Lecture 18: Wrapup + Ethics

Alan Ritter

(many slides from Greg Durrett)

Final project reports due Friday 12/8/2023 (hard deadline)

Luan Yi (Google Al Language)

Zoom link on Piazza

Next Week: Guest Lectures from Dan Deutsch (Google Translate) and

- Question Answering
- Ethics in NLP

This Lecture

Span-based Question Answering

- answer is always a substring of the passage
- Predict start and end indices of the answer in the passage

Passage

Super Bowl 50 was an American football game to determine the champion of the National Football League (NFL) for the 2015 season. The American Football Conference (AFC) champion Denver Broncos defeated the National Football Conference (NFC) champion Carolina Panthers 24–10 to earn their third Super Bowl title. The game was played on February 7, 2016, at Levi's Stadium in the San Francisco Bay Area at Santa Clara, California.

SQuAD

Single-document, single-sentence question-answering task where the

Question: Which NFL team won Super Bowl 50? **Answer:** Denver Broncos

Question: What does AFC stand for?

Answer: American Football Conference

Question: What year was Super Bowl 50? **Answer: 2016**





- SQuAD 1.1 contains 100k+ QA pairs from 500+ Wikipedia articles.
- These questions were crowdsourced.

Passage

Super Bowl 50 was an American football game to determine the champion of the National Football League (NFL) for the 2015 season. The American Football Conference (AFC) champion Denver Broncos defeated the National Football Conference (NFC) champion Carolina Panthers 24–10 to earn their third Super Bowl title. The game was played on February 7, 2016, at Levi's Stadium in the San Francisco Bay Area at Santa Clara, California.

SQuAD 2.0

SQuAD 2.0 includes additional 50k questions that cannot be answered.

Question: Which NFL team won Super Bowl 50? **Answer:** Denver Broncos

Question: What does AFC stand for? **Answer:** American Football Conference

Question: What year was Super Bowl 50? **Answer: 2016**







SQuAD

Q: What was Marie Curie the first female recipient of?





but we need some way of attending to the query

SQuAD

Q: What was Marie Curie the first female recipient of?

Like a tagging problem over the sentence (not multiclass classification),



Why did this take off?

deep learning was exploding

- SQuAD had room to improve: ~50% performance from a logistic
- dataset was essentially solved

SQuAD was big: >100,000 questions (written by human) at a time when

regression baseline (classifier with 180M features over constituents)

SQuAD was pretty easy: year-over-year progress for a few years until the



Passage (context) and query are both encoded with BiLSTMs



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passage H



Passage (context) and query are both encoded with BiLSTMs







- Passage (context) and query are both encoded with BiLSTMs
- Context-to-query attention: compute softmax over columns of S, take weighted sum of *u* based on attention weights for each passage word



query U



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$$\tilde{u}_i = \sum_j \alpha_{ij} u_j$$
 Puery "specialize to the *i*th word vord

 $\alpha_{ij} = \operatorname{softmax}_j(S_{ij}) \rightarrow \operatorname{dist} \operatorname{over} \operatorname{query}$



passage H





QA with BERT



What was Marie Curie the first female recipient of ? [SEP] Marie Curie was the first female recipient of ...

Devlin et al. (2019)



QA with BERT



What was Marie Curie the first female recipient of ? [SEP] Marie Curie was the first female recipient of ...

Predict start and end positions in passage

Devlin et al. (2019)



QA with BERT



What was Marie Curie the first female recipient of ? [SEP] Marie Curie was the first female recipient of ...

- Predict start and end positions in passage
- No need for cross-attention mechanisms!

Devlin et al. (2019)



Rank	Model	EM	F1
	Human Performance Stanford University (Rajpurkar et al. '16)	82.304	91.221
1 Oct 05, 2018	BERT (ensemble) Google Al Language https://arxiv.org/abs/1810.04805	87.433	93.160
2 Oct 05, 2018	BERT (single model) Google Al Language https://arxiv.org/abs/1810.04805	85.083	91.835
2 Sep 09, 2018	nlnet (ensemble) Microsoft Research Asia	85.356	91.202
2 Sep 26, 2018	nlnet (ensemble) Microsoft Research Asia	85.954	91.677
3 Jul 11, 2018	QANet (ensemble) Google Brain & CMU	84.454	90.490
4 Jul 08, 2018	r-net (ensemble) Microsoft Research Asia	84.003	90.147
5 Mar 19, 2018	QANet (ensemble) Google Brain & CMU	83.877	89.737

Rank	Model	EM	F
	Human Performance Stanford University (Rajpurkar et al. '16)	82.304	91.2
1 Oct 05, 2018	BERT (ensemble) Google Al Language https://arxiv.org/abs/1810.04805	87.433	93.1
2 Oct 05, 2018	BERT (single model) Google Al Language https://arxiv.org/abs/1810.04805	85.083	91.8
2 Sep 09, 2018	nlnet (ensemble) Microsoft Research Asia	85.356	91.2
2 Sep 26, 2018	nlnet (ensemble) Microsoft Research Asia	85.954	91.6
3 Jul 11, 2018	QANet (ensemble) Google Brain & CMU	84.454	90.4
4 Jul 08, 2018	r-net (ensemble) Microsoft Research Asia	84.003	90.1
5 Mar 19, 2018	QANet (ensemble) Google Brain & CMU	83.877	89.7

- F1
 Bidaf: 73 EM / 81 F1
- .160
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 BiDAF: 73 EM / 81 F1
 BiDAF: QANet, r-net dueling super complex systems (much more than BiDAF...)

.677

.490

.147

.737

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	Human Performance Stanford University (Rajpurkar et al. '16)	82.304	91.22
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2 Sep 09, 2018	nlnet (ensemble) Microsoft Research Asia	85.356	91.20
2 Sep 26, 2018	nlnet (ensemble) Microsoft Research Asia	85.954	91.67
3 Jul 11, 2018	QANet (ensemble) Google Brain & CMU	84.454	90.49
4 Jul 08, 2018	r-net (ensemble) Microsoft Research Asia	84.003	90.14
5 Mar 19, 2018	QANet (ensemble) Google Brain & CMU	83.877	89.73

- BiDAF: 73 EM / 81 F1 .221
- Inlnet, QANet, r-net .160 dueling super complex .835 systems (much more than BiDAF...) .202
- BERT: transformer-based .677 approach with pretraining .490 on 3B tokens

.147

SQuAD 2.0 SOTA: Fall 2019

	Rank	Model	EM
		Human Performance	86.831
		Stanford University	
		(Rajpurkar & Jia et al. '18)	
_			00 704
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		Human Performance	86.831
-		Stanford University	
		(Rajpurkar & Jia et al. '18)	
	1	BERT + DAE + AoA (ensemble)	87.147
	Mar 20, 2019	Joint Laboratory of HIT and iFLYTEK Research	
	2	BERT + ConvLSTM + MTL + Verifier (ensemble)	86.730
	Mar 15, 2019	Layer 6 Al	
	3	BERT + N-Gram Masking + Synthetic Self-	86.673
_	Mar 05, 2019	Training (ensemble)	
		Google AI Language	
		https://github.com/google-research/bert	
_	4	SemBERT(ensemble)	86.166
	Apr 13, 2019	Shanghai Jiao Tong University	
	5	BERT + DAE + AoA (single model)	85.884
	Mar 16, 2019	Joint Laboratory of HIT and iFLYTEK Research	



- 66 88.886
- 84 88.621

SQuAD 2.0 SOTA: Today

Rank	Model	EM	F1	1
	Human Performance Stanford University (Rajpurkar & Jia et al. '18)	86.831	89.452	452
		~~ ~~~		54.5
Rank	Model		EM	F1
	Human Performance Stanford University (Rajpurkar & Jia et al. '18)		86.831	89.452
1 ar 20, 2019	BERT + DAE + AoA (ensemble) Joint Laboratory of HIT and iFLYTEK Researd		87.147	89.474
2 ar 15, 2019	BERT + ConvLSTM + MTL + Verifier (ensemb Layer 6 Al	ole)	86.730	89.286
3 ar 05, 2019	BERT + N-Gram Masking + Synthetic Self- Training (ensemble) Google AI Language https://github.com/google-research/bert		86.673	89.147
4 pr 13, 2019	SemBERT(ensemble) Shanghai Jiao Tong University		86.166	88.886
5 Mar 16, 2019	BERT + DAE + AoA (single model) Joint Laboratory of HIT and iFLYTEK Researd		85.884	88.621

What are these models learning?

"Who...": knows to look for people

"Which film...": can identify movies and then spot keywords that are related to the question

Unless questions are made super tricky (target closely-related) entities who are easily confused), they're usually not so hard to answer

But how well are these doing?

- Can construct adversarial examples that fool these systems: add one carefully chosen sentence and performance drops to below 50%
- Still "surface-level" matching, not complex understanding
- Other challenges: recognizing when answers aren't present, doing multi-step reasoning

Article: Super Bowl 50

Paragraph: *"Peyton Manning became the first quarter*back ever to lead two different teams to multiple Super Bowls. He is also the oldest quarterback ever to play in a Super Bowl at age 39. The past record was held by John Elway, who led the Broncos to victory in Super Bowl XXXIII at age 38 and is currently Denver's Executive Vice President of Football Operations and General Manager.

Question: *"What is the name of the quarterback who* was 38 in Super Bowl XXXIII?" **Original Prediction:** John Elway

Figure 1: An example from the SQuAD dataset. The BiDAF Ensemble model originally gets the answer correct, but is fooled by the addition of an adversarial distracting sentence (in blue).

Jia and Liang (2017)





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Figure 1: An example from the SQuAD dataset. The BiDAF Ensemble model originally gets the answer correct, but is fooled by the addition of an adversarial distracting sentence (in blue).

Jia and Liang (2017)





Model	Original	AddOneSent
ReasoNet-E	81.1	49.8
SEDT-E	80.1	46.5
BiDAF-E	80.0	46.9
Mnemonic-E	79.1	55.3
Ruminating	78.8	47.7
jNet	78.6	47.0
Mnemonic-S	78.5	56.0
ReasoNet-S	78.2	50.3
MPCM-S	77.0	50.0
SEDT-S	76.9	44.8
RaSOR	76.2	49.5
BiDAF-S	75.5	45.7
Match-E	75.4	41.8
Match-S	71.4	39.0
DCR	69.3	45.1
Logistic	50.4	30.4

Weakness to Adversaries

- Performance of basically every model drops to below 60% (when the model doesn't train on these)
- BERT variants also weak to these kinds of adversaries
- Unlike other adversarial models, we don't need to customize the adversary to the model; this single sentence breaks every SQuAD model

Jia and Liang (2017)





Task	Input (red = trigger)	Model Prediction	
	Input (<u>underline</u> = correct span, red = trigger, <u>underline</u> = target span)		
SOuAD	<i>Question:</i> Why did he walk? For <u>exercise</u> , Tesla walked between 8 to 10 miles per day. He squished his toes one hundred times for each foot every night, saying that it stimulated his brain cells. why how because to kill american people.	exercise \rightarrow to kill american people	
SQuAD	<i>Question:</i> Why did the university see a drop in applicants? In the early 1950s, student applications declined as a result of increasing crime and poverty in the Hyde Park neighborhood. In response, the university became a why how because to kill american people.	crime and poverty \rightarrow to kill american people	



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Adding "why how because to kill American people" cause SQuAD trained models to return this answer 10-50% of the time for WHY questions





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- Similar attack on WHO questions

Similar to Jia and Liang, but add the same adversary to every passage.

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## How to fix QA?

- Better models?
  - similar attacks which that doesn't solve
  - Large language models can help

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  - Same questions but with more distractors may challenge our models Later in class: retrieval-based open-domain QA models
- Harder QA tasks
  - Ask questions which cannot be answered in a simple way
  - Next up: multi-hop QA and other QA settings



Multi-Hop Question Answering

## Multi-Hop Question Answering

- Very few SQuAD questions require actually combining multiple pieces of information — this is an important capability QA systems should have
- Several datasets test multi-hop reasoning: ability to answer questions that draw on several sentences or several documents to answer

Welbl et al. (2018), Yang et al. (2018)


- Annotators shown Wikipedia and asked to pose a simple question linking two entities that require a third (bridging) entity to associate; multichoice answer.
- A model shouldn't be able to answer these without doing some reasoning about the intermediate entity

## WikiHop

The Hanging Gardens, in [Mumbai], also known as Pherozeshah Mehta Gardens, are terraced gardens ... They provide sunset views over the [Arabian Sea]

Mumbai (also known as Bombay, the official name until 1995) is the capital city of the Indian state of Maharashtra. It is the most populous city in India ...

The Arabian Sea is a region of the northern Indian Ocean bounded on the north by **Pakistan** and **Iran**, on the west by northeastern **Somalia** and the Arabian Peninsula, and on the east by **India** ...

**Q:** (Hanging gardens of Mumbai, country, ?) **Options:** {Iran, **India**, Pakistan, Somalia, ...}

#### Figure from Welbl et al. (2018)





#### **Question**: What government position was held by the woman who portrayed Corliss Archer in the film Kiss and Tell ?

#### Much longer and more convoluted questions; span-based answer.



**Question**: What government position was held by the woman who portrayed Corliss Archer in the film Kiss and Tell ?

Shirley Temple Black was an American actress, businesswoman, and singer ... Doc As an adult, she served as Chief of Protocol of the United States

Kiss and Tell is a comedy film in which 17-year-old Shirley Temple acts as Corliss Archer. . . .

Meet Corliss Archer is an American television sitcom that aired on CBS ...

Much longer and more convoluted questions; span-based answer.

00





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Same entity





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No simple lexical overlap.

00

...but only one government position appears in the context!

Meet Corliss Archer is an American television sitcom that aired on CBS ...





# in what city?



The Oberoi Group is a hotel company with its head office in Delhi.  $\sim$ Doc . . .

**Question**: The Oberoi family is part of a hotel company that has a head office



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This is an idealized version of multi-hop reasoning. Do models need to do this to do well on this task?

**Question**: The Oberoi family is part of a hotel company that has a head office



# in what city?

The Oberoi family is an Indian family that is famous for its involvement in hotels, namely through The Oberoi Group

The Oberoi Group is a hotel company with its head office in Delhi.  $\sim$ 00 

**Question**: The Oberoi family is part of a hotel company that has a head office

Example picked from HotpotQA (Yang 2018)





# in what city?

Doc



#### Model can ignore the bridging entity and directly predict the answer

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**Question**: The Oberoi family is part of a hotel company that has a head office

Example picked from HotpotQA (Yang 2018)





Find the answer by comparing each sentence with the question **separately**!

**Question**: The Oberoi family is part of a hotel company that has a head office in what city?

Doc 1

The Oberoi family is an Indian family that is ...

Doc 2





#### Chen and Durrett (2019)





#### Chen and Durrett (2019)



Method	Random	Factored	Factored BiDAF
WikiHop	6.5	60.9	66.1
HotpotQA	5.4	45.4	57.2
SQuAD	22.1	70.0	88.0

Table 1: The accuracy of our proposed sentencefactored models on identifying answer location in the development sets of WikiHop, HotpotQA and SQuAD. *Random*: we randomly pick a sentence in the passage to see whether it contains the answer. *Factored* and *Factored BiDAF* refer to the models of Section 3.1. As expected, these models perform better on SQuAD than the other two datasets, but the model can nevertheless find many answers in WikiHop especially.

#### Chen and Durrett (2019)



# Retrieval-based QA (a.k.a. open-domain QA)

- Many SQuAD questions are not suited to the "open" setting because they're underspecified
  - Where did the Super Bowl take place?
  - Which player on the Carolina Panthers was named MVP?
- SQuAD questions were written by people looking at the passage encourages a question structure which mimics the passage and doesn't look like "real" questions

#### Problems

Lee et al. (2019)



- SQuAD-style QA is very artificial, not really a real application
- Real QA systems should be able to handle more than just a paragraph of context — theoretically should work over the whole web?



- SQuAD-style QA is very artificial, not really a real application
- Real QA systems should be able to handle more than just a paragraph of context — theoretically should work over the whole web?
- Q: What was Marie Curie the recipient of?
  Marie Curie was awarded the Nobel Prize in Chemistry and the Nobel Prize in Physics...
  Mother Teresa received the Nobel Peace Prize in...
  Curie received his doctorate in March 1895...
  Skłodowska received accolades for her early work...



- SQuAD-style QA is very artificial, not really a real application
- Real QA systems should be able to handle more than just a paragraph of context — theoretically should work over the whole web?
- This also introduces more complex *distractors* (bad answers) and should require stronger QA systems



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- Real QA systems should be able to handle more than just a paragraph of context — theoretically should work over the whole web?
- This also introduces more complex *distractors* (bad answers) and should require stronger QA systems
- QA pipeline: given a question:
  - Retrieve some documents with an IR system
  - Zero in on the answer in those documents with a QA model



#### DrQA

 How often does the retrieved context contain the answer? (uses Lucene, basically sparse tf-idf vectors)

Data

SQu Cura Web Wiki

aset	Wiki	<b>Doc. Retriever</b>	
	Search	plain	+bigrams
AD	62.7	76.1	77.8
atedTREC	81.0	85.2	86.0
Questions	73.7	75.5	74.4
iMovies	61.7	54.4	70.3



#### DrQA

- How often does the retrieved context contain the answer? (uses Lucene, basically sparse tf-idf vectors)
- Full retrieval results

   using a QA model
   trained on SQuAD: task
   is much harder

Data

SQuA Curat Web( Wiki

Da

SQ Cu We Wi

Wiki	<b>Doc. Retriever</b>	
Search	plain	+bigrams
62.7	76.1	77.8
81.0	85.2	86.0
73.7	75.5	74.4
61.7	54.4	70.3
	Search 62.7 81.0 73.7	Searchplain62.776.181.085.273.775.5

ataset		
	SQuAD	
QuAD (All Wikipedia)	27.1	
uratedTREC	19.7	
<b>ebQuestions</b>	11.8	
ikiMovies	24.5	Chen et a



#### NaturalQuestions

Real questions from Google, answerable with Wikipedia

#### Question:

where is blood pumped after it leaves the right ventricle?

- Short Answer: Short answers and long answers None (snippets)
- by people looking at a passage. This makes them much harder
- Short answer F1s < 60, long answer F1s <75</p>

#### Long Answer:

From the right ventricle, blood is pumped through the semilunar pulmonary valve into the left and right main pulmonary arteries ( one for each lung), which branch into smaller pulmonary arteries that spread throughout the lungs.

# Questions arose naturally, unlike SQuAD questions which were written

Kwiatkowski et al. (2019)



### **Retrieval with BERT**

- Can we do better than a simple IR system?
- Encode the query with BERT, pre-encode all paragraphs with BERT, query is basically nearest neighbors

 $h_q = \mathbf{W}_q \operatorname{BERT}_Q(q)[\operatorname{CLS}]$  $h_b = \mathbf{W}_{\mathbf{b}} \mathbf{B} \mathbf{E} \mathbf{R} \mathbf{T}_B(b) [CLS]$  $S_{retr}(b,q) = h_a^\top h_b$ 



#### Lee et al. (2019)







- Technique for integrating retrieval into pre-training
- Retriever relies on a maximum inner-product search (MIPS) over BERT embeddings



MIPS is fast — challenge is how to refresh the BERT embeddings

#### REALM





Figure 2. The overall framework of REALM. Left: Unsupervised pre-training. The knowledge retriever and knowledge-augmented encoder are jointly pre-trained on the unsupervised language modeling task. Right: Supervised fine-tuning. After the parameters of the retriever ( $\theta$ ) and encoder ( $\phi$ ) have been pre-trained, they are then fine-tuned on a task of primary interest, using supervised examples.

#### Fine-tuning can exploit the same kind of textual knowledge Can work for tasks requiring knowledge lookups

#### REALM

Guu et al. (2020)


Name Architectures		<b>Pre-training</b>	NQ (79k/4k)	<b>WQ</b> (3k/2k)	<b>CT</b> (1k /1k)	# para
BERT-Baseline (Lee et al., 2019)	Sparse Retr.+Transformer	BERT	26.5	17.7	21.3	11
T5 (base) (Roberts et al., 2020) T5 (large) (Roberts et al., 2020) T5 (11b) (Roberts et al., 2020)	Transformer Seq2Seq Transformer Seq2Seq Transformer Seq2Seq	T5 (Multitask) T5 (Multitask) T5 (Multitask)	27.0 29.8 34.5	29.1 32.2 37.4	-	222 732 1131
DrQA (Chen et al., 2017) HardEM (Min et al., 2019a) GraphRetriever (Min et al., 2019b) PathRetriever (Asai et al., 2019) ORQA (Lee et al., 2019)	Sparse Retr.+DocReader Sparse Retr.+Transformer GraphRetriever+Transformer PathRetriever+Transformer Dense Retr.+Transformer	N/A BERT BERT MLM ICT+BERT	- 28.1 31.8 32.6 33.3	20.7 - 31.6 - 36.4	25.7 - - 30.1	34 11( 11( 11( 33(
Ours ( $X$ = Wikipedia, $Z$ = Wikipedia) Ours ( $X$ = CC-News, $Z$ = Wikipedia)	Dense Retr.+Transformer Dense Retr.+Transformer	REALM REALM	39.2 <b>40.4</b>	40.2 <b>40.7</b>	<b>46.8</b> 42.9	33 33

#### 330M parameters + a knowledge base beats an 11B parameter T5 model

#### REALM

Guu et al. (2020)





Ethics in NLP — what can go wrong?







# What can actually go wrong?

## Pre-Training Cost (with Google/AWS)

- Carbon footprint: equivalent to driving 700,000 km by car (source: Anthropocene magazine)
- Counterpoints: GPT-3 isn't trained frequently, equivalent to 100 people traveling 7000 km for a conference, can use renewables)
- BERT-Base pre-training: carbon emissions roughly on the same order as a single passenger on a flight from NY to San Francisco

• GPT-3: estimated to be \$4.6M. This cost has a large carbon footprint

- Strubell et al. (2019)
- https://lambdalabs.com/blog/demystifying-gpt-3/
- https://www.technologyreview.com/2019/06/06/239031/training-a-singleai-model-can-emit-as-much-carbon-as-five-cars-in-their-lifetimes/







Bias in data: 67% of training images involving cooking are women, model predicts 80% women cooking at test time — amplifies bias





- Bias in data: 67% of training images involving cooking are women, model predicts 80% women cooking at test time — amplifies bias
- Can we constrain models to avoid this while achieving the same predictive accuracy?







- Bias in data: 67% of training images involving cooking are women, model predicts 80% women cooking at test time — amplifies bias
- Can we constrain models to avoid this while achieving the same predictive accuracy?
- Place constraints on proportion of predictions that are men vs. women?







Coreference: models make assumptions about genders and make mistakes as a result

Rudinger et al. (2018), Zhao et al. (2018)



even though she/he/they knew it was too late. (1b)even though she/he/they knew it was too late. (2b)even though she/he/they was/were already dead.

- Can form Winograd schema-like test set to investigate
- Models fail to predict on this test set in an unbiased way (due to bias in the training data) Rudinger et al. (2018), Zhao et al. (2018)

- (1a) **The paramedic** performed CPR on the passenger
- (2a) The paramedic performed CPR on the passenger even though she/he/they was/were already dead.

someone

- The paramedic performed CPR on
- The paramedic performed CPR on someone



- English -> French machine translation requires inferring gender even when unspecified
- "dancer" is assumed to be female in the context of the word "charming"… but maybe that reflects how language is used?



Alvarez-Melis and Jaakkola (2017)



#### Generating convincing fake news / fake comments?

FCC Comment ID: 106030756805675	FCC Comment ID: 106030135205754	FCC Comment I 1060373320911	
Dear Commissioners:	Dear Chairman Pai,		
Hi, I'd like to comment on	I'm a voter worried about	In the matter of	
net neutrality regulations.	Internet freedom.	NET NEUTRALIT	
I want to	I'd like to	I strongly	
implore	ask	ask	
the government to	Ajit Pai to	the commission	
repeal	repeal	reverse	
Barack Obama's	President Obama's	Tom Wheeler's	
decision to	order to	scheme to	
regulate	regulate	take over	
internet access.	broadband.	the web.	
Individuals,	people like me,	People like me,	
rather than	rather than	rather than	

ID: 12
f
TY.
n to
,

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Individuals,	people like me,	People like me,	
rather than	rather than	rather than	

ID: 12
f
TY.
n to
,

#### What if these were undetectable?

#### **Charge-Based Prison Term Prediction with Deep Gating Network**

Task: given case descriptions and charge set, predict the prison term

Huajie Chen^{1*} Deng Cai^{2*} Wei Dai¹ Zehui Dai¹ Yadong Ding¹ ¹NLP Group, Gridsum, Beijing, China {chenhuajie,daiwei,daizehui,dingyadong}@gridsum.com ²The Chinese University of Hong Kong thisisjcykcd@gmail.com

> **Case description**: On July 7, 2017, when the defendant Cui XX was drinking in a bar, he came into conflict with Zhang XX..... After arriving at the police station, he refused to cooperate with the policeman and bited on the arm of the policeman.....

> **Result of judgment**: Cui XX was sentenced to <u>12</u> months imprisonment for *creating disturbances* and *12* months imprisonment for *obstructing public affairs*.....



Chen et al. (EMNLP 2019)



Results: 60% of the time, the system is off by more than 20% (so 5 years => 4 or 6 years)

- Is this the right way to apply this?
- Are there good applications this can have?
- Is this technology likely to be misused?

Model	S	EM	Acc@0.1	Acc@0.2
ATE-LSTM	66.49	7.72	16.12	33.89
MemNet	70.23	7.52	18.54	36.75
RAM	70.32	7.97	18.87	37.38
TNet	73.94	8.06	19.55	39.89
DGN	76.48	8.92	20.66	42.61

The mistake of legal judgment is serious, it is about people losing years of their lives in prison, or dangerous criminals being released to reoffend. We should pay attention to how to avoid judges' over-dependence on the system. It is necessary to consider its application scenarios. In practice, we recommend deploying our system in the "Review Phase", where other judges check the judgment result by a presiding judge. Our system can serve as one anonymous checker.

2

#### TECH 🖵 SCIENCE 🗕 CULTURE 🗕 CARS 🗕

US & WORLD TECH POLITICS

#### Facebook apologizes after wrong translation sees Palestinian man arrested for posting 'good morning'

Facebook translated his post as 'attack them' and 'hurt them'

by Thuy Ong | @ThuyOng | Oct 24, 2017, 10:43am EDT

LONGFORM REVIEWS -VIDEO MORE 🗕 f 🎽 🔊

Slide credit: The Verge



14 💻



"Amazon scraps secret AI recruiting tool that showed bias against women"

- "Amazon scraps secret AI recruiting tool that showed bias against women"

"Women's X" organization was a negative-weight feature in resumes



- "Amazon scraps secret AI recruiting tool that showed bias against women"

  - Women's colleges too

"Women's X" organization was a negative-weight feature in resumes



- "Amazon scraps secret AI recruiting tool that showed bias against women"

  - Women's colleges too
- Was this a bad model? May have actually modeled downstream outcomes correctly...but this can mean learning humans' biases

"Women's X" organization was a negative-weight feature in resumes





Slide credit: <u>https://medium.com/@blaisea/do-algorithms-reveal-sexual-orientation-or-just-expose-our-stereotypes-d998fafdf477</u>



 Wang and Kosinski: gay vs.
 straight classification based on faces



Slide credit: <u>https://medium.com/@blaisea/do-algorithms-reveal-sexual-orientation-or-just-expose-our-stereotypes-d998fafdf477</u>



- Wang and Kosinski: gay vs.
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- Authors: "this is useful because it supports a hypothesis" (physiognomy)



Slide credit: <u>https://medium.com/@blaisea/do-algorithms-reveal-sexual-orientation-or-just-expose-our-stereotypes-d998fafdf477</u>



- Wang and Kosinski: gay vs. straight classification based on faces
- Authors: "this is useful because it supports a hypothesis" (physiognomy)
- Blog post by Agüera y Arcas, Todorov, Mitchell: mostly social phenomena (glasses, makeup, angle of camera, facial hair) — bad science, *and* dangerous



Slide credit: <u>https://medium.com/@blaisea/do-</u> algorithms-reveal-sexual-orientation-or-just-exposeour-stereotypes-d998fafdf477





Utilizing advanced machine learning techniques we developed and continue to evolve an array of classifiers. These classifiers represent a certain persona, with a unique personality type, a collection of personality traits or behaviors. Our algorithms can score an individual according to their fit to these classifiers.



#### Pedophile

Suffers from a high level of anxiety and depression. Introverted, lacks emotion, calculated, tends to pessimism, with low self-esteem, low self image and mood swings.

#### **OUR CLASSIFIERS**





**Professional Poker** Player



#### Terrorist

Learn More>

http://www.faception.com

# How to Move Forward?

ACM Code of Ethics https://www.acm.org/code-of-ethics

- Contribute to society and to human well-being
- Avoid harm
- Be fair and take action not to discriminate
- Respect privacy
- ... (see link above for more details)

### Final Thoughts

choose to work for, etc.

### Final Thoughts

You will face choices: what you choose to work on, what company you

- choose to work for, etc.
- always easy to tell)

## Final Thoughts

You will face choices: what you choose to work on, what company you

Tech does not exist in a vacuum: you can work on problems that will fundamentally make the world a better place or a worse place (not

- choose to work for, etc.
- always easy to tell)
- with it to improve society, not just what we *can* do with it

You will face choices: what you choose to work on, what company you

Tech does not exist in a vacuum: you can work on problems that will fundamentally make the world a better place or a worse place (not

As AI becomes more powerful, think about what we should be doing