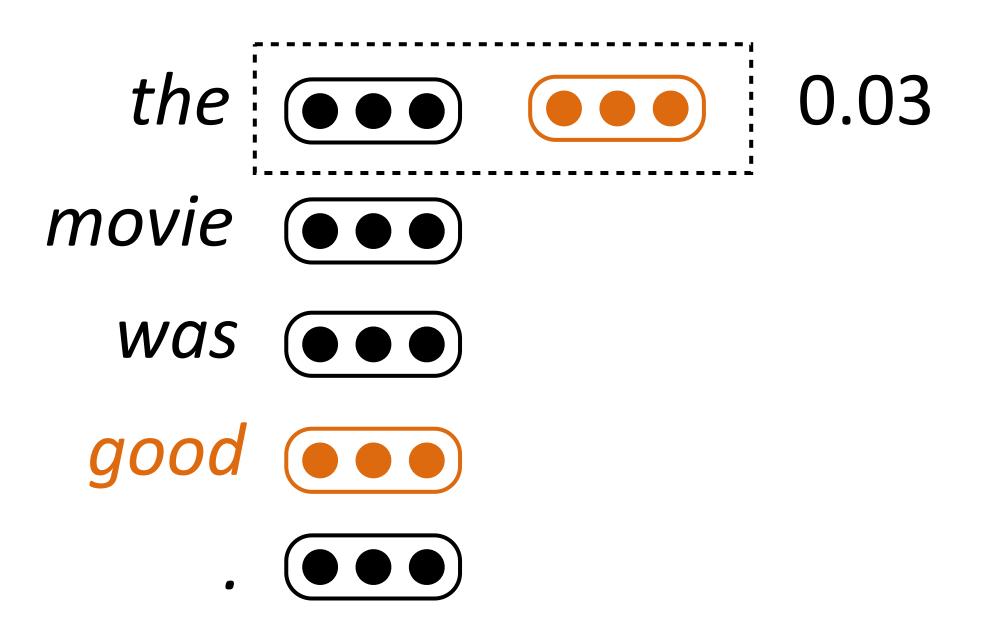
c filters, m x k each

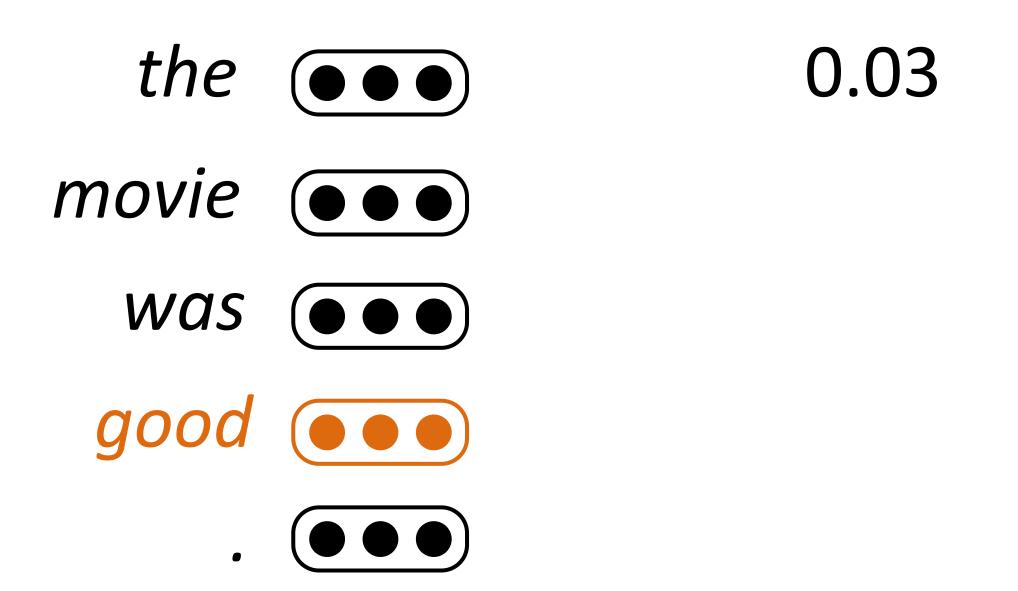
nxk

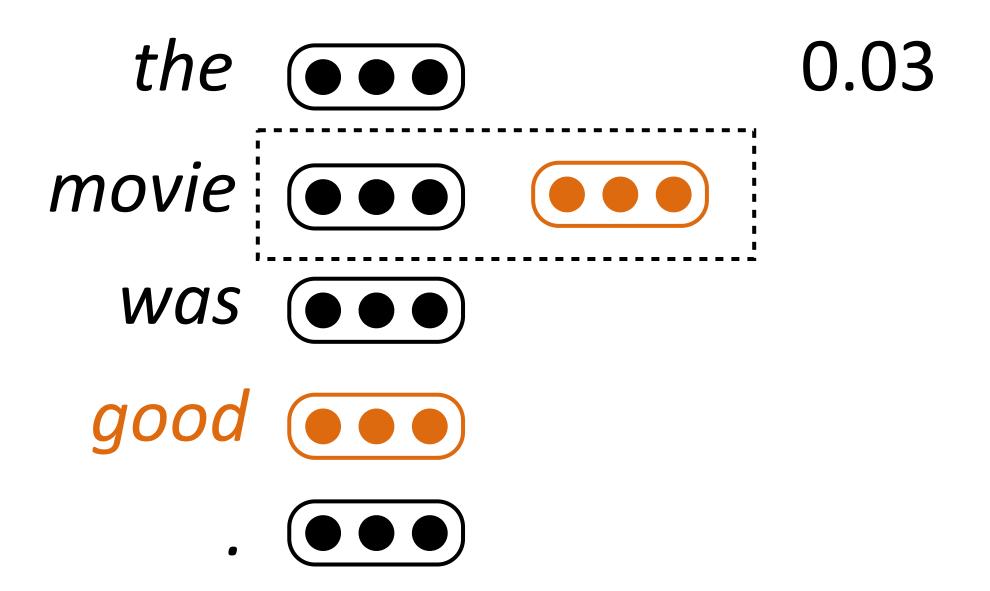
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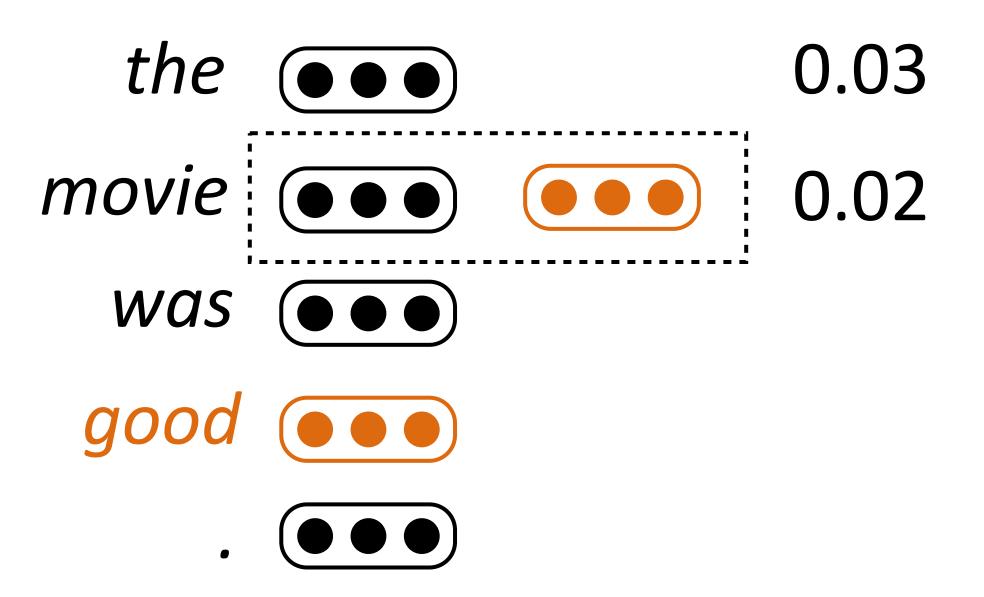




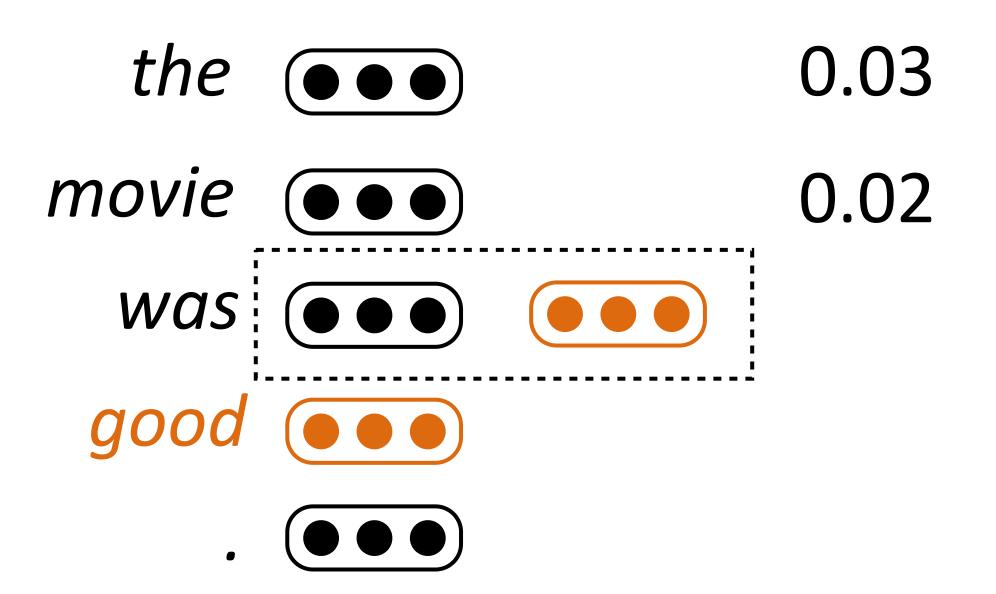


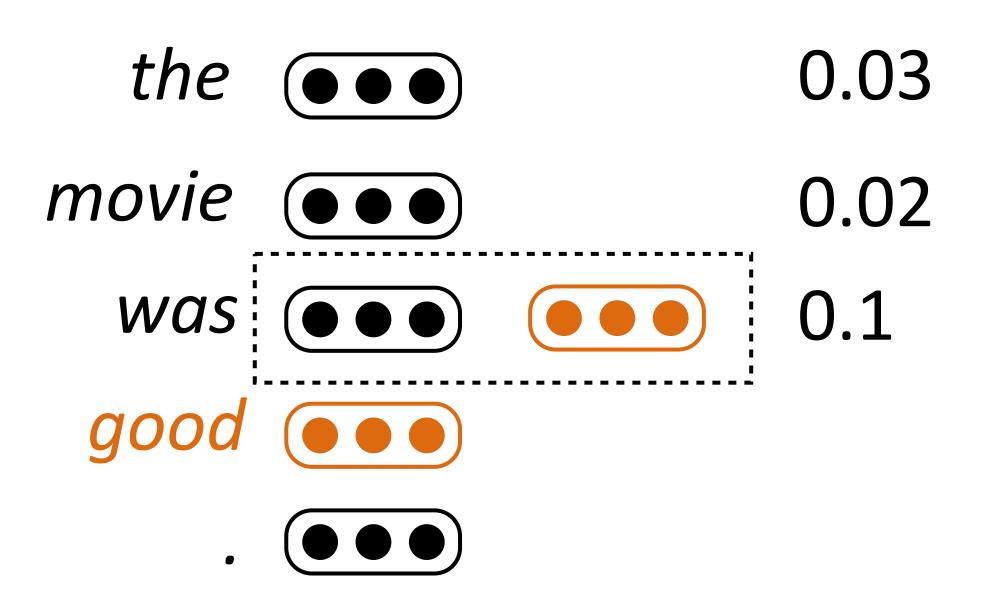




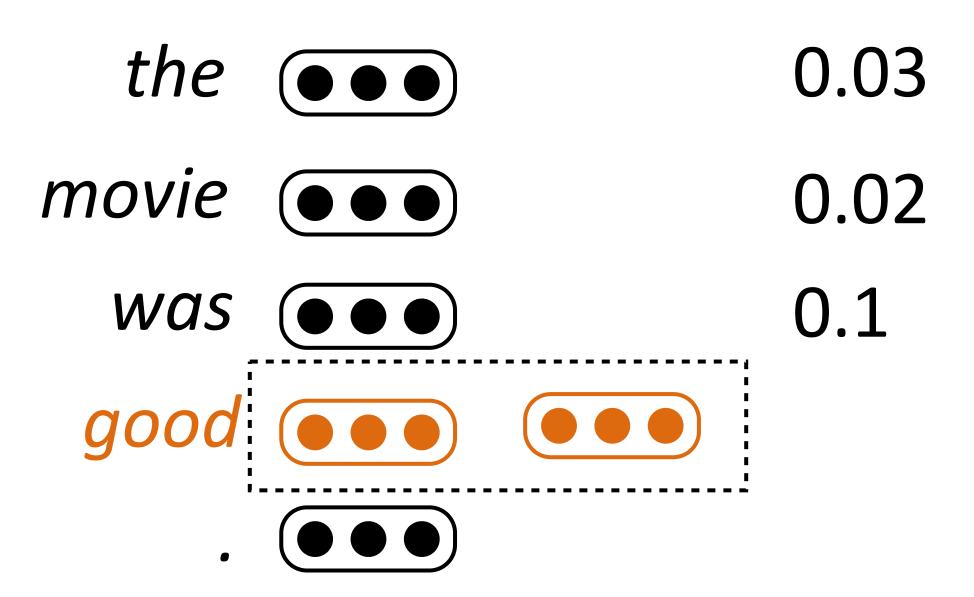


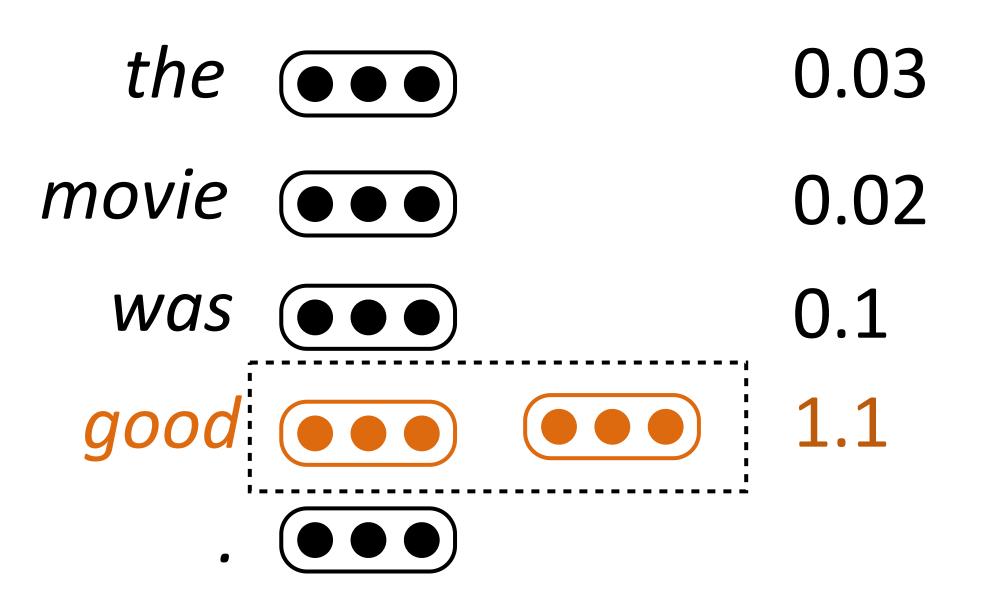




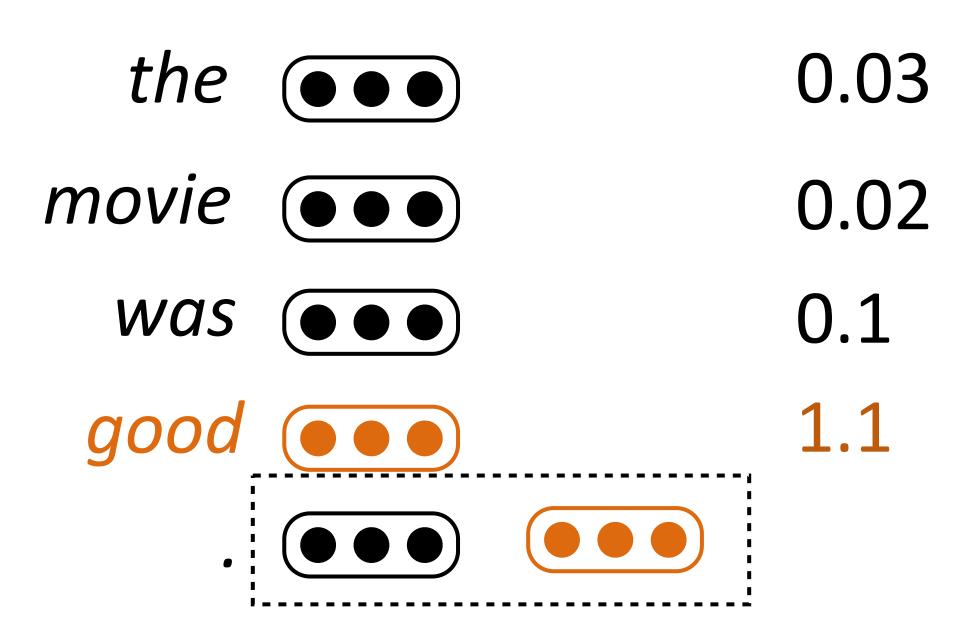


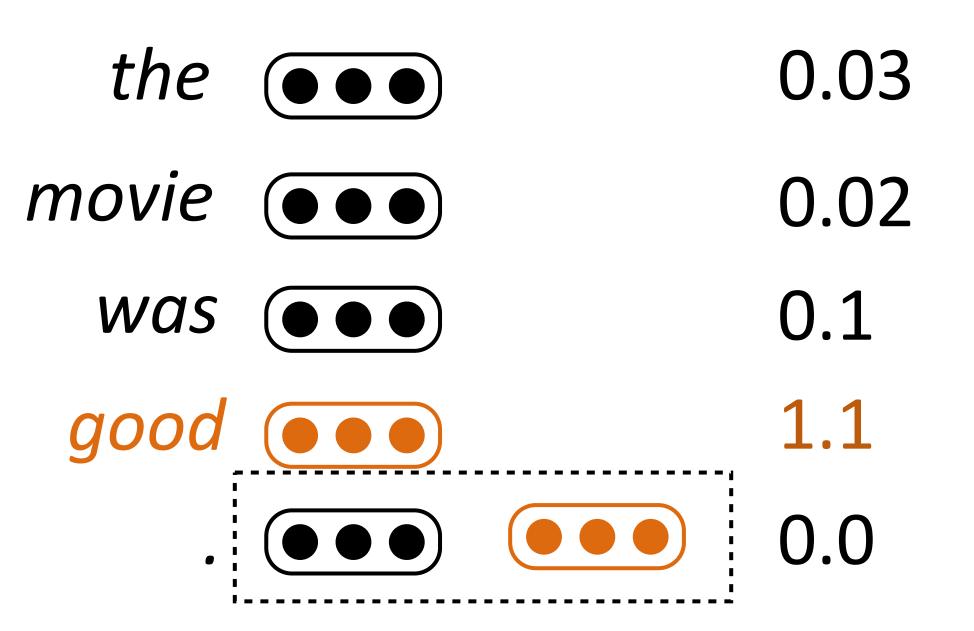


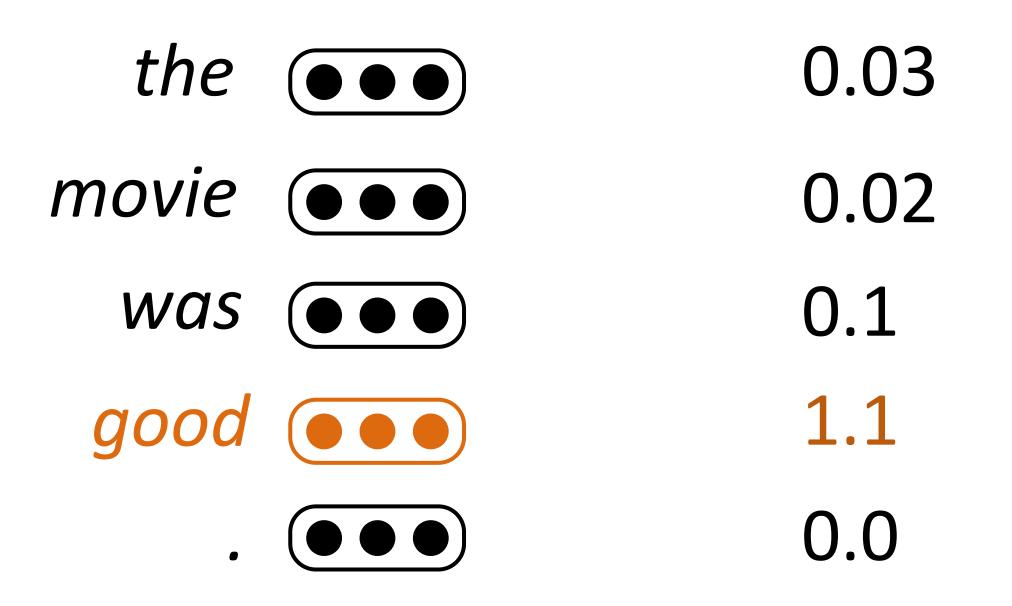


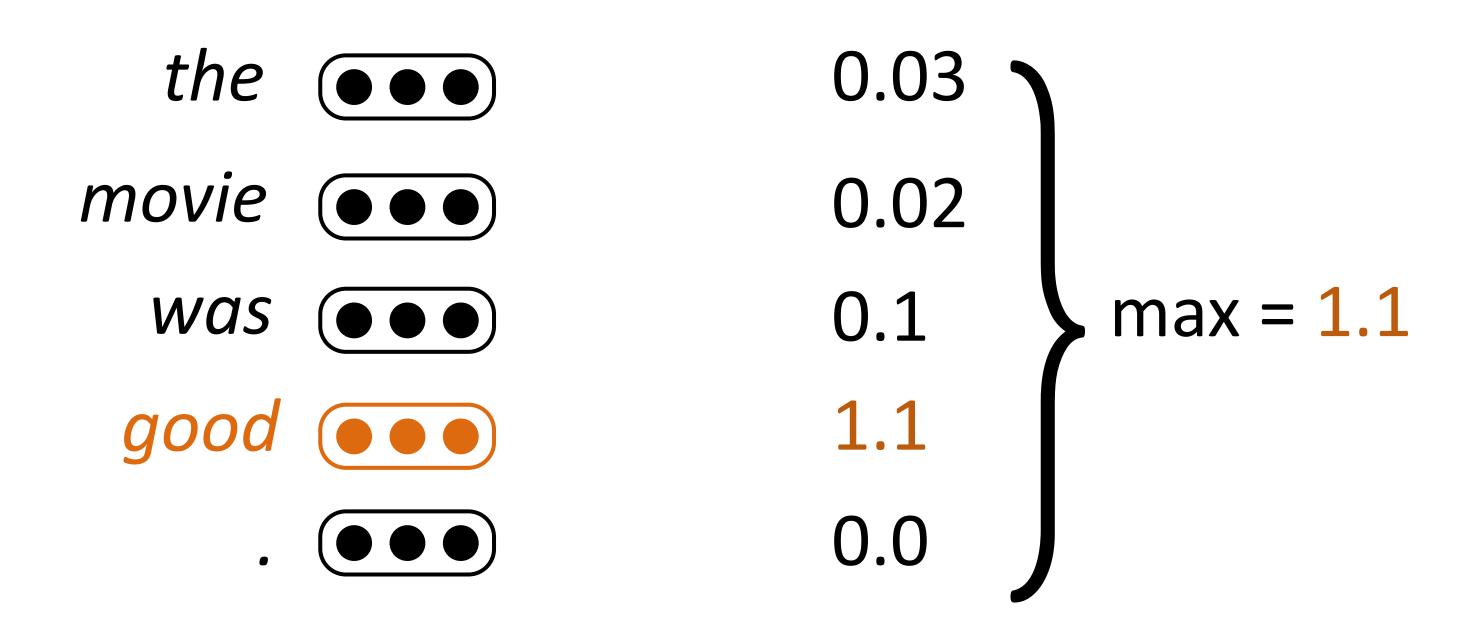




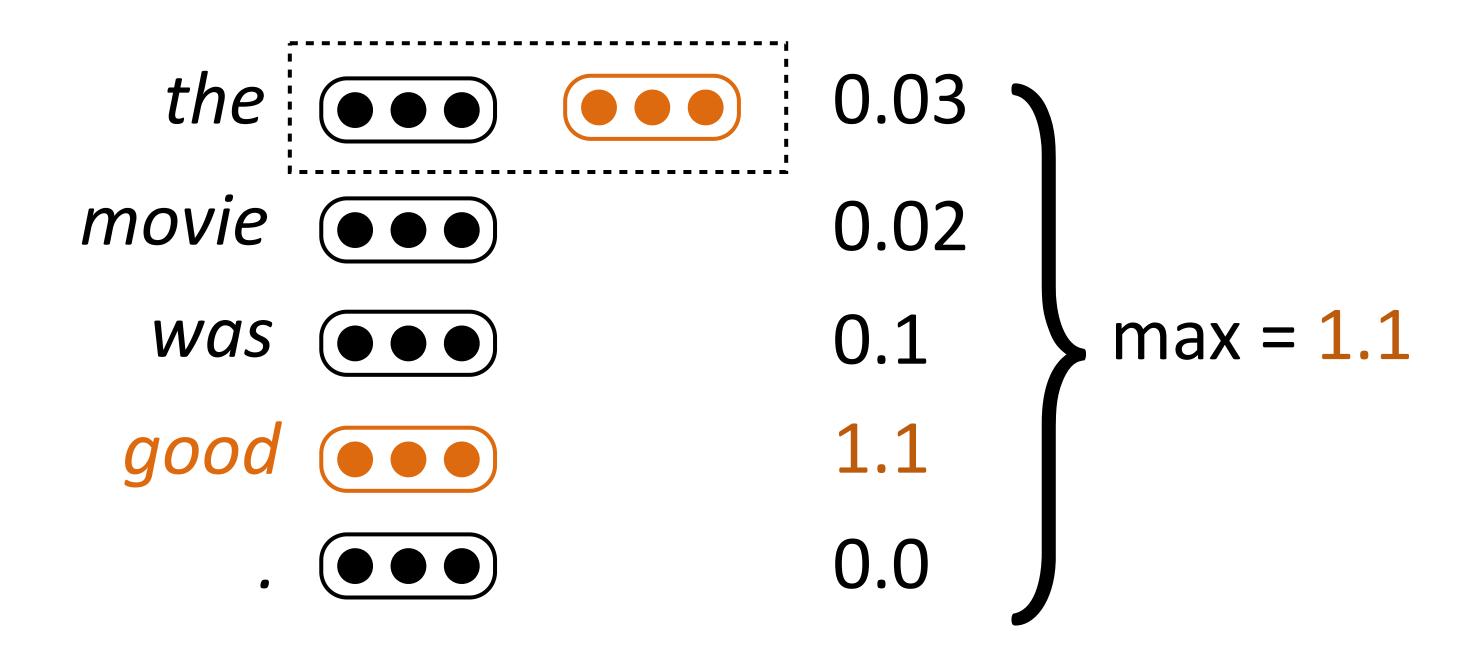


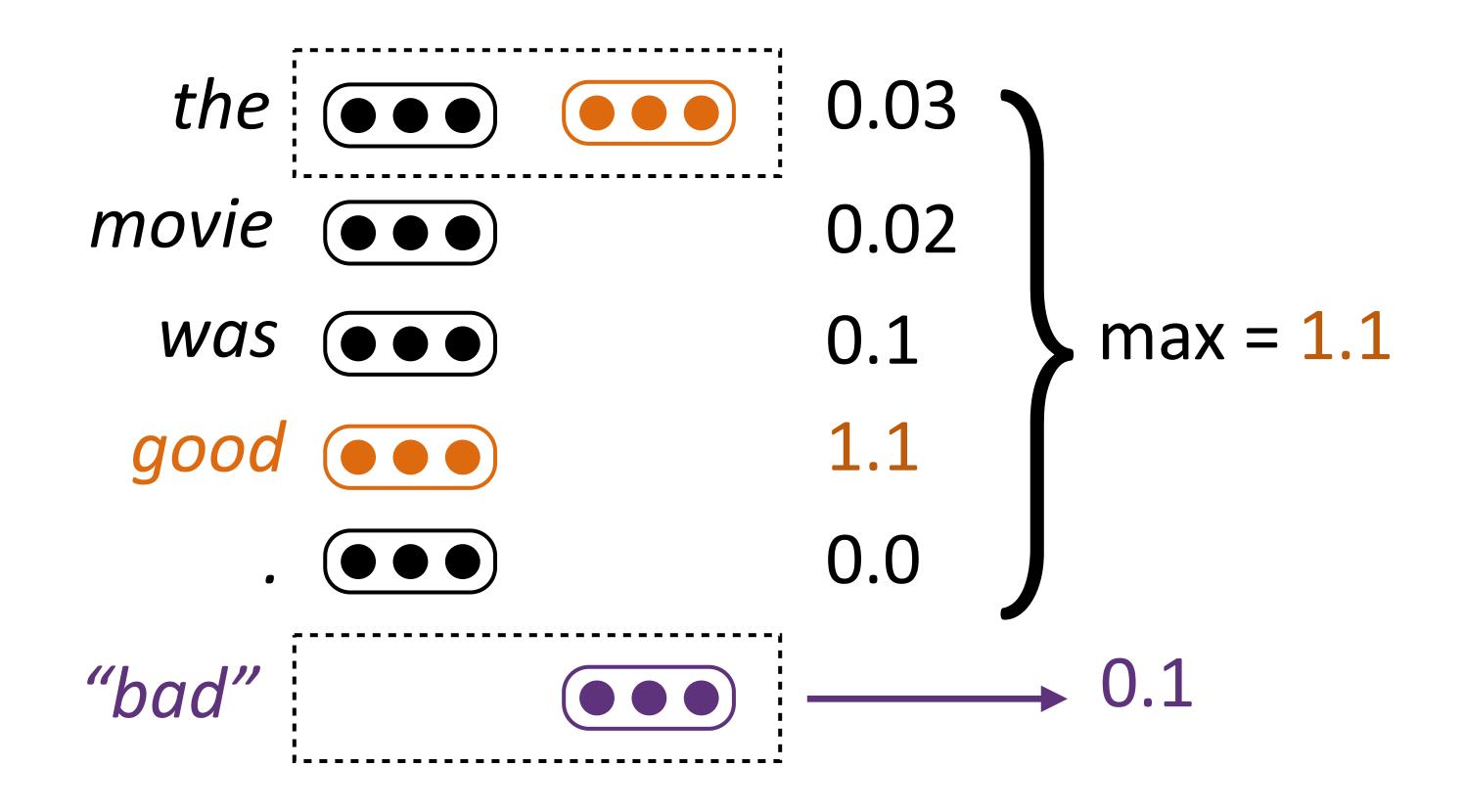


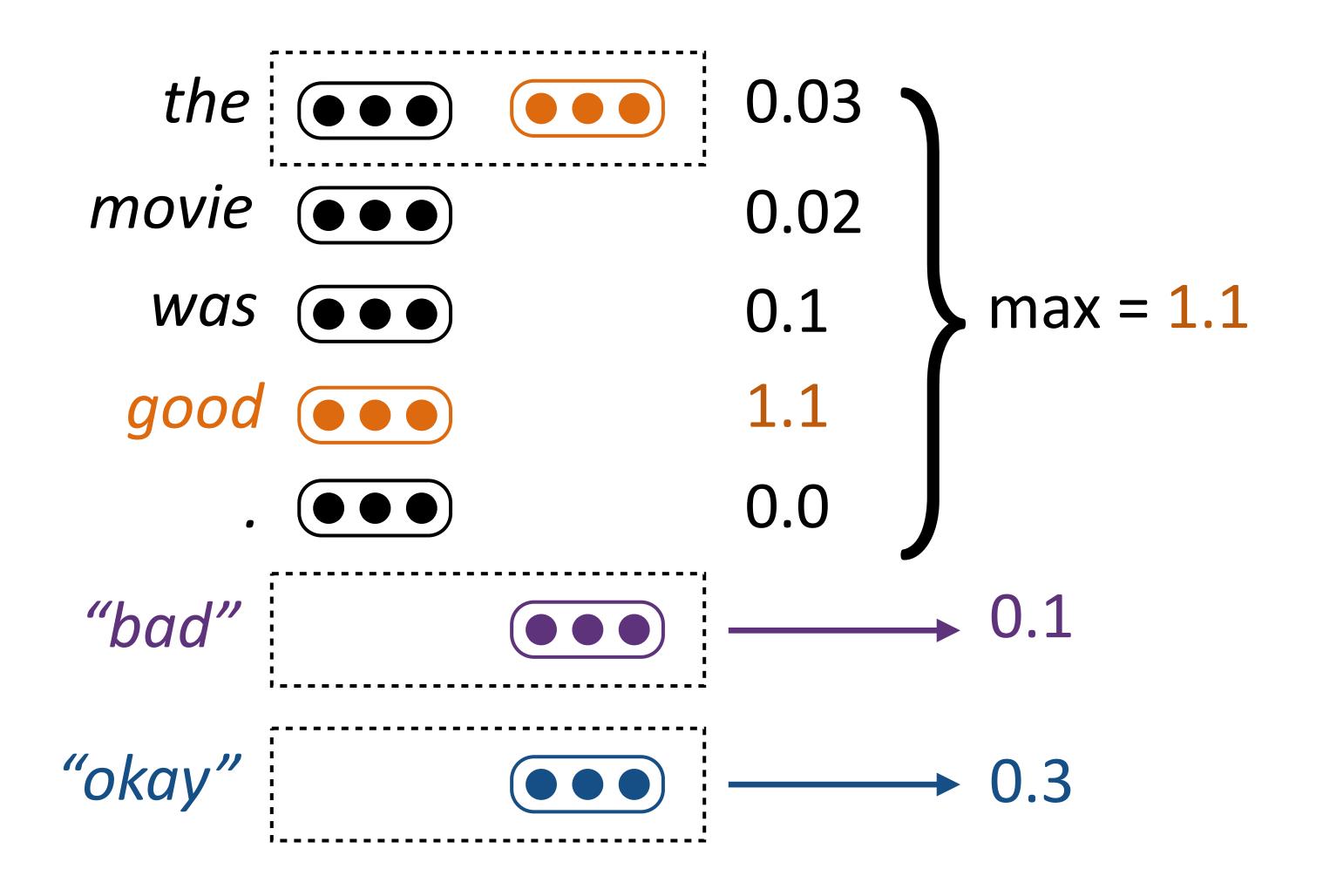


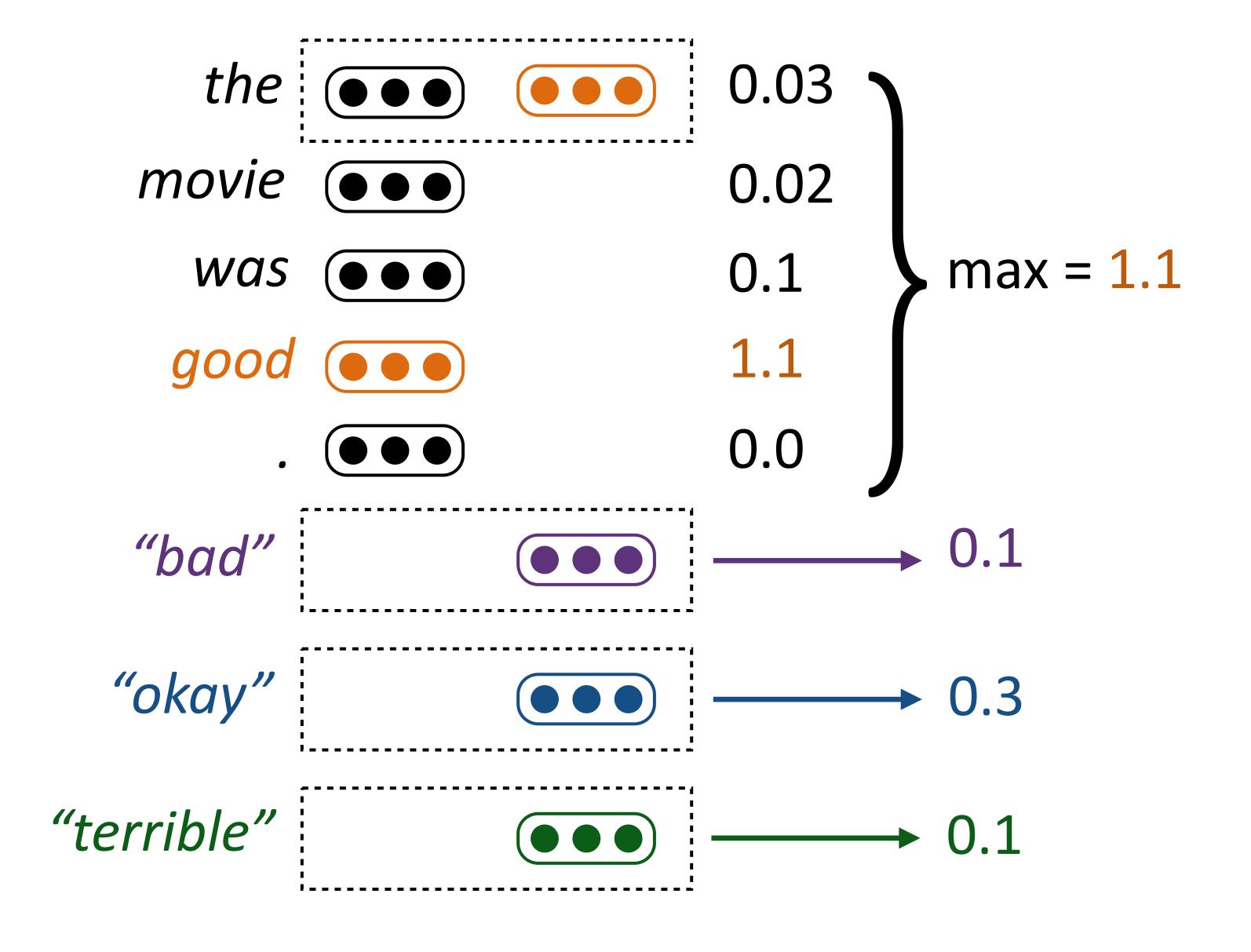


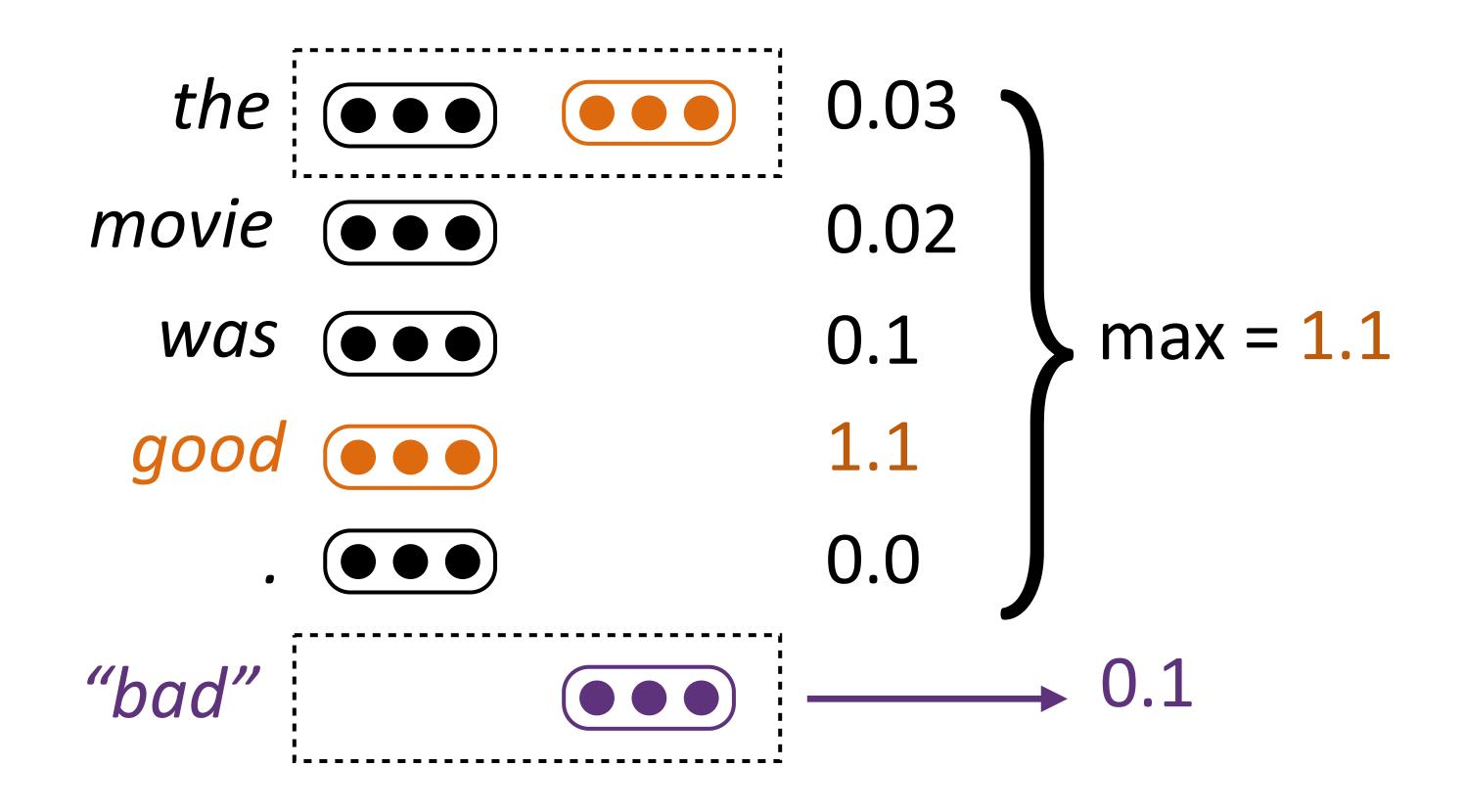


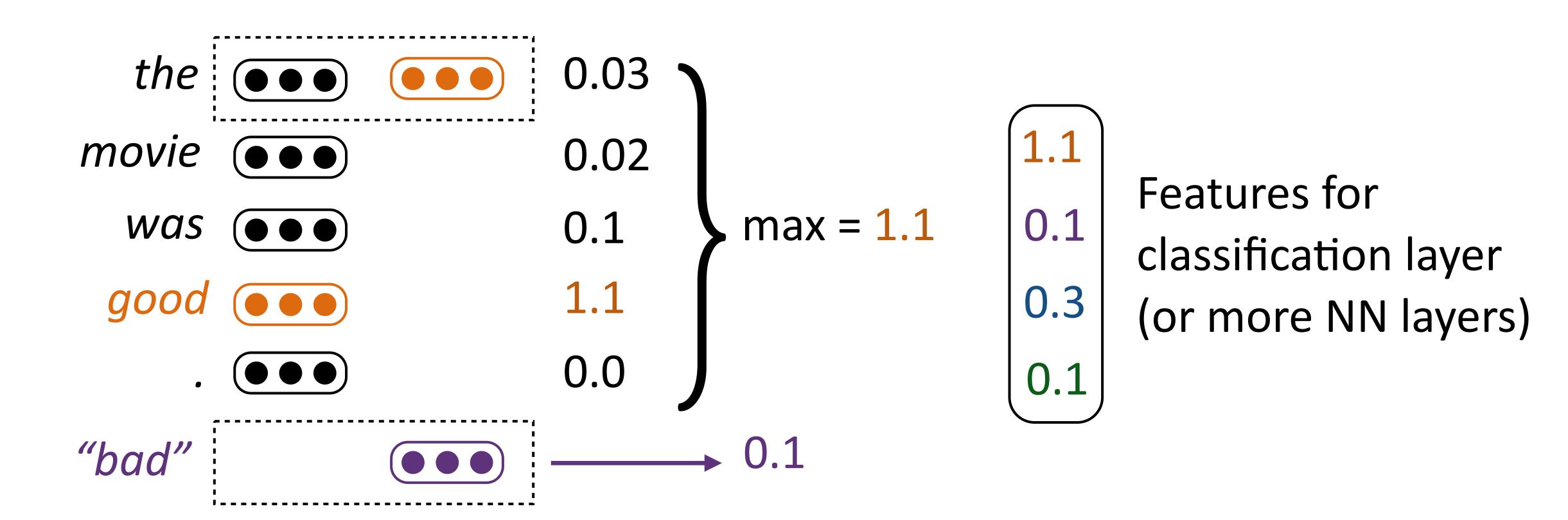


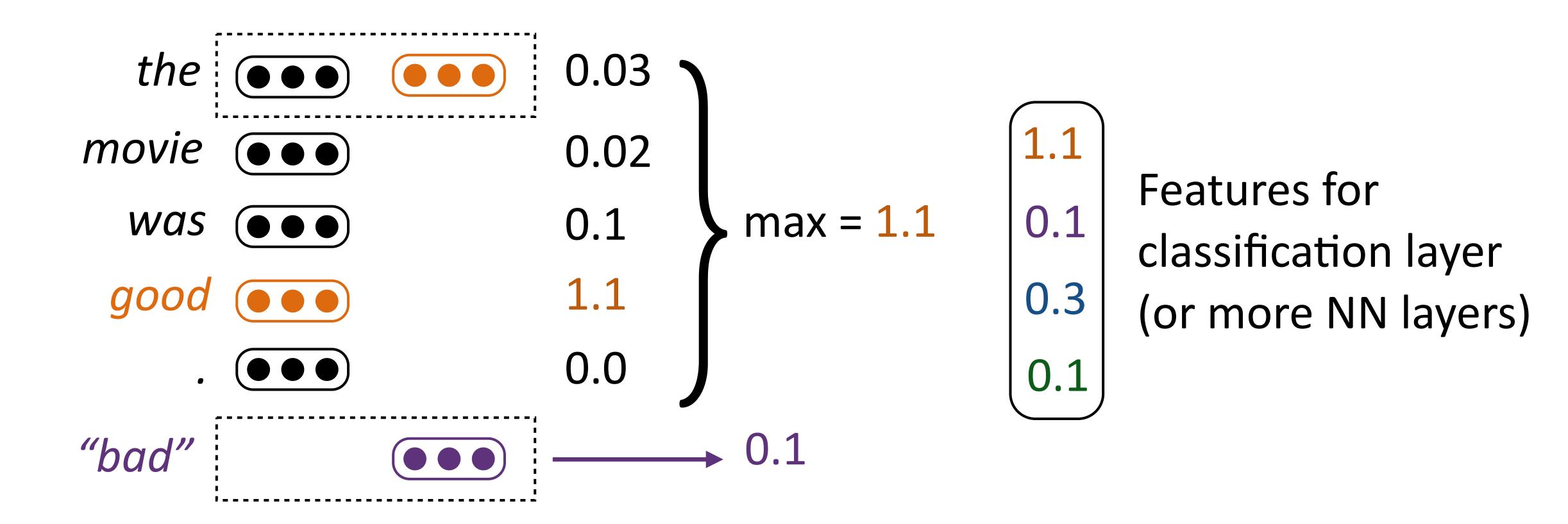




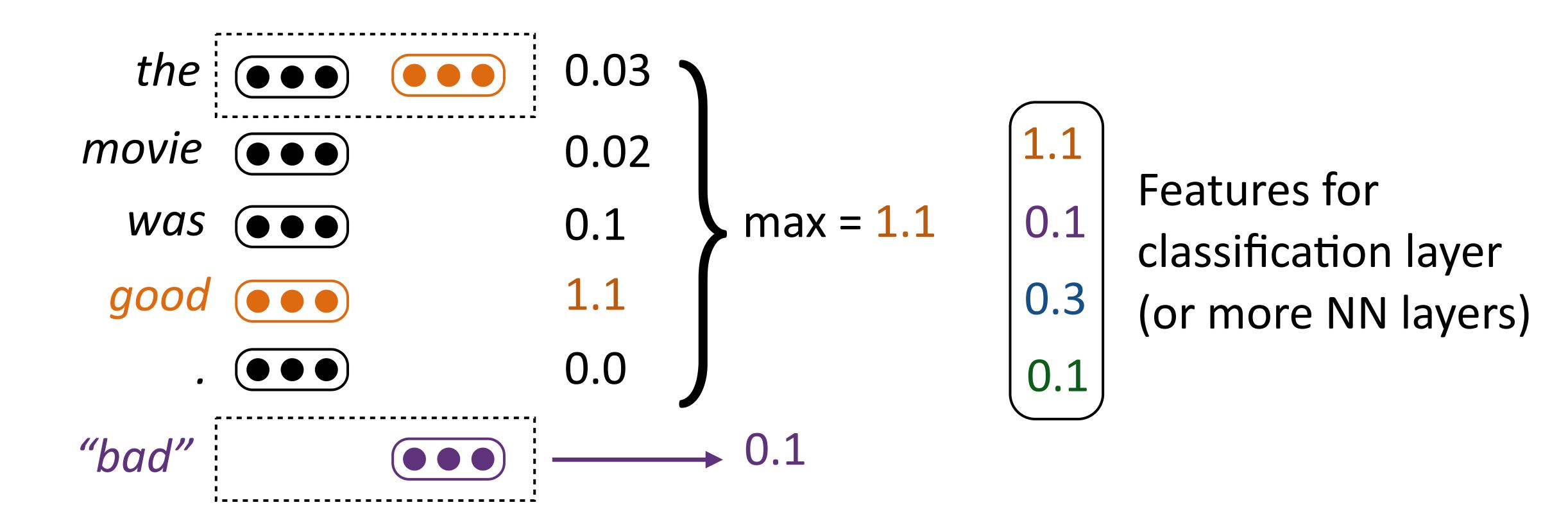




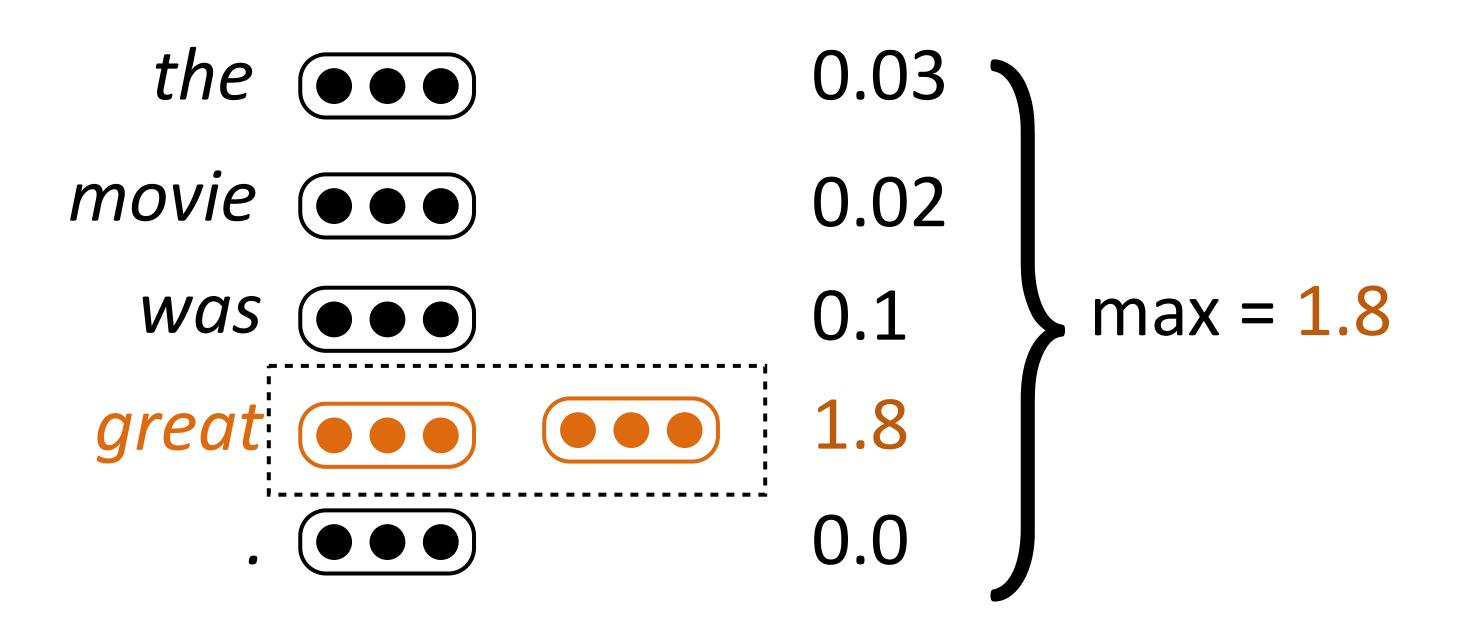




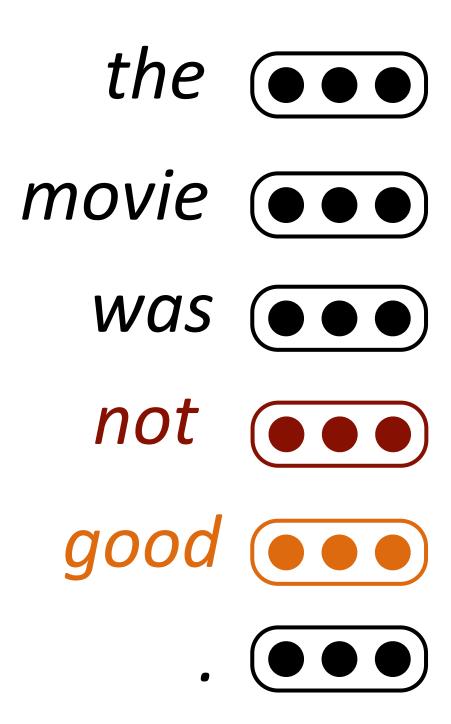
Takes variable-length input and turns it into fixed-length output

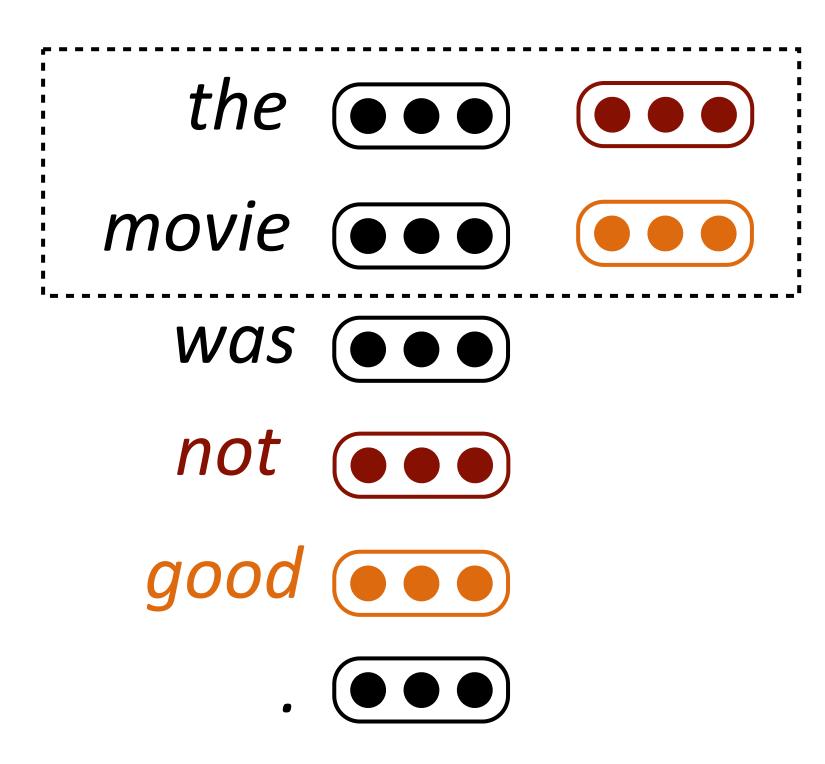


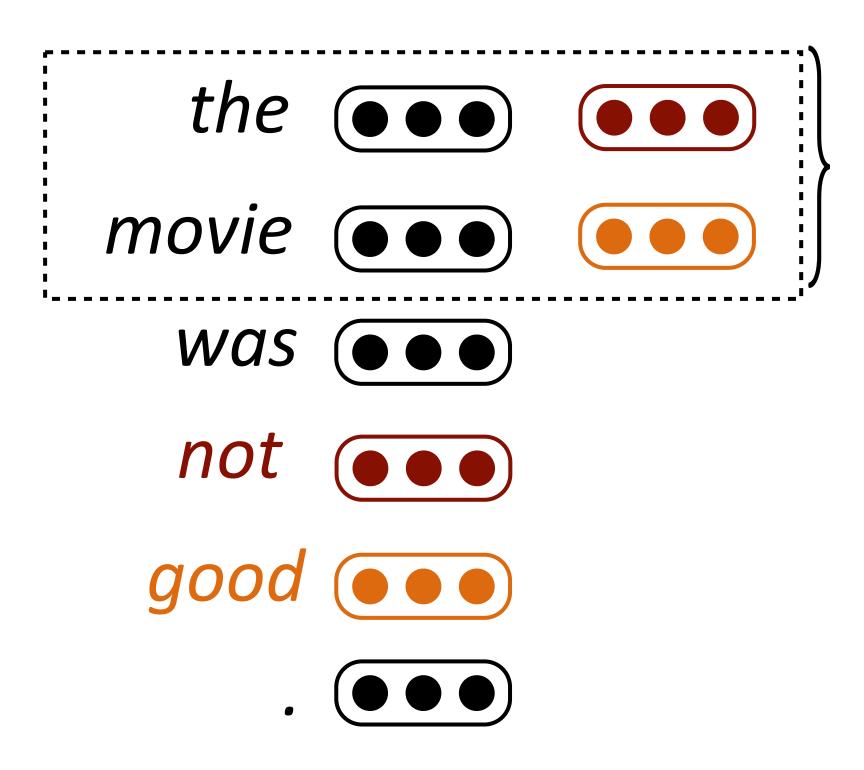
- Takes variable-length input and turns it into fixed-length output
- Filters are initialized randomly and then learned

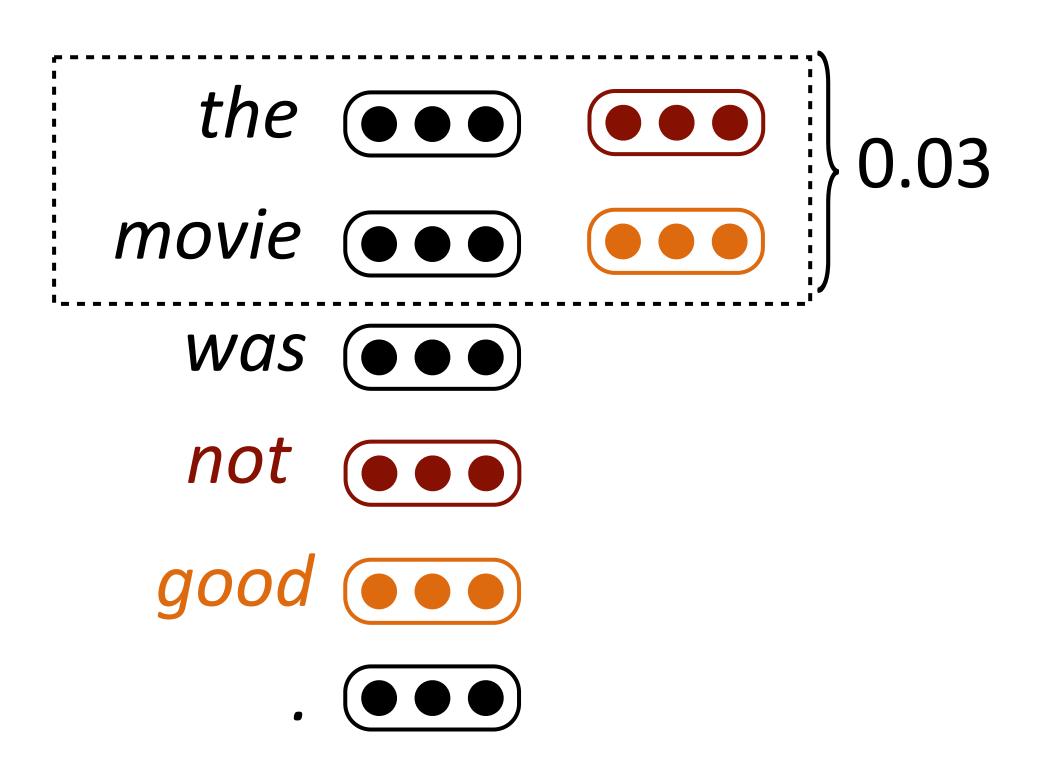


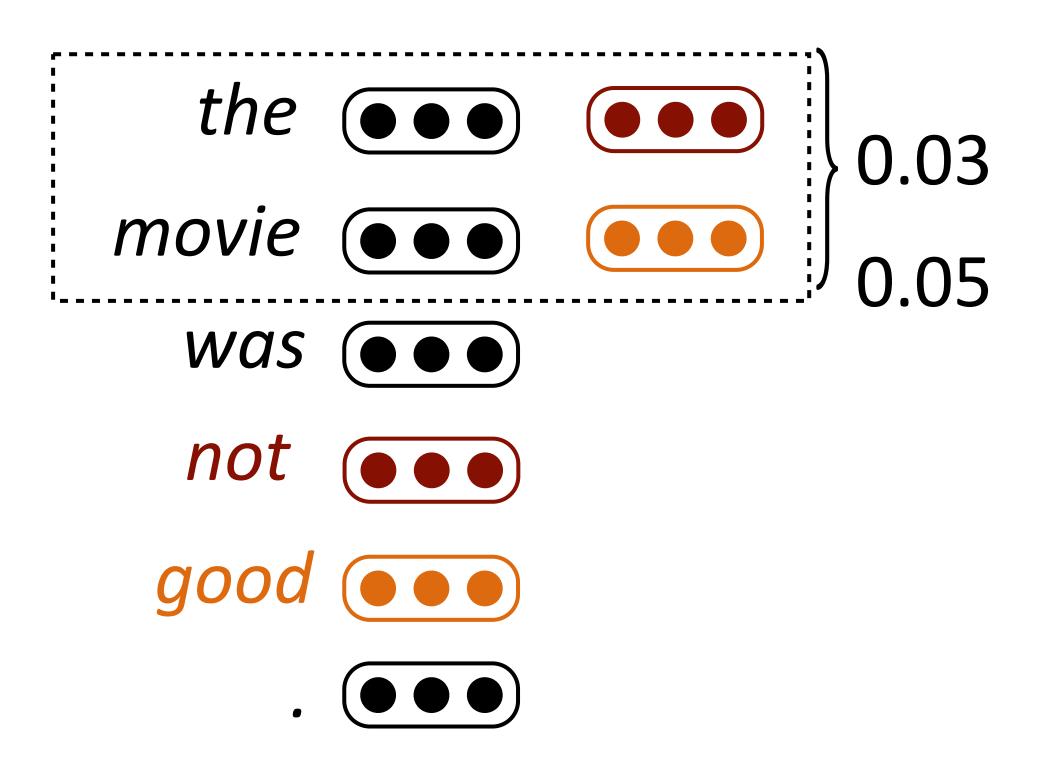
 Word vectors for similar words are similar, so convolutional filters will have similar outputs

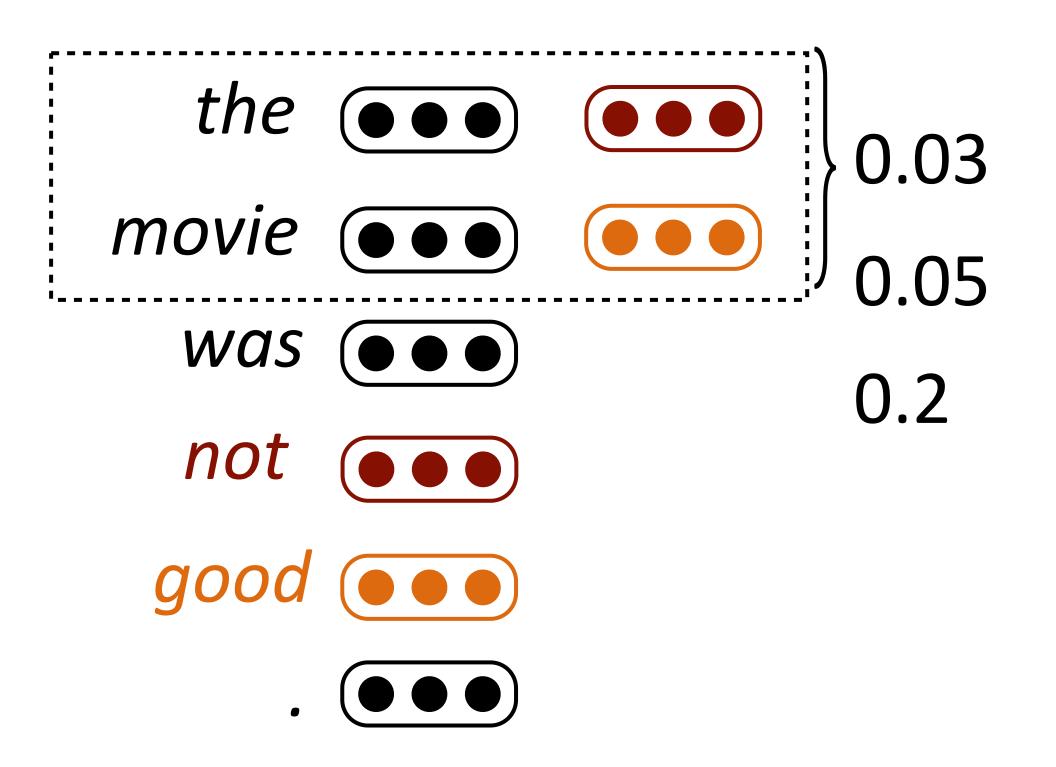


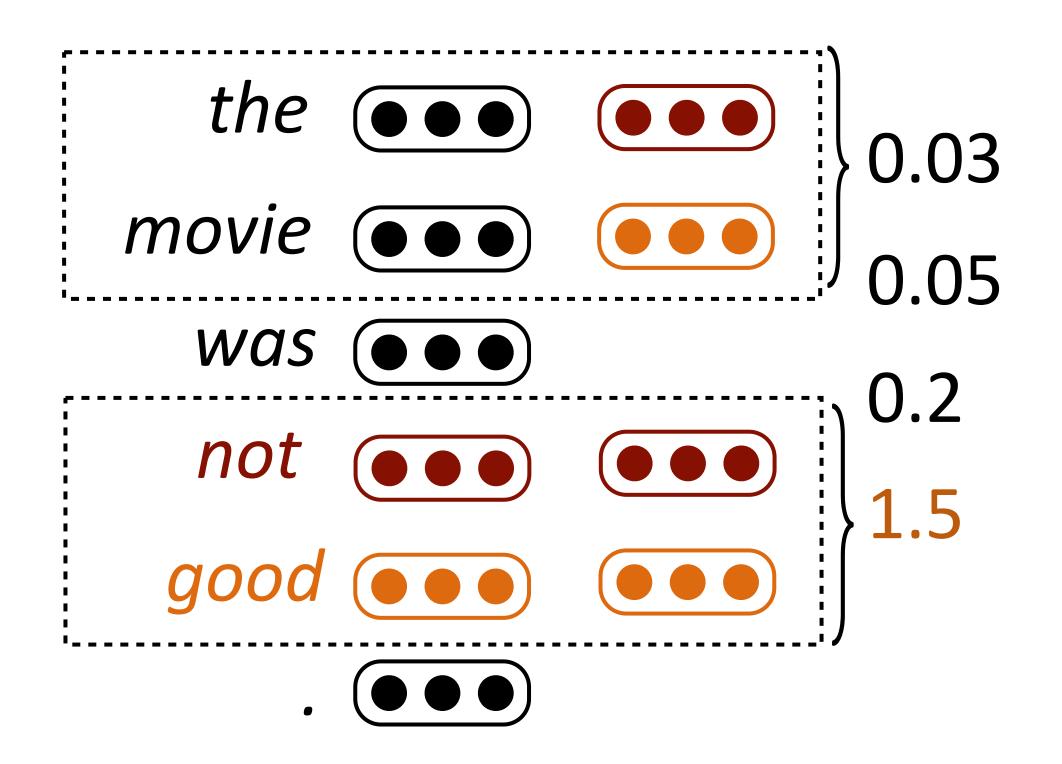


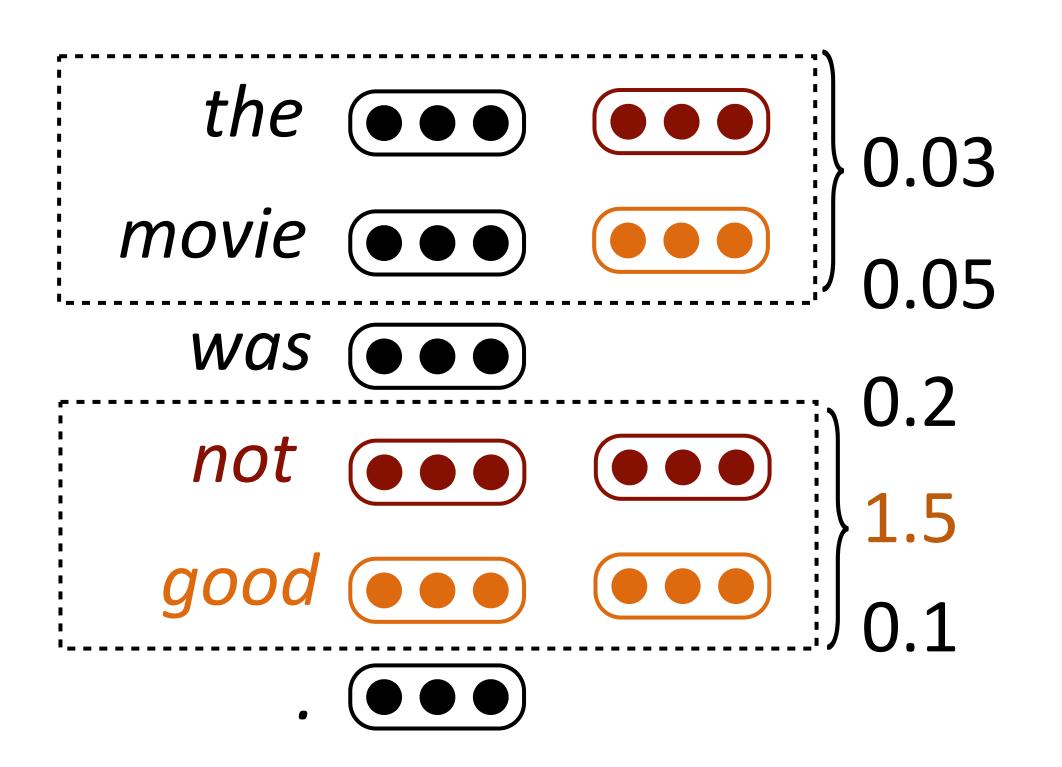


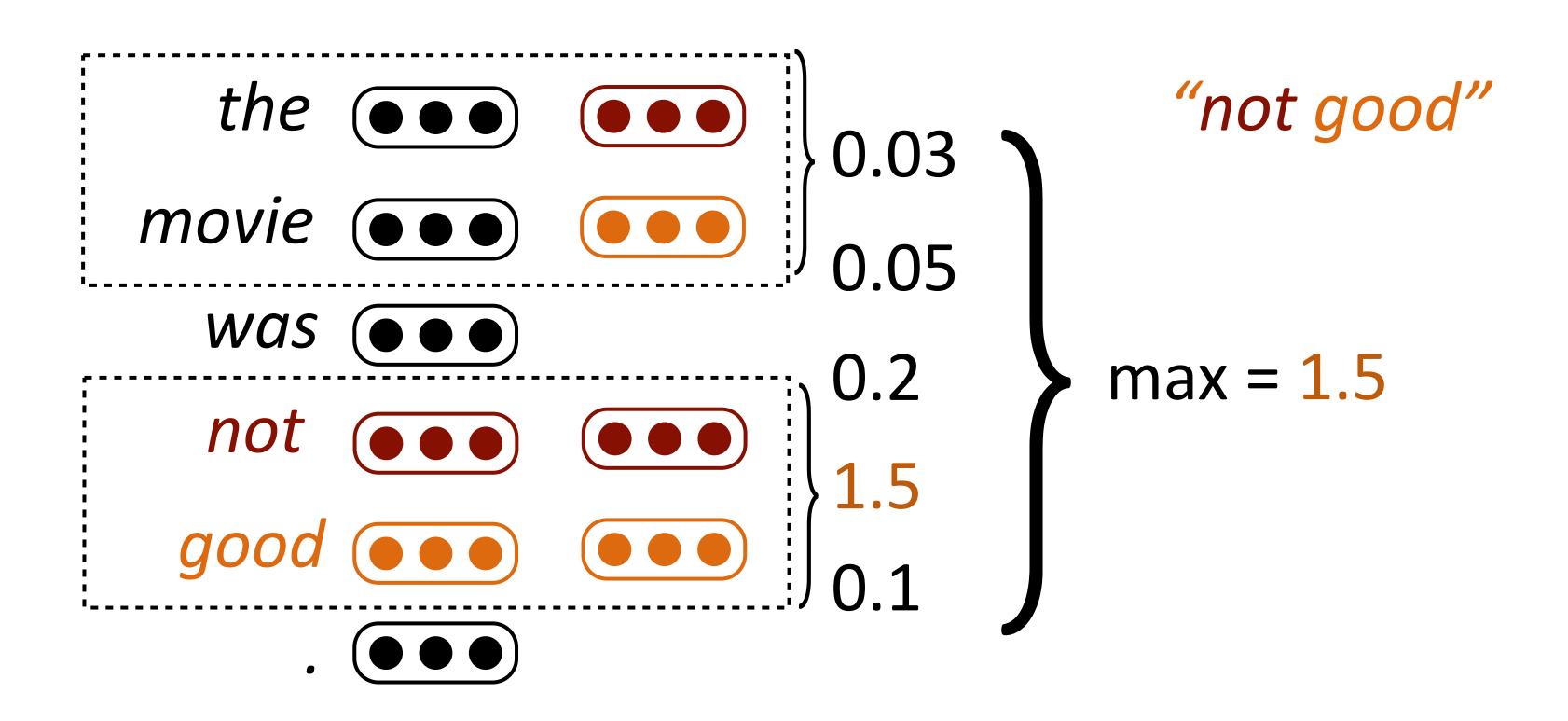


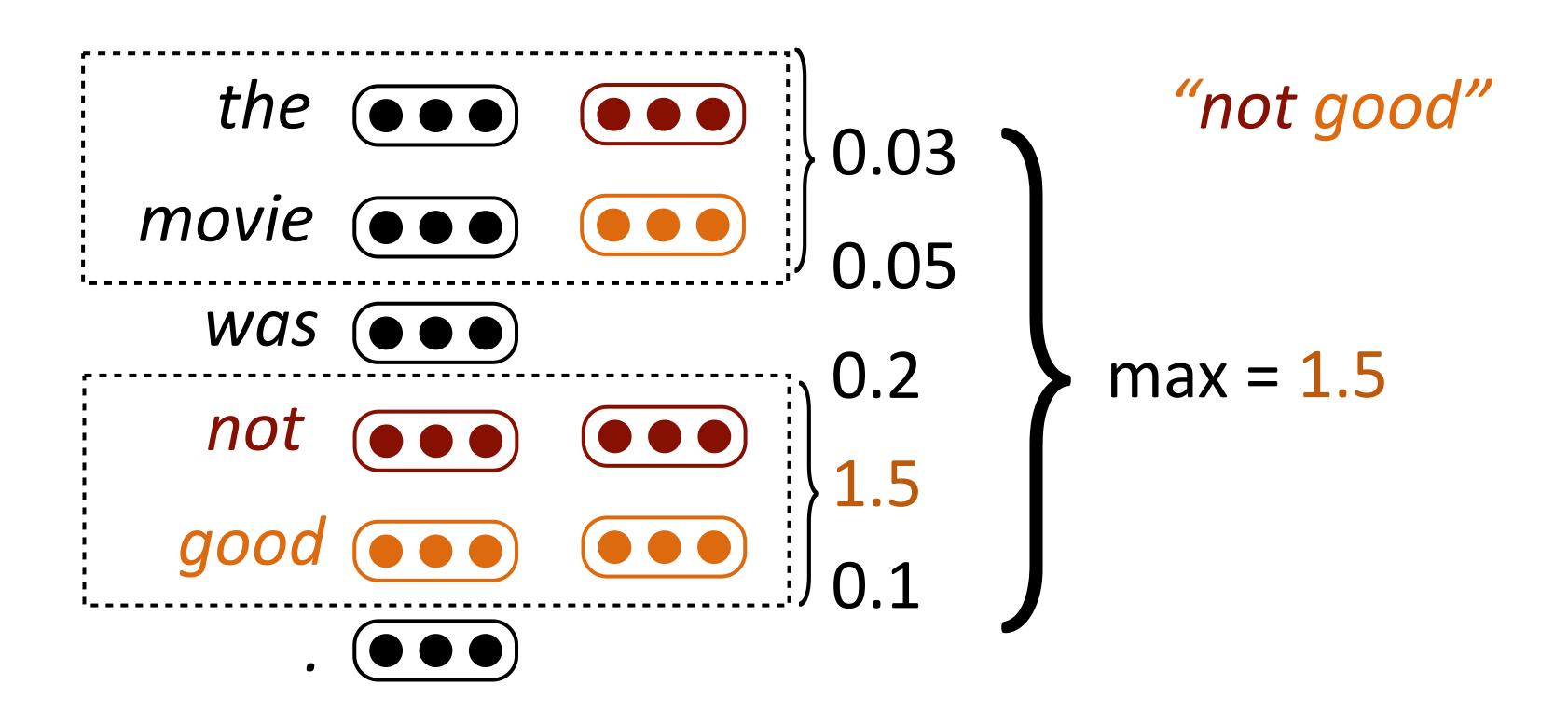




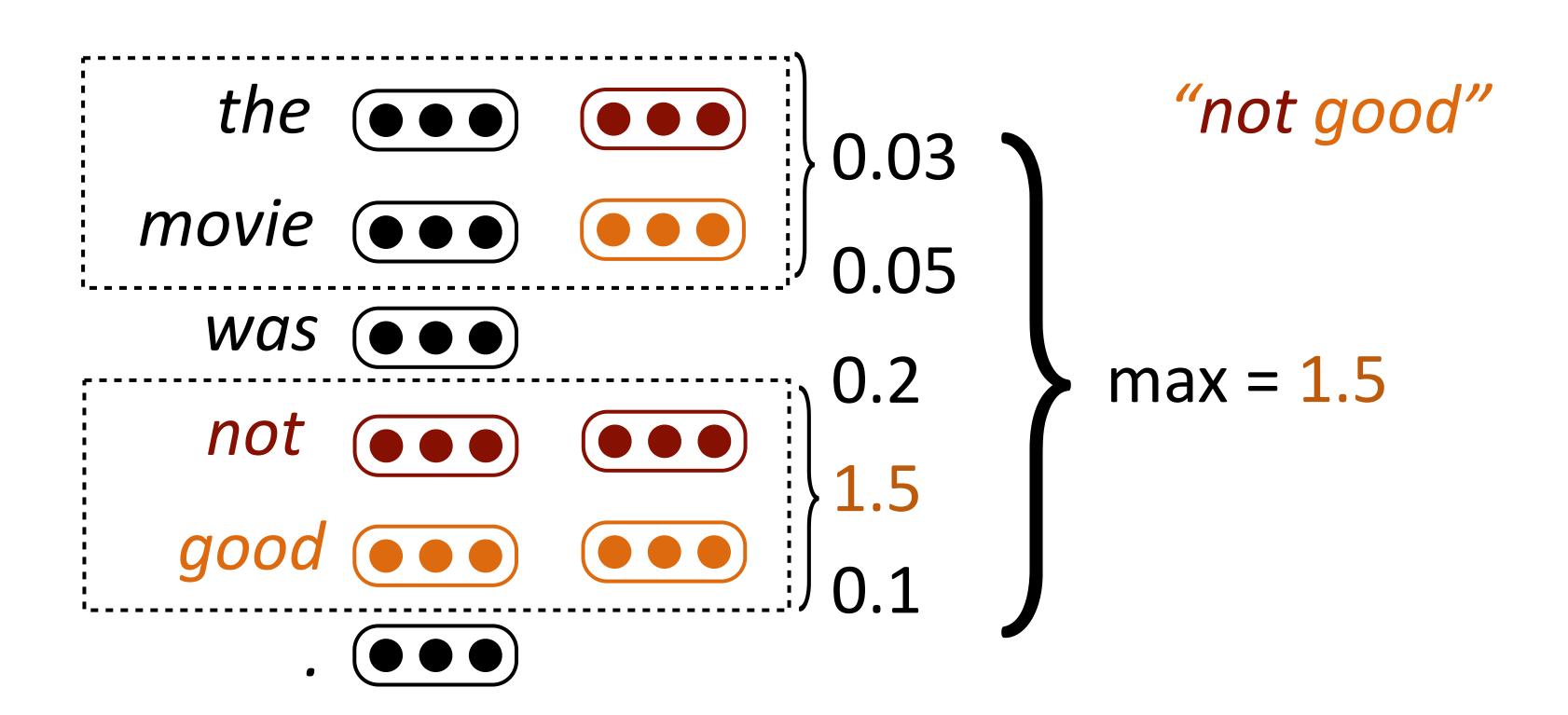








Analogous to bigram features in bag-of-words models



- Analogous to bigram features in bag-of-words models
- Indicator feature of text containing bigram <-> max pooling of a filter that matches that bigram

What can CNNs learn?

the movie was not good

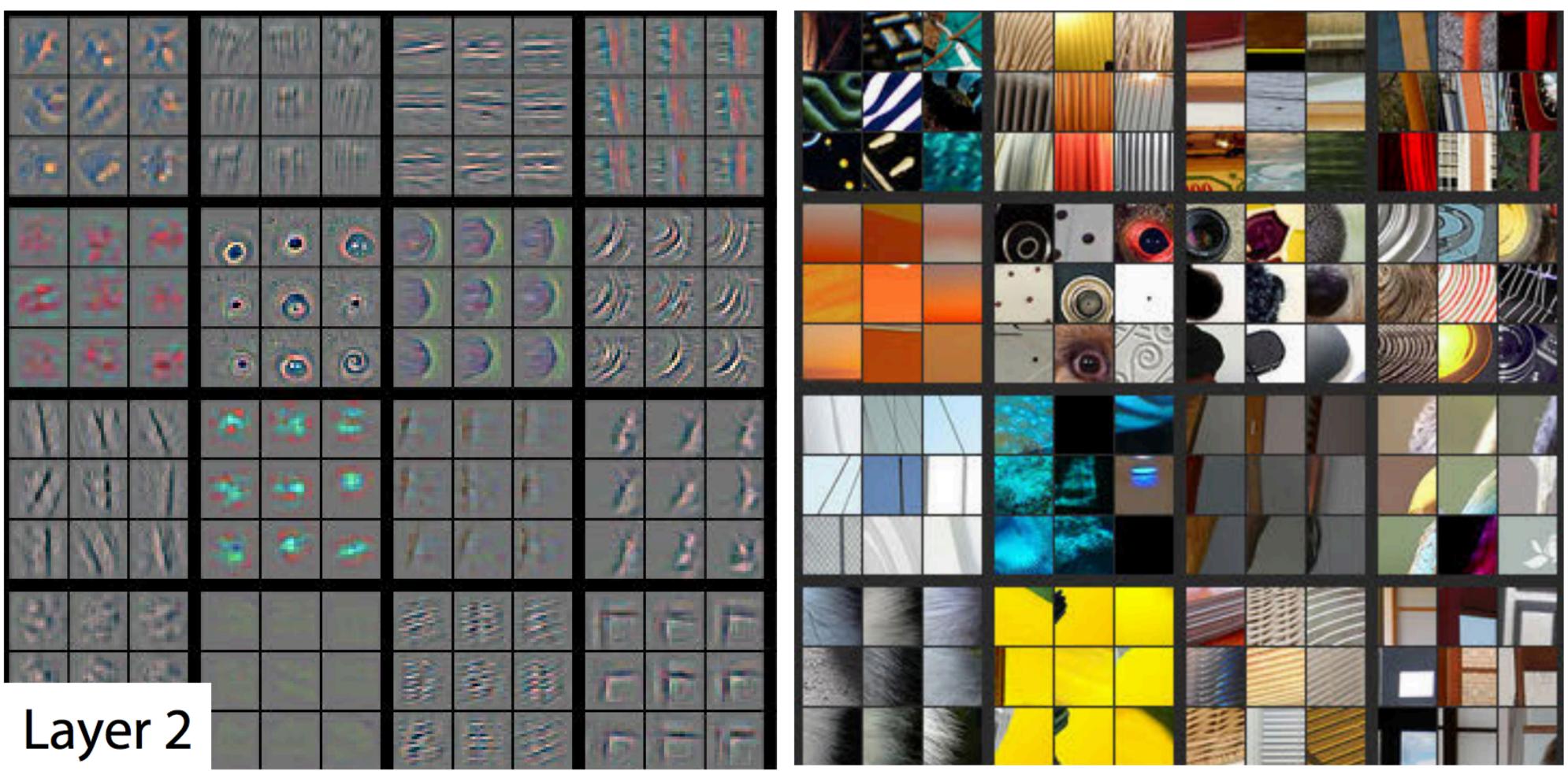
the movie was not really all that good

the cinematography was good, the music great, but the movie was bad

I entered the theater in the bloom of youth and left as an old man

Deep Convolutional Networks

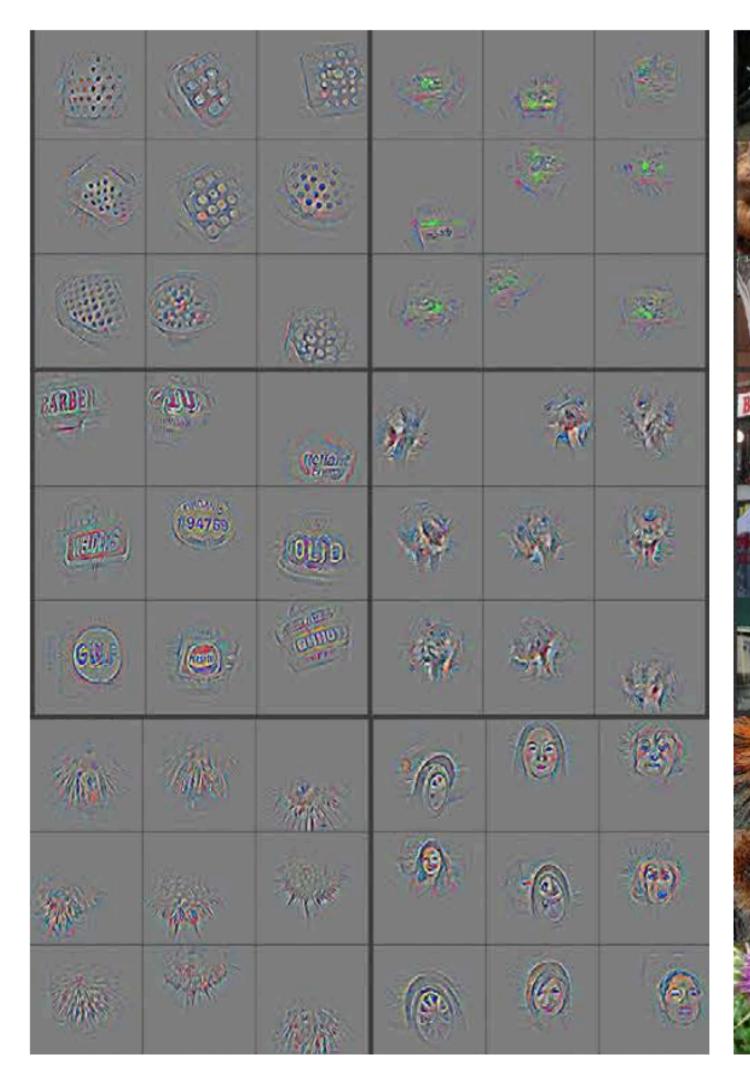
Low-level filters: extract low-level features from the data



Zeiler and Fergus (2014)

Deep Convolutional Networks

High-level filters: match larger and more "semantic patterns"





Zeiler and Fergus (2014)

CNNs: Implementation

Input is batch_size x n x k matrix, filters are c x m x k matrix (c filters)

CNNs: Implementation

- Input is batch_size x n x k matrix, filters are c x m x k matrix (c filters)
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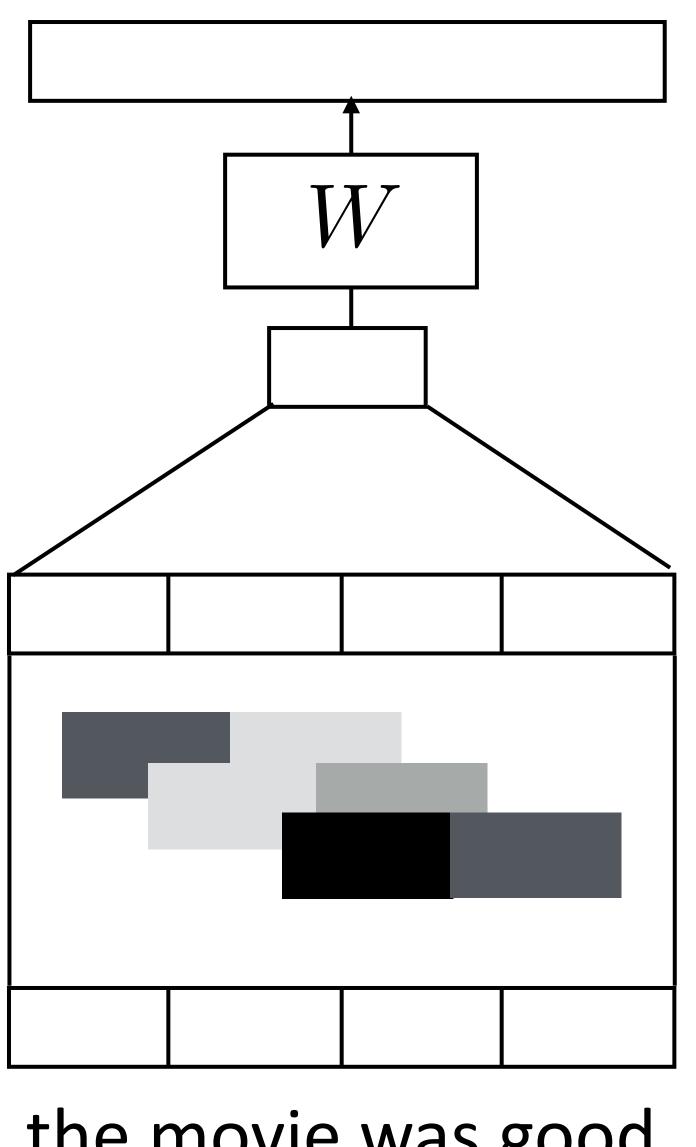
CNNs: Implementation

- Input is batch_size x n x k matrix, filters are c x m x k matrix (c filters)
- Typically use filters with m ranging from 1 to 5 or so (multiple filter widths in a single convnet)
- All computation graph libraries support efficient convolution operations

CNNs for Sentence Classification

- Question classification, sentiment, etc.
- Conv+pool, then use feedforward layers to classify

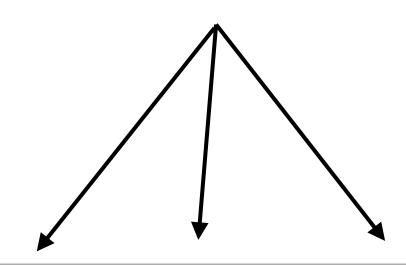
Can use multiple types of input vectors (fixed initializer and learned)



the movie was good

Model	MR	SST-1	SST-2	Subj	TREC	CR	MPQA
CNN-multichannel	81.1	47.4	88.1	93.2	92.2	85.0	89.4
NBSVM (Wang and Manning, 2012)	79.4	_	_	93.2	_	81.8	86.3

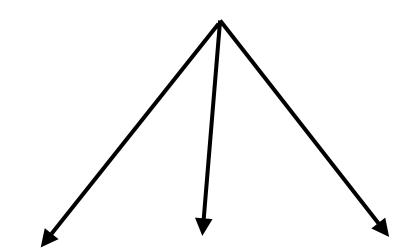
movie review sentiment



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movie review sentiment

subjectivity/objectivity detection

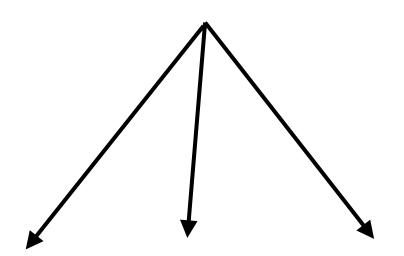




Model	MR	SST-1	SST-2	Subj	TREC	CR	MPQA
CNN-multichannel	81.1	47.4	88.1	93.2	92.2	85.0	89.4
NBSVM (Wang and Manning, 2012)	79.4	_	_	93.2	_	81.8	86.3

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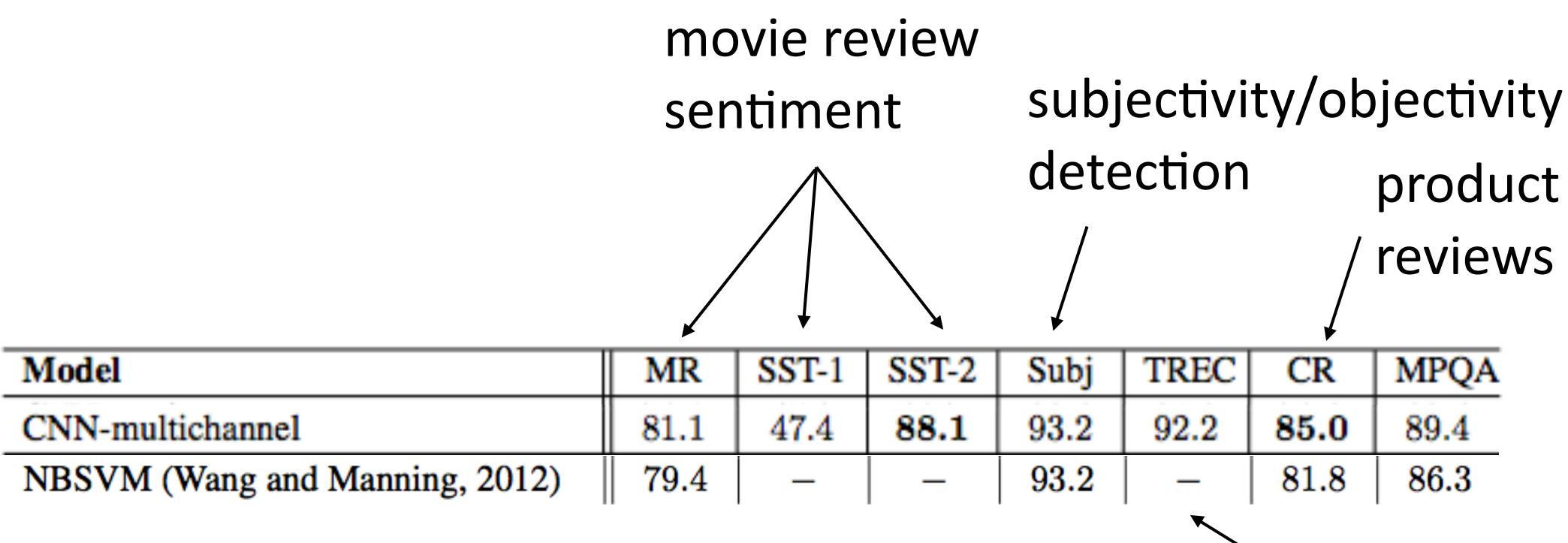
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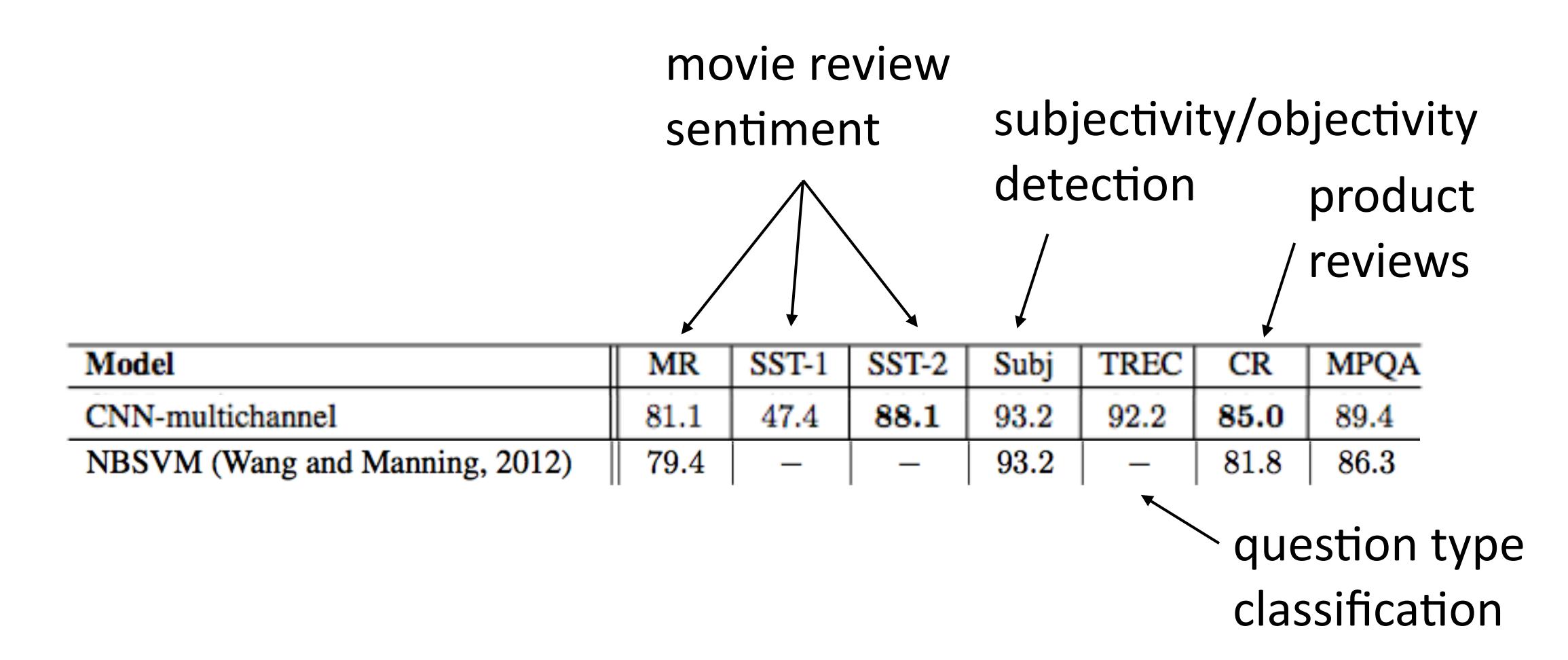


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question type classification



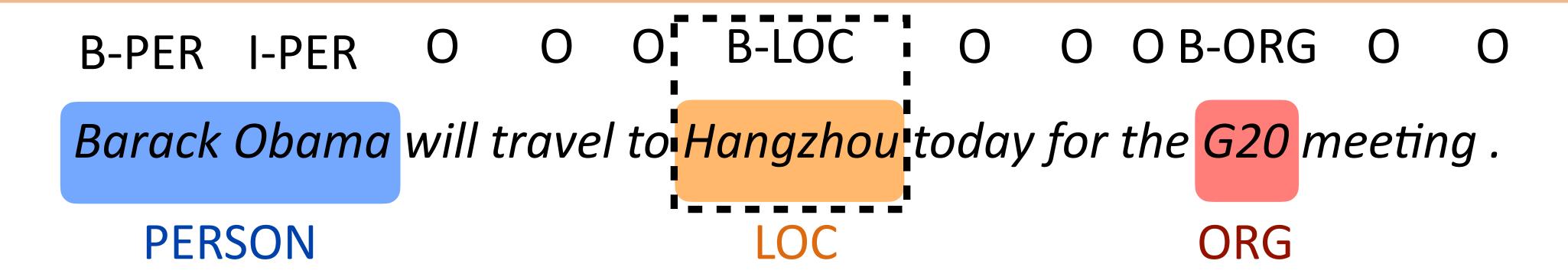
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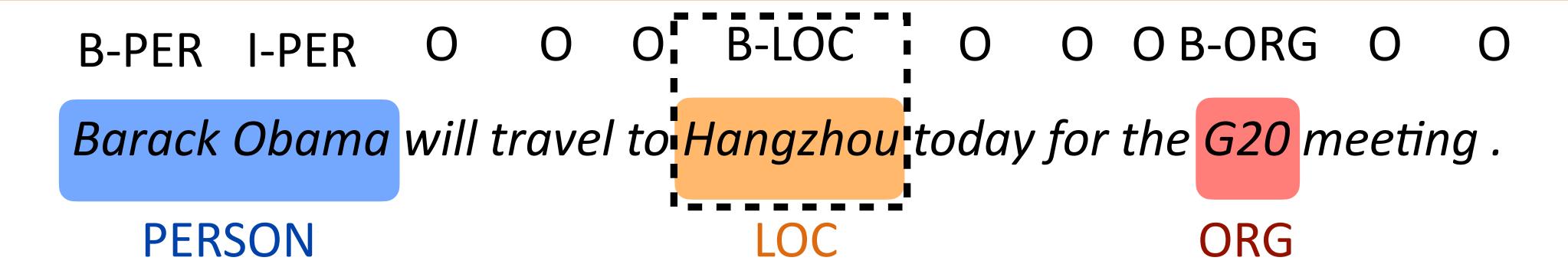
Also effective at document-level text classification

Neural CRF Basics

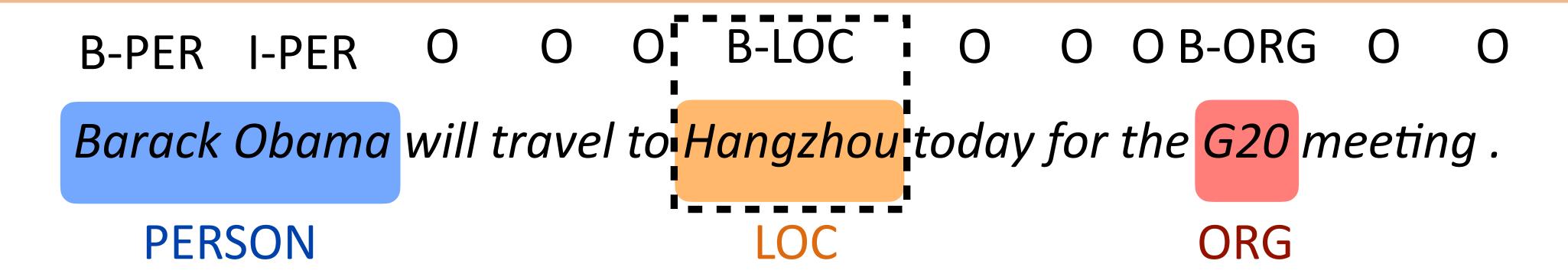




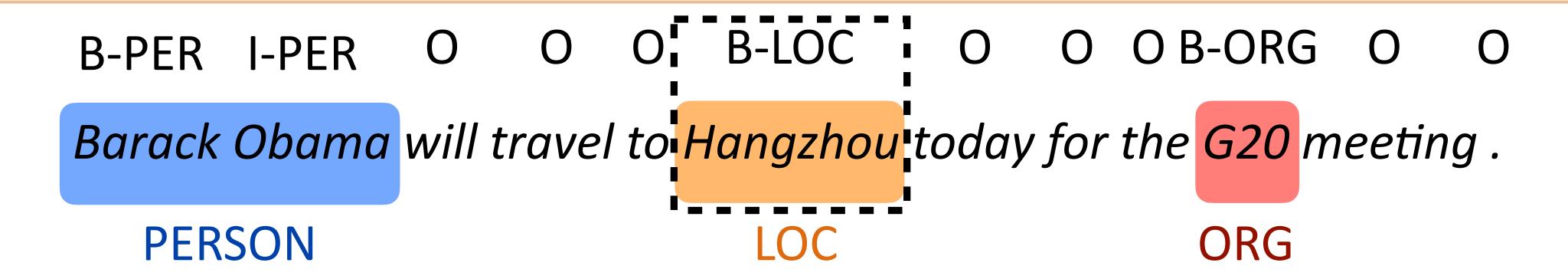
Features in CRFs: I[tag=B-LOC & curr_word=Hangzhou], I[tag=B-LOC & prev_word=to], I[tag=B-LOC & curr_prefix=Han]



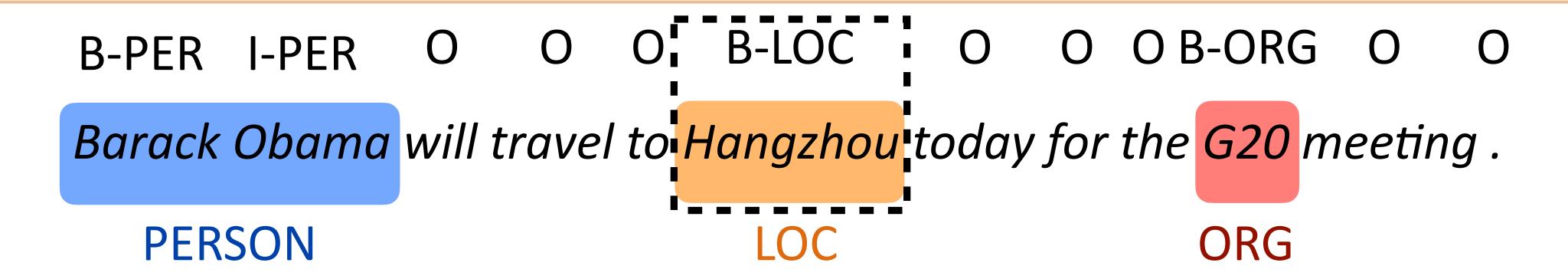
- Features in CRFs: I[tag=B-LOC & curr_word=Hangzhou], I[tag=B-LOC & prev_word=to], I[tag=B-LOC & curr_prefix=Han]
- Linear model over features



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- Downsides:

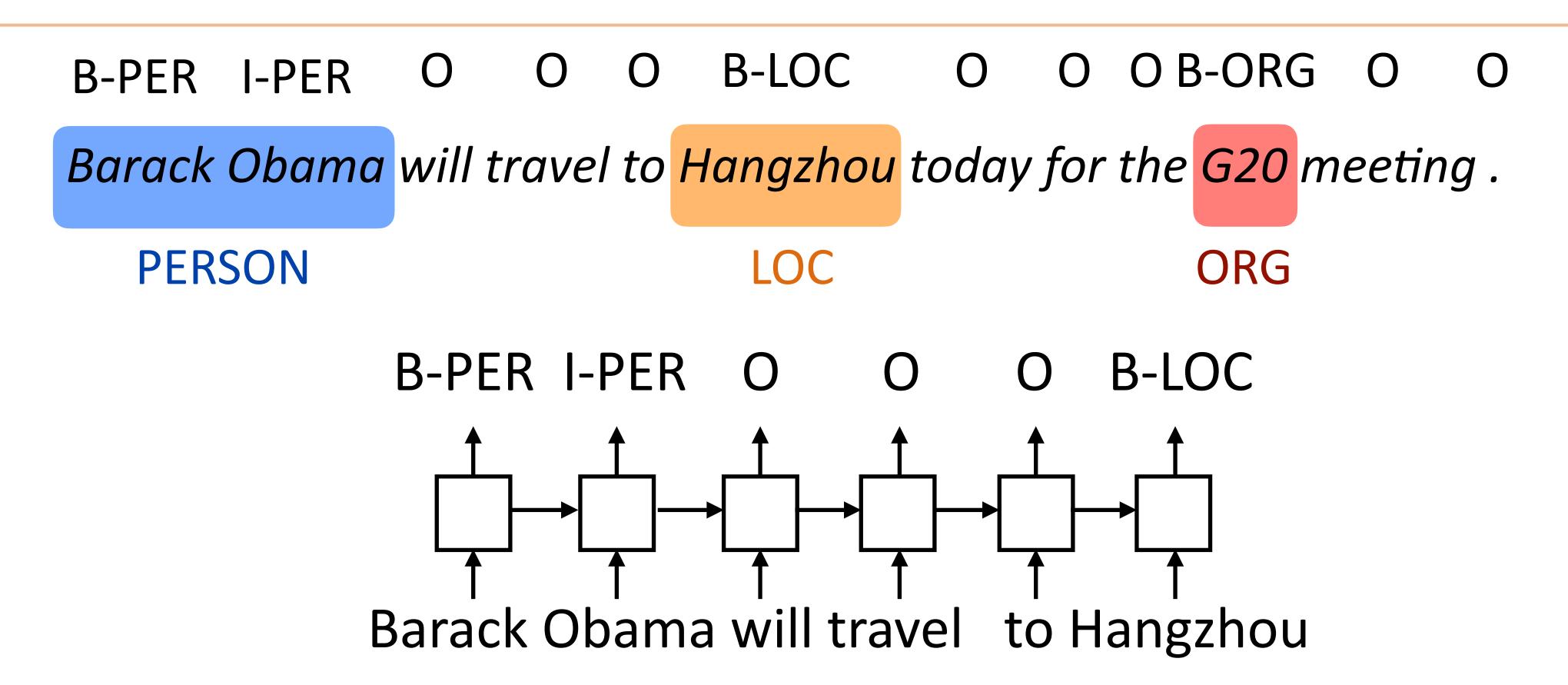


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- Downsides:
 - Lexical features mean that words need to be seen in the training data



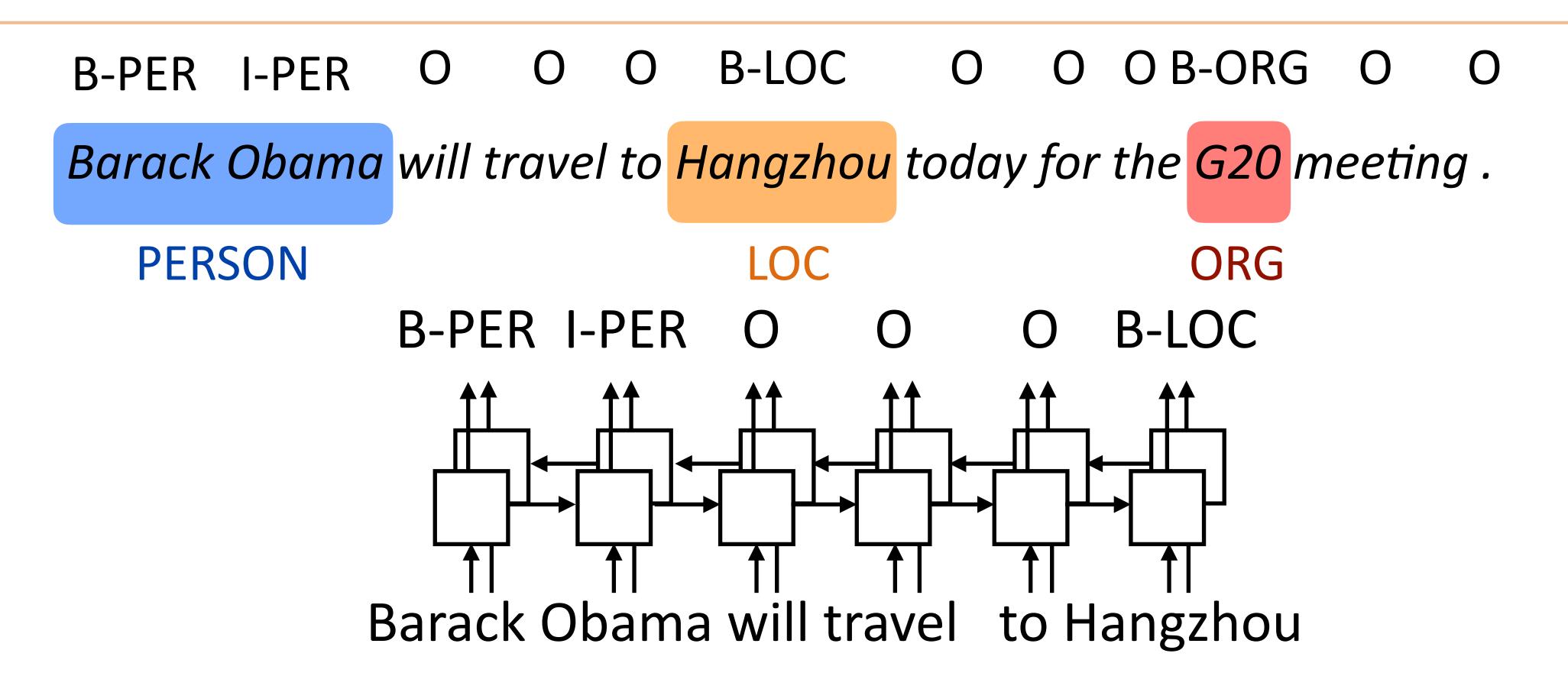
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- Linear model over features
- Downsides:
 - Lexical features mean that words need to be seen in the training data
 - Linear model can't capture feature conjunctions as effectively (doesn't work well to look at more than 2 words with a single feature)

LSTMs for NER



- Transducer (LM-like model)
- What are the strengths and weaknesses of this model compared to CRFs?

LSTMs for NER

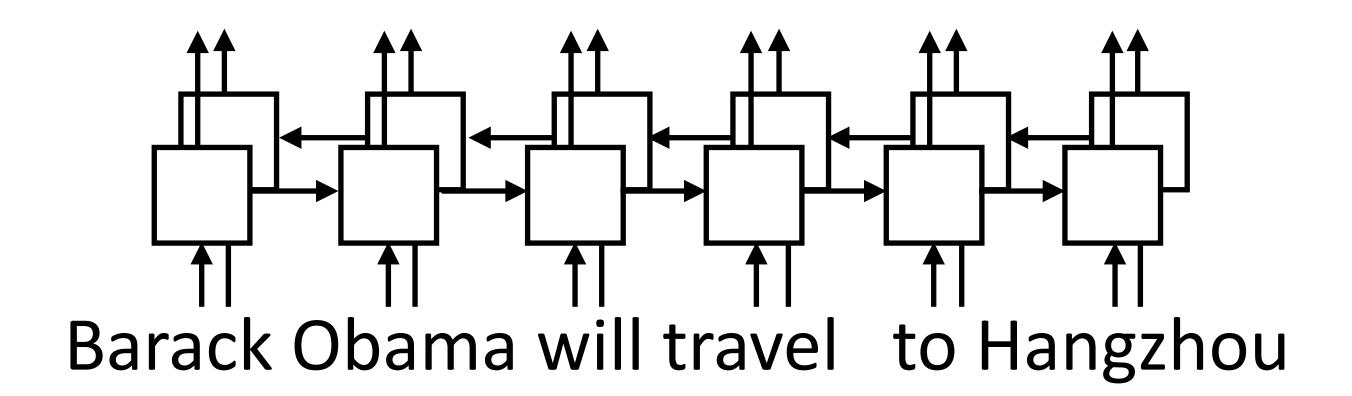


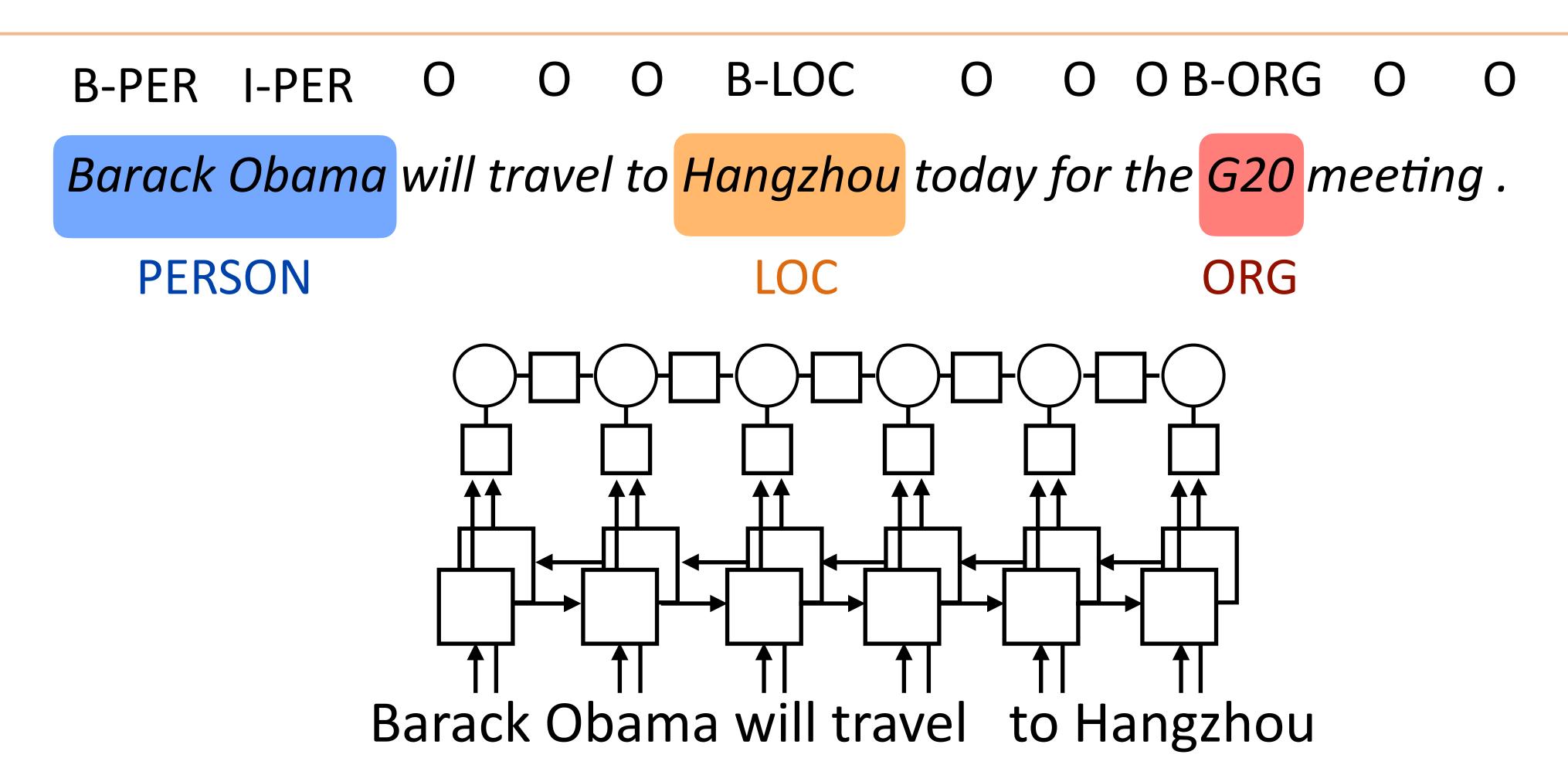
- Bidirectional transducer model
- What are the strengths and weaknesses of this model compared to CRFs?

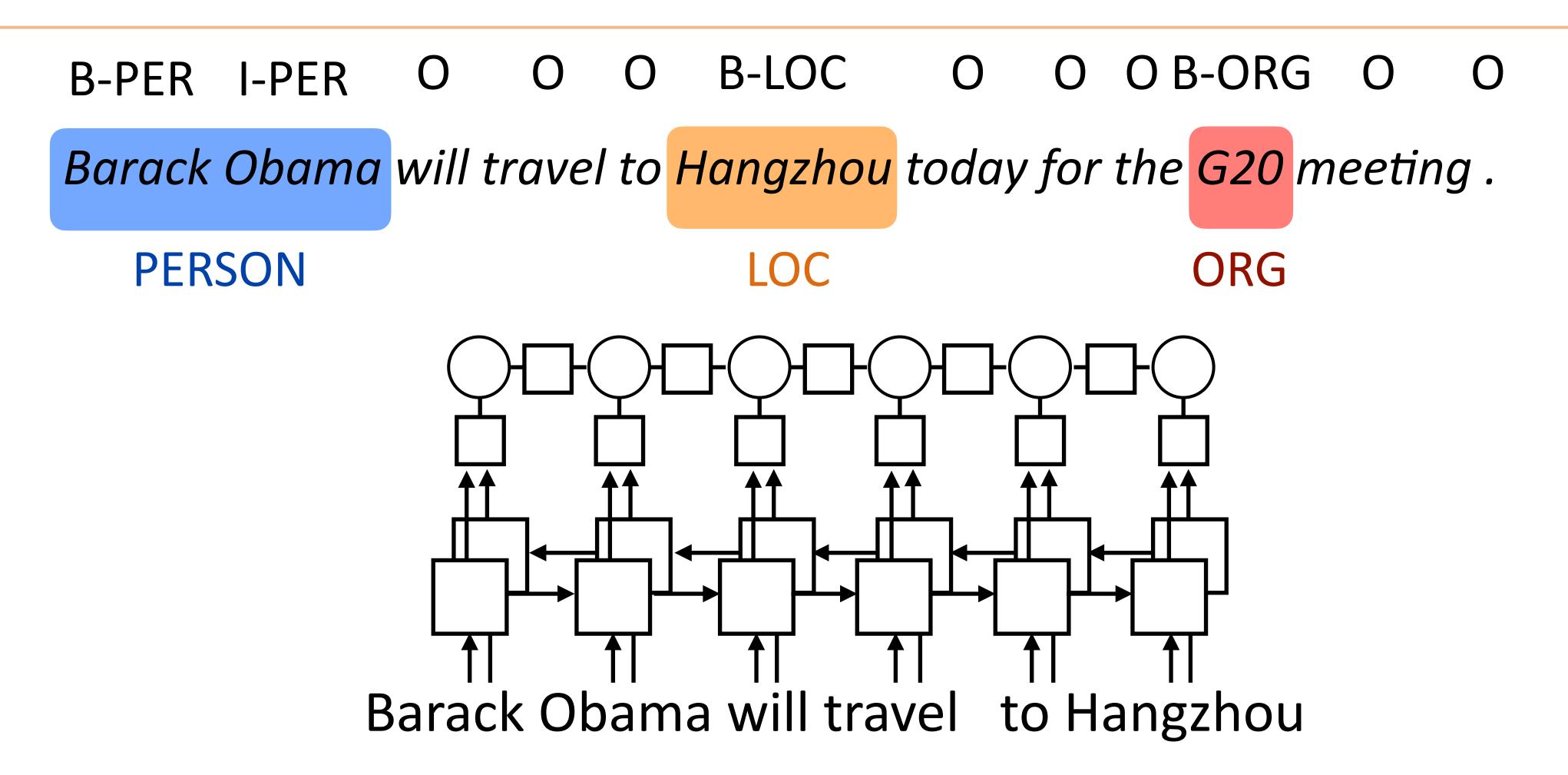
B-PER I-PER O O O B-LOC O O B-ORG O O

Barack Obama will travel to Hangzhou today for the G20 meeting.

PERSON LOC ORG







 Neural CRFs: bidirectional LSTMs (or some NN) compute emission potentials, capture structural constraints in transition potentials

Conventional: $\phi_e(y_i, i, \mathbf{x}) = w^{\top} f_e(y_i, i, \mathbf{x})$

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$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^{n} \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^{n} \exp(\phi_e(y_i, i, \mathbf{x})) \underbrace{y_1}_{\phi_e} \underbrace{\psi_2}_{\Box} \underbrace{\psi_2}_{\Box} \underbrace{\psi_2}_{\Box} \underbrace{\psi_2}_{\Box}$$

- Conventional: $\phi_e(y_i, i, \mathbf{x}) = w^{\top} f_e(y_i, i, \mathbf{x})$
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- Neural network computes unnormalized potentials that are consumed and "normalized" by a structured model
- Inference: compute f, use Viterbi

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$$\frac{\partial \mathcal{L}}{\partial \phi_{e,i}} = -P(y_i = s|\mathbf{x}) + I[s \text{ is gold}]$$
 "error signal", compute with F-B

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- For linear model: $\frac{\partial \phi_{e,i}}{w_i} = f_{e,i}(y_i,i,\mathbf{x})$

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 w_i

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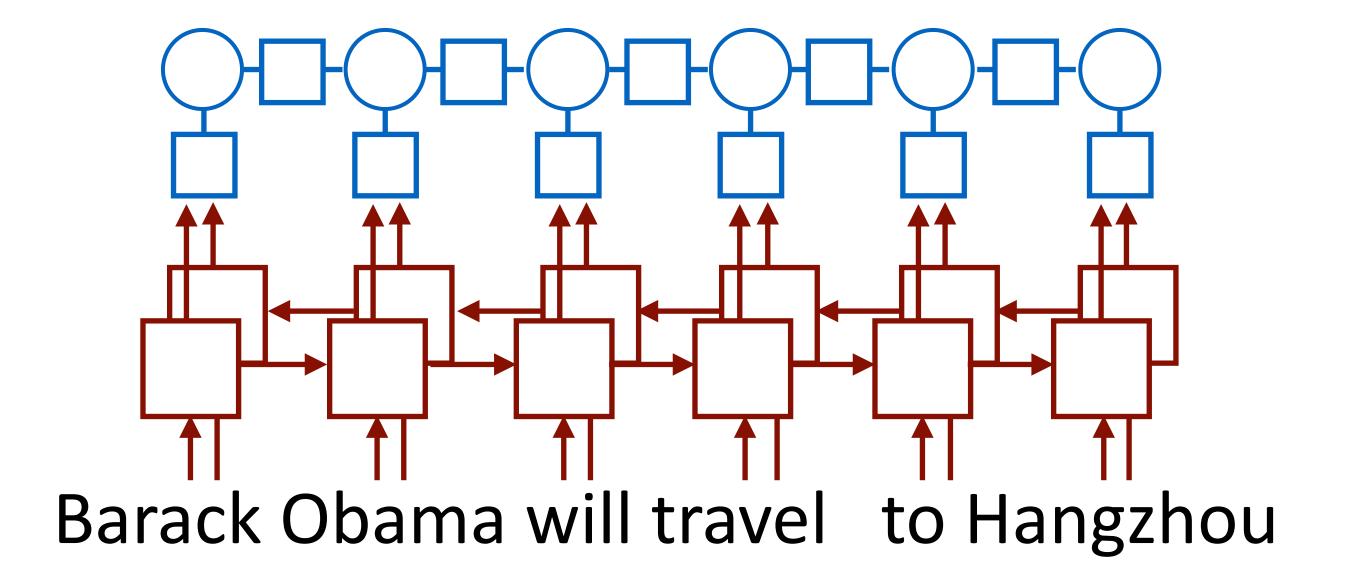
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For neural model: compute gradient of phi w.r.t. parameters of neural net

B-PER I-PER O O O B-LOC O O B-ORG O O

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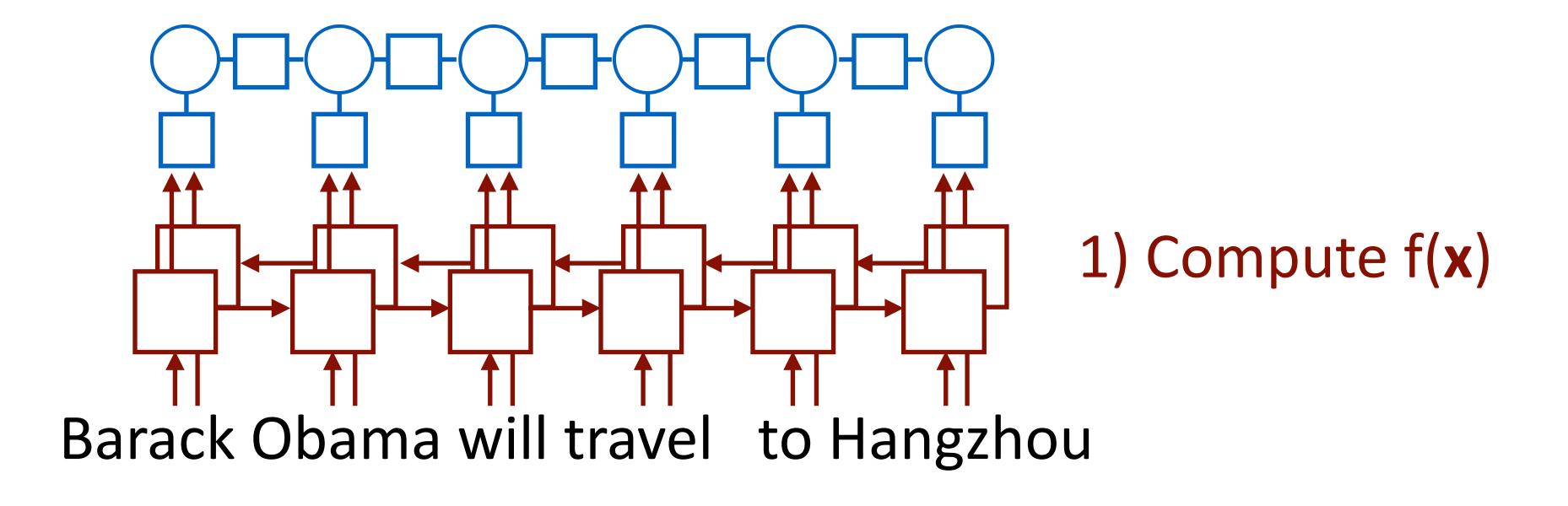
PERSON LOC ORG

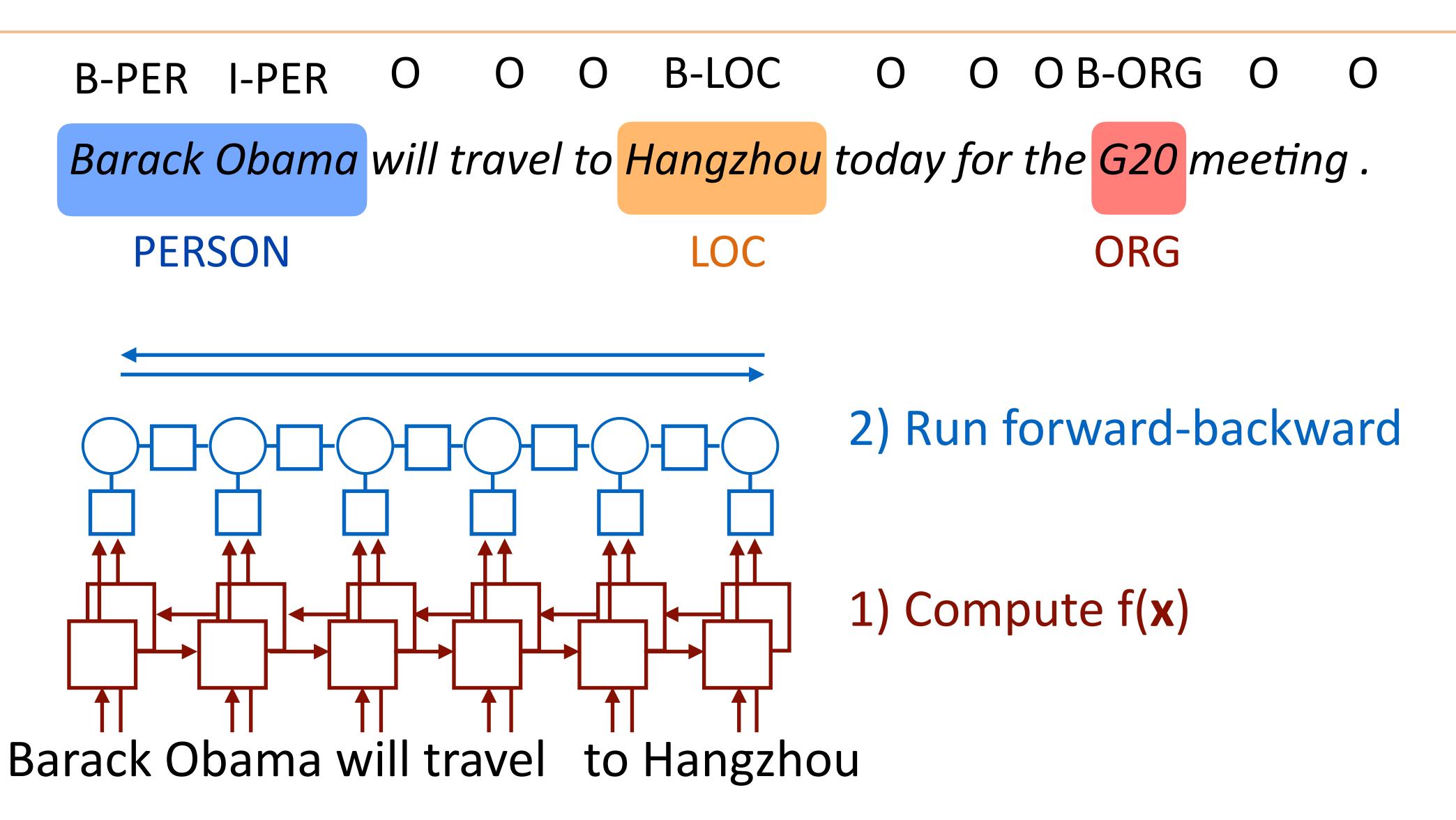


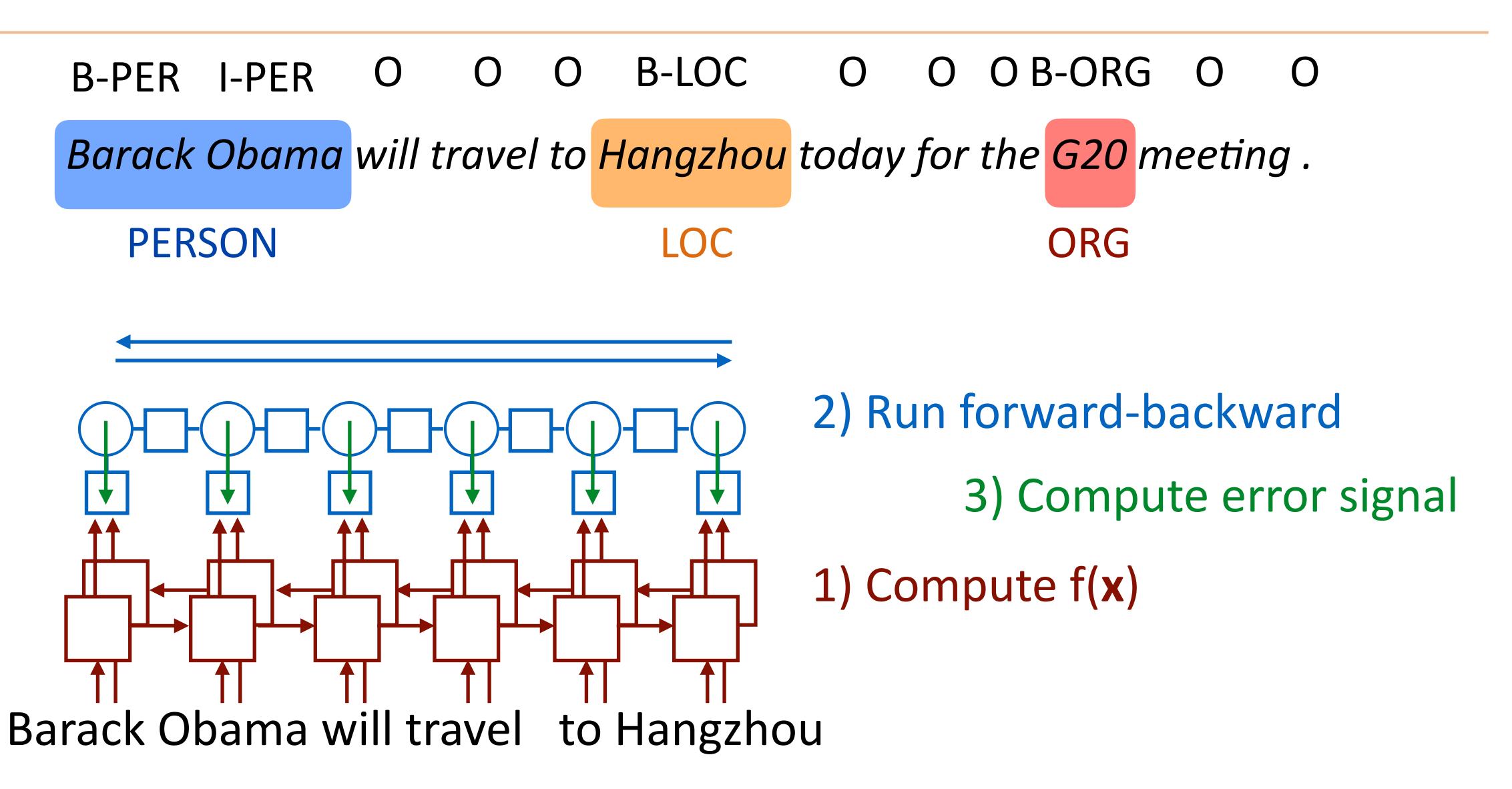
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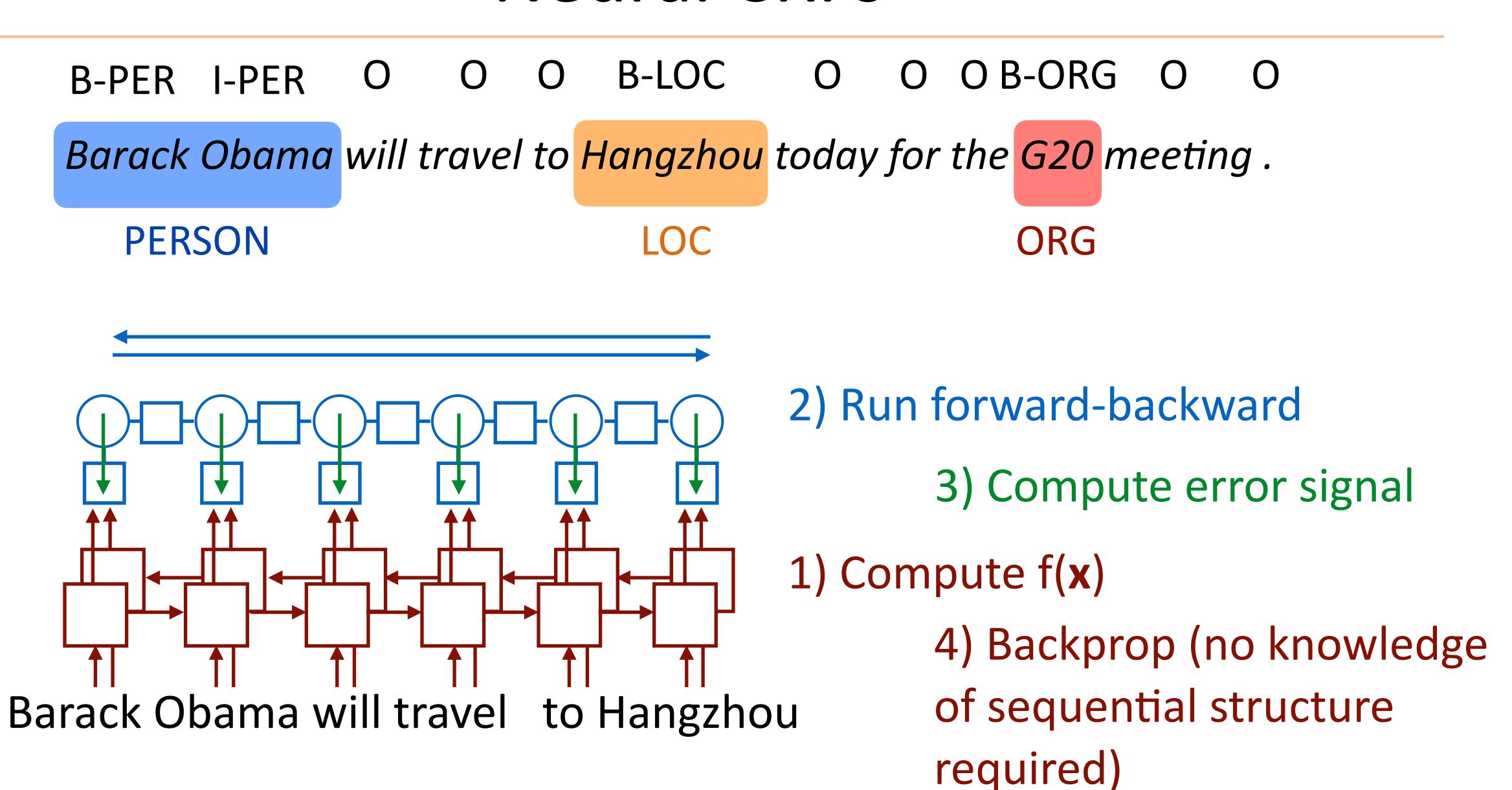
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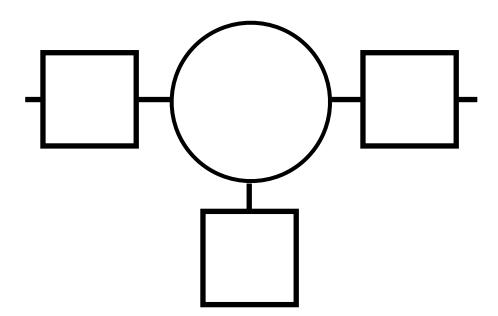
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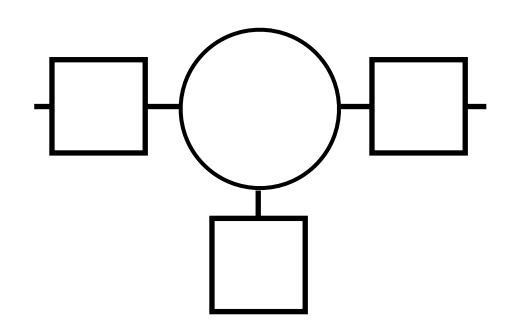
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$$f(\mathbf{x}, i) = [\operatorname{emb}(\mathbf{x}_{i-1}), \operatorname{emb}(\mathbf{x}_i), \operatorname{emb}(\mathbf{x}_{i+1})]$$

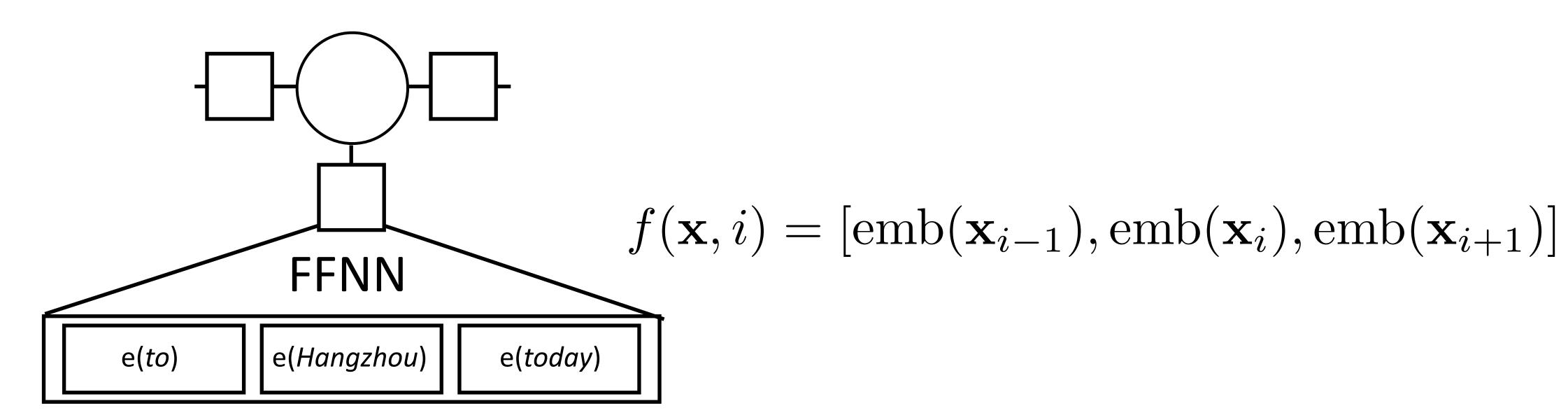


previous word curr word next word to *Hangzhou* today

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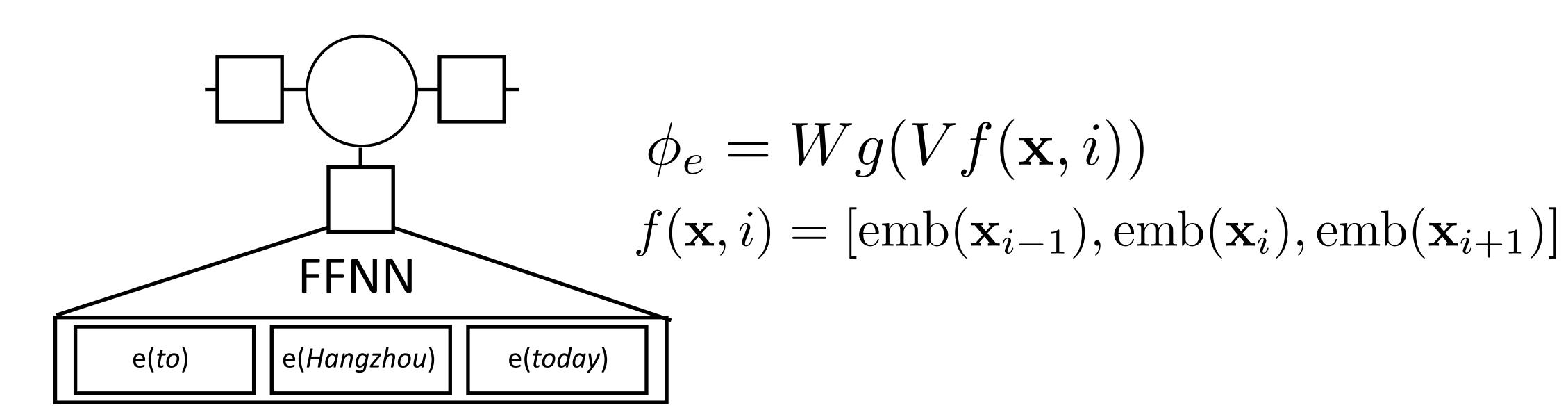


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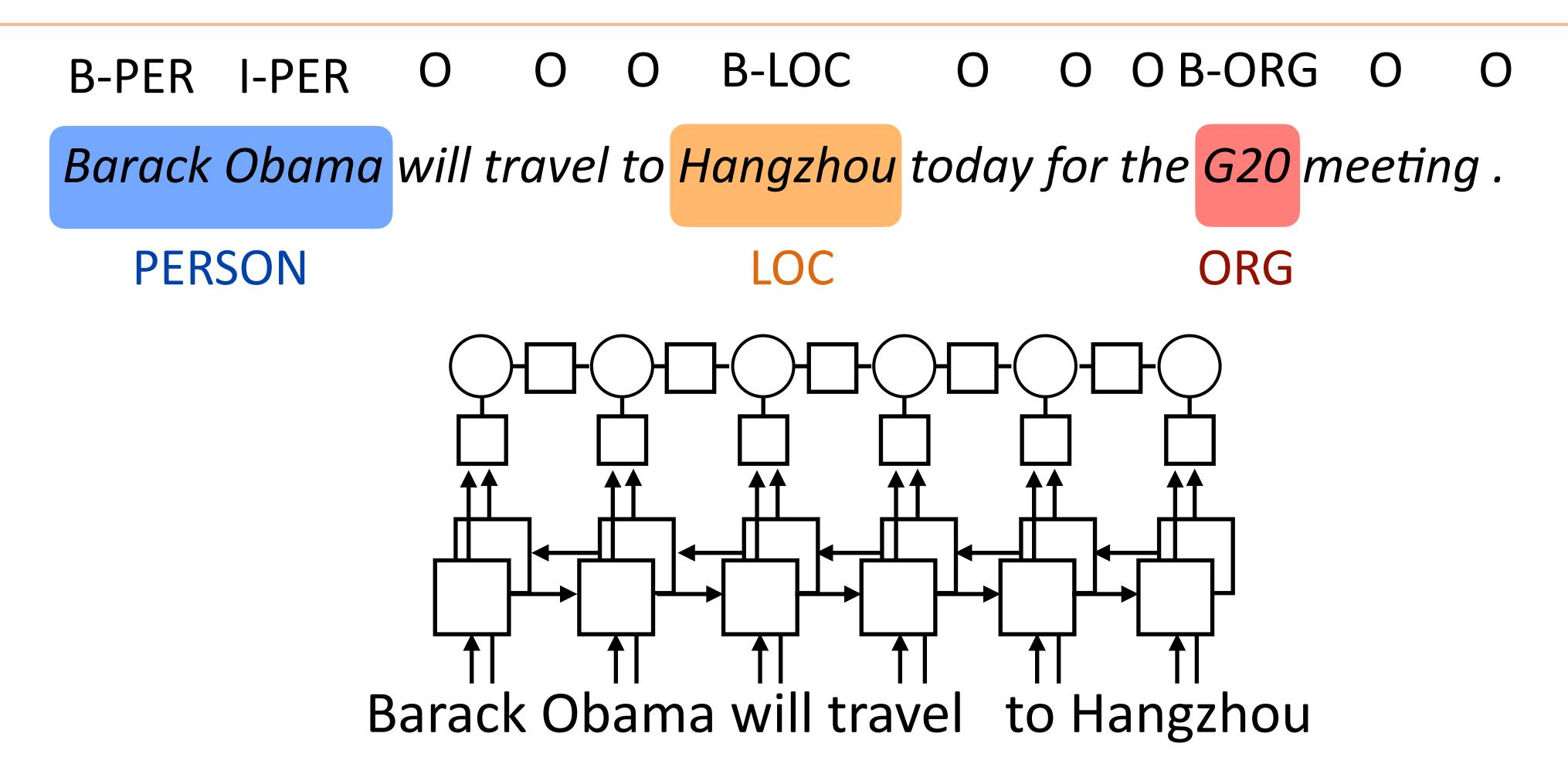
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LSTM Neural CRFs



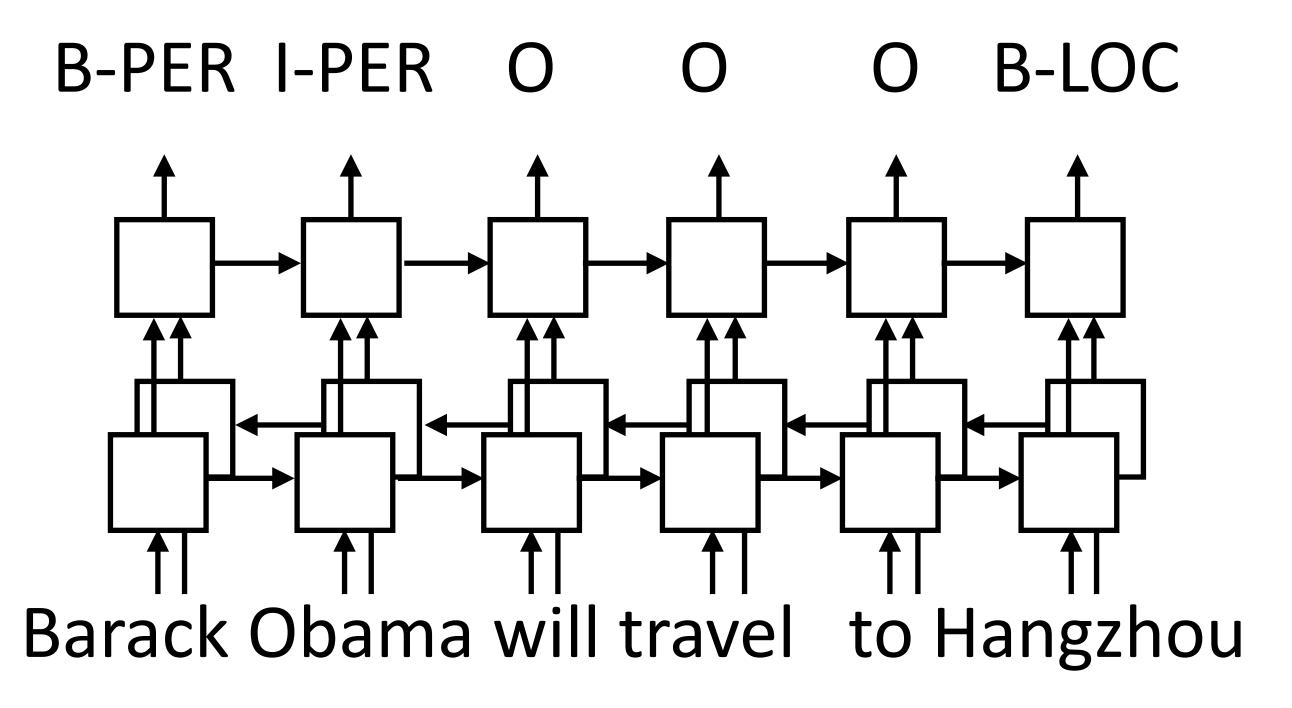
Bidirectional LSTMs compute emission (or transition) potentials

LSTMs for NER

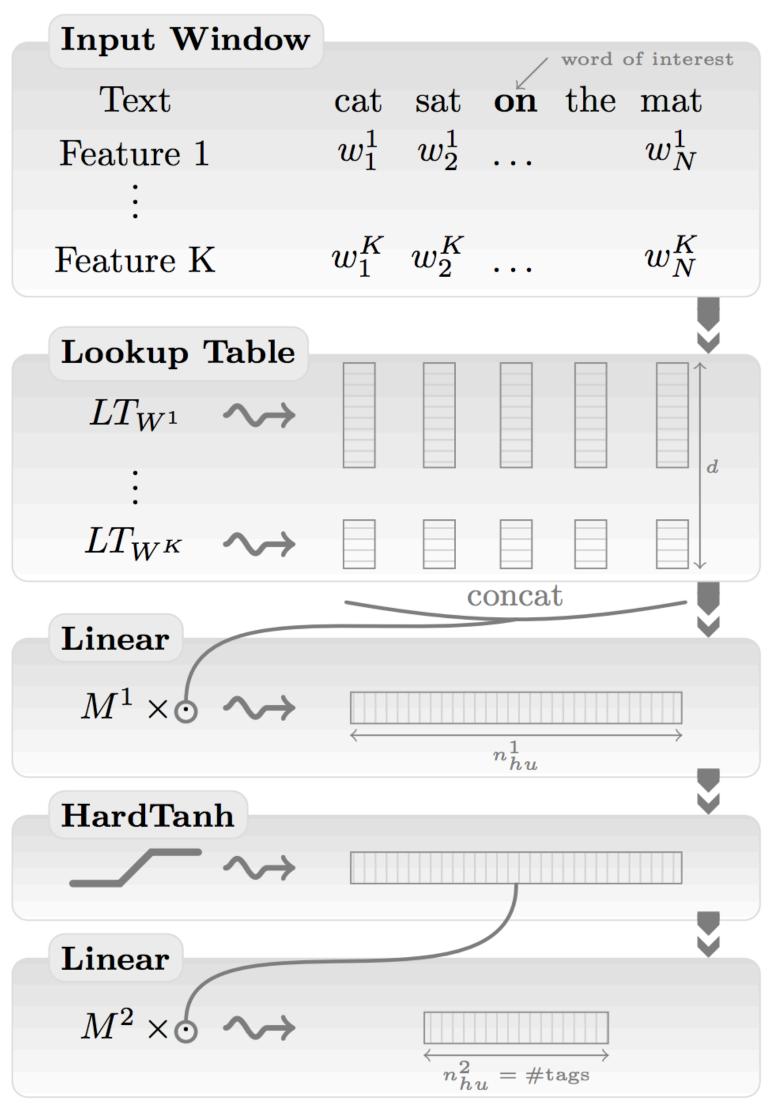
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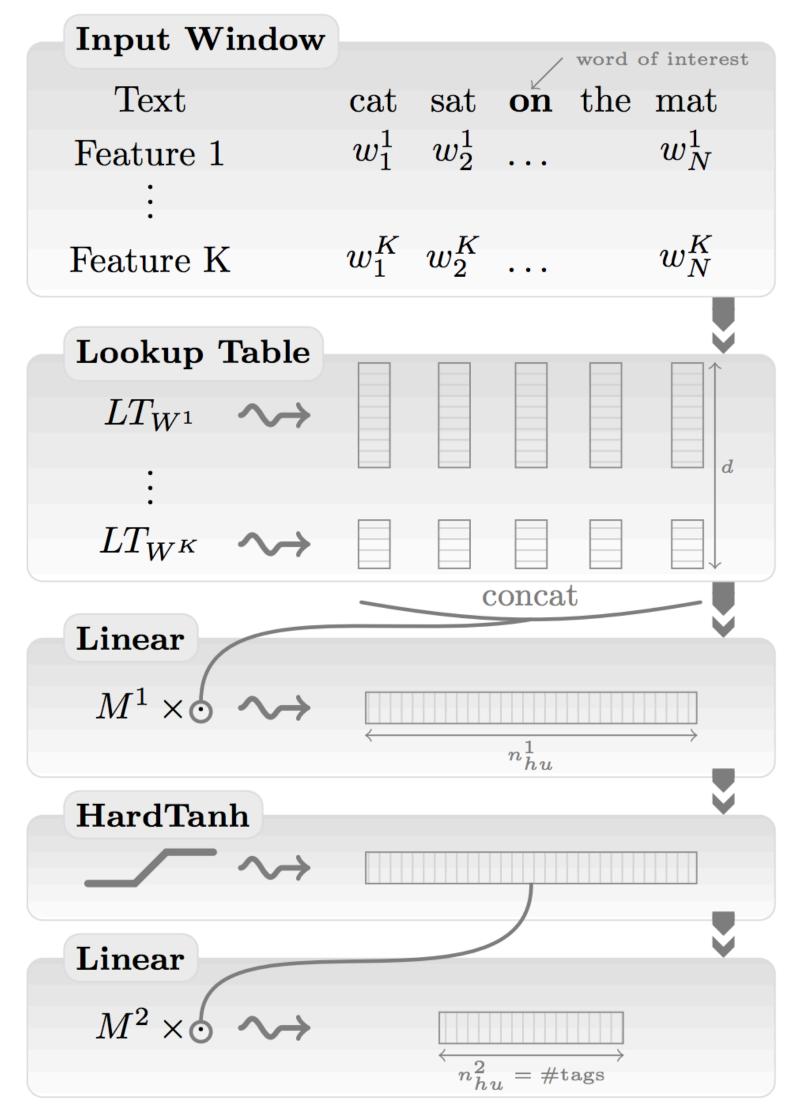


How does this compare to neural CRF?



Collobert, Weston, et al. 2008, 2011

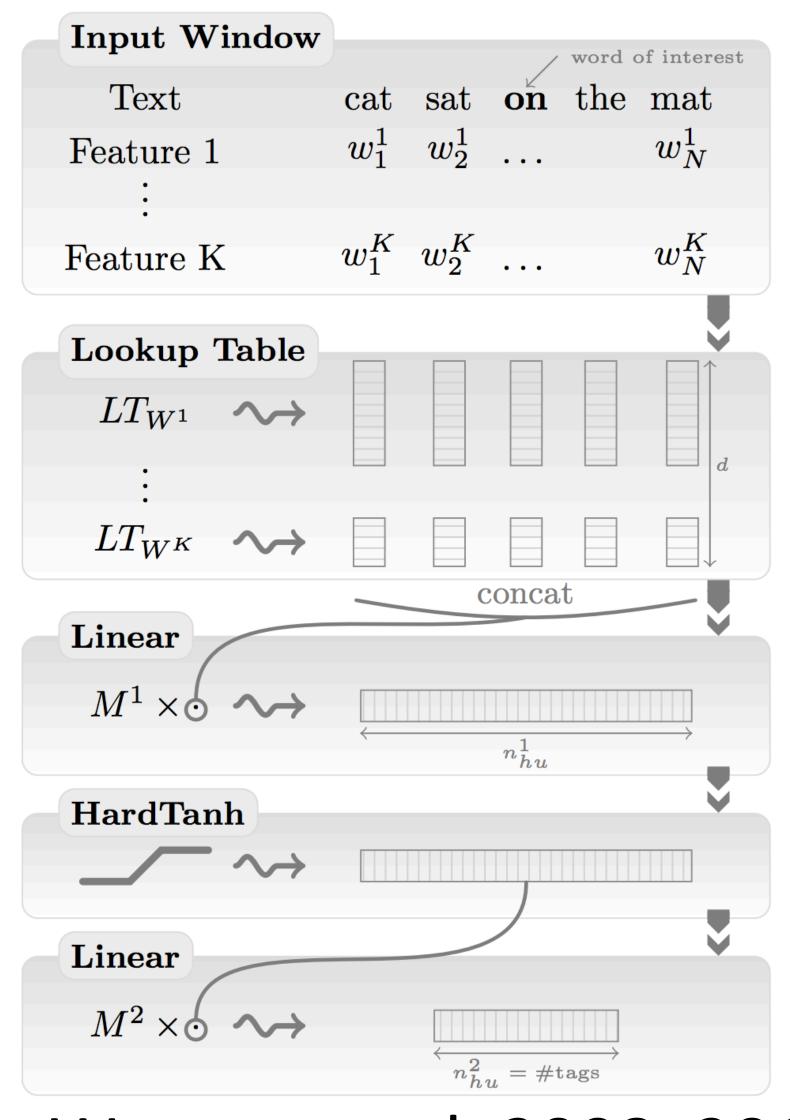
Approach	POS	CHUNK	NER	SRL
	(PWA)	(F1)	(F1)	(F1)
Benchmark Systems	97.24	94.29	89.31	77.92
NN+WLL	96.31	89.13	79.53	55.40
NN+SLL	96.37	90.33	81.47	70.99
NN+WLL+LM1	97.05	91.91	85.68	58.18
NN+SLL+LM1	97.10	93.65	87.58	73.84
NN+WLL+LM2	97.14	92.04	86.96	58.34
NN+SLL+LM2	97.20	93.63	88.67	74.15



Collobert, Weston, et al. 2008, 2011

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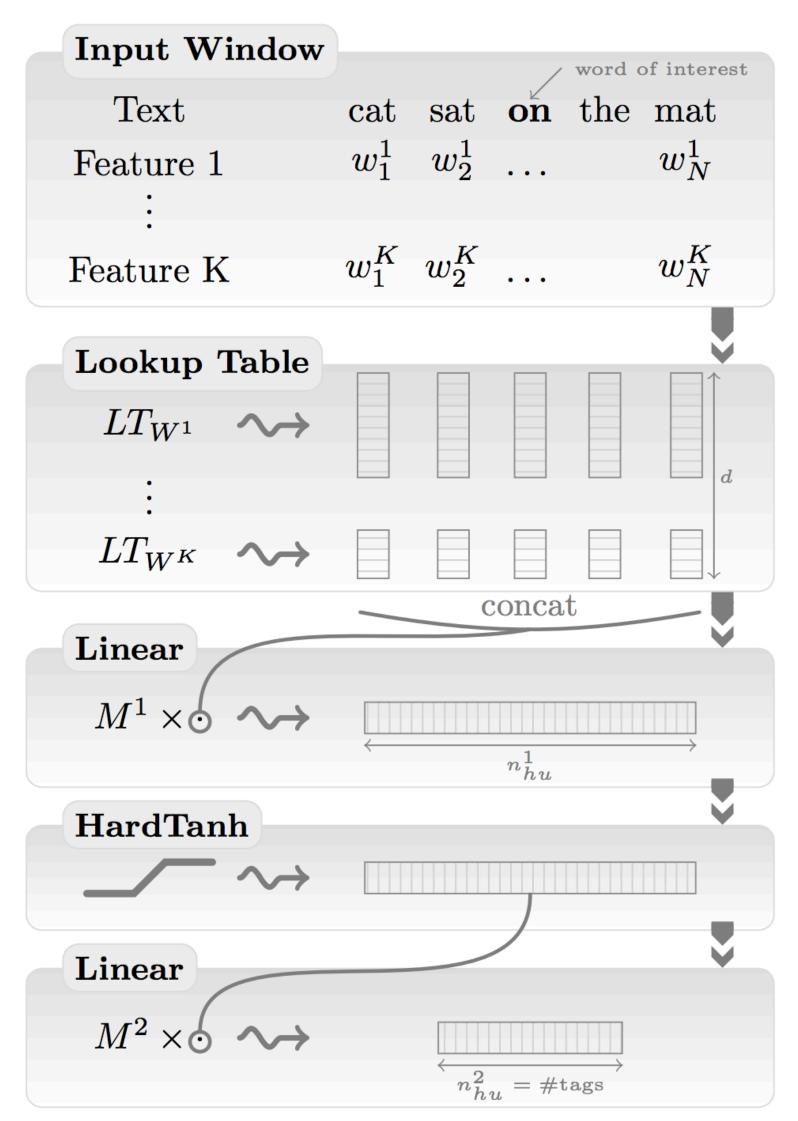
WLL: independent classification; SLL: neural CRF



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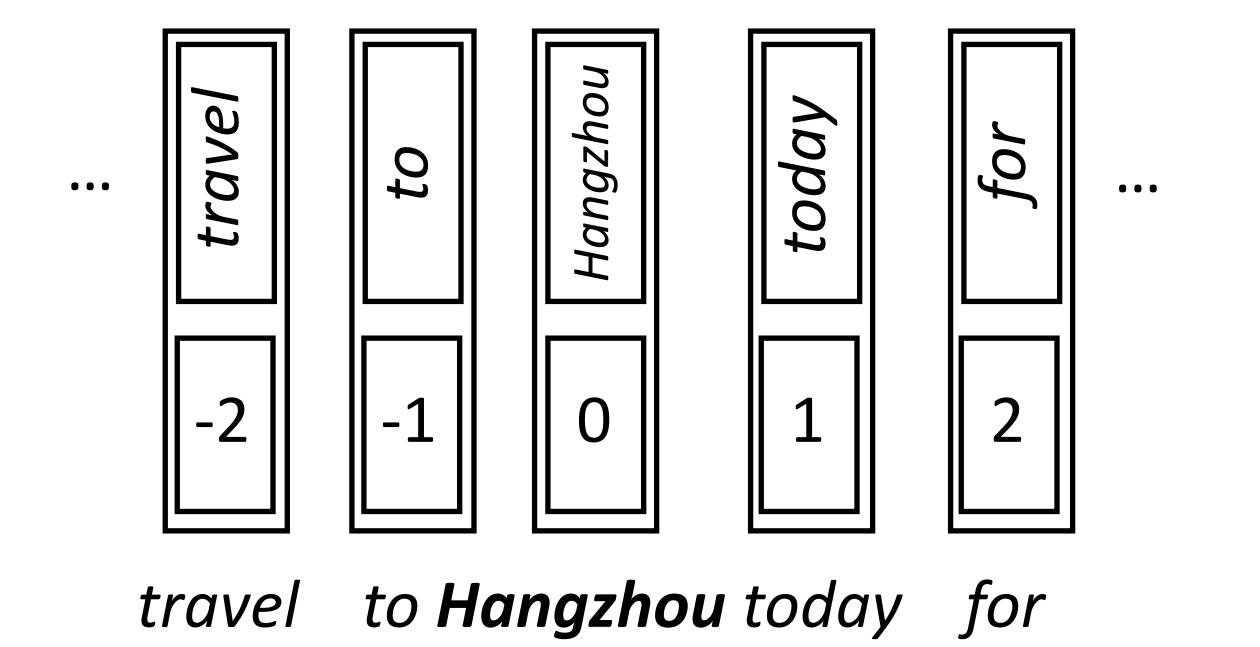
- WLL: independent classification; SLL: neural CRF
- LM2: word vectors learned from a precursor to word2vec/GloVe, trained for 2 weeks (!) on Wikipedia

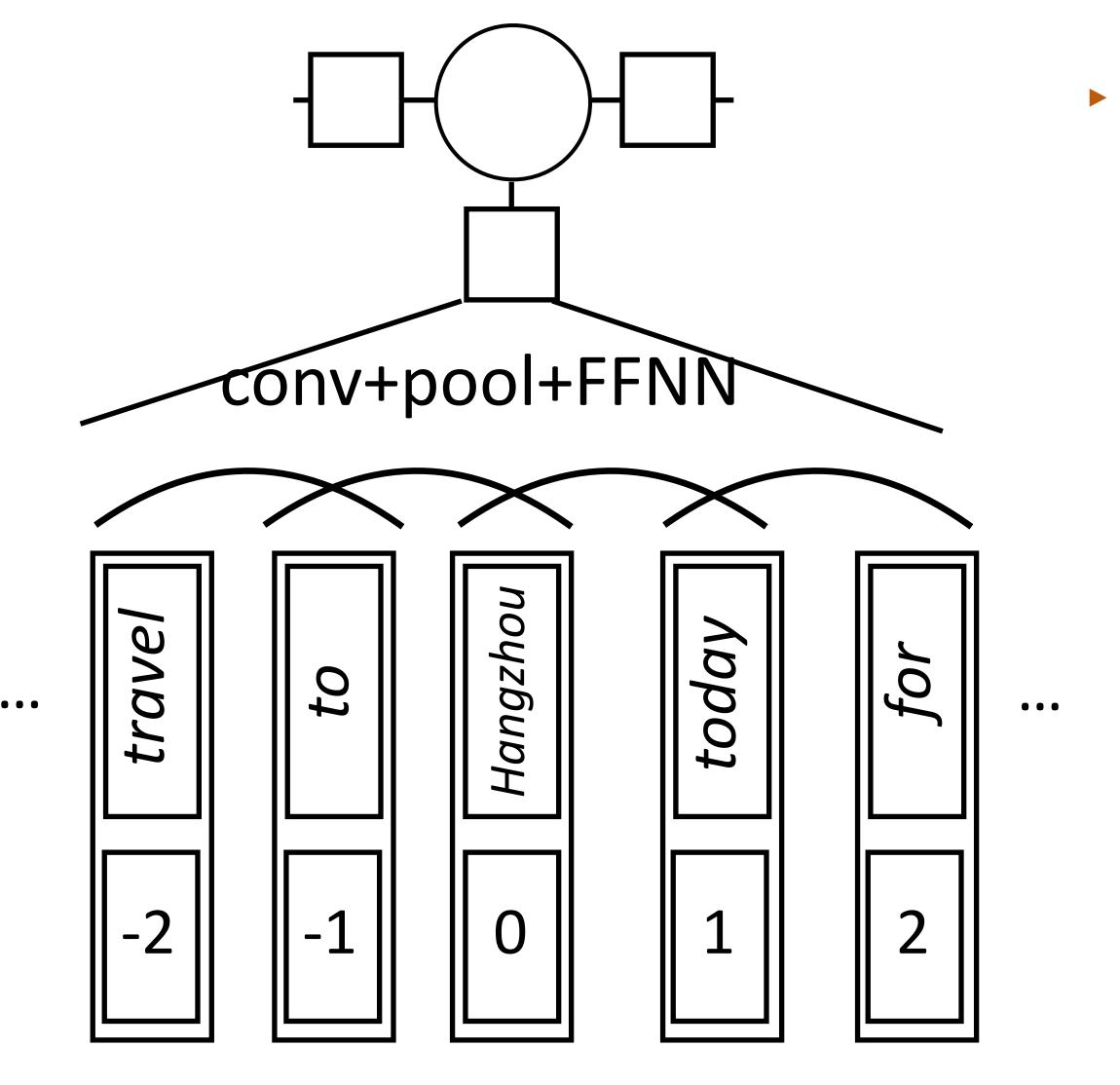


Collobert, Weston, et al. 2008, 2011

 Append to each word vector an embedding of the relative position of that word

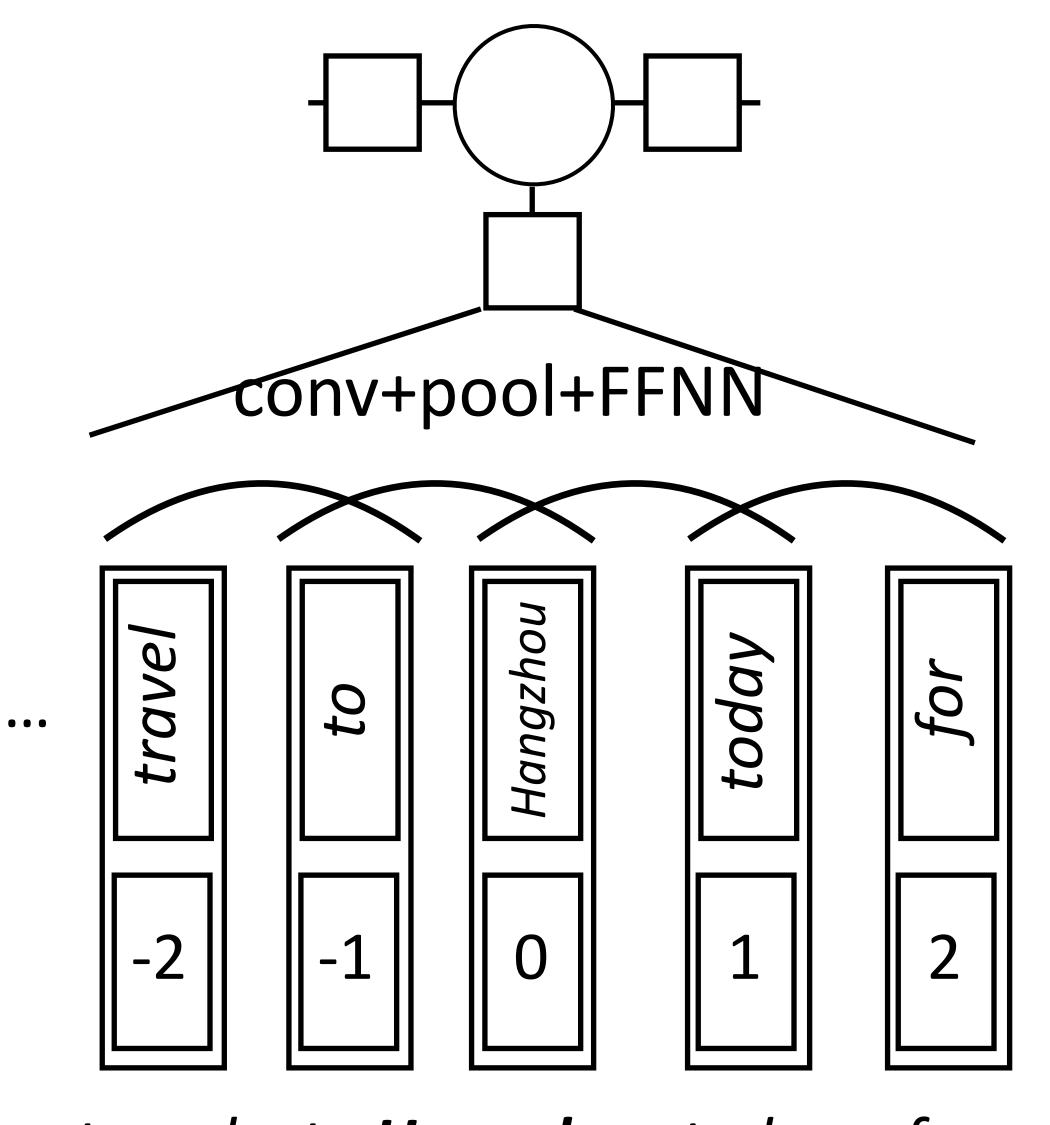
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 Append to each word vector an embedding of the relative position of that word

travel to Hangzhou today for



- Append to each word vector an embedding of the relative position of that word
- Convolution over the sentence produces a position-dependent representation

travel to Hangzhou today for

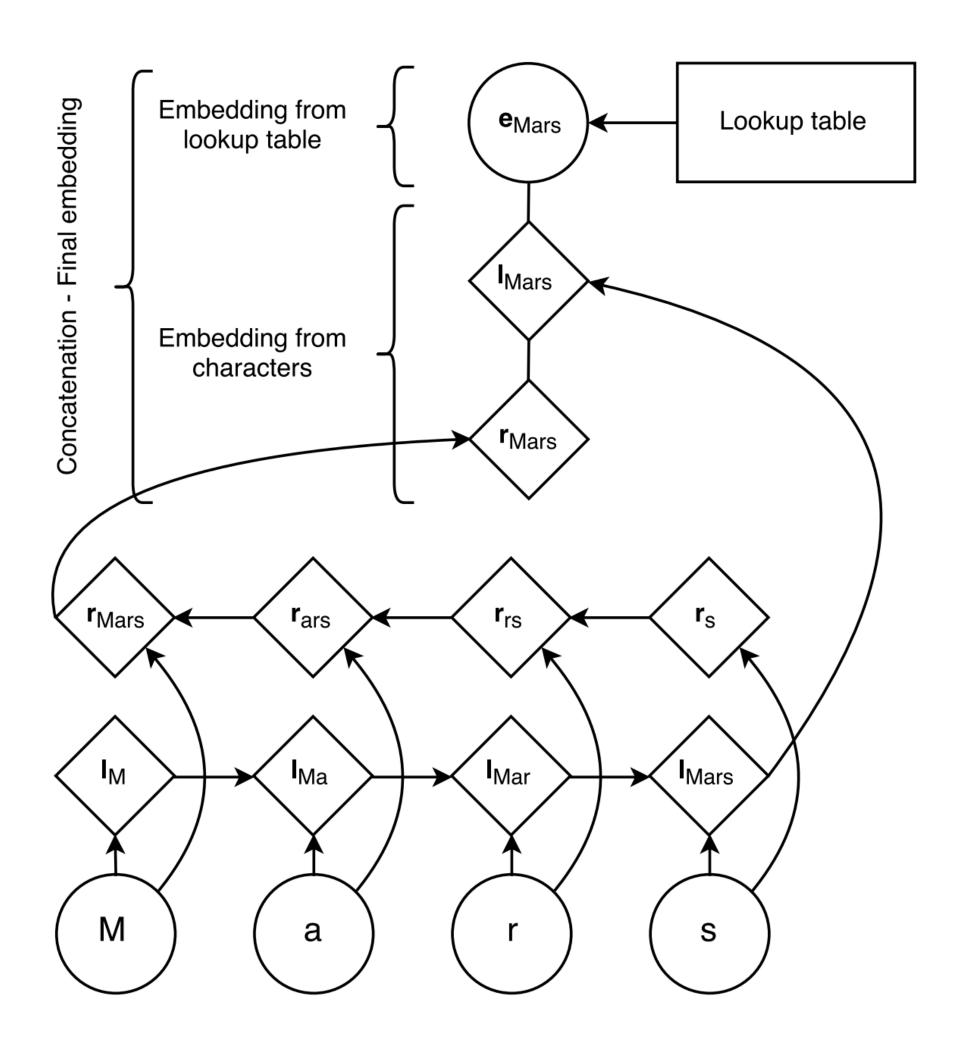
CNN NCRFs vs. FFNN NCRFs

Approach	POS	CHUNK	NER	SRL
	(PWA)	(F1)	(F1)	(F1)
Benchmark Systems	97.24	94.29	89.31	77.92
	Window Approach			
NN+SLL+LM2	97.20	93.63	88.67	_
	Sentence Approach			
NN+SLL+LM2	97.12	93.37	88.78	74.15

 Sentence approach (CNNs) is comparable to window approach (FFNNs) except for SRL where they claim it works much better

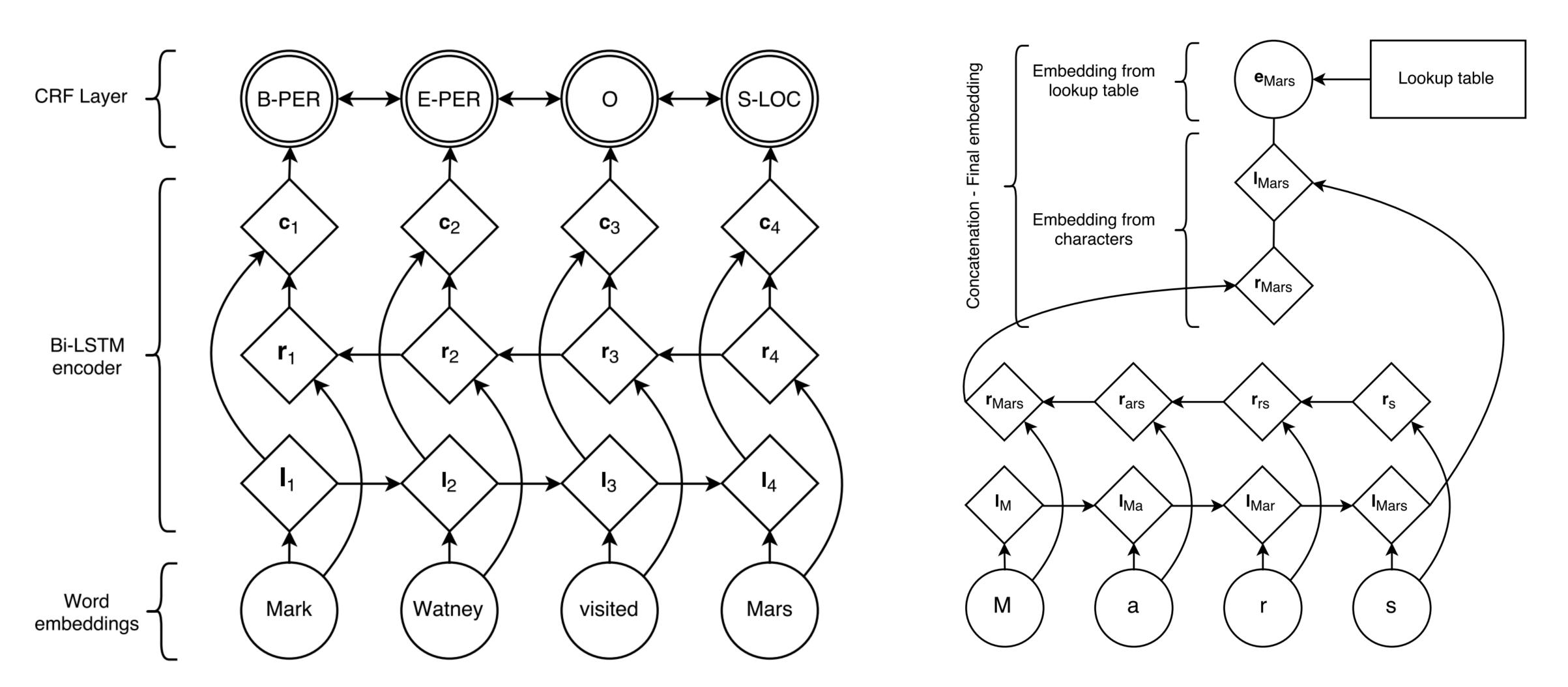
Neural CRF using character LSTMs to compute word representations

Neural CRF using character LSTMs to compute word representations



Chiu and Nichols (2015), Lample et al. (2016)

Neural CRF using character LSTMs to compute word representations



Chiu and Nichols (2015), Lample et al. (2016)

- Chiu+Nichols: character CNNs instead of LSTMs
- Lin/Passos/Luo: use external resources like Wikipedia
- LSTM-CRF captures the important aspects of NER: word context (LSTM), sub-word features (character LSTMs), outside knowledge (word embeddings)

Model	$\mathbf{F_1}$
Collobert et al. (2011)*	89.59
Lin and Wu (2009)	83.78
Lin and Wu (2009)*	90.90
Huang et al. (2015)*	90.10
Passos et al. (2014)	90.05
Passos et al. (2014)*	90.90
Luo et al. $(2015)* + gaz$	89.9
Luo et al. $(2015)* + gaz + linking$	91.2
Chiu and Nichols (2015)	90.69
Chiu and Nichols (2015)*	90.77
LSTM-CRF (no char)	90.20
LSTM-CRF	90.94

Chiu and Nichols (2015), Lample et al. (2016)

Takeaways

- CNNs are a flexible way of extracting features analogous to bag of ngrams, can also encode positional information
- All kinds of NNs can be integrated into CRFs for structured inference. Can be applied to NER, other tagging, parsing, ...