

Lecture 5: Sequence Models II

Alan Ritter

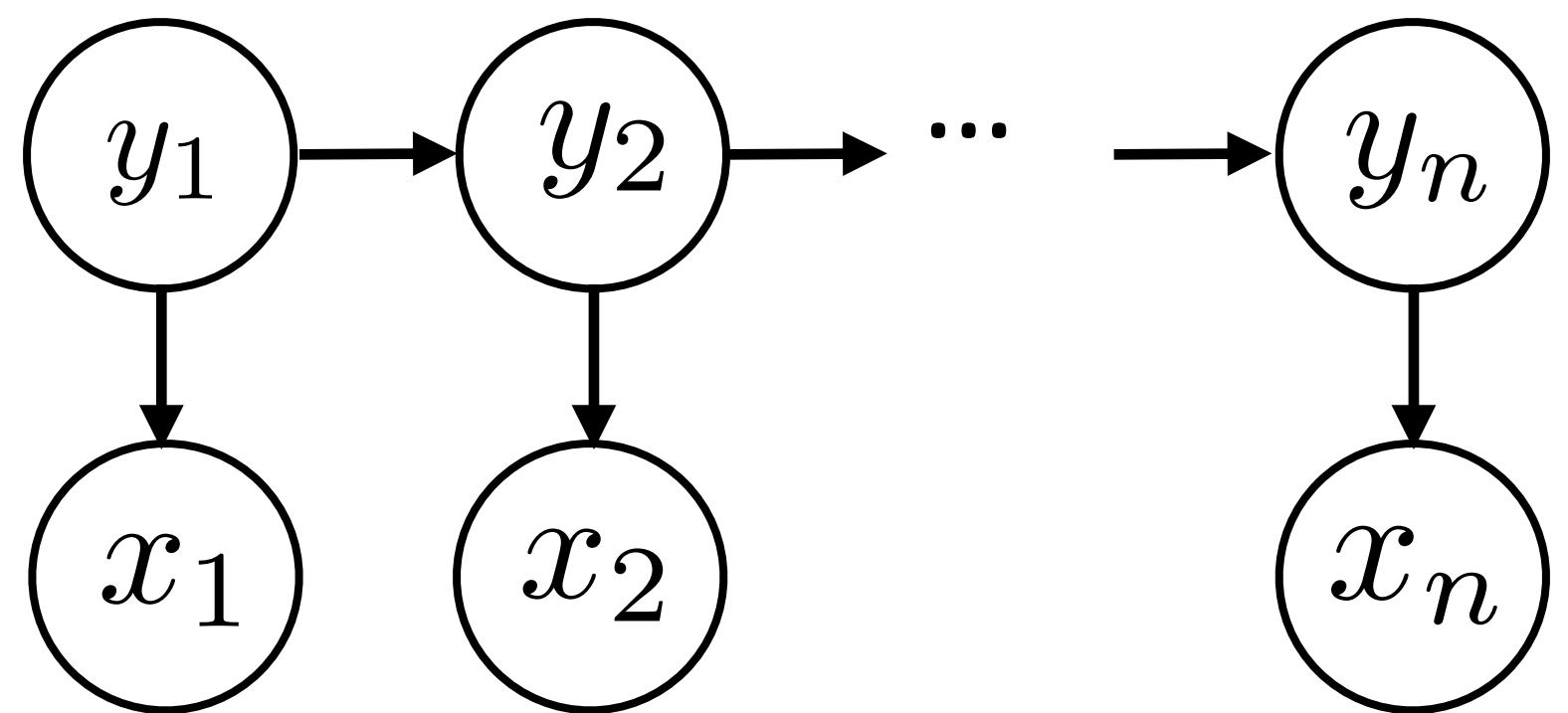
(many slides from Greg Durrett, Dan Klein, Vivek Srikumar, Chris Manning, Yoav Artzi)

Recall: HMMs

- ▶ Input $\mathbf{x} = (x_1, \dots, x_n)$ Output $\mathbf{y} = (y_1, \dots, y_n)$

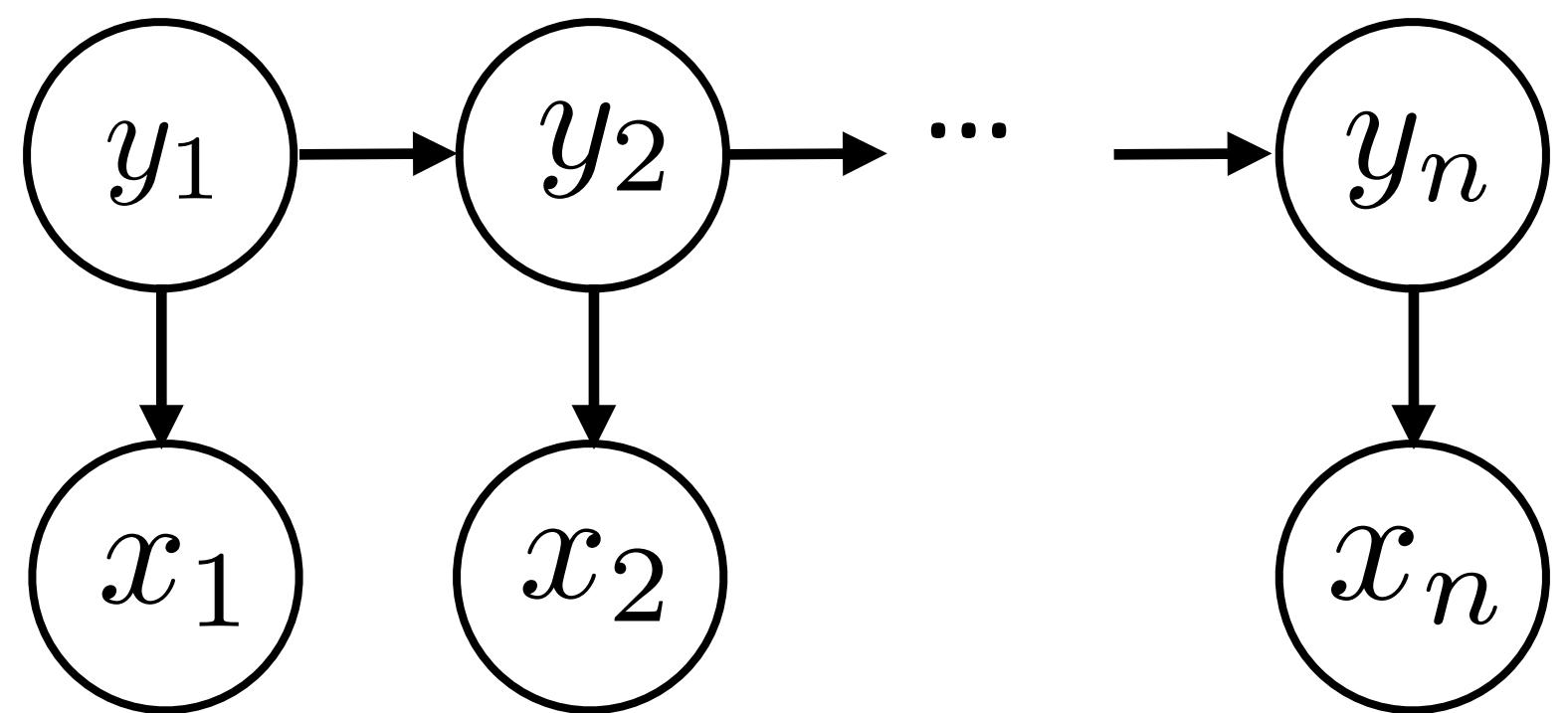
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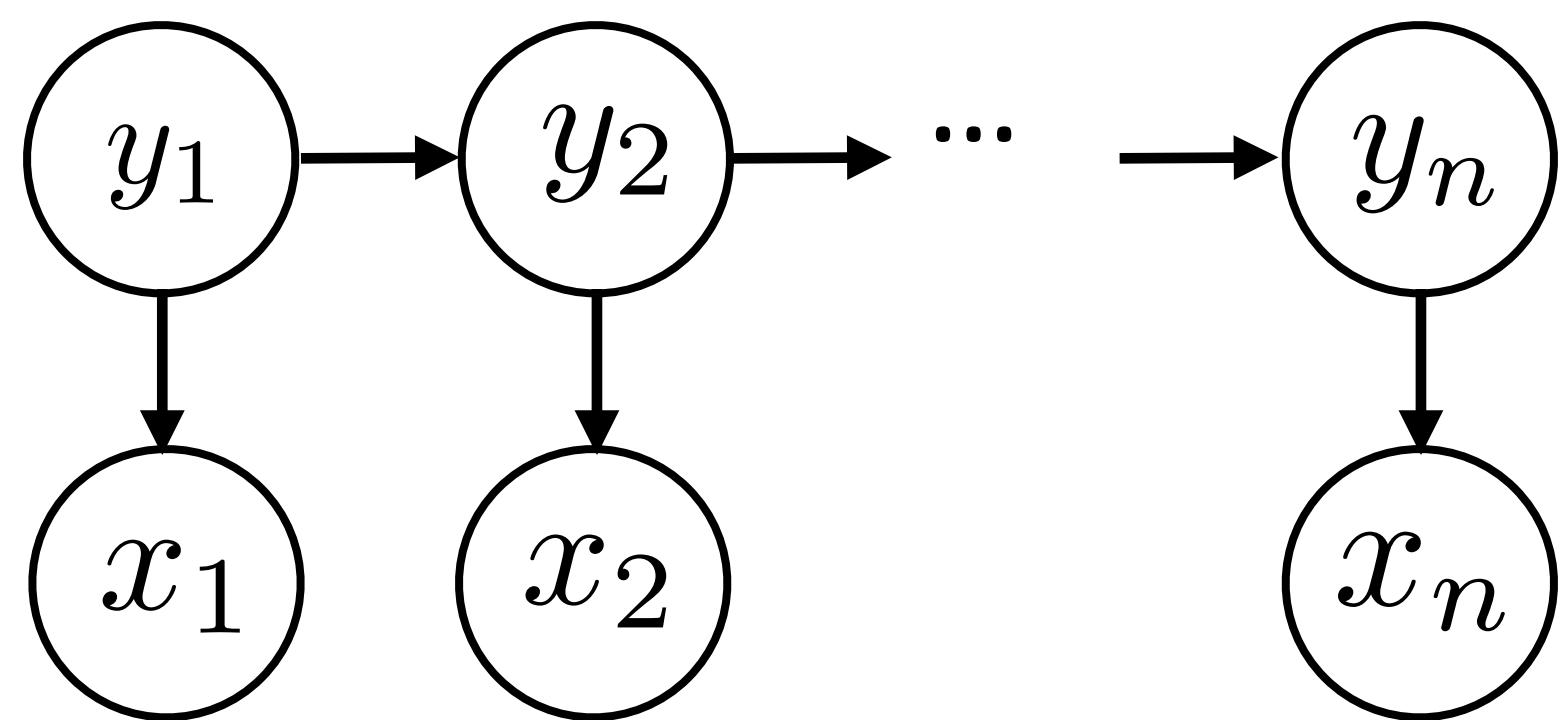


Output $\mathbf{y} = (y_1, \dots, y_n)$

$$P(\mathbf{y}, \mathbf{x}) = P(y_1) \prod_{i=2}^n P(y_i|y_{i-1}) \prod_{i=1}^n P(x_i|y_i)$$

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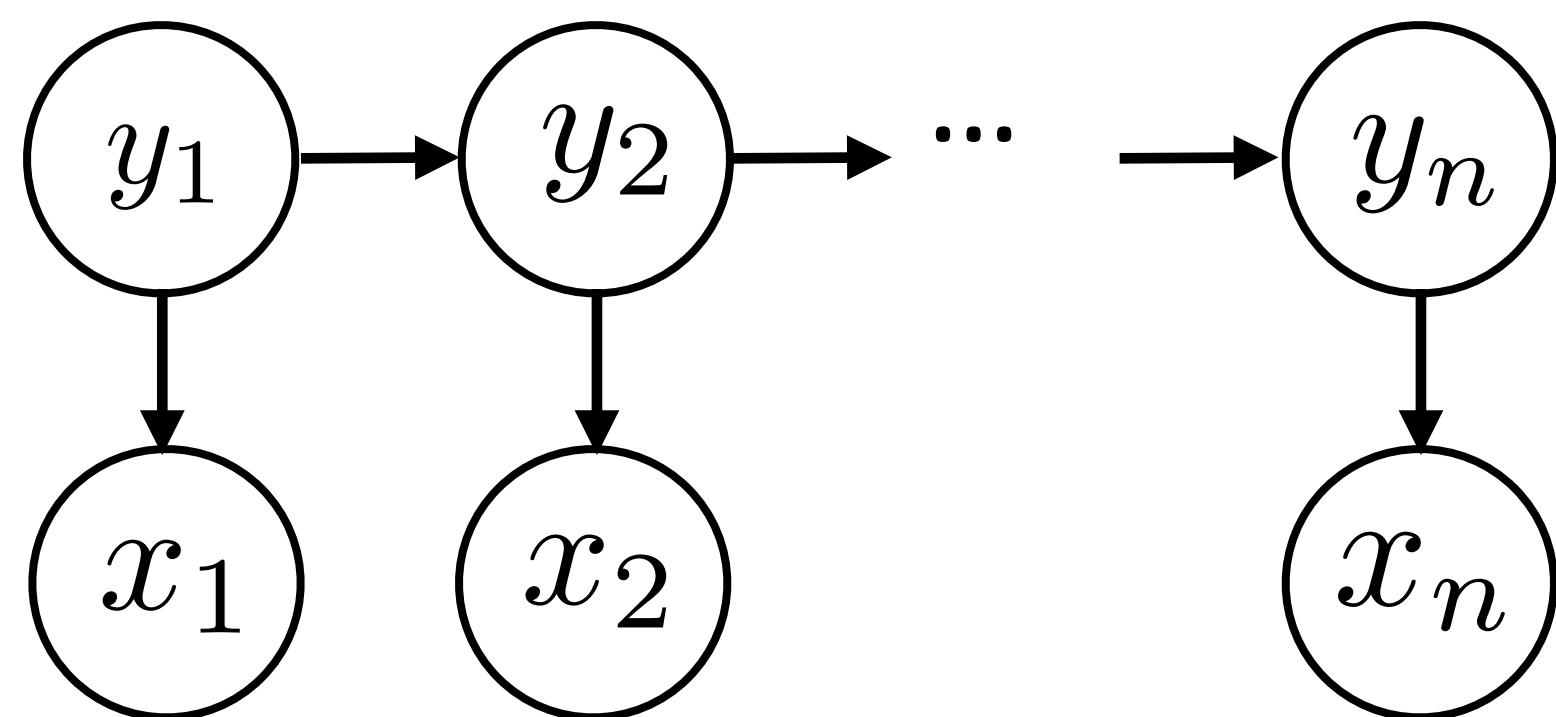
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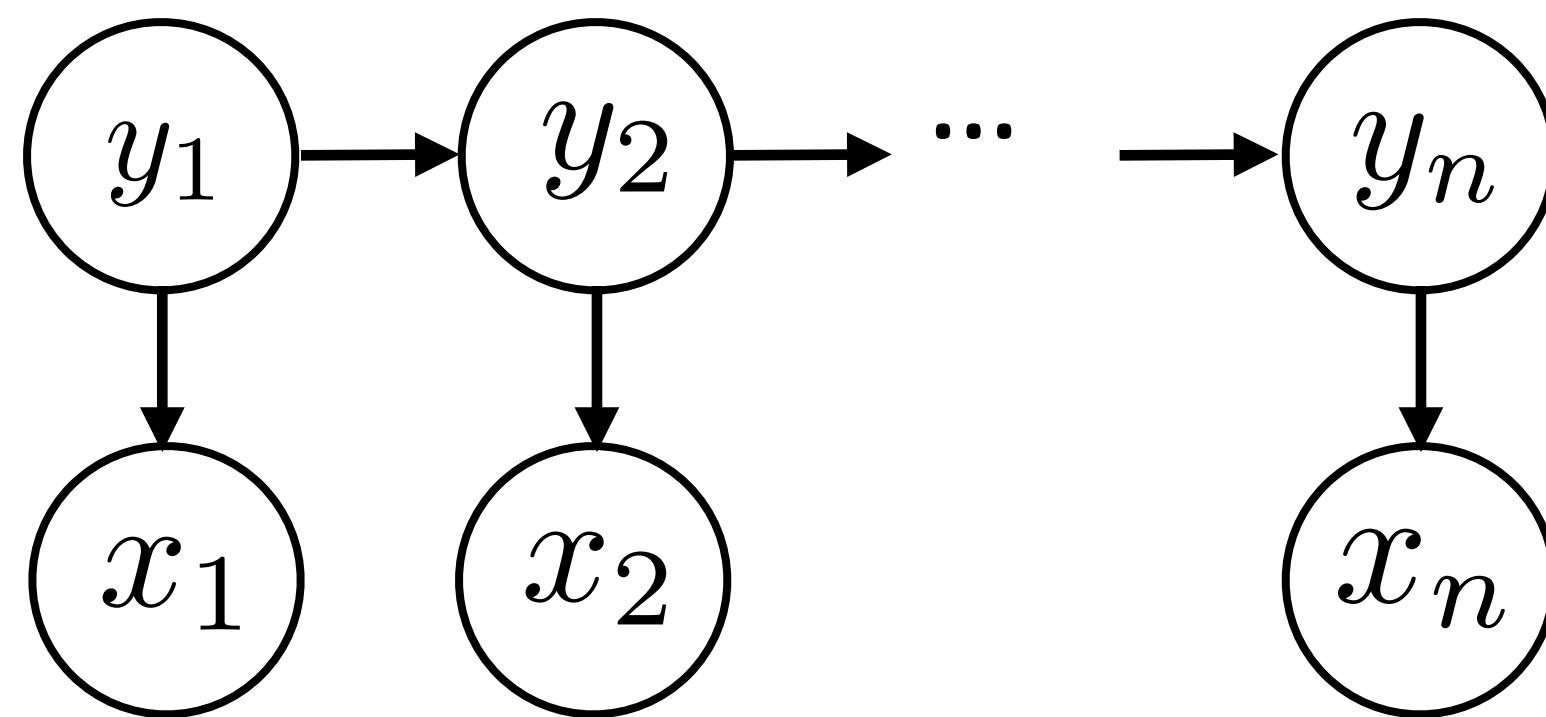
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- ▶ Viterbi: $\text{score}_i(s) = \max_{y_{i-1}} P(s|y_{i-1}) P(x_i|s) \text{score}_{i-1}(y_{i-1})$

This Lecture

- ▶ CRFs: model (+features for NER), inference, learning
- ▶ Named entity recognition (NER)
- ▶ (if time) Beam search

Named Entity Recognition

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Barack Obama will travel to Hangzhou today for the G20 meeting .

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PERSON

LOC

ORG

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Named Entity Recognition

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- ▶ Sequence of tags – should we use an HMM?
- ▶ Why might an HMM not do so well here?

Named Entity Recognition

B-PER	I-PER	O	O	O	B-LOC	O	O	O	B-ORG	O	O
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Named Entity Recognition

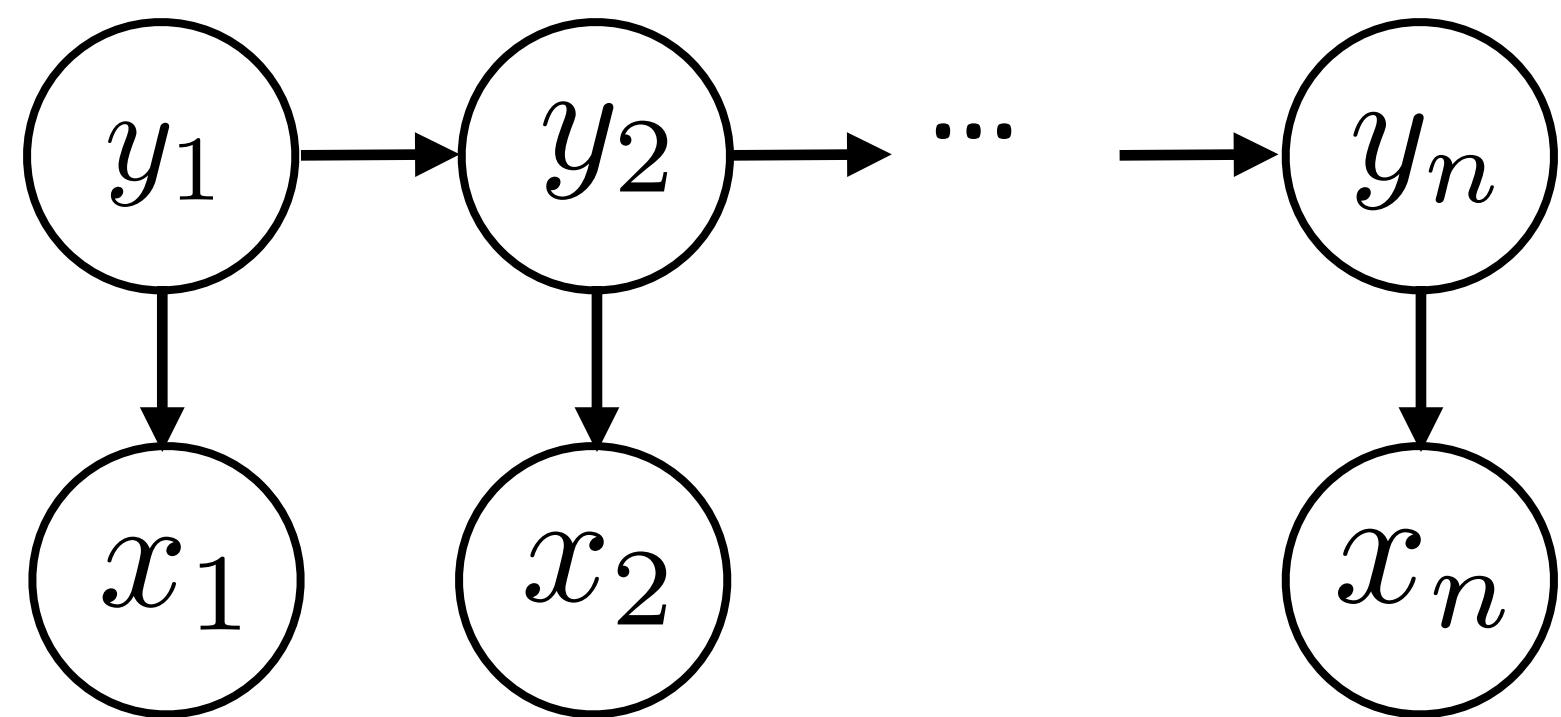
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- ▶ BIO tagset: begin, inside, outside
- ▶ Sequence of tags – should we use an HMM?
- ▶ Why might an HMM not do so well here?
 - ▶ Lots of O's, so tags aren't as informative about context
 - ▶ Insufficient features/capacity with multinomials (especially for unks)

CRFs

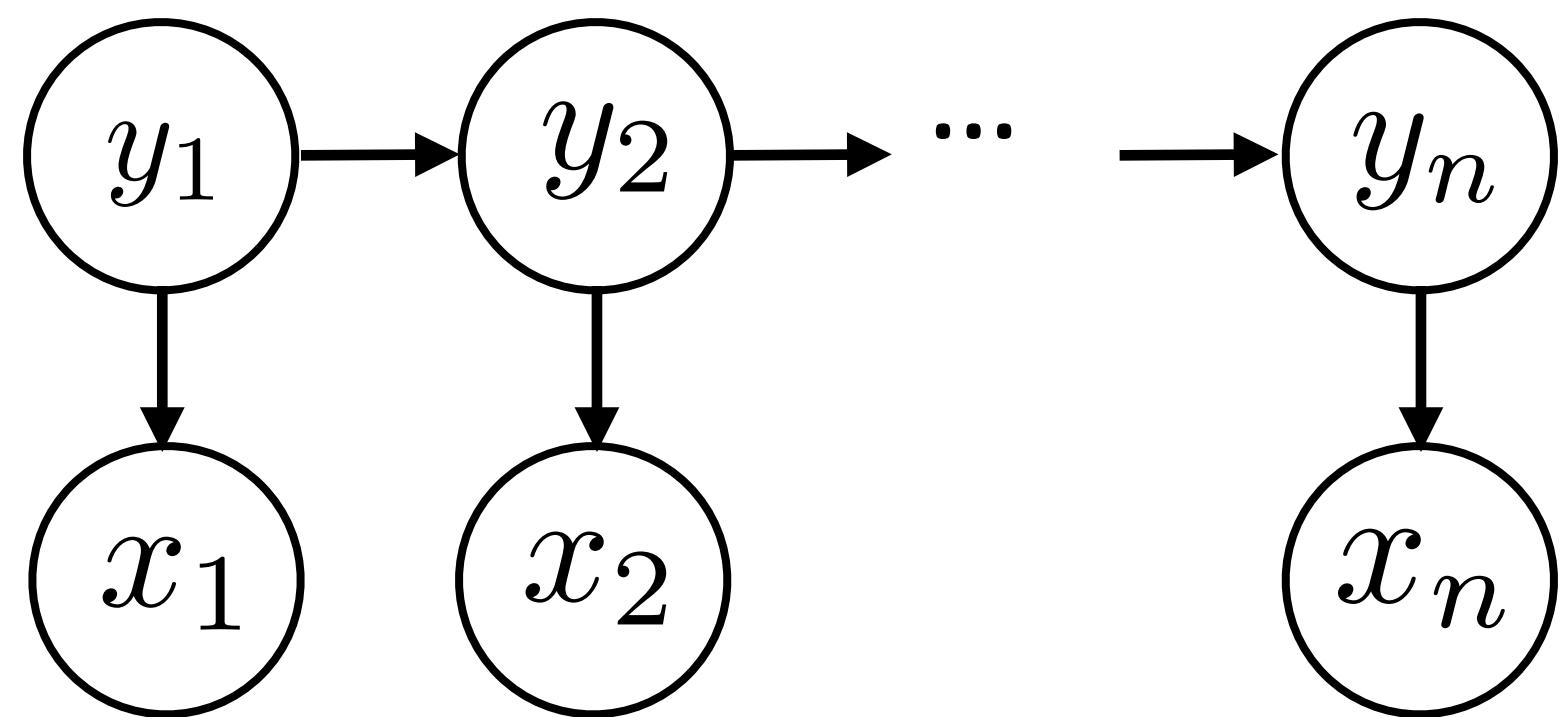
Conditional Random Fields

- ▶ HMMs are expressible as Bayes nets (factor graphs)



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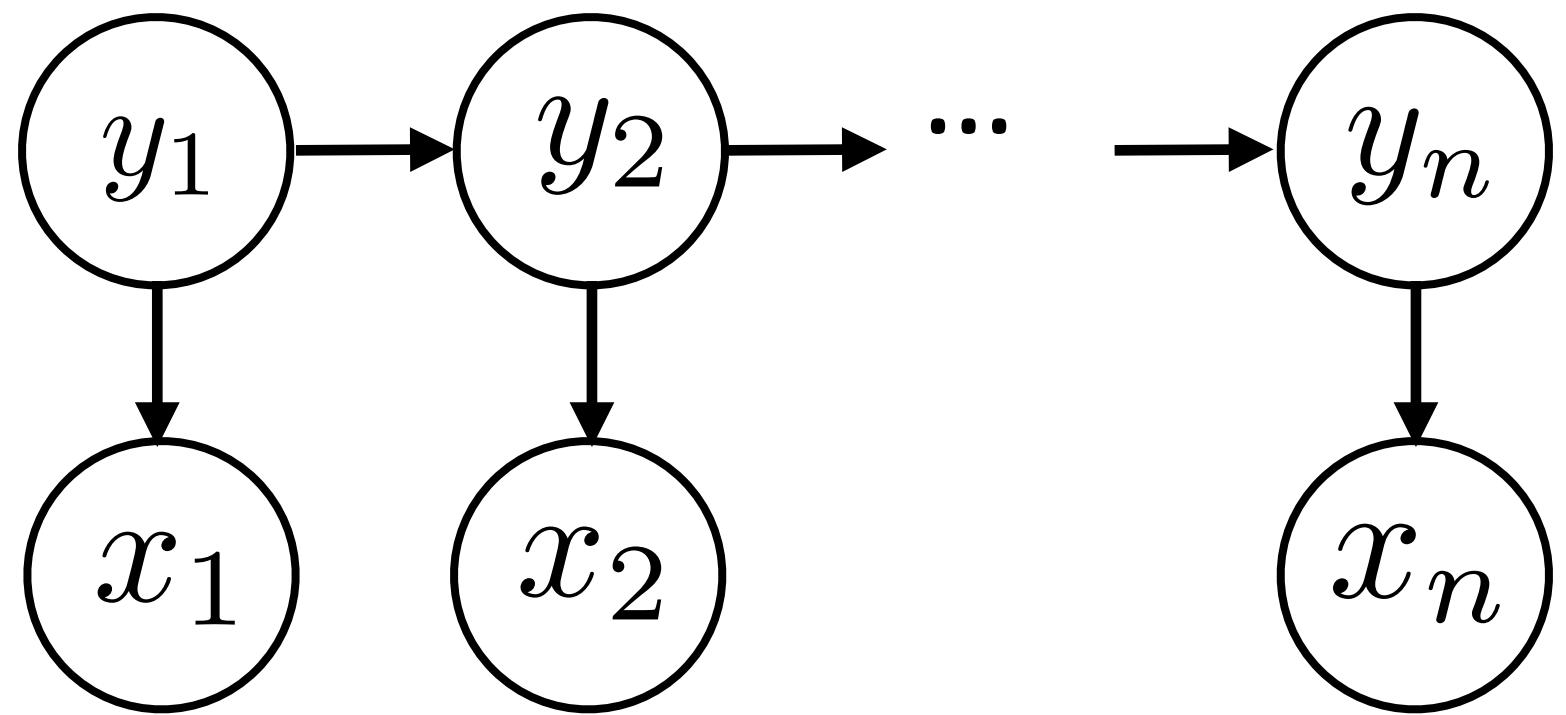


- ▶ This reflects the following decomposition:

$$P(\mathbf{y}, \mathbf{x}) = P(y_1)P(x_1|y_1)P(y_2|y_1)P(x_2|y_2)\dots$$

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- ▶ Locally normalized model: each factor is a probability distribution that normalizes

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- ▶ Naive Bayes : logistic regression :: HMMs : CRFs
local vs. global normalization <-> generative vs. discriminative

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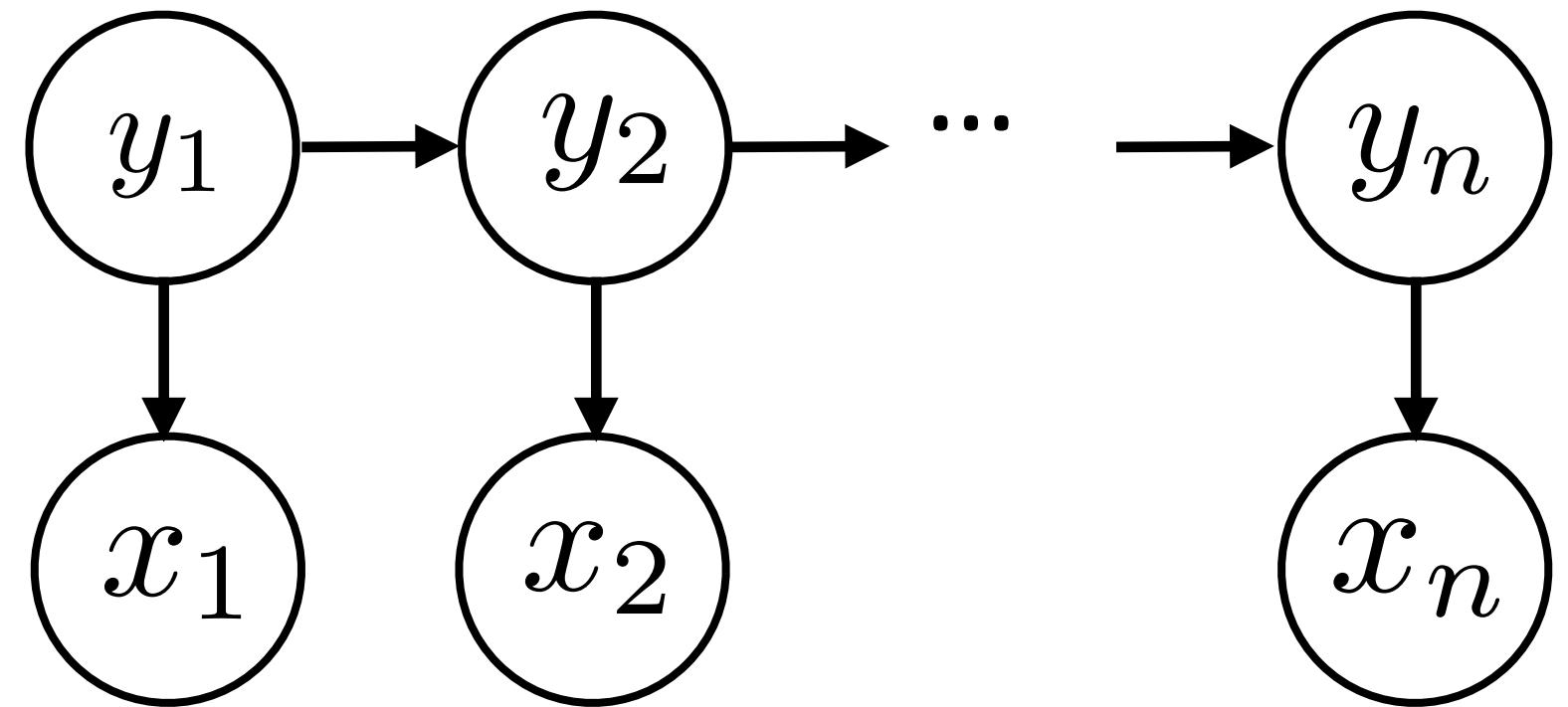
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- ▶ Naive Bayes : logistic regression :: HMMs : CRFs
local vs. global normalization \leftrightarrow generative vs. discriminative
- ▶ Locally normalized discriminative models do exist (MEMMs)

Sequential CRFs

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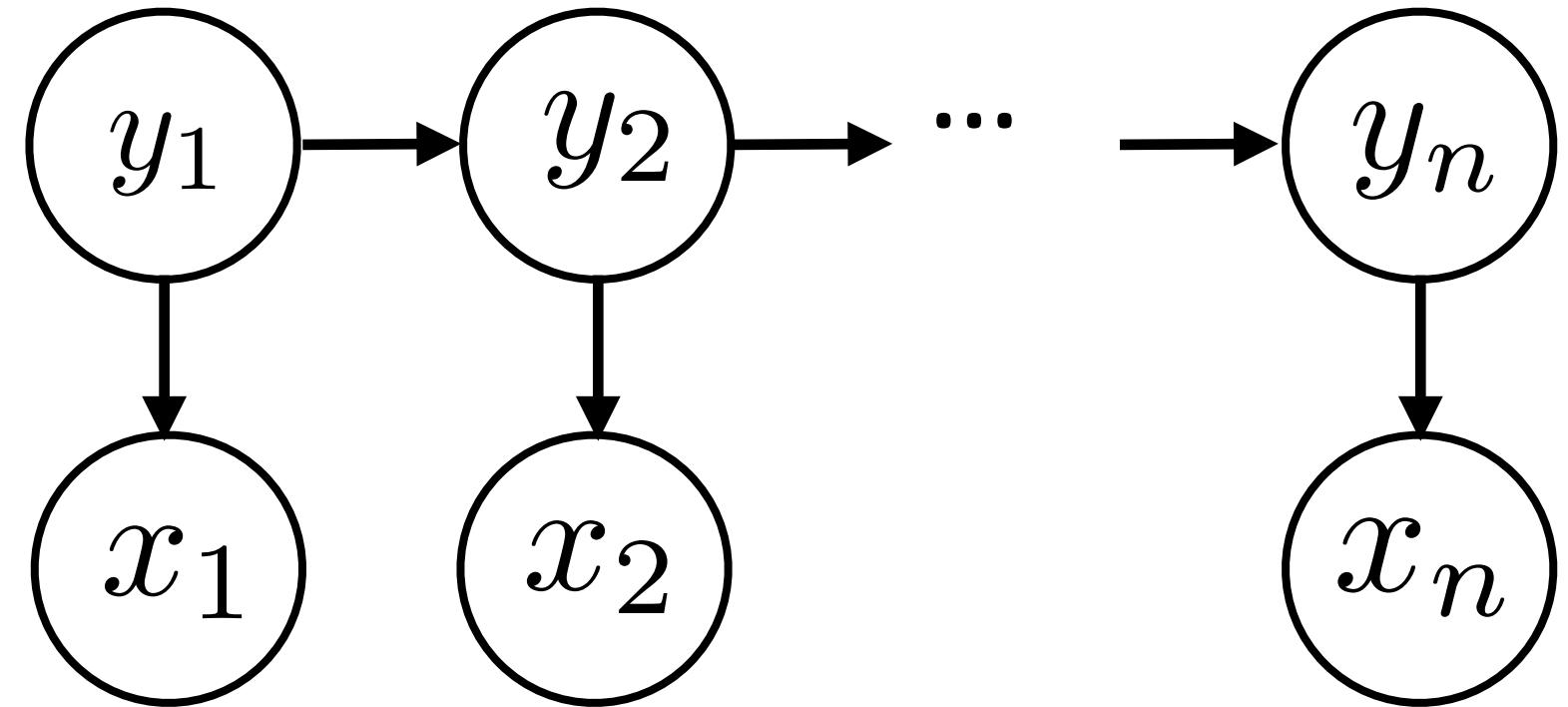


- CRFs:

$$P(\mathbf{y}|\mathbf{x}) \propto \prod_k \exp(\phi_k(\mathbf{x}, \mathbf{y}))$$

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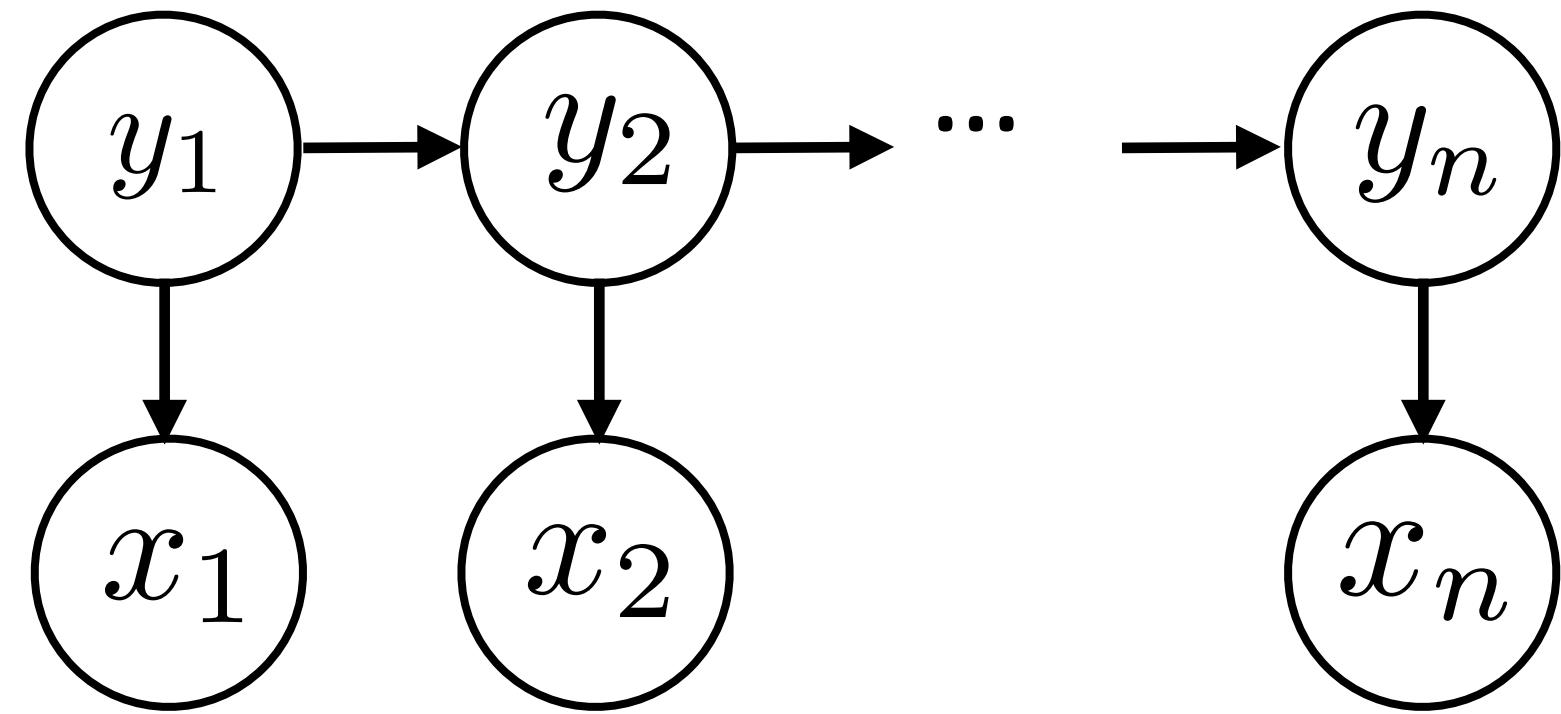
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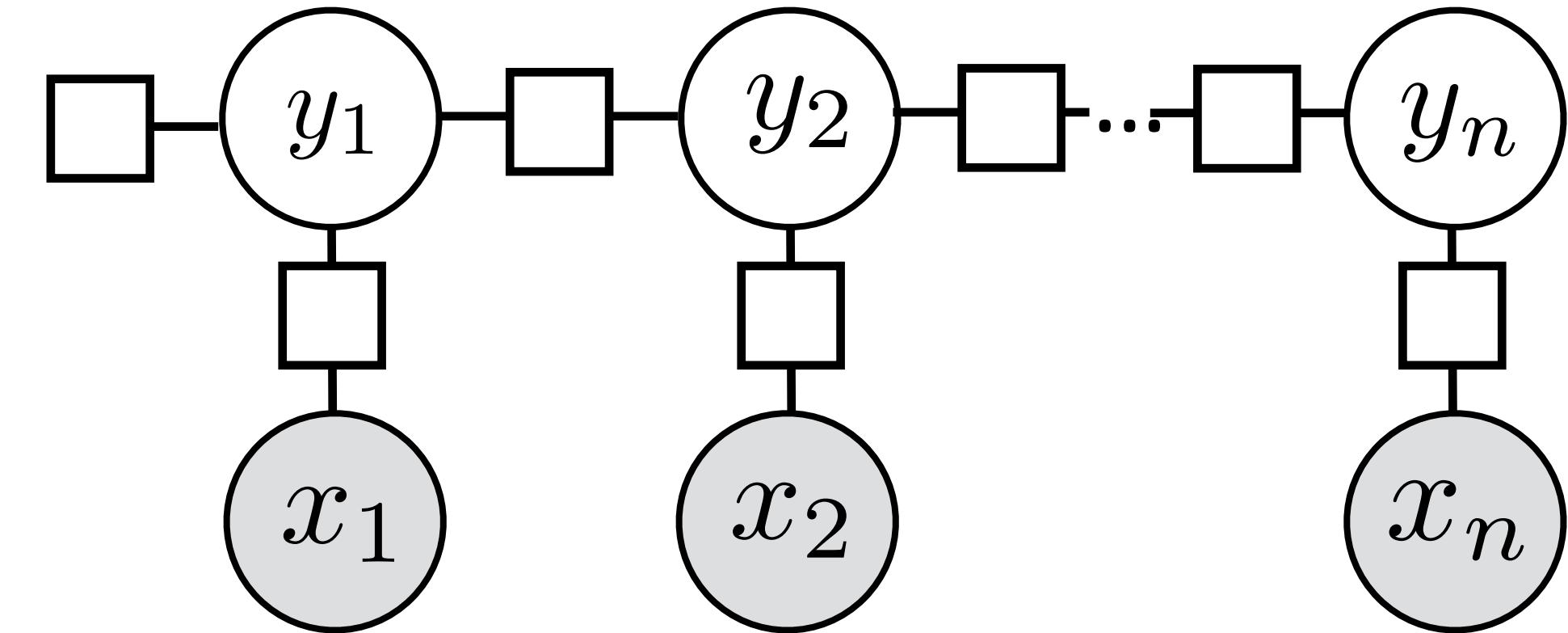
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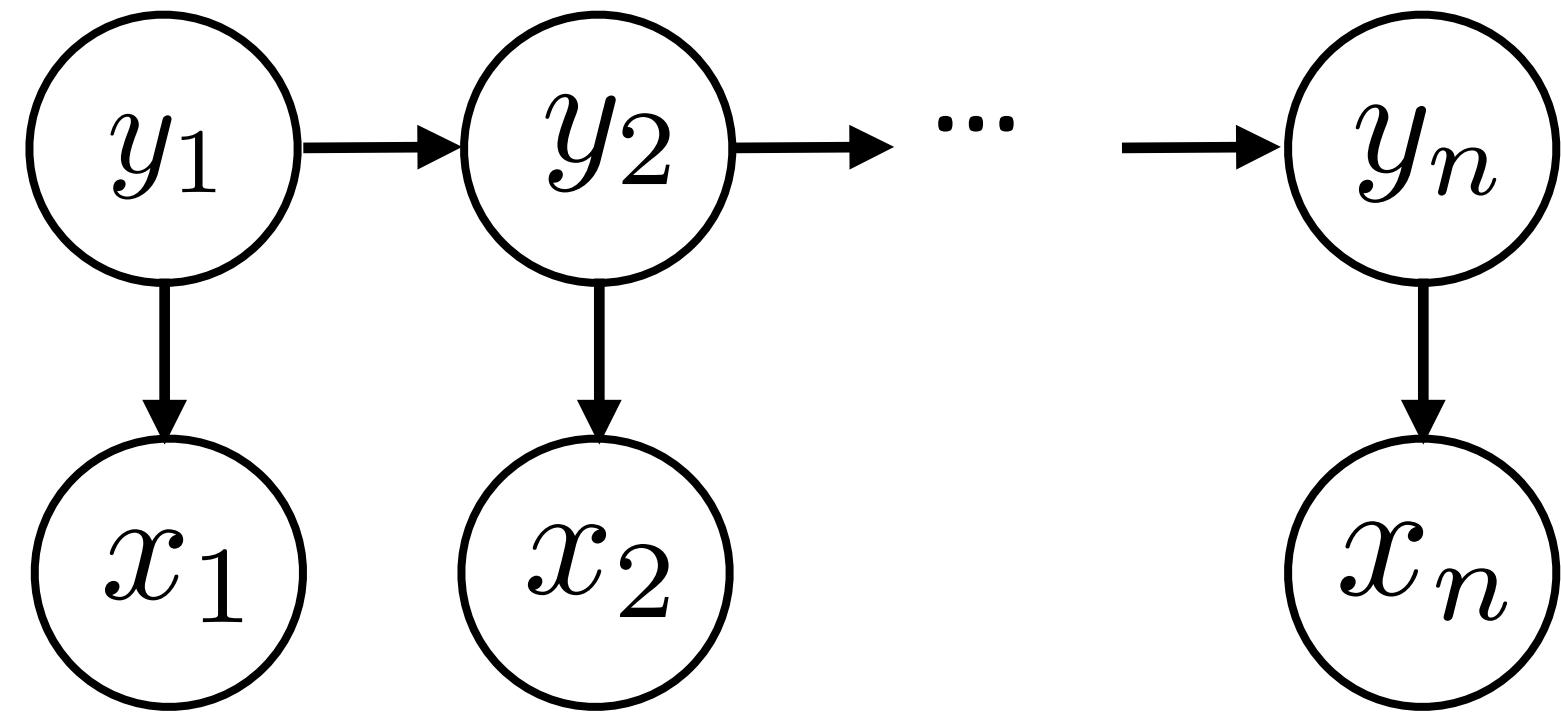
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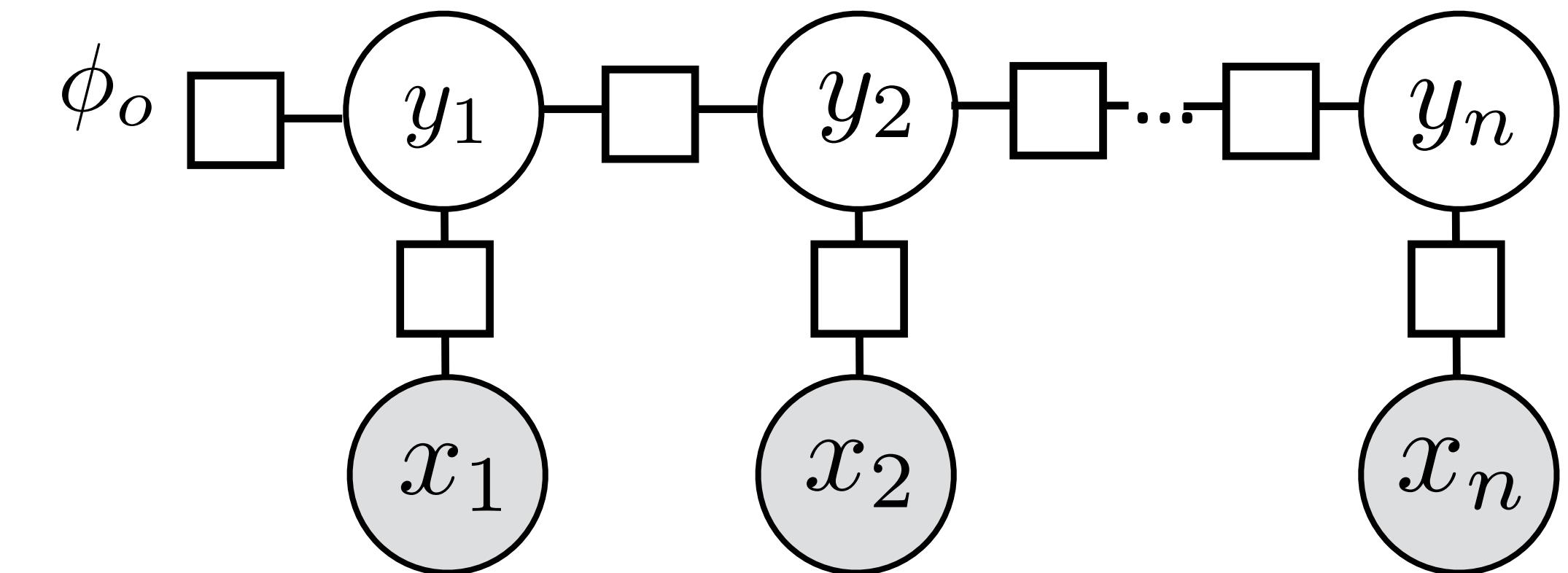
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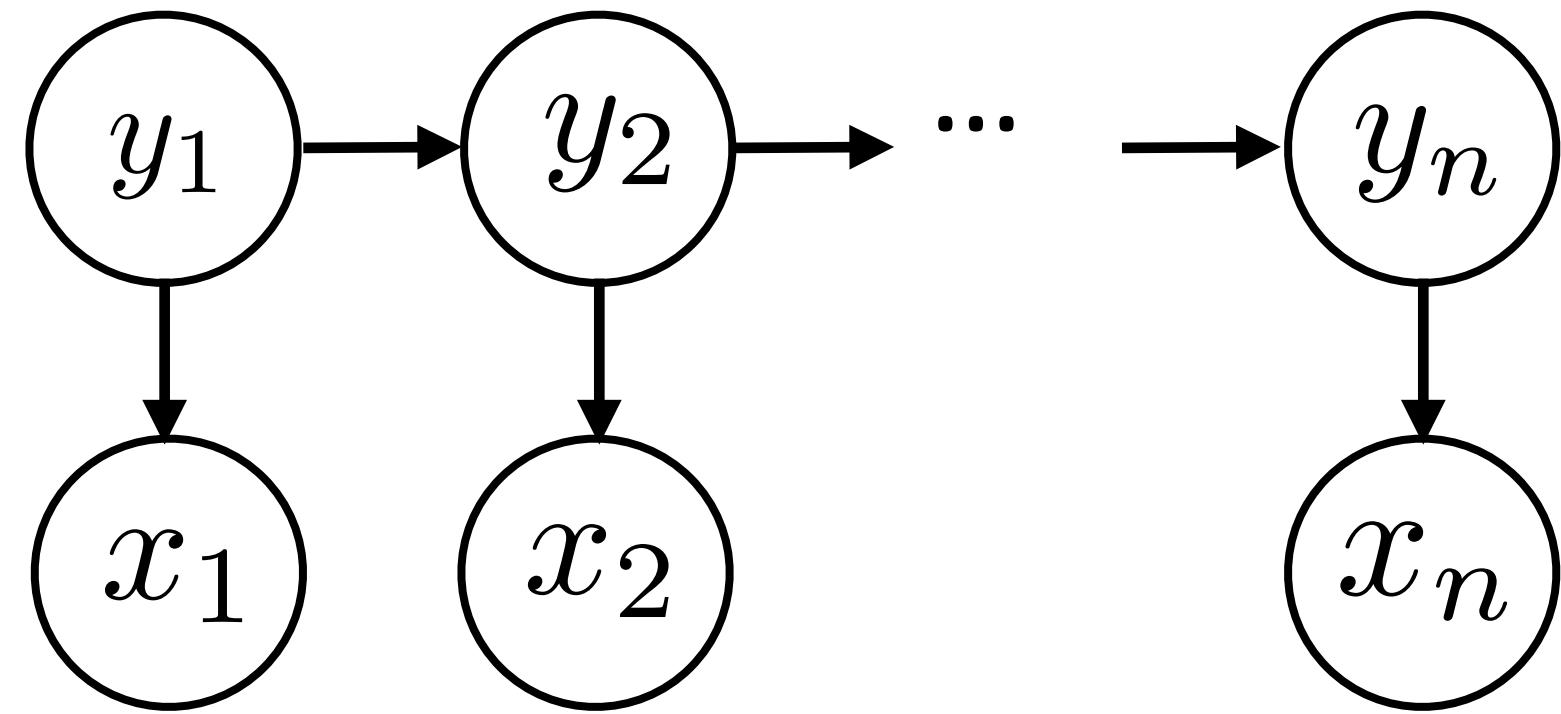
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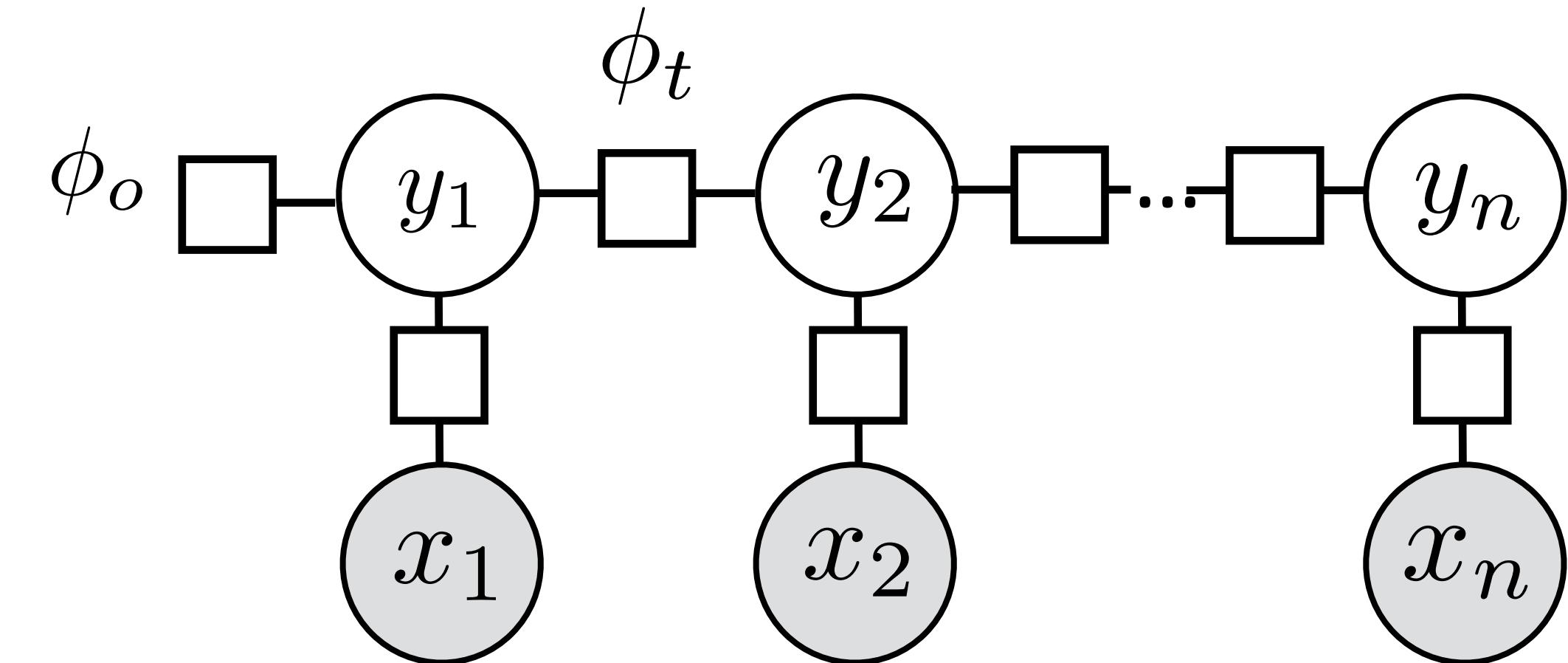
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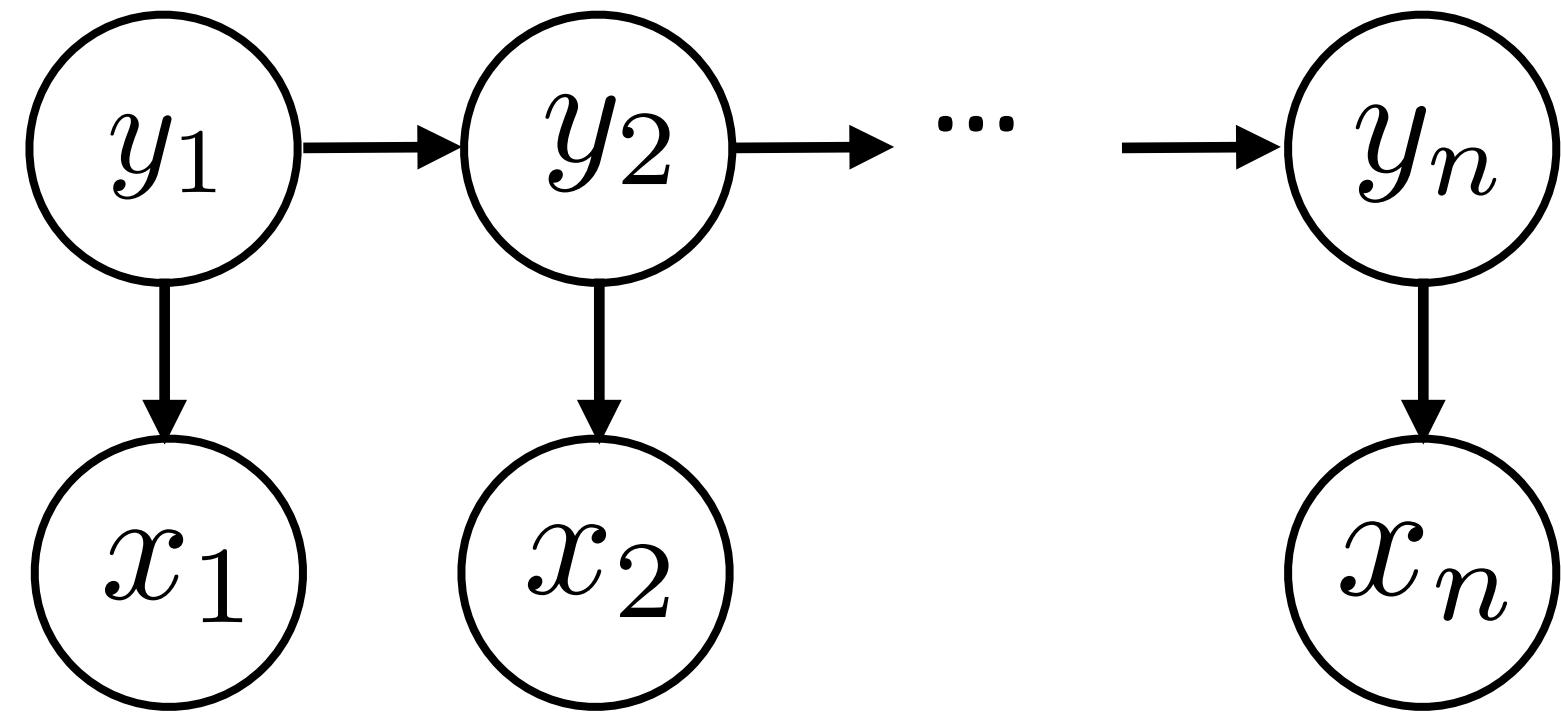
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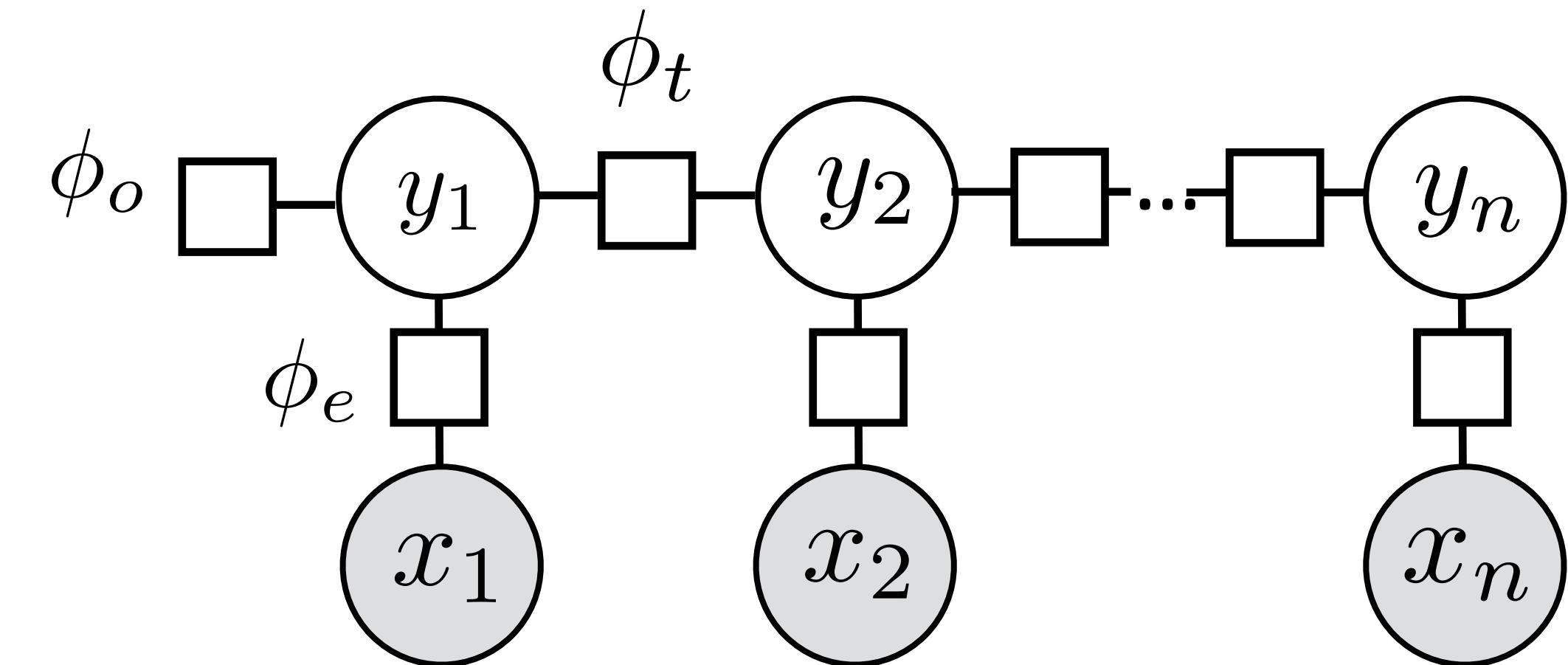
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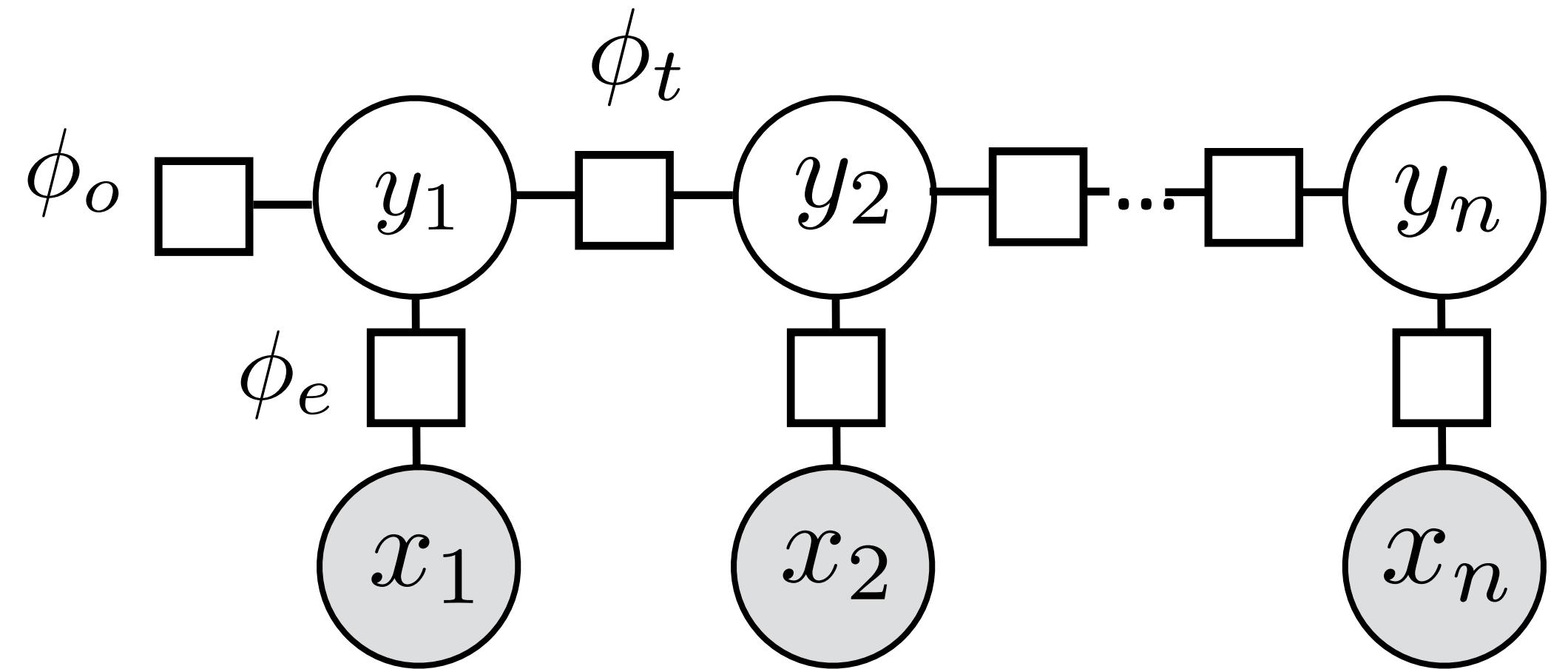
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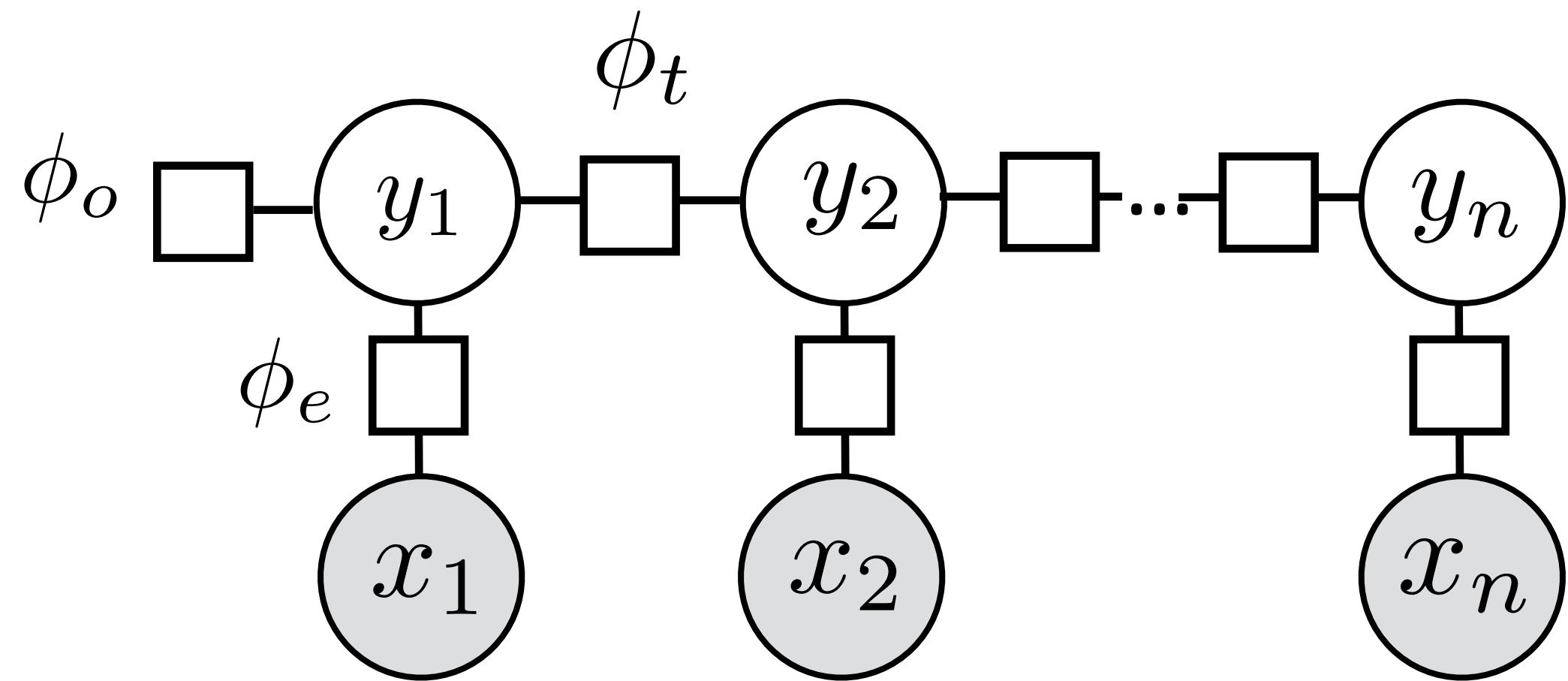


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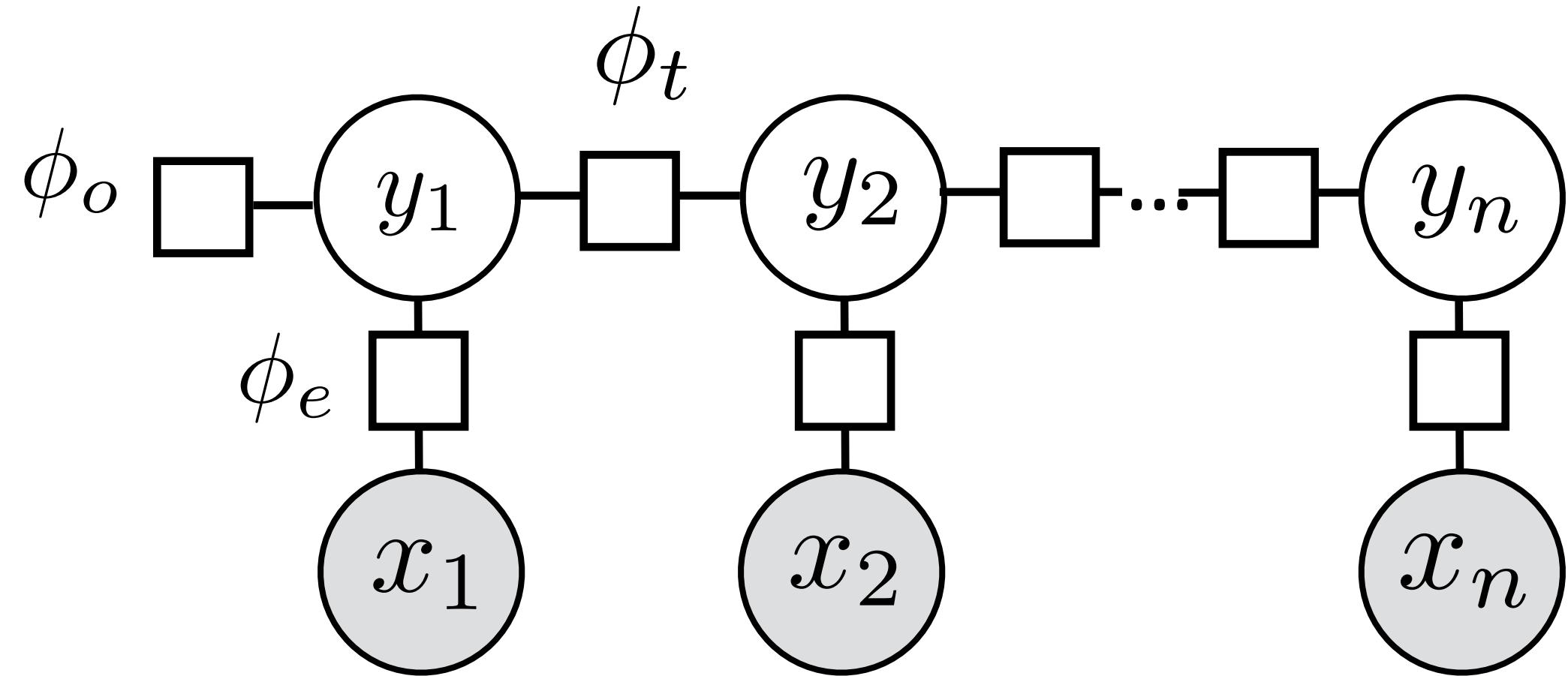
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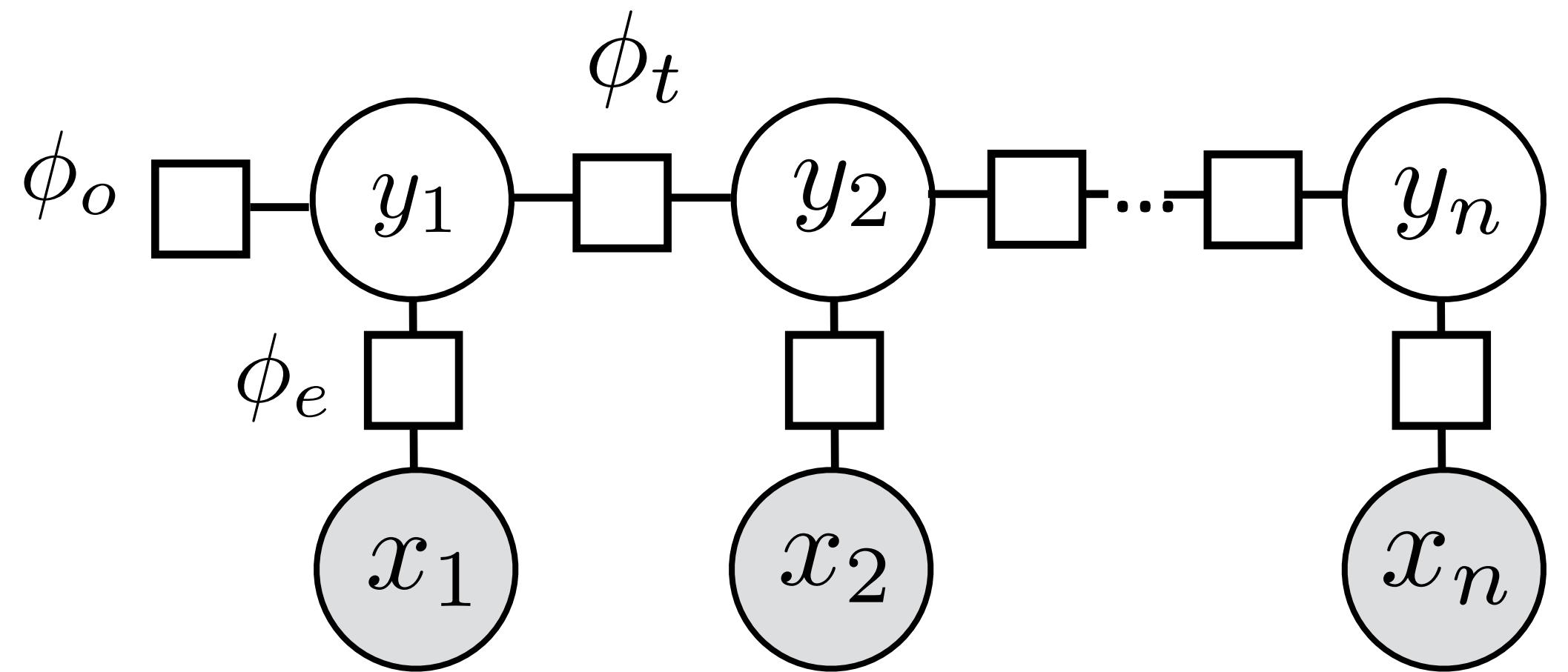
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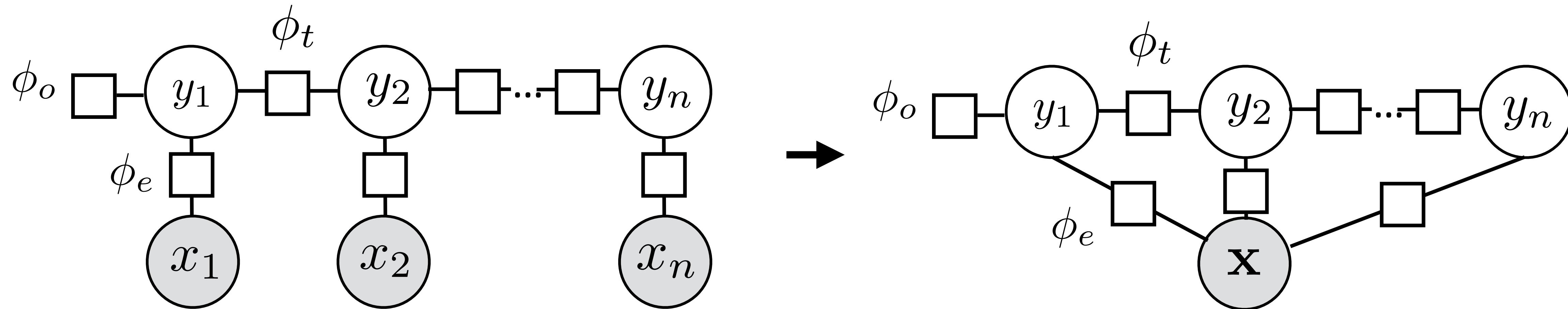
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token index — lets us look at current word

Sequential CRFs



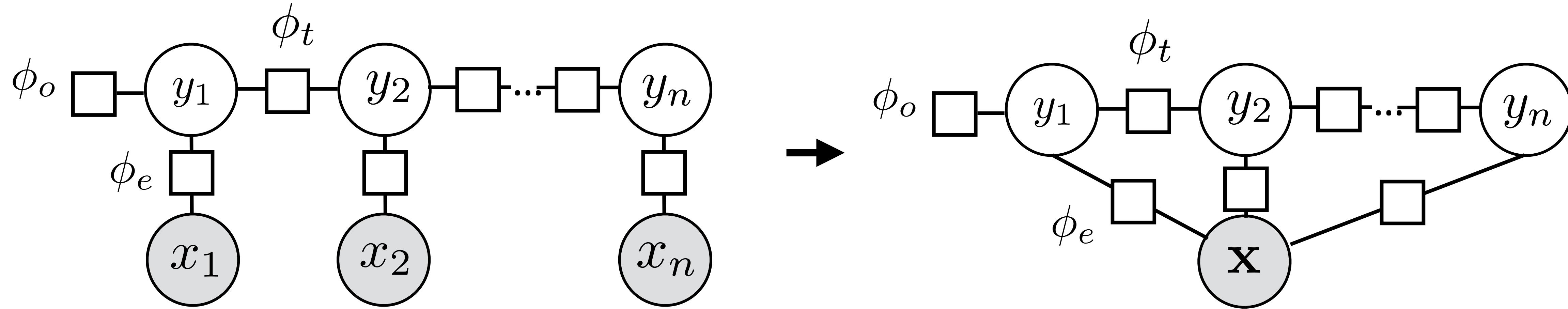
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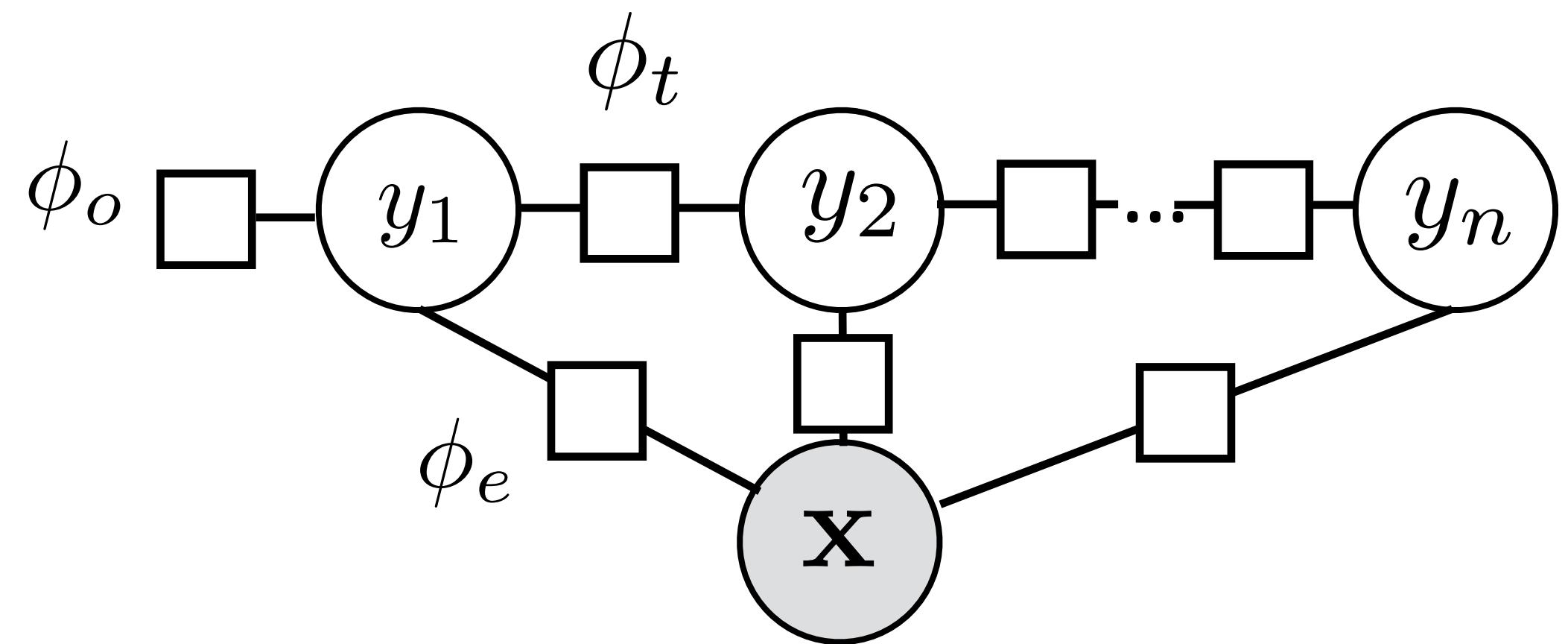
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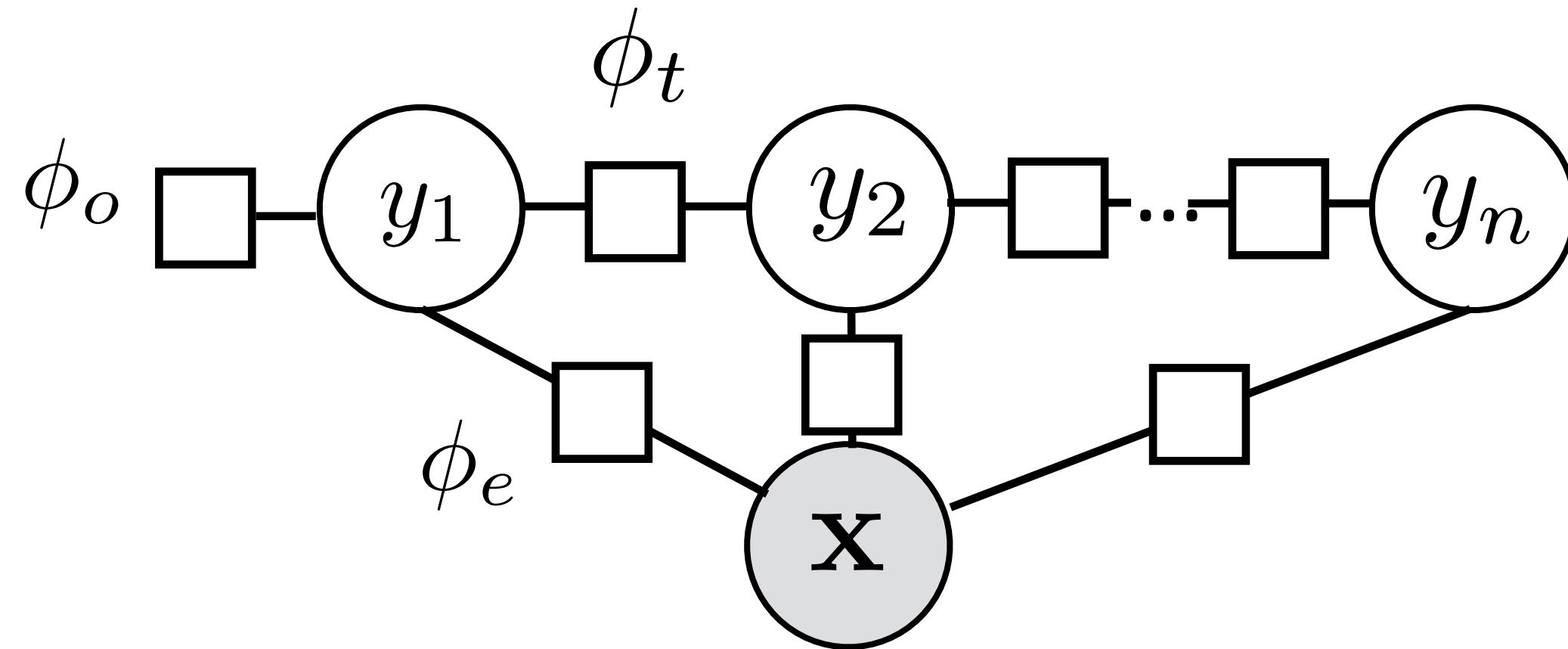
- ▶ We condition on \mathbf{x} , so every factor can depend on all of \mathbf{x} (including transitions, but we won't do this)
- ▶ \mathbf{y} can't depend arbitrarily on \mathbf{x} in a generative model

token index — lets us look at current word

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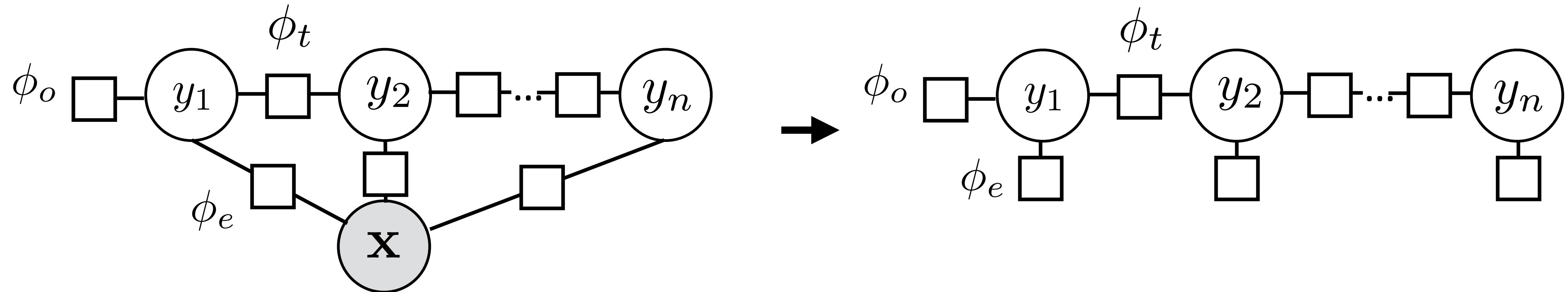


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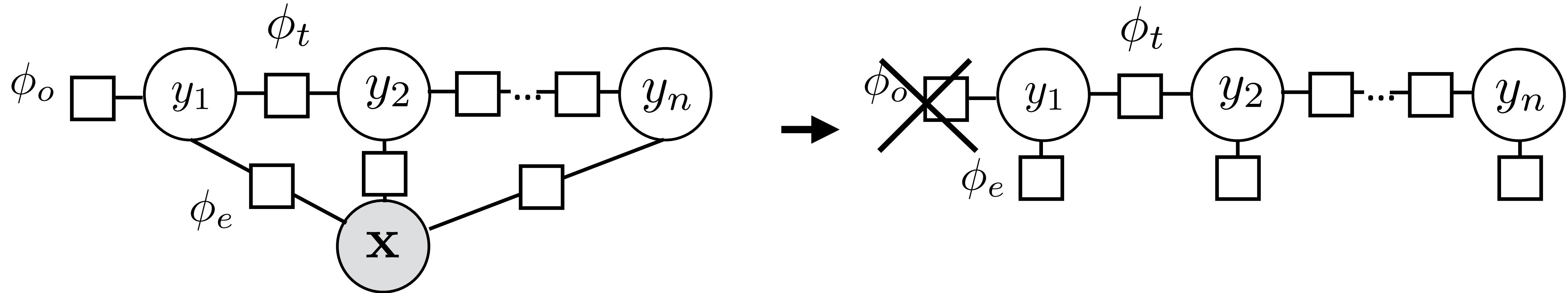
- Notation: omit x from the factor graph entirely (implicit)

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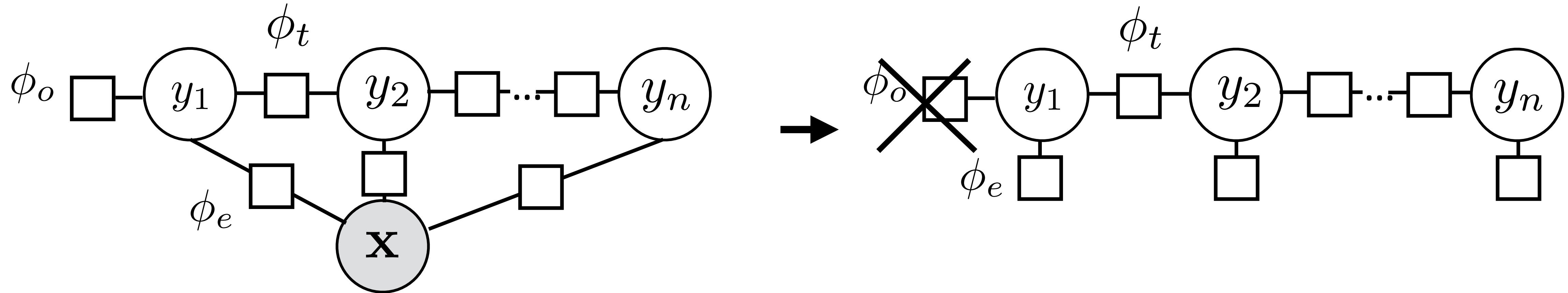
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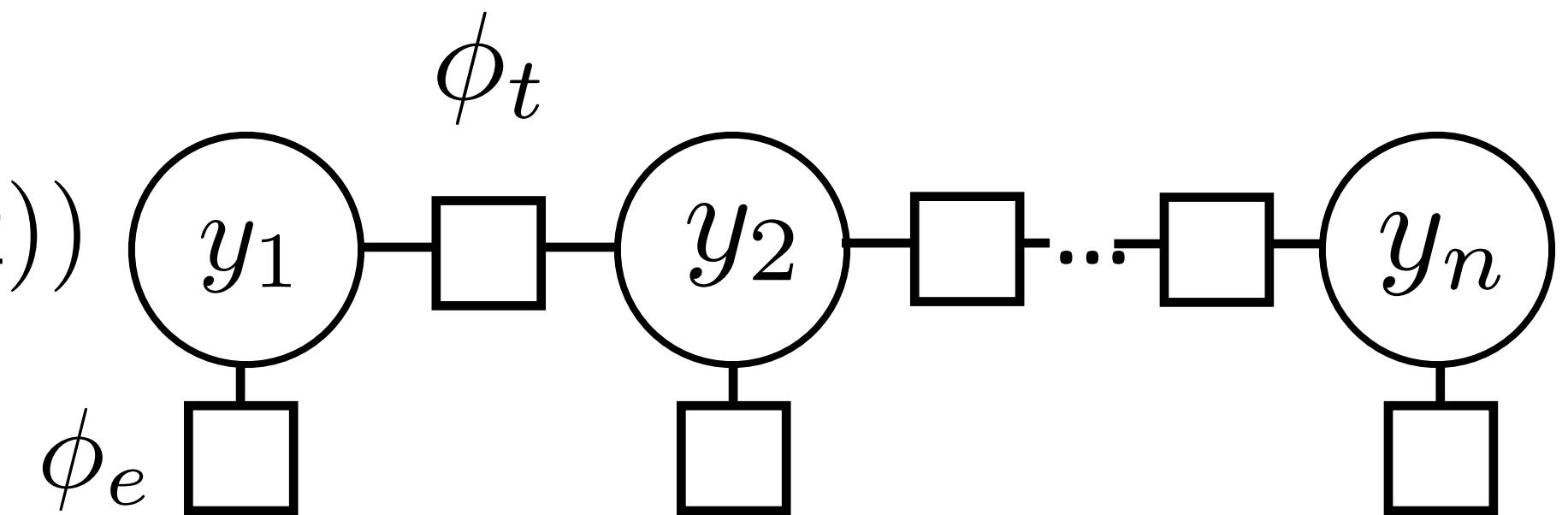
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Sequential CRFs:

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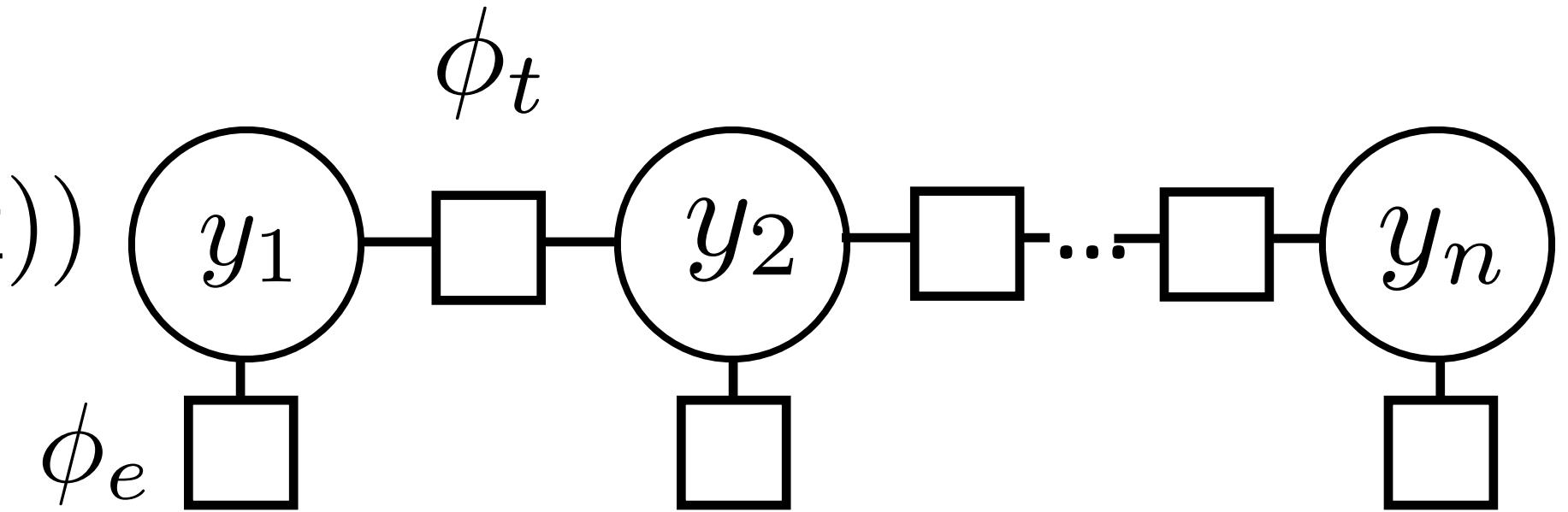
Feature Functions

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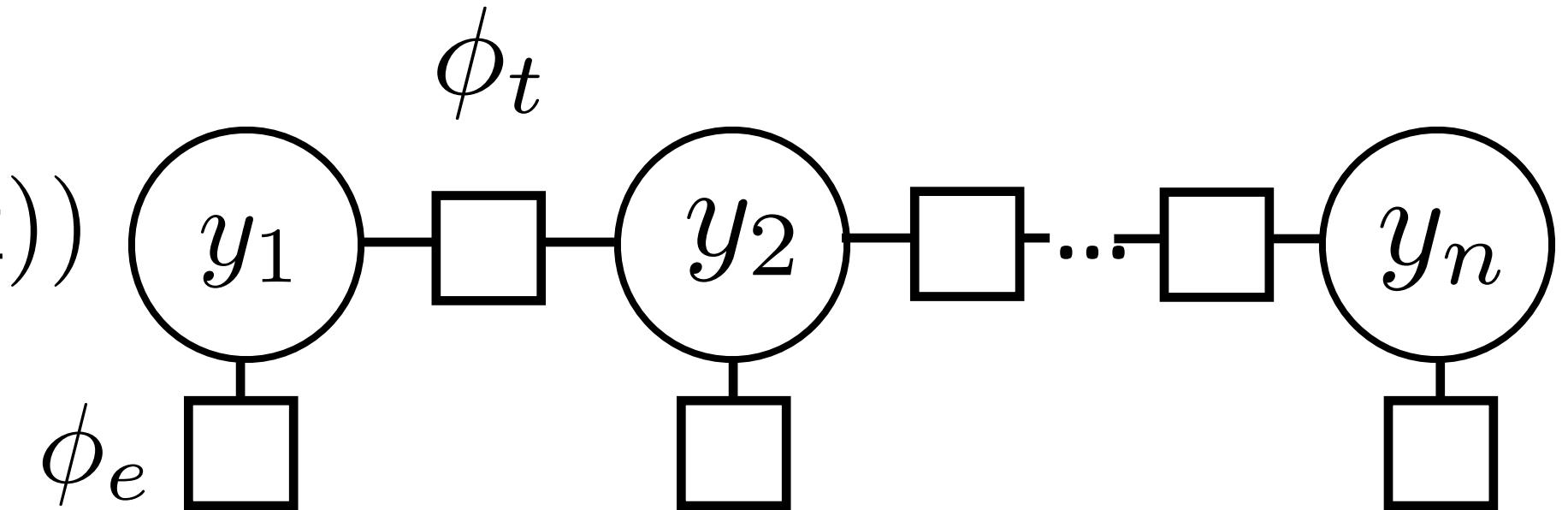
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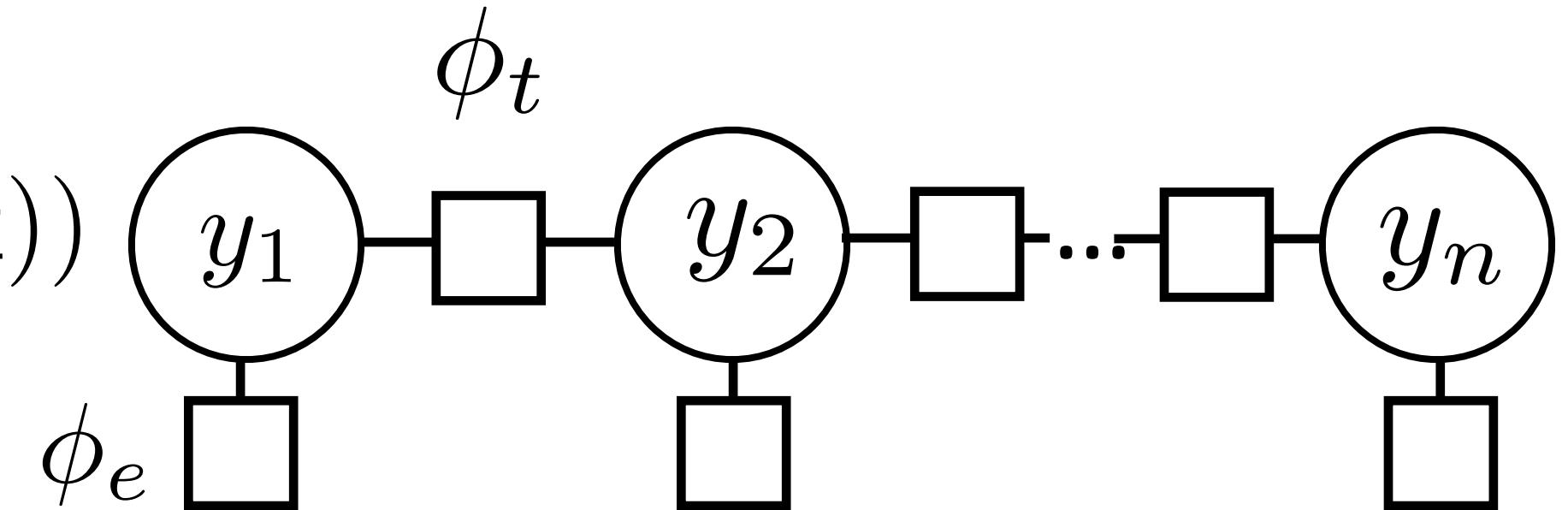


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$$\phi_e(y_i, i, \mathbf{x}) = w^\top f_e(y_i, i, \mathbf{x})$$

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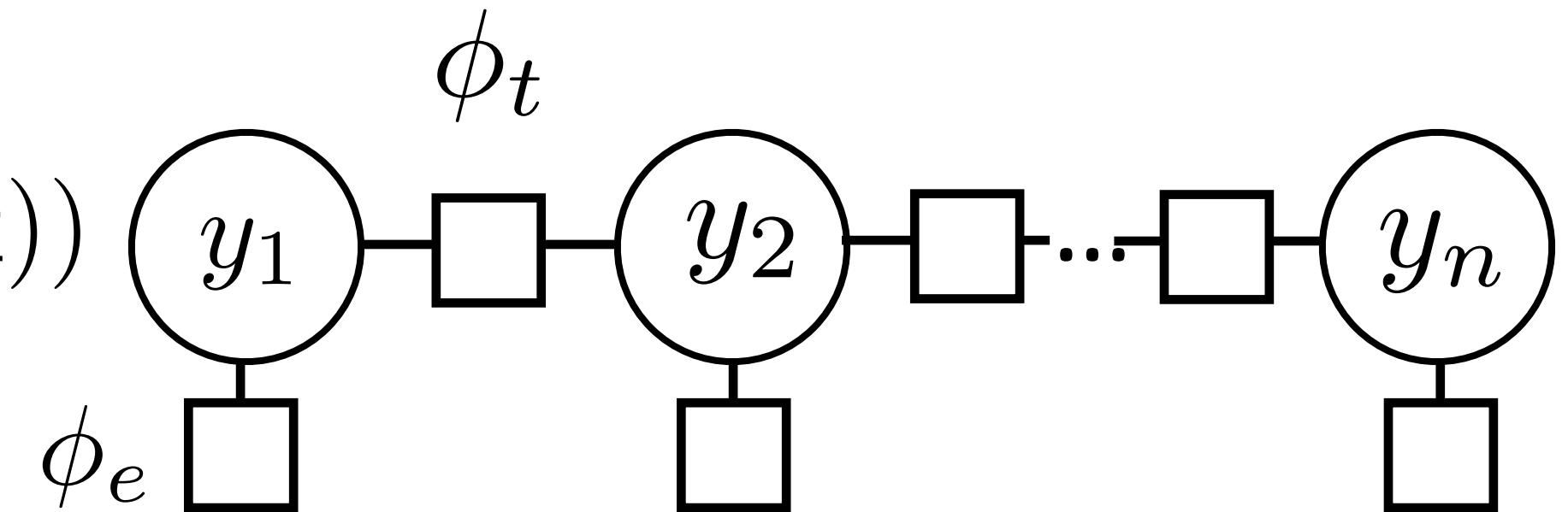


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$$\phi_e(y_i, i, \mathbf{x}) = w^\top f_e(y_i, i, \mathbf{x}) \quad \phi_t(y_{i-1}, y_i) = w^\top f_t(y_{i-1}, y_i)$$

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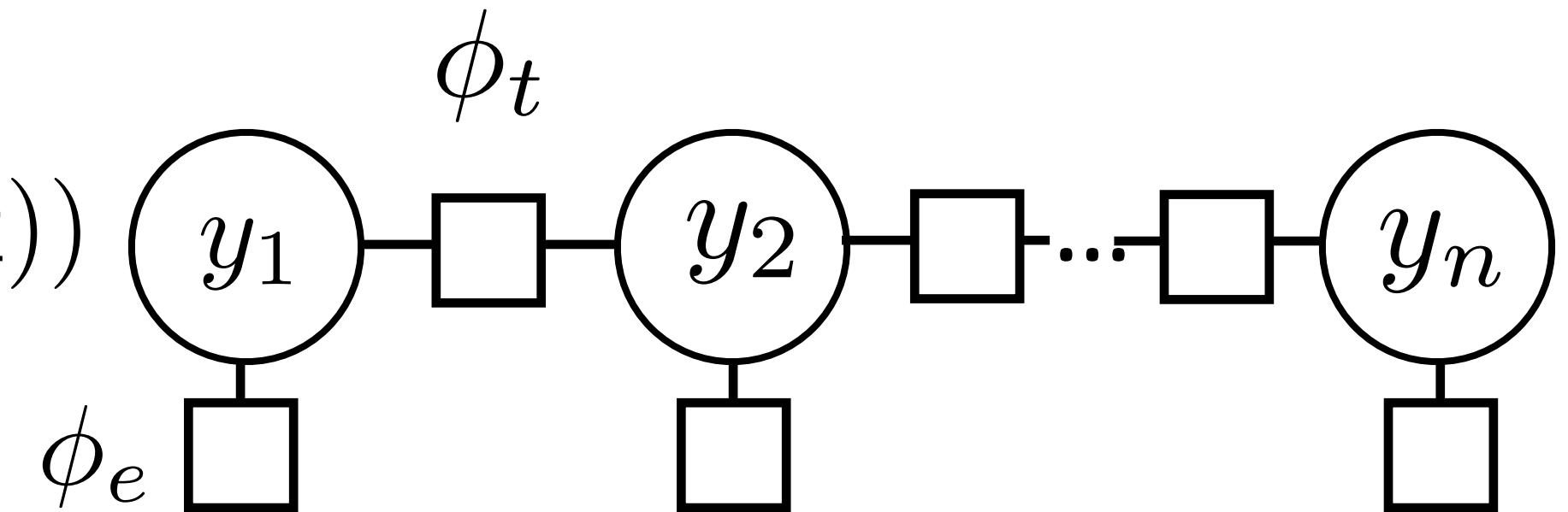
- This can be almost anything! Here we use linear functions of sparse features

$$\phi_e(y_i, i, \mathbf{x}) = w^\top f_e(y_i, i, \mathbf{x}) \quad \phi_t(y_{i-1}, y_i) = w^\top f_t(y_{i-1}, y_i)$$

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

Feature Functions

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$



- This can be almost anything! Here we use linear functions of sparse features

$$\phi_e(y_i, i, \mathbf{x}) = w^\top f_e(y_i, i, \mathbf{x}) \quad \phi_t(y_{i-1}, y_i) = w^\top f_t(y_{i-1}, y_i)$$

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- Looks like our single weight vector multiclass logistic regression model

Basic Features for NER

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

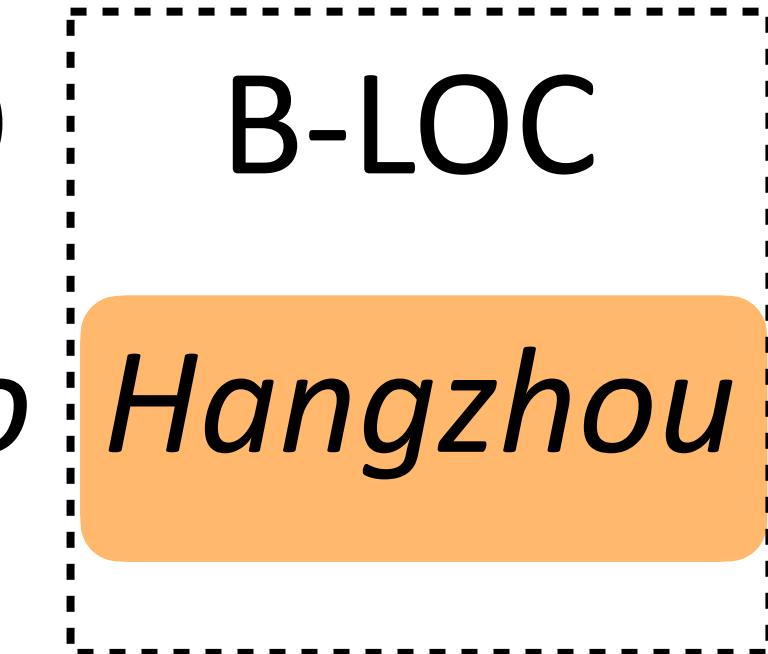
Barack Obama will travel to Hangzhou today for the G20 meeting .

Basic Features for NER

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

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O B-LOC



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O B-LOC
Barack Obama will travel to Hangzhou today for the G20 meeting .

Transitions: $f_t(y_{i-1}, y_i) = \text{Ind}[y_{i-1} \& y_i] = \text{Ind}[O - B-LOC]$

Basic Features for NER

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Emissions: $f_e(y_6, 6, \mathbf{x}) =$

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Emissions: $f_e(y_6, 6, \mathbf{x}) = \text{Ind}[B-LOC \& \text{Current word} = Hangzhou]$
 $\text{Ind}[B-LOC \& \text{Prev word} = to]$

Features for NER

LOC

Leicestershire is a nice place to visit...

$\phi_e(y_i, i, \mathbf{x})$

PER

Leonardo DiCaprio won an award...

LOC

I took a vacation to Boston

ORG

Apple released a new version...

LOC

Texas governor Greg Abbott said

PER

According to the New York Times...

ORG

Features for NER

- ▶ Word features (can use in HMM)

- ▶ Capitalization

- ▶ Word shape

- ▶ Prefixes/suffixes

- ▶ Lexical indicators

- ▶ Context features (can't use in HMM!)

- ▶ Words before/after

- ▶ Tags before/after

- ▶ Word clusters

- ▶ Gazetteers

Leicestershire

Boston

Apple released a new version...

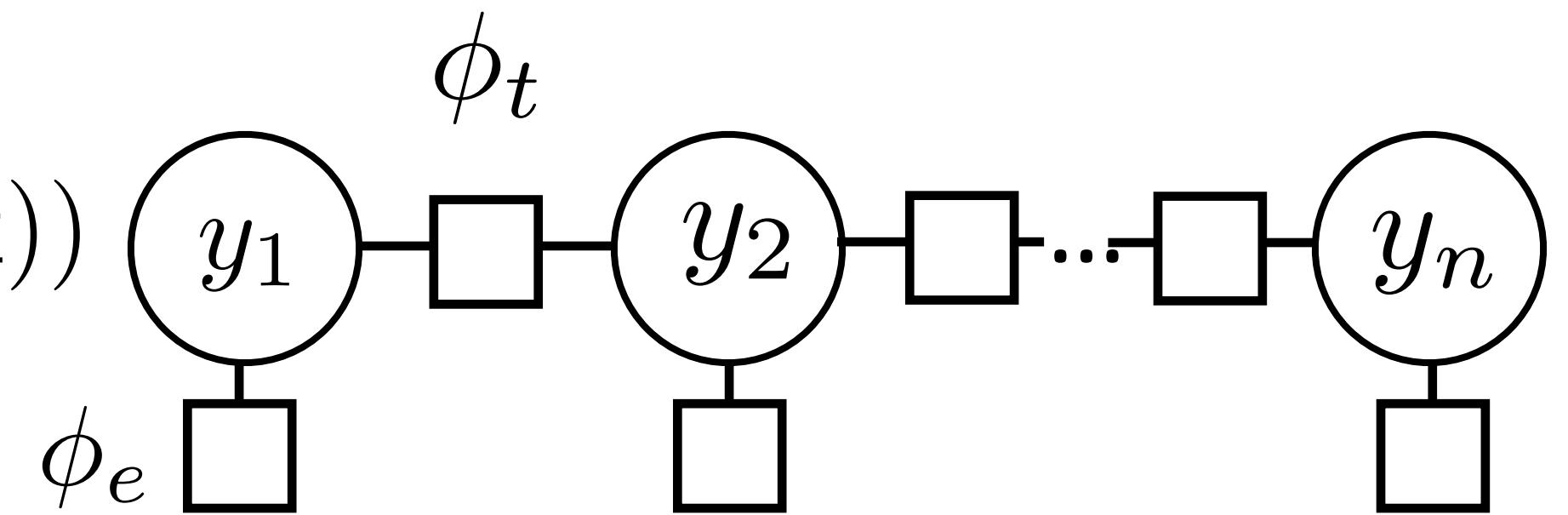
According to the New York Times...

CRFs Outline

- Model: $P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$
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- Inference
- Learning

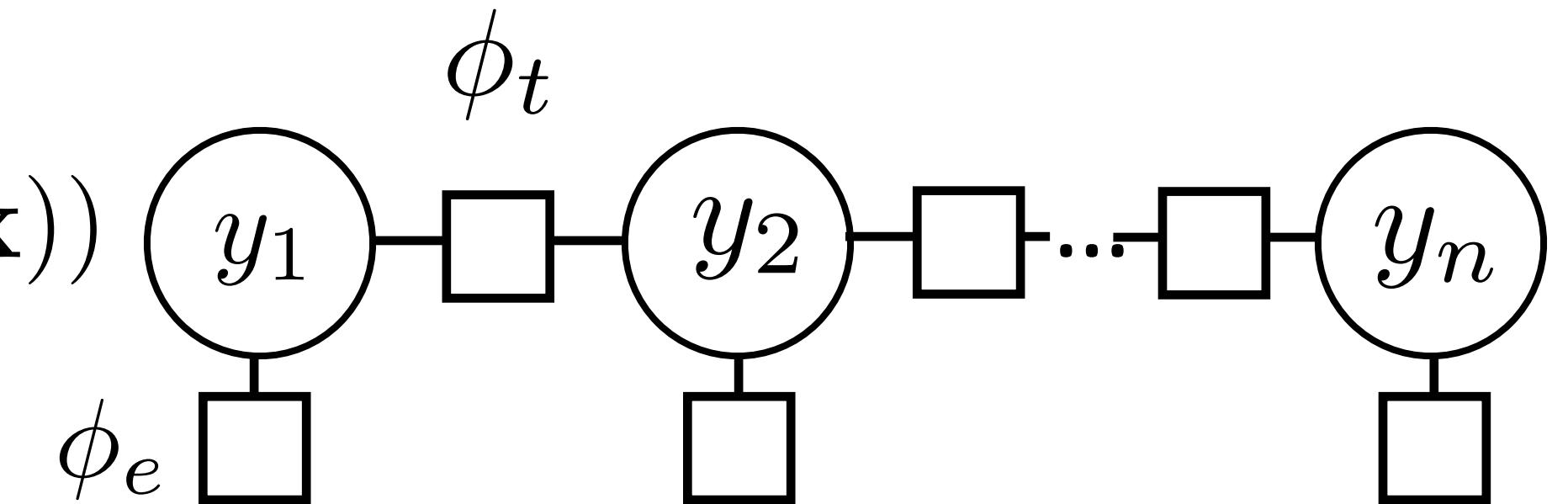
Computing (arg)maxes

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$



Computing (arg)maxes

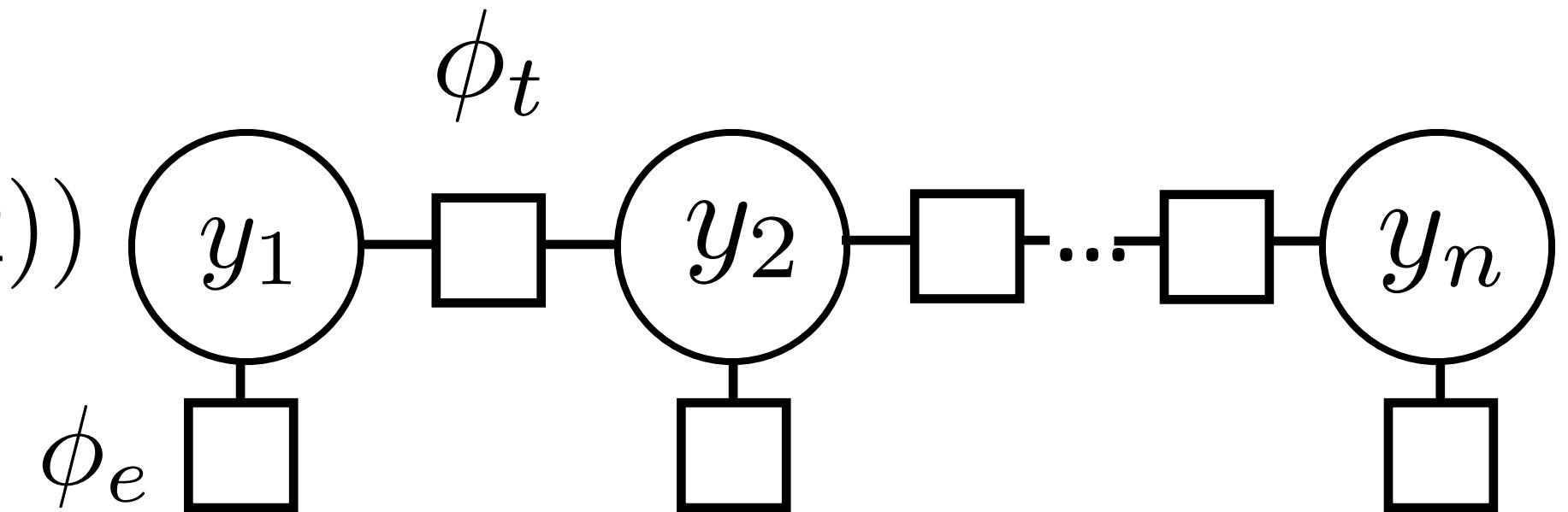
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- $\text{argmax}_{\mathbf{y}} P(\mathbf{y}|\mathbf{x})$: can use Viterbi exactly as in HMM case

Computing (arg)maxes

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

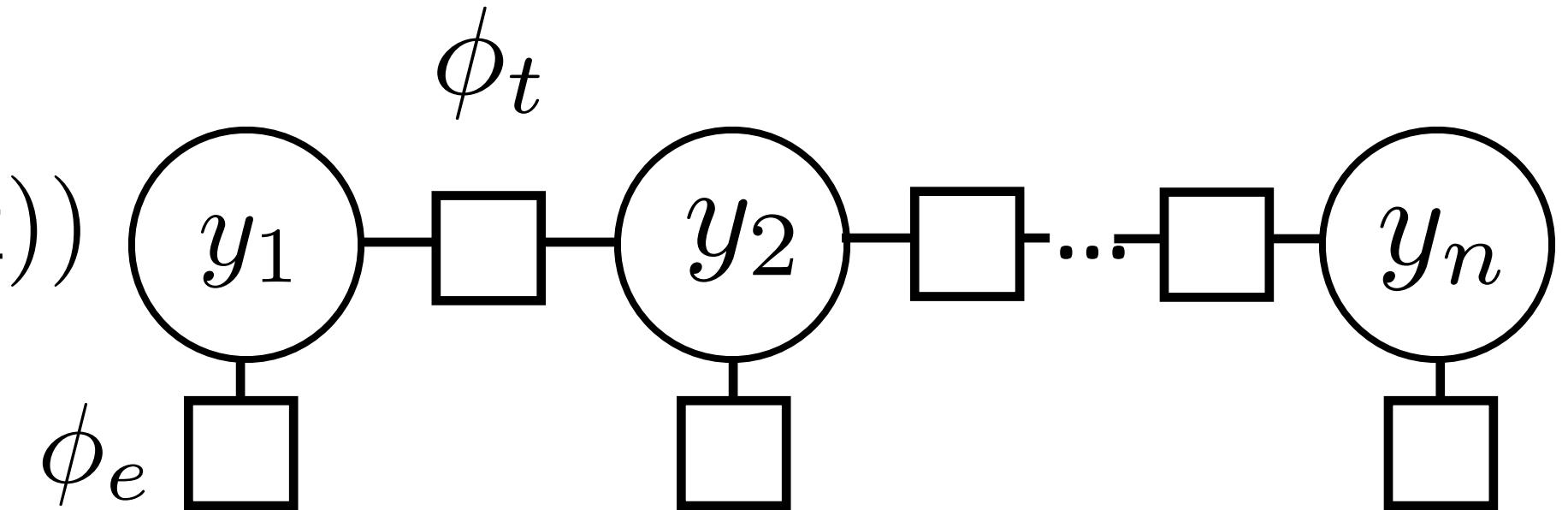


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$$\max_{y_1, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots e^{\phi_e(y_2, 2, \mathbf{x})} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})}$$

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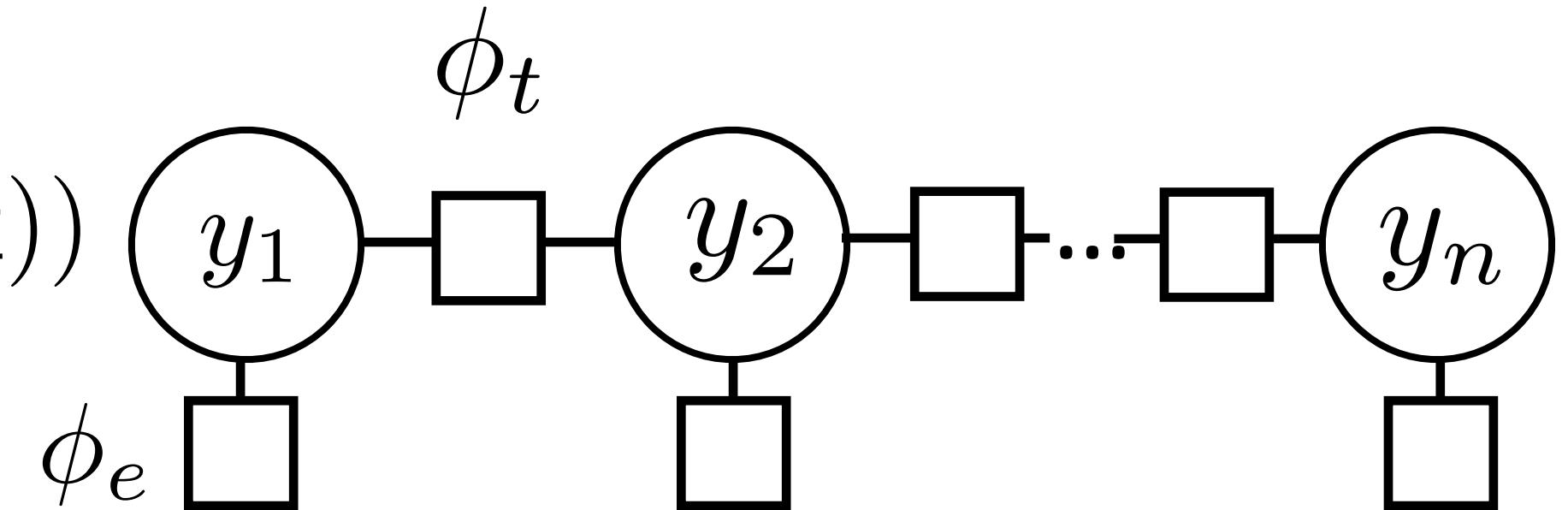
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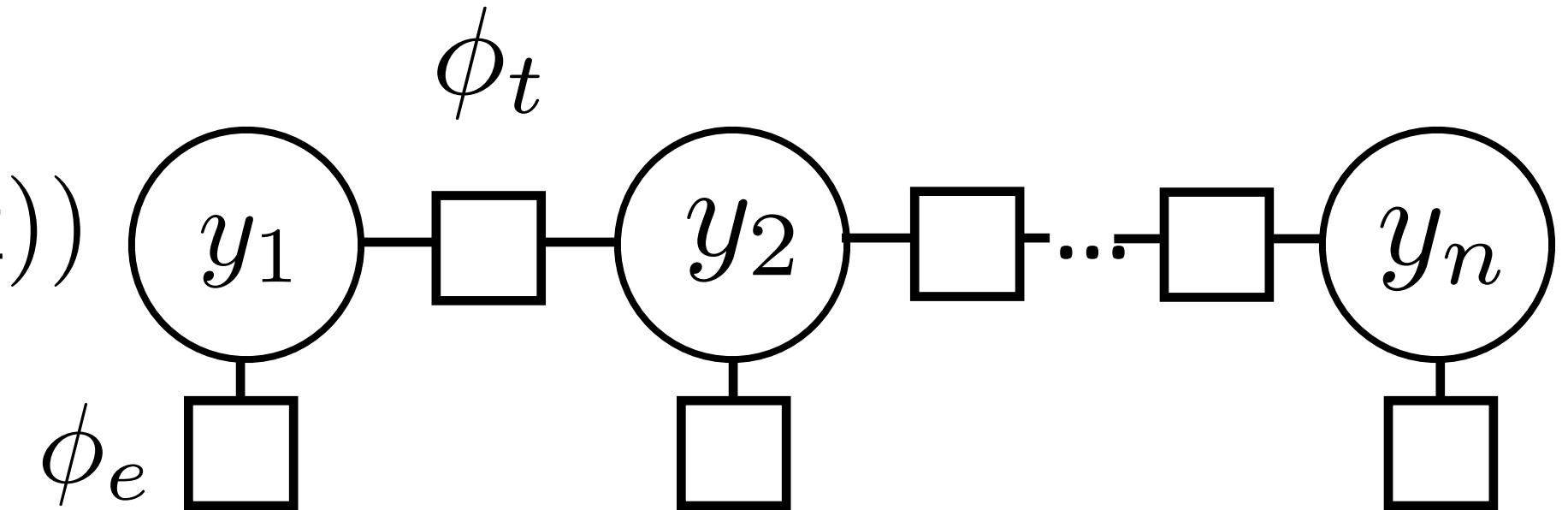
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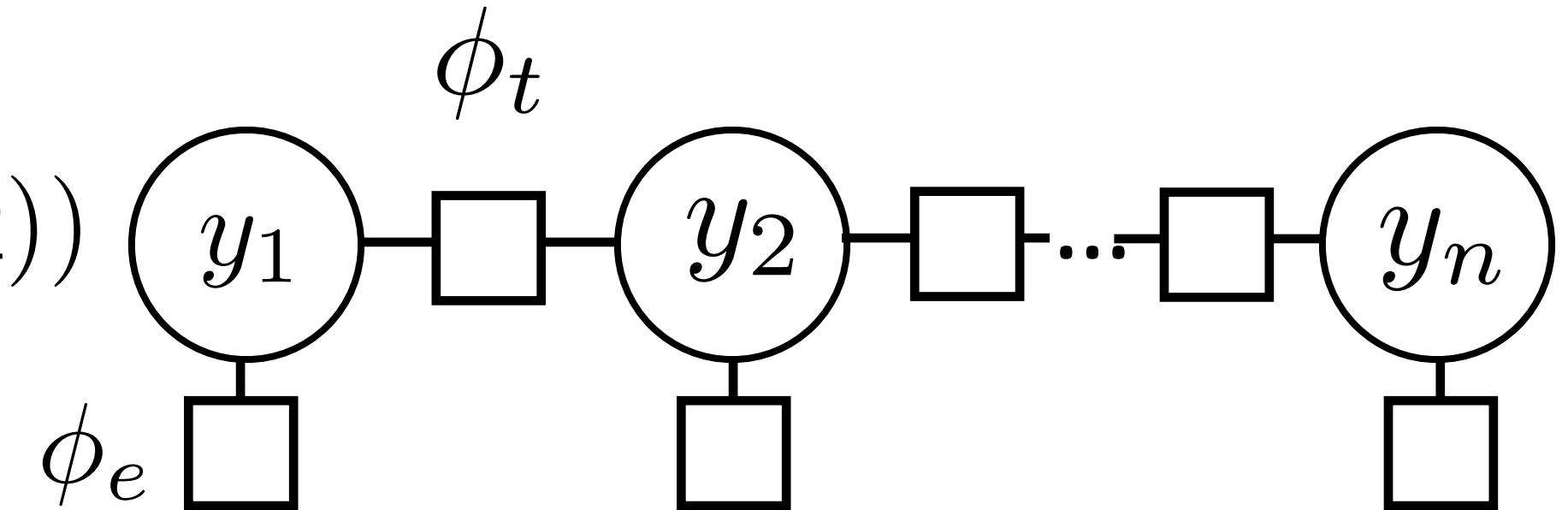


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$$\begin{aligned} & \max_{y_1, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots e^{\phi_e(y_2, 2, \mathbf{x})} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})} \\ &= \max_{y_2, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots e^{\phi_e(y_2, 2, \mathbf{x})} \boxed{\max_{y_1} e^{\phi_t(y_1, y_2)} \underbrace{e^{\phi_e(y_1, 1, \mathbf{x})}}_{}} \end{aligned}$$

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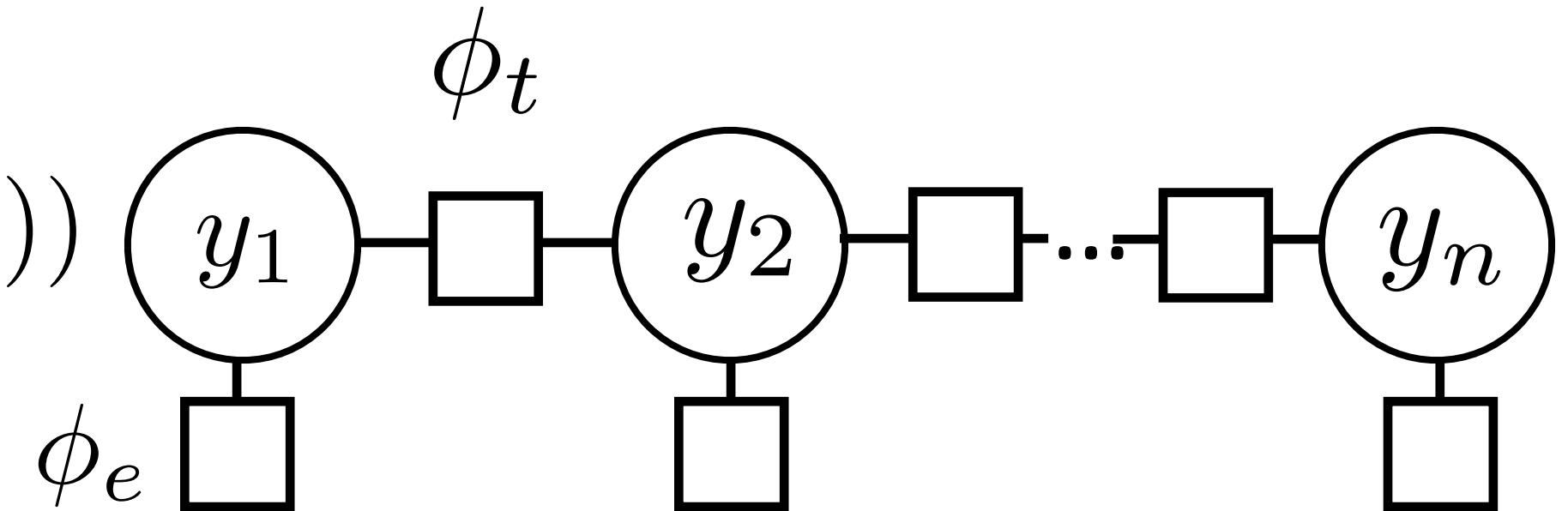
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Computing (arg)maxes

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$



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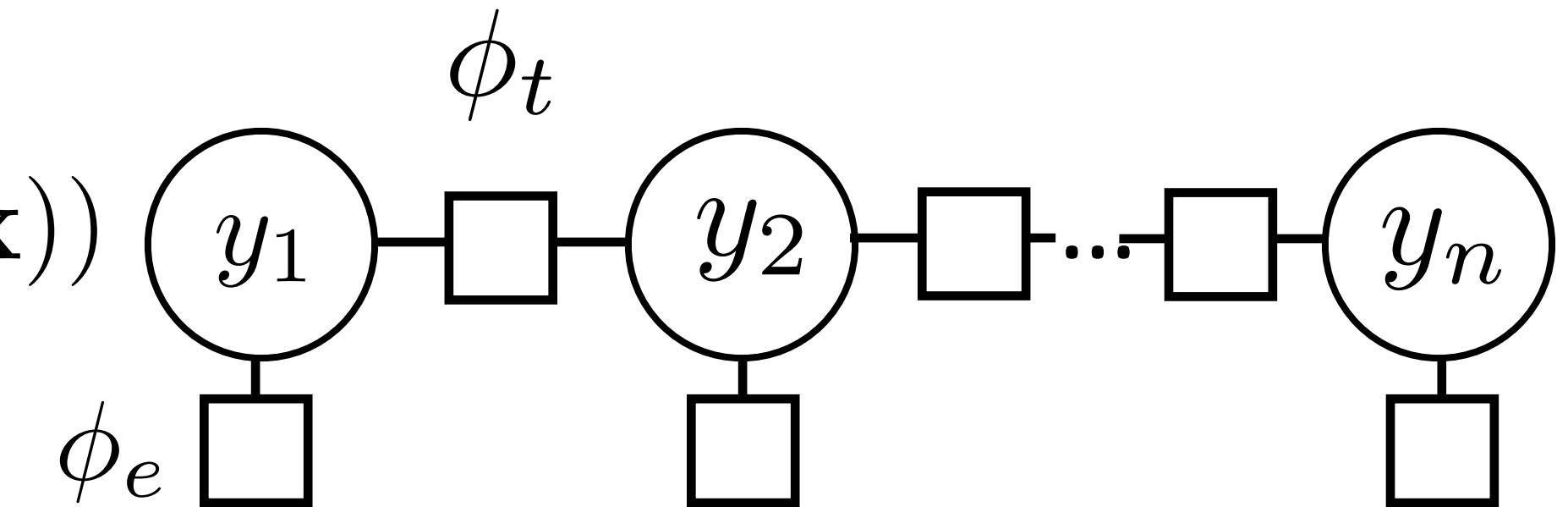
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Computing (arg)maxes

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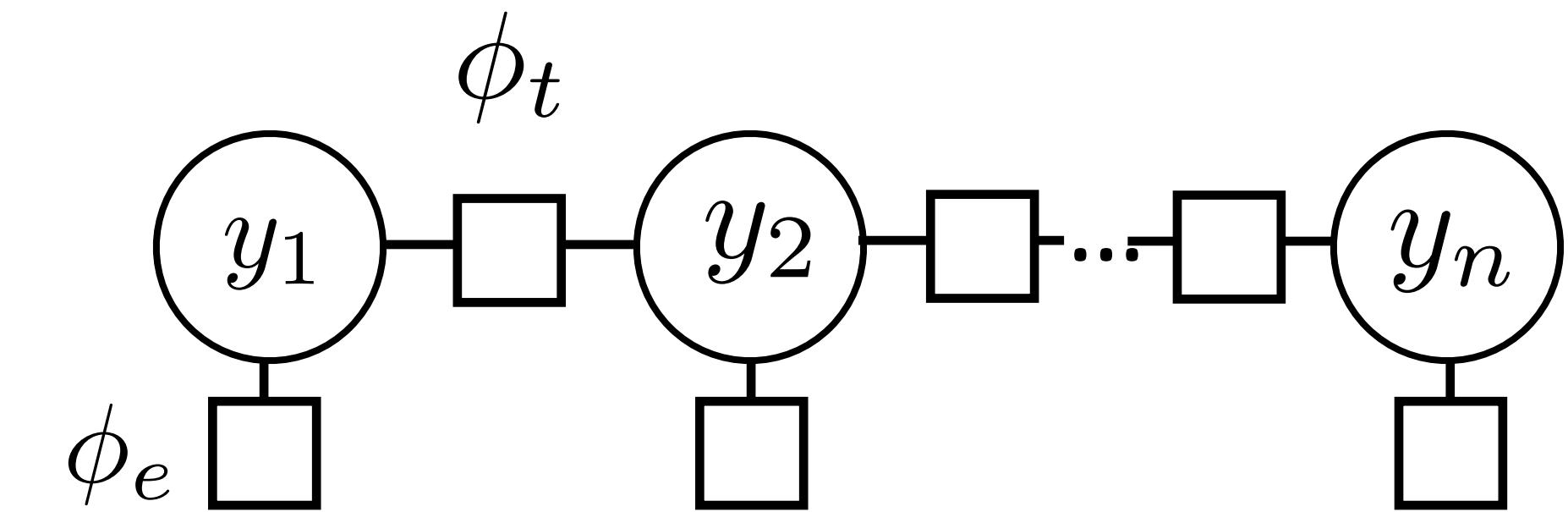
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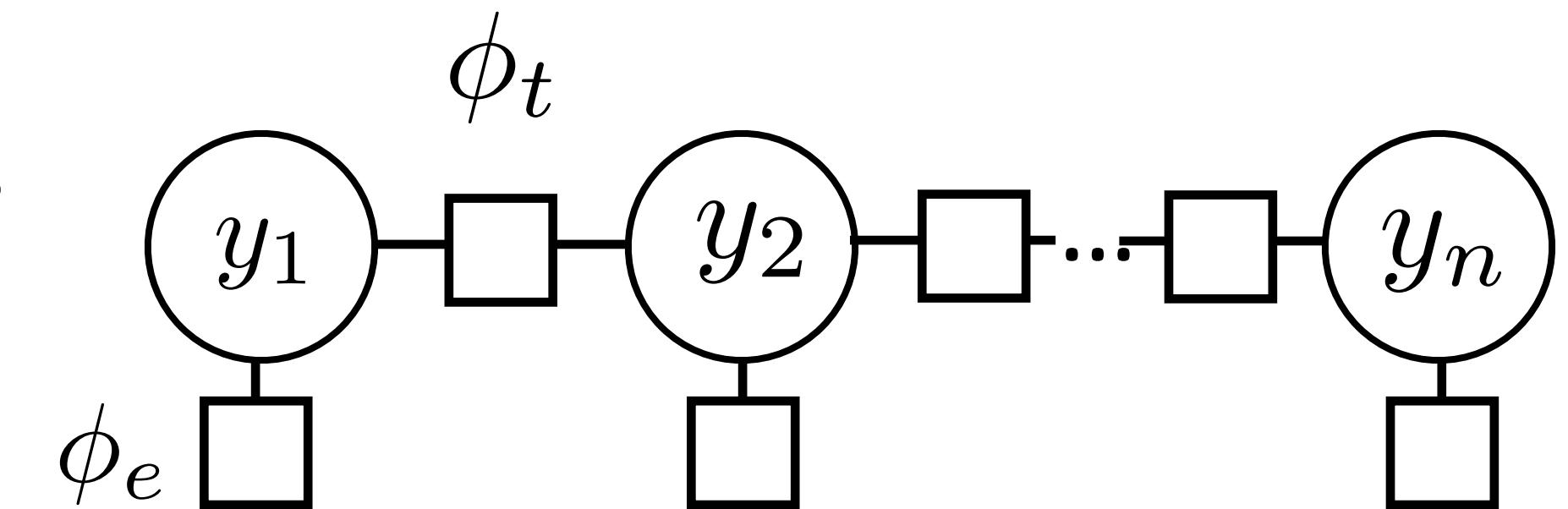
- $\exp(\phi_t(y_{i-1}, y_i))$ and $\exp(\phi_e(y_i, i, \mathbf{x}))$ play the role of the Ps now, same dynamic program

Inference in General CRFs



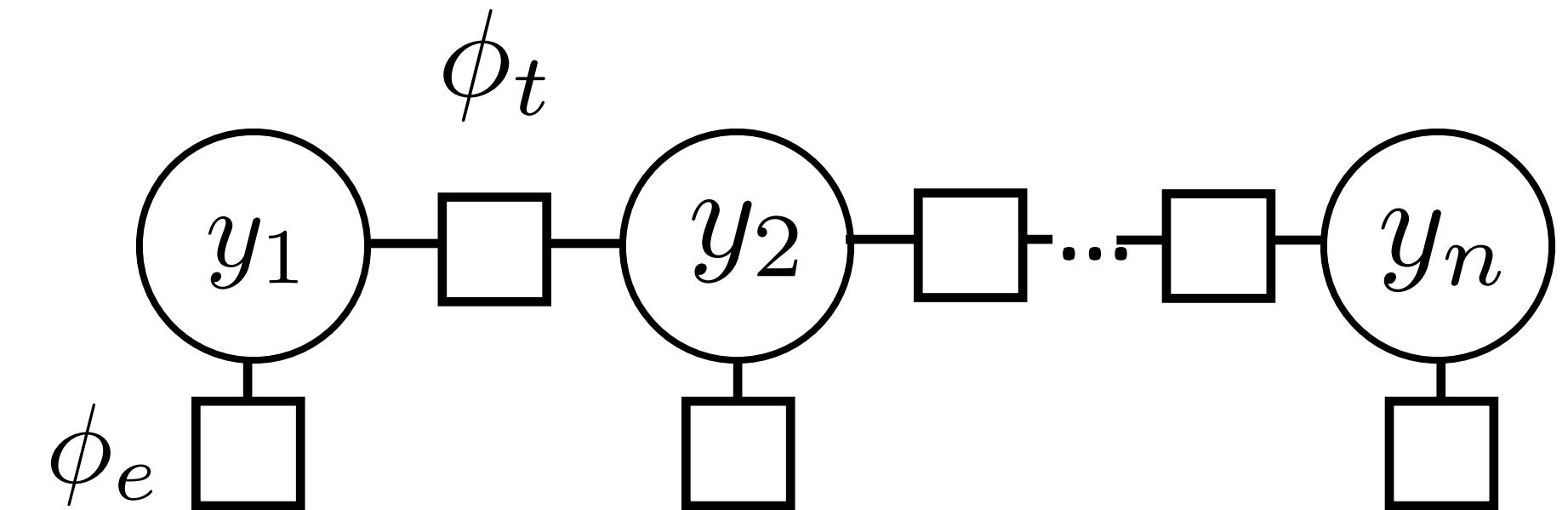
Inference in General CRFs

- ▶ Can do inference in any tree-structured CRF



Inference in General CRFs

- ▶ Can do inference in any tree-structured CRF



- ▶ Max-product algorithm: generalization of Viterbi to arbitrary tree-structured graphs (sum-product is generalization of forward-backward)

CRFs Outline

- Model: $P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$
$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$
- Inference: argmax $P(\mathbf{y}|\mathbf{x})$ from Viterbi
- Learning

Training CRFs

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

Training CRFs

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- Logistic regression: $P(y|x) \propto \exp w^\top f(x, y)$

Training CRFs

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- ▶ Logistic regression: $P(y|x) \propto \exp w^\top f(x, y)$
- ▶ Maximize $\mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \log P(\mathbf{y}^*|\mathbf{x})$

Training CRFs

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

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Training CRFs

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$$\begin{aligned} \frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) &= \sum_{i=2}^n f_t(y_{i-1}^*, y_i^*) + \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x}) \\ &\quad - \mathbb{E}_{\mathbf{y}} \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right] \end{aligned}$$

Training CRFs

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

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$$\frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \sum_{i=2}^n f_t(y_{i-1}^*, y_i^*) + \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x})$$

intractable! $\xrightarrow{-\mathbb{E}_{\mathbf{y}} \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]}$

Training CRFs

$$\begin{aligned} \frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) &= \sum_{i=2}^n f_t(y_{i-1}^*, y_i^*) + \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x}) \\ &\quad - \mathbb{E}_{\mathbf{y}} \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right] \end{aligned}$$

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- ▶ Let's focus on emission feature expectation

Training CRFs

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- ▶ Let's focus on emission feature expectation

$$\mathbb{E}_{\mathbf{y}} \left[\sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

Training CRFs

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- ▶ Let's focus on emission feature expectation

$$\mathbb{E}_{\mathbf{y}} \left[\sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right] = \sum_{\mathbf{y} \in \mathcal{Y}} P(\mathbf{y} | \mathbf{x}) \left[\sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

Training CRFs

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- ▶ Let's focus on emission feature expectation

$$\mathbb{E}_{\mathbf{y}} \left[\sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right] = \sum_{\mathbf{y} \in \mathcal{Y}} P(\mathbf{y} | \mathbf{x}) \left[\sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right] = \sum_{i=1}^n \sum_{\mathbf{y} \in \mathcal{Y}} P(\mathbf{y} | \mathbf{x}) f_e(y_i, i, \mathbf{x})$$

Training CRFs

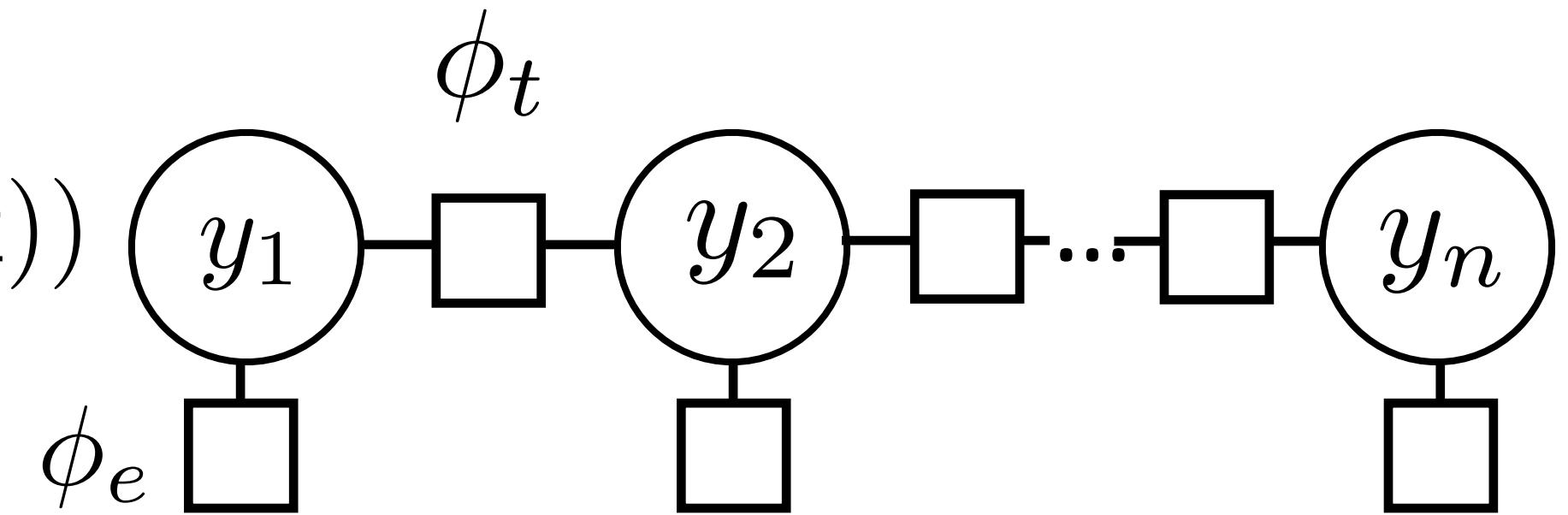
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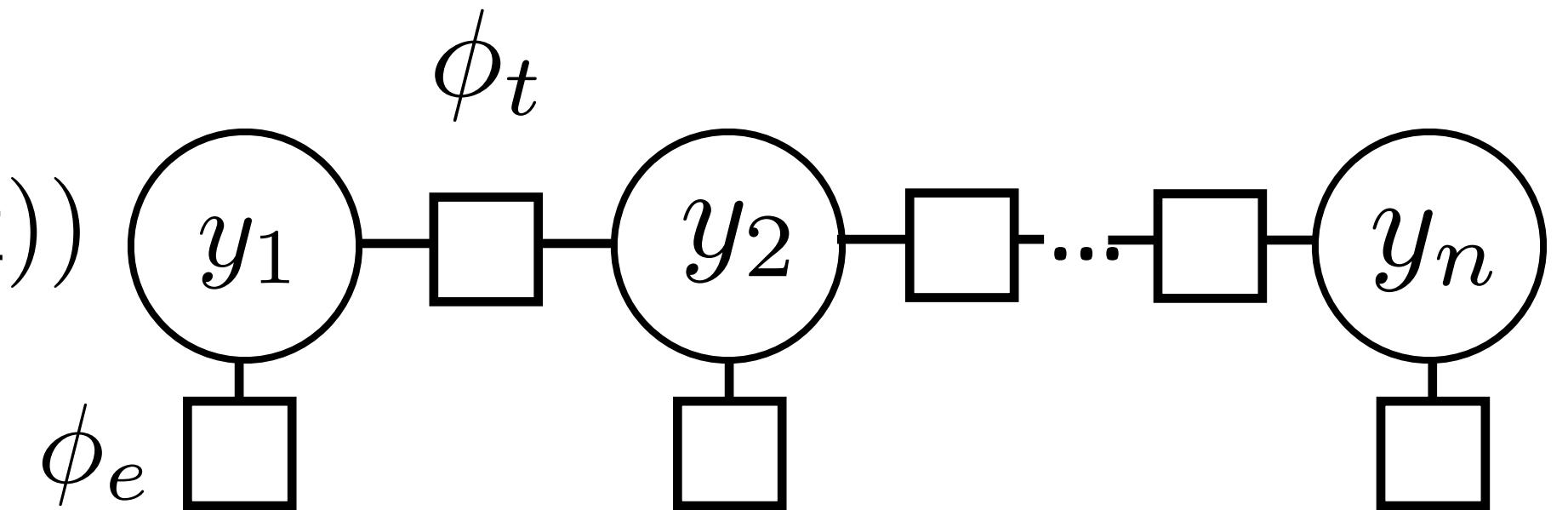
Computing Marginals

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$



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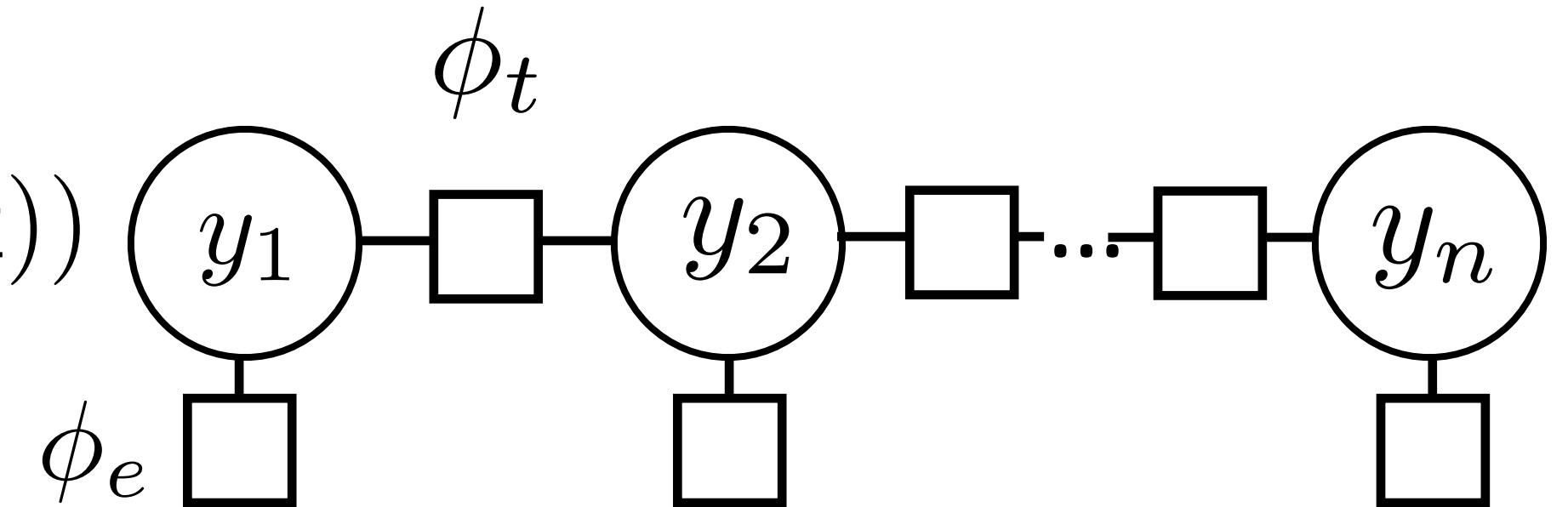
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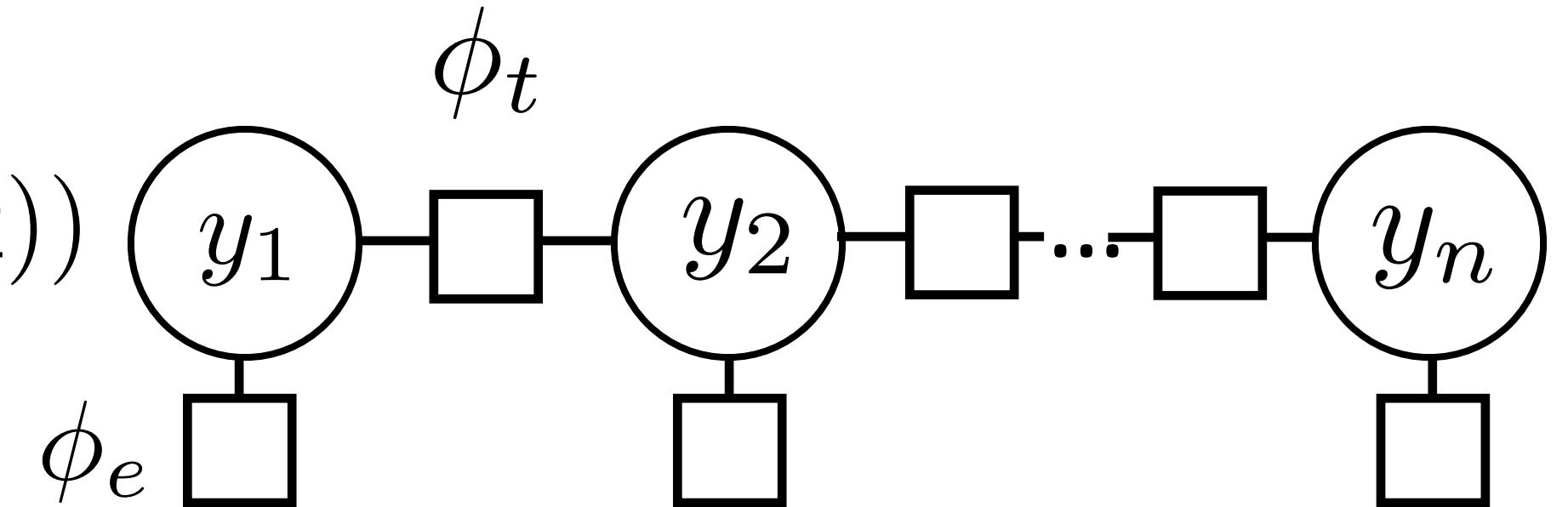
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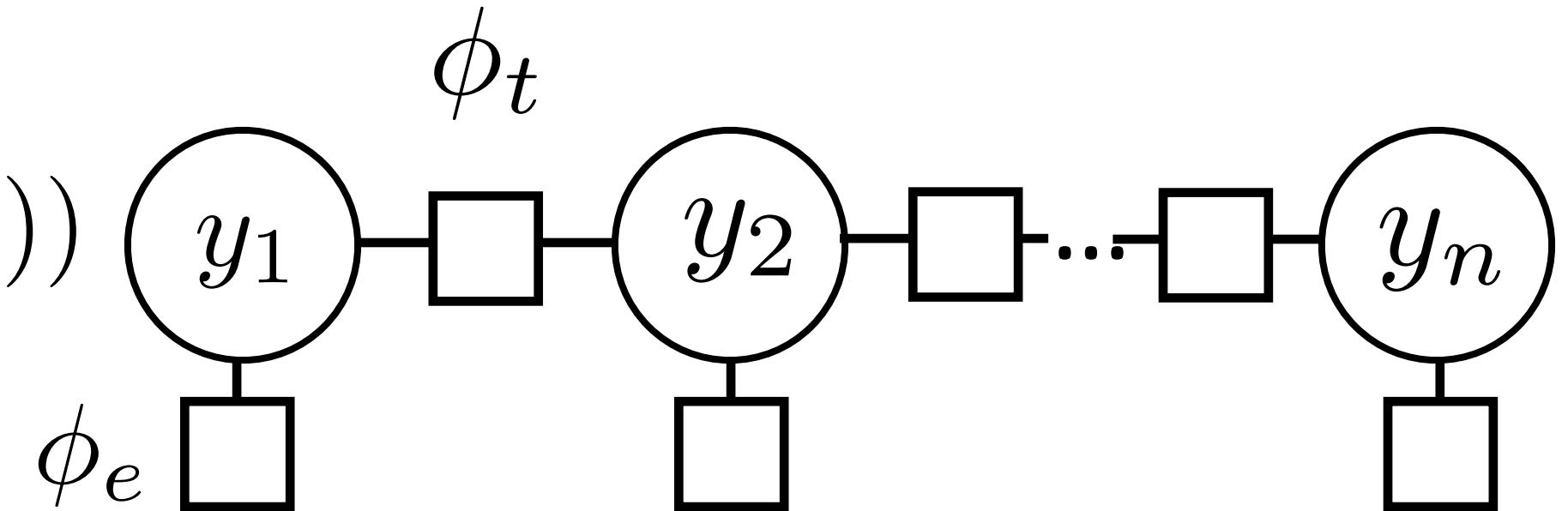


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HMM Model parameter (usually
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- ▶ Transition features: need to compute $P(y_i = s_1, y_{i+1} = s_2 | \mathbf{x})$ using forward-backward as well

CRFs Outline

- Model: $P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$
$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[\sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$
- Inference: argmax $P(\mathbf{y}|\mathbf{x})$ from Viterbi
- Learning: run forward-backward to compute posterior probabilities; then

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How to “Cheat” with Automatic Differentiation

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- 
- Compute $P(Y|X)$, using the forward algorithm to get $Z(X)$
 - Use auto-diff through the computation graph of the dynamic program, to compute gradients.

Structured SVM / Structured Perceptron

Structured Perceptron

- ▶ Structured Perceptron Update:

$$\hat{y} = \operatorname{argmax}_{y \in \mathcal{Y}} w^\top f(x, y)$$

$$w = w + f(x, y^*) - f(x, \hat{y})$$

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Replaces Expectation
With argmax

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- Same as normal Viterbi but boost wrong labels' scores by 1 (if using Hamming loss)
- Only need Viterbi, not forward-backward...hmm...

NER

NER

NER

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NER

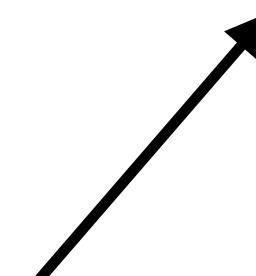
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Tanjug

From Wikipedia, the free encyclopedia

Tanjug (/tʌnjʊg/) ([Serbian Cyrillic](#): Танјуг) is a Serbian state news agency based in [Belgrade](#).^[2]



Nonlocal Features

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ORG?
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- More complex factor graph structures can let you capture this, or just decode sentences in order and use features on previous sentences

Semi-Markov Models

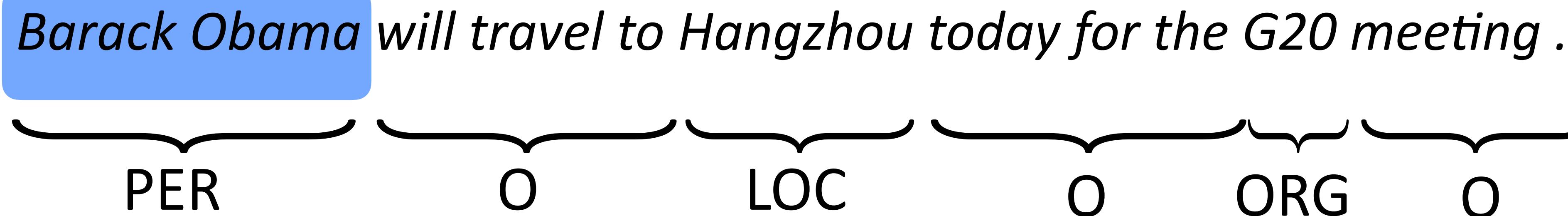
Barack Obama will travel to Hangzhou today for the G20 meeting .

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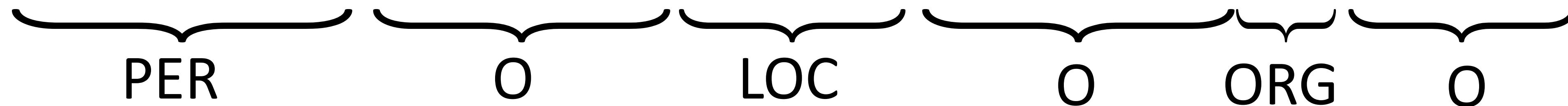
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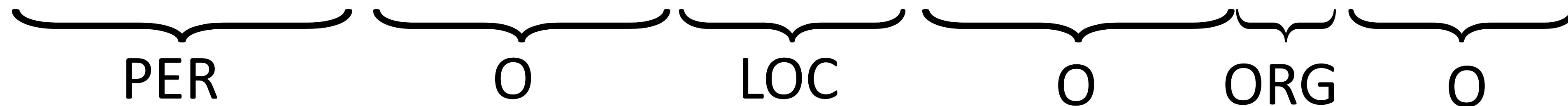
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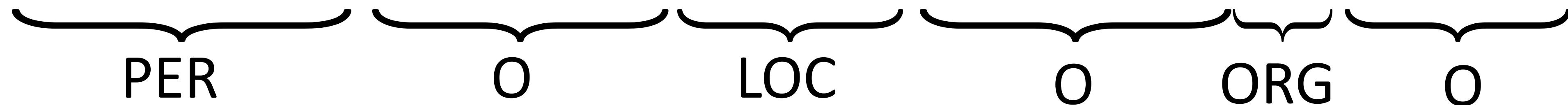
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- ▶ y is a set of touching spans of the sentence
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- ▶ Cons: there's an extra factor of n in the dynamic programs

Evaluating NER

B-PER I-PER O O O B-LOC O O O B-ORG O O

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PERSON

LOC

ORG

Evaluating NER

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- ▶ Prediction of all Os still gets 66% accuracy on this example!

Evaluating NER

B-PER	I-PER	0	0	0	B-LOC	0	0	0	B-ORG	0	0
-------	-------	---	---	---	-------	---	---	---	-------	---	---

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Evaluating NER

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- ▶ F-measure: harmonic mean of these two

How well do NER systems do?

	System	Resources Used	F_1
+	LBJ-NER	Wikipedia, Nonlocal Features, Word-class Model	90.80
-	(Suzuki and Isozaki, 2008)	Semi-supervised on 1G-word unlabeled data	89.92
-	(Ando and Zhang, 2005)	Semi-supervised on 27M-word unlabeled data	89.31
-	(Kazama and Torisawa, 2007a)	Wikipedia	88.02
-	(Krishnan and Manning, 2006)	Non-local Features	87.24
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Beam Search

Viterbi Time Complexity

VBD

VBN VBZ

NNP NNS

VB

VBP VBZ

NN NNS CD NN

Fed raises interest rates 0.5 percent

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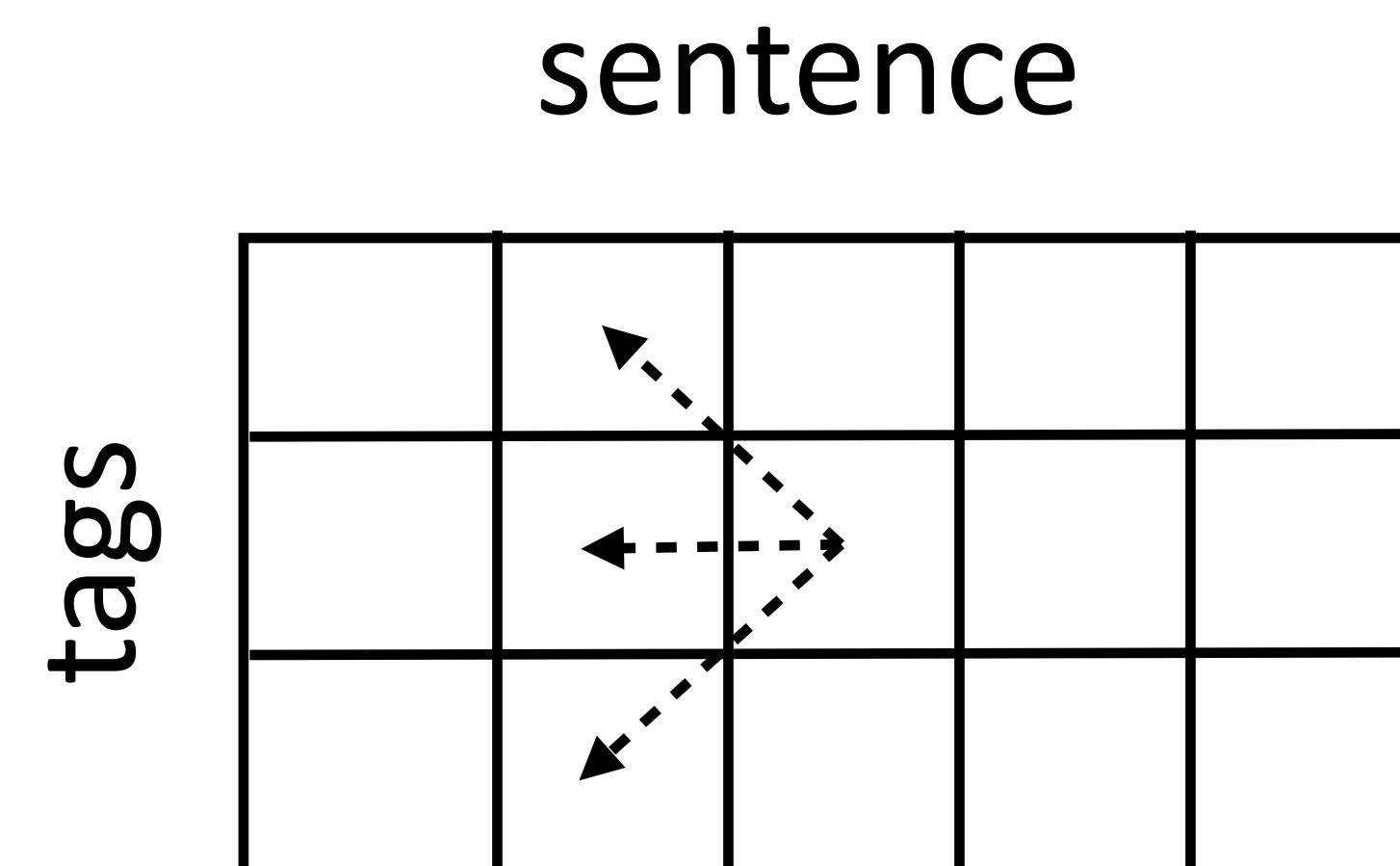
- ▶ n word sentence, s tags to consider – what is the time complexity?

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NNP	NNS	NN	NNS	CD	NN

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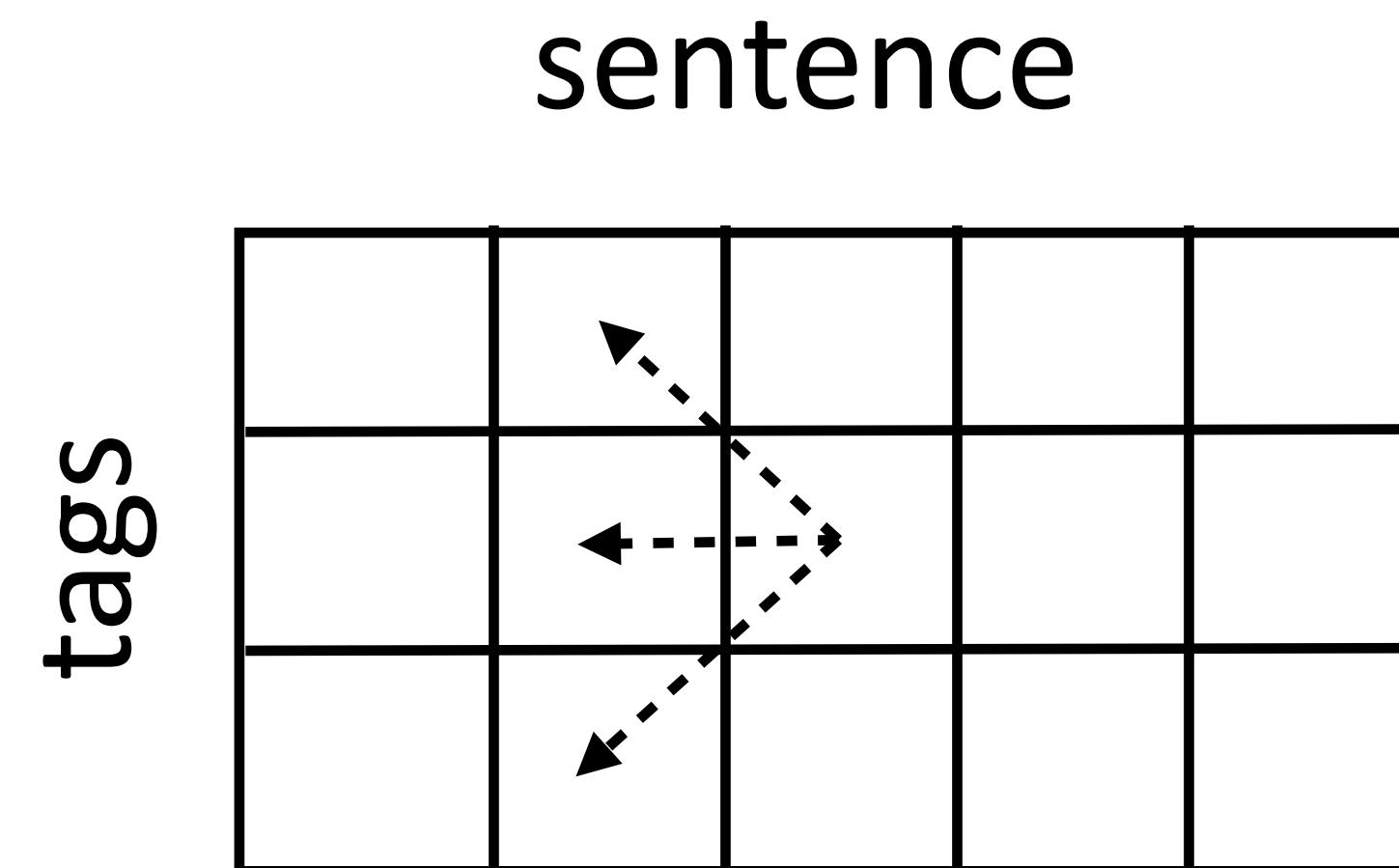


Viterbi Time Complexity

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- ▶ $O(ns^2)$ – s is ~40 for POS, n is ~20

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- ▶ Many tags are totally implausible
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 - ▶ Prepositions?
 - ▶ Adjectives?
- ▶ Features quickly eliminate many outcomes from consideration — don't need to consider these going forward

Beam Search

Beam Search

- ▶ Maintain a beam of k plausible states at the current timestep

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- ▶ Expand all states, only keep k top hypotheses at new timestep

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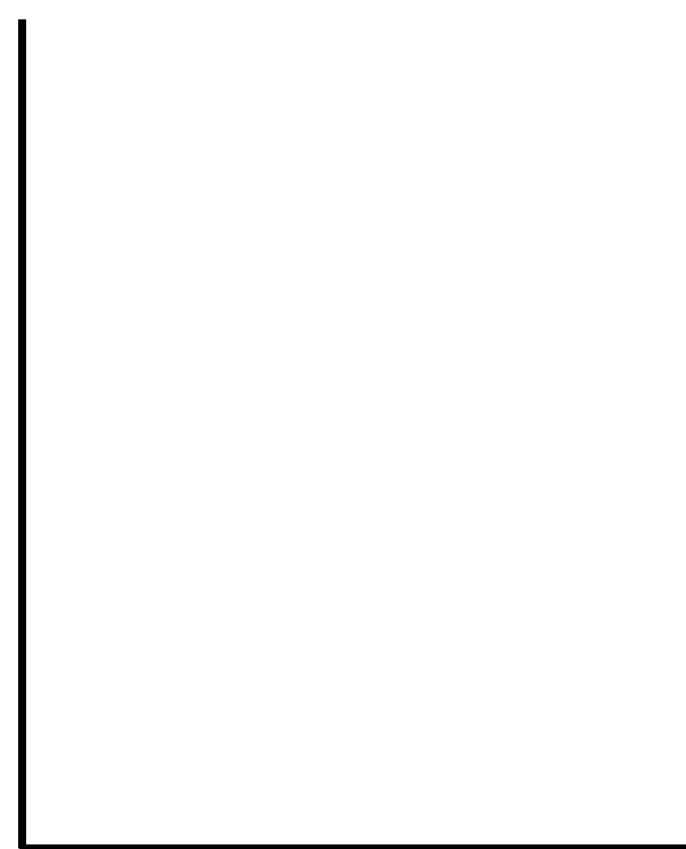
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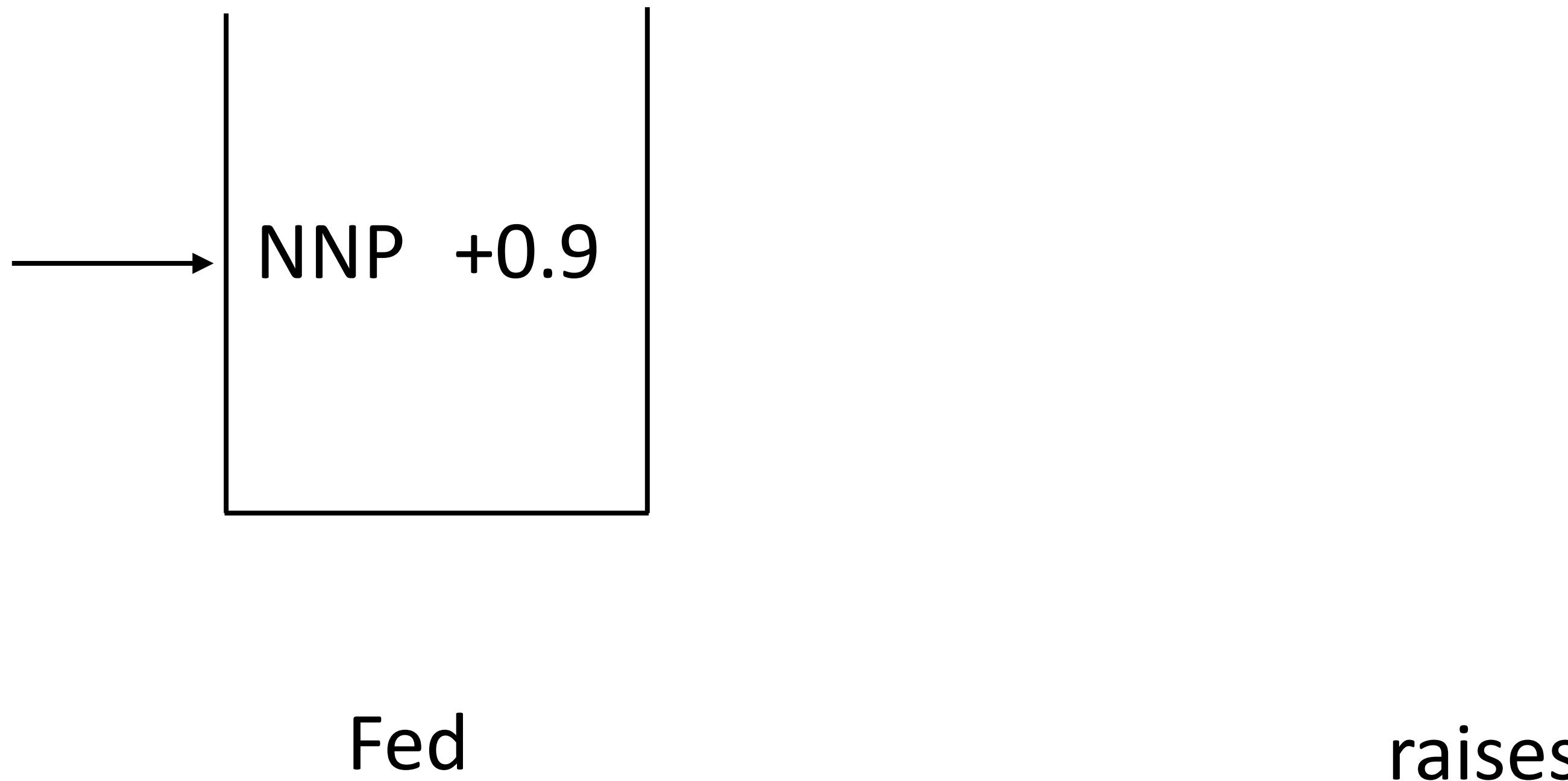


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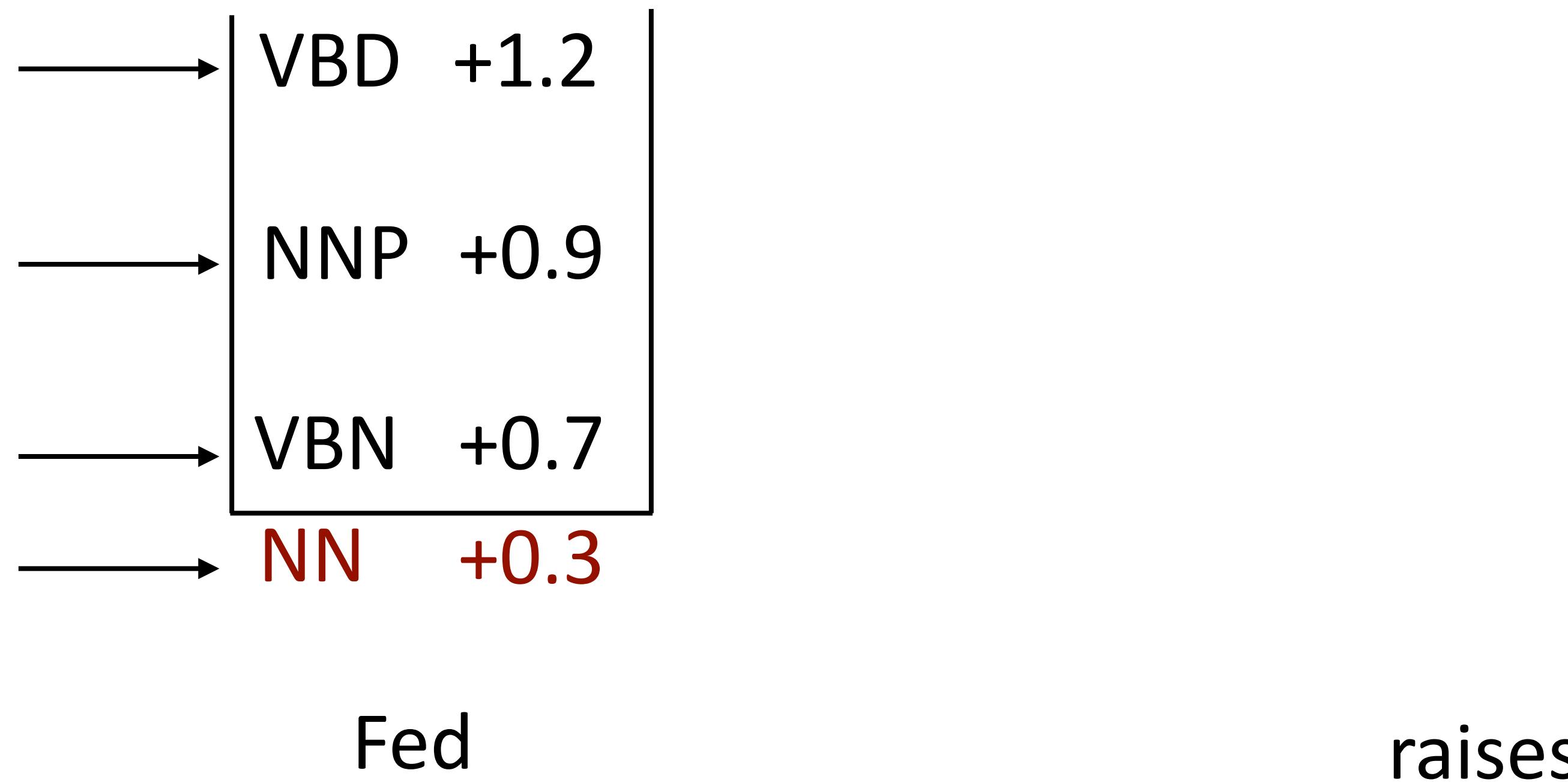
→	VBD	+1.2
→	NNP	+0.9

Fed

raises

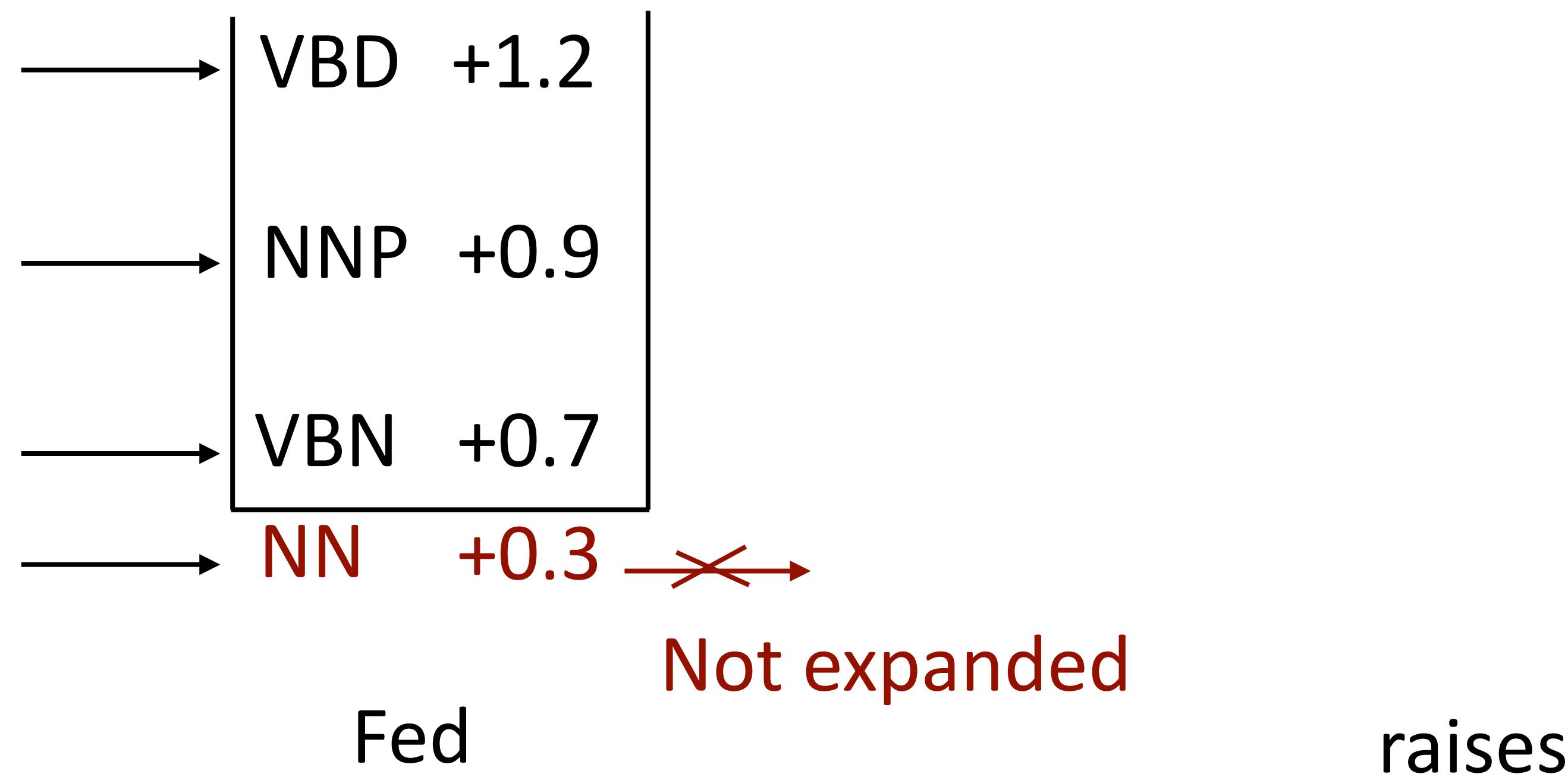
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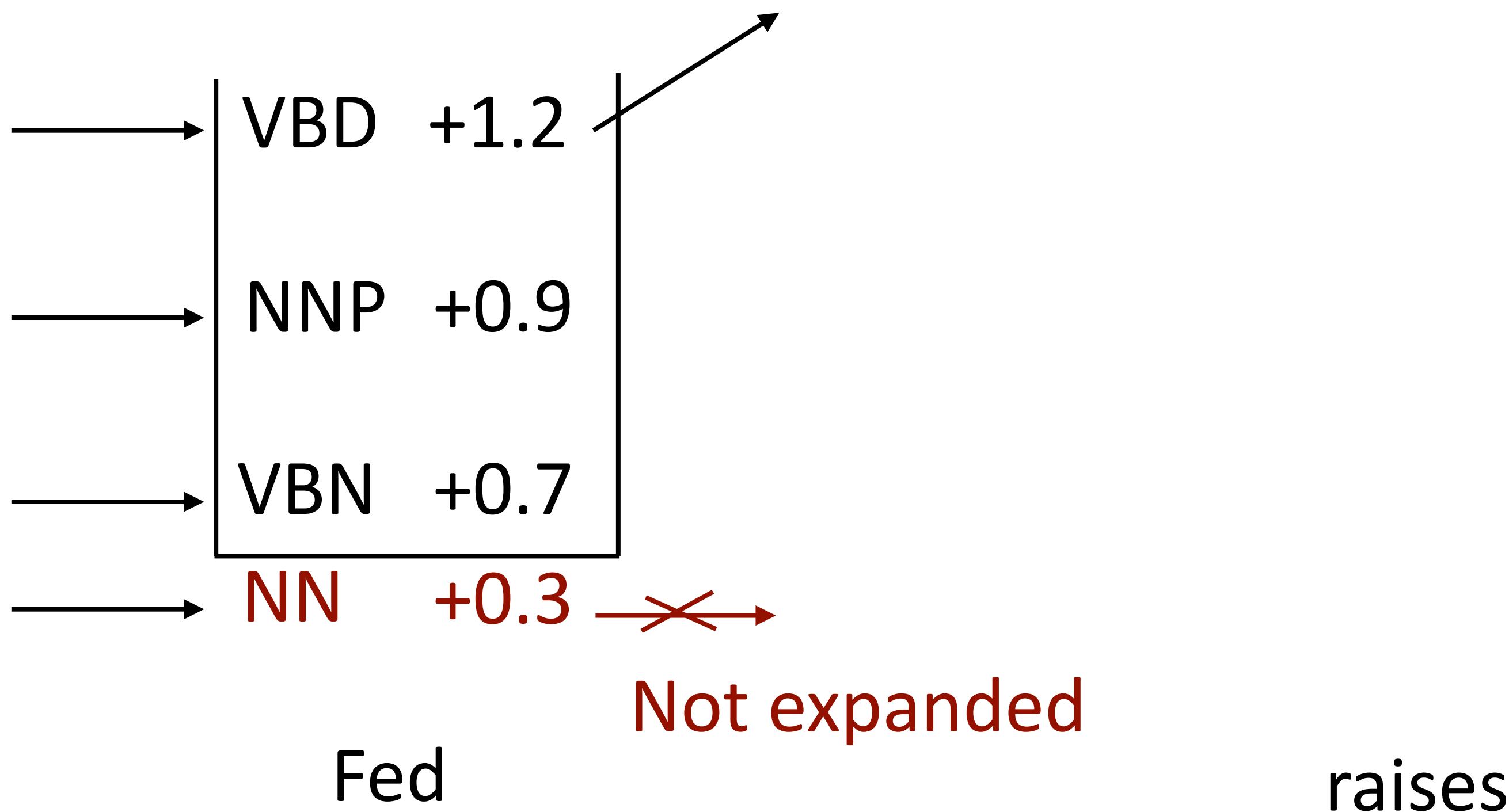
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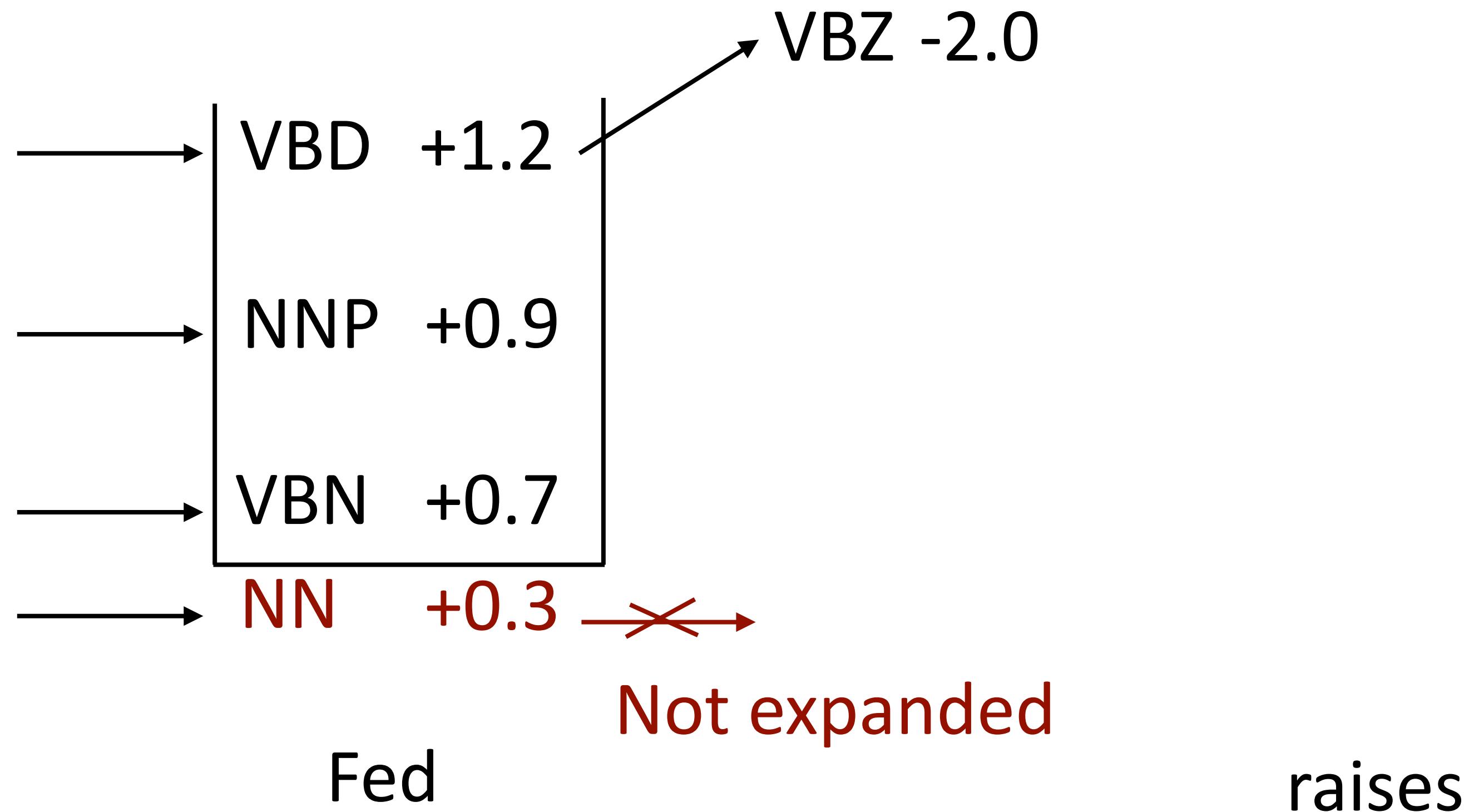
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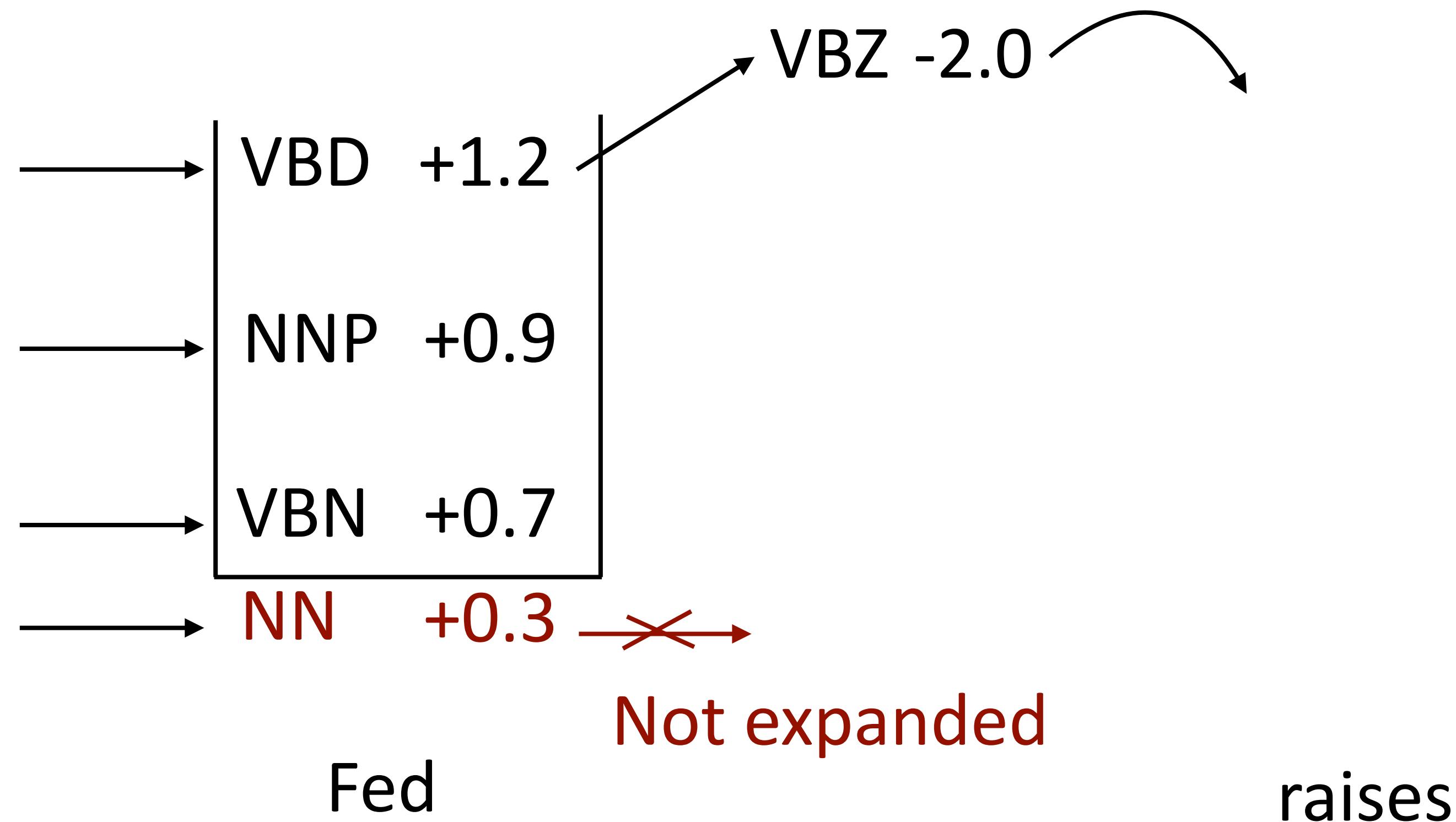
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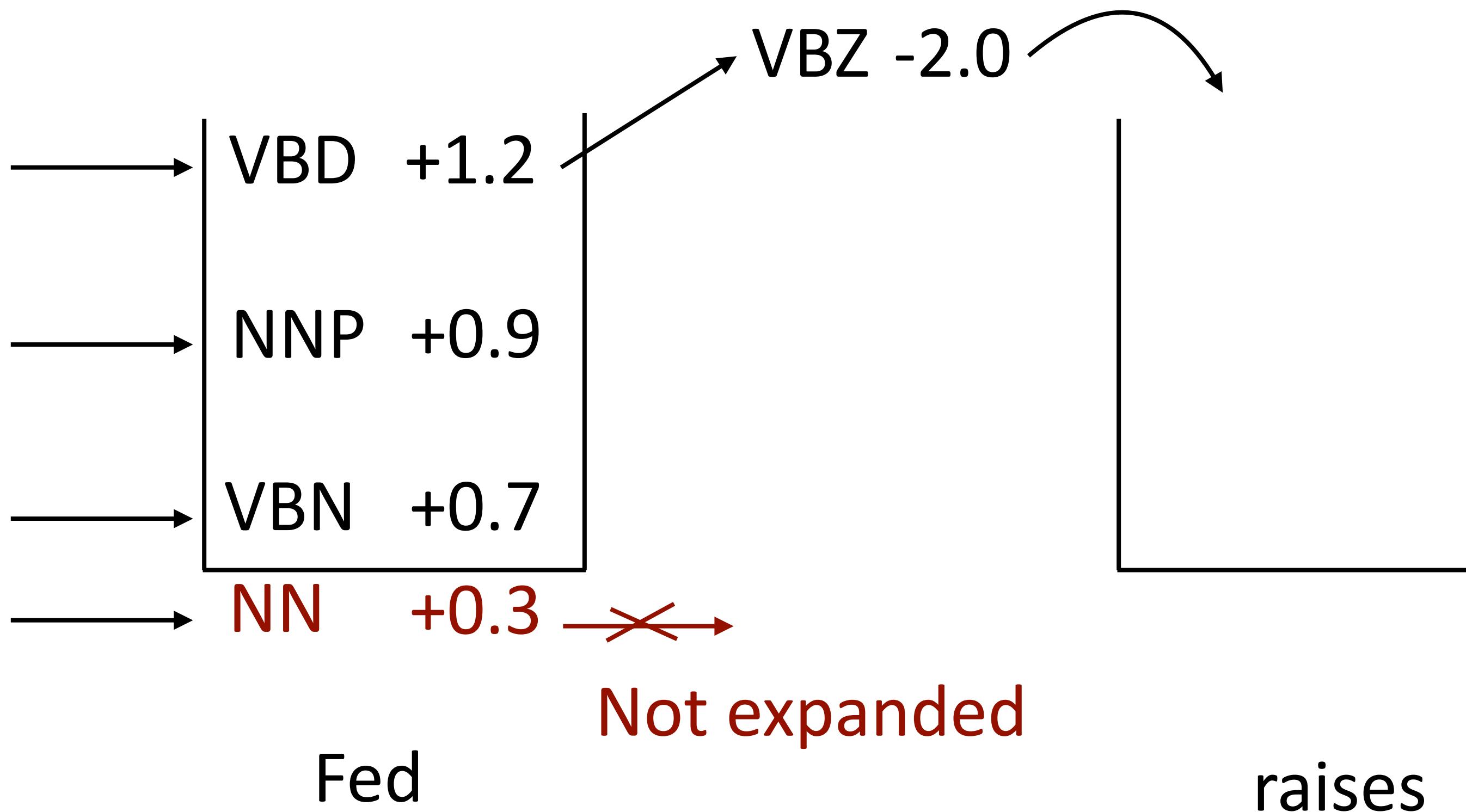
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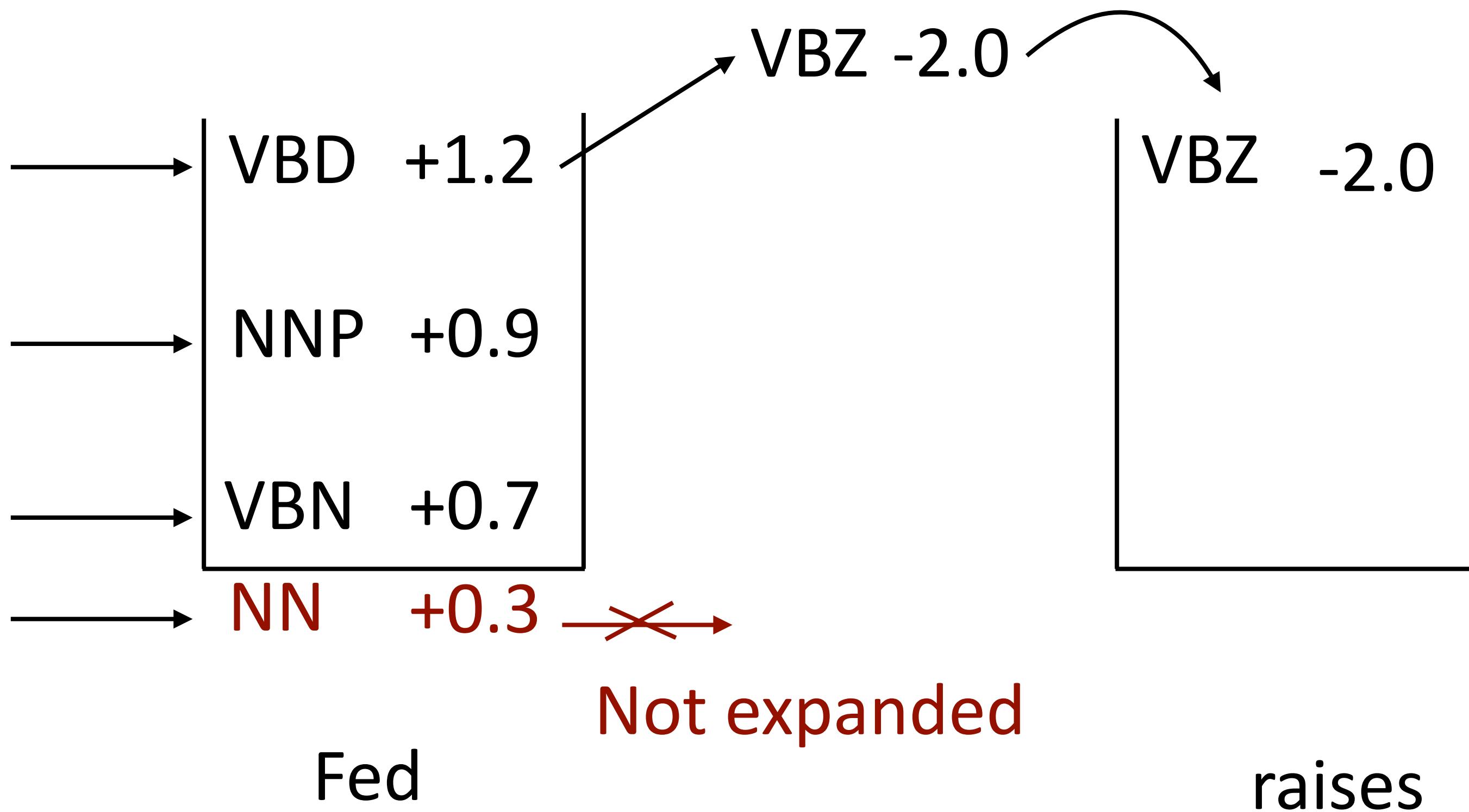
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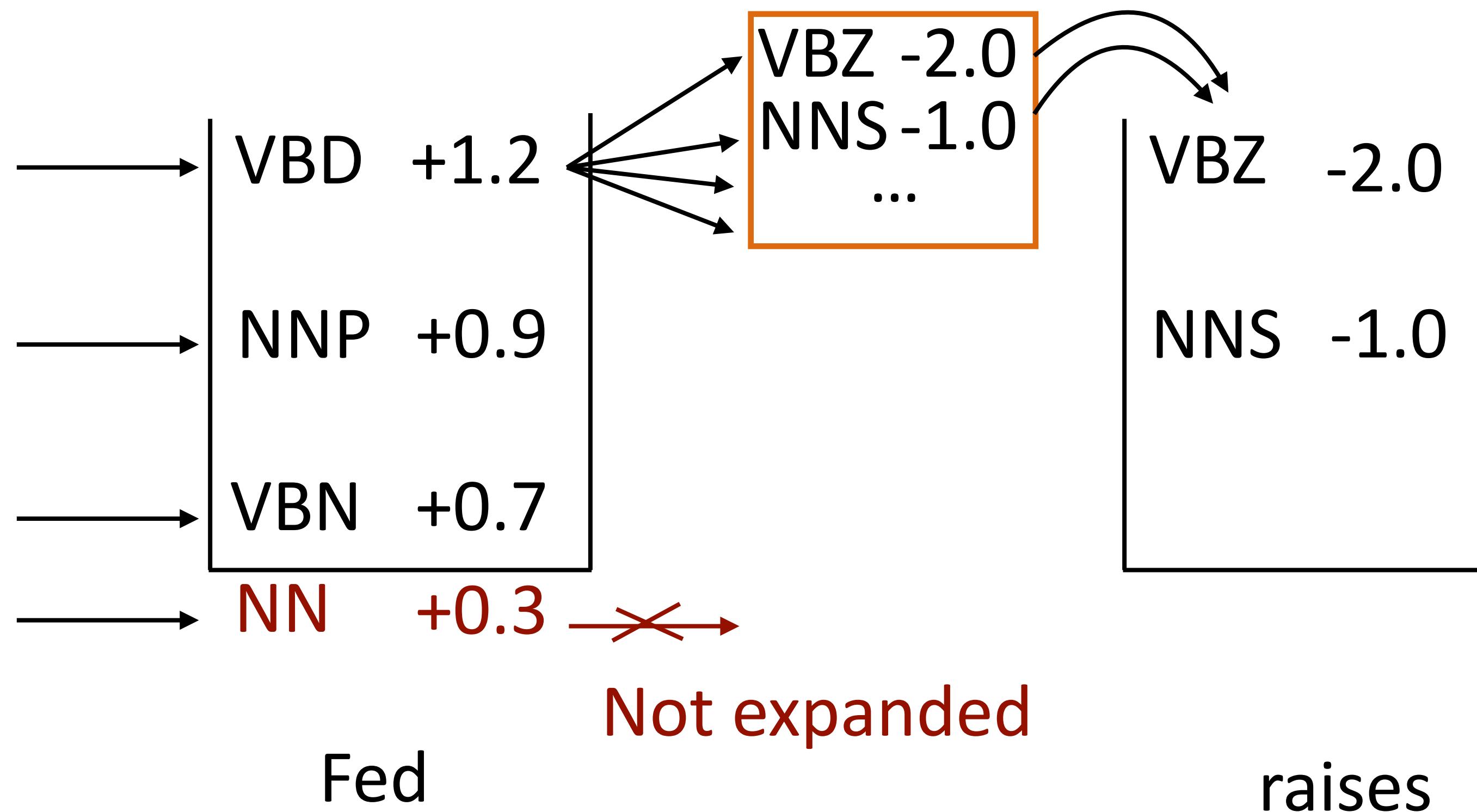
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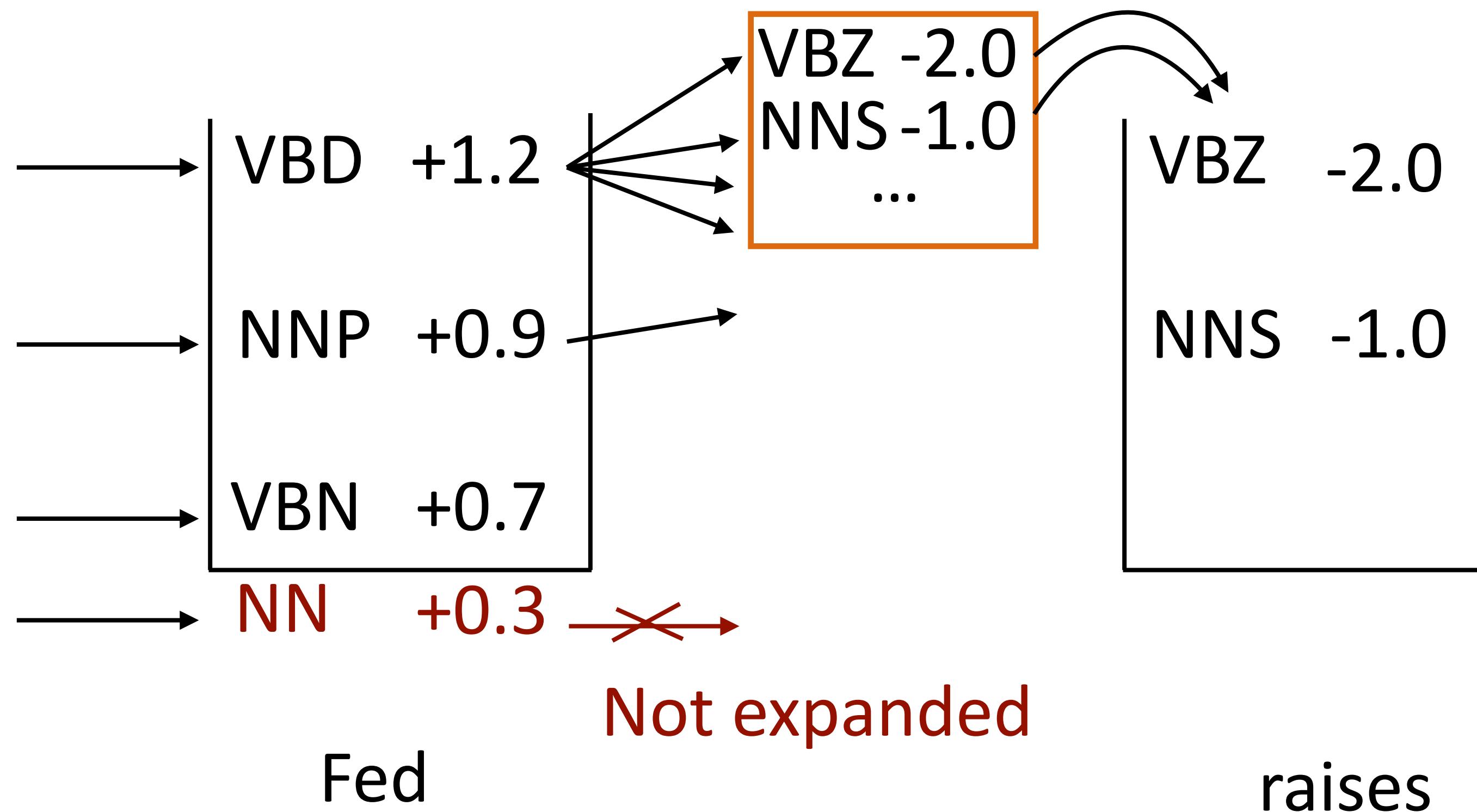
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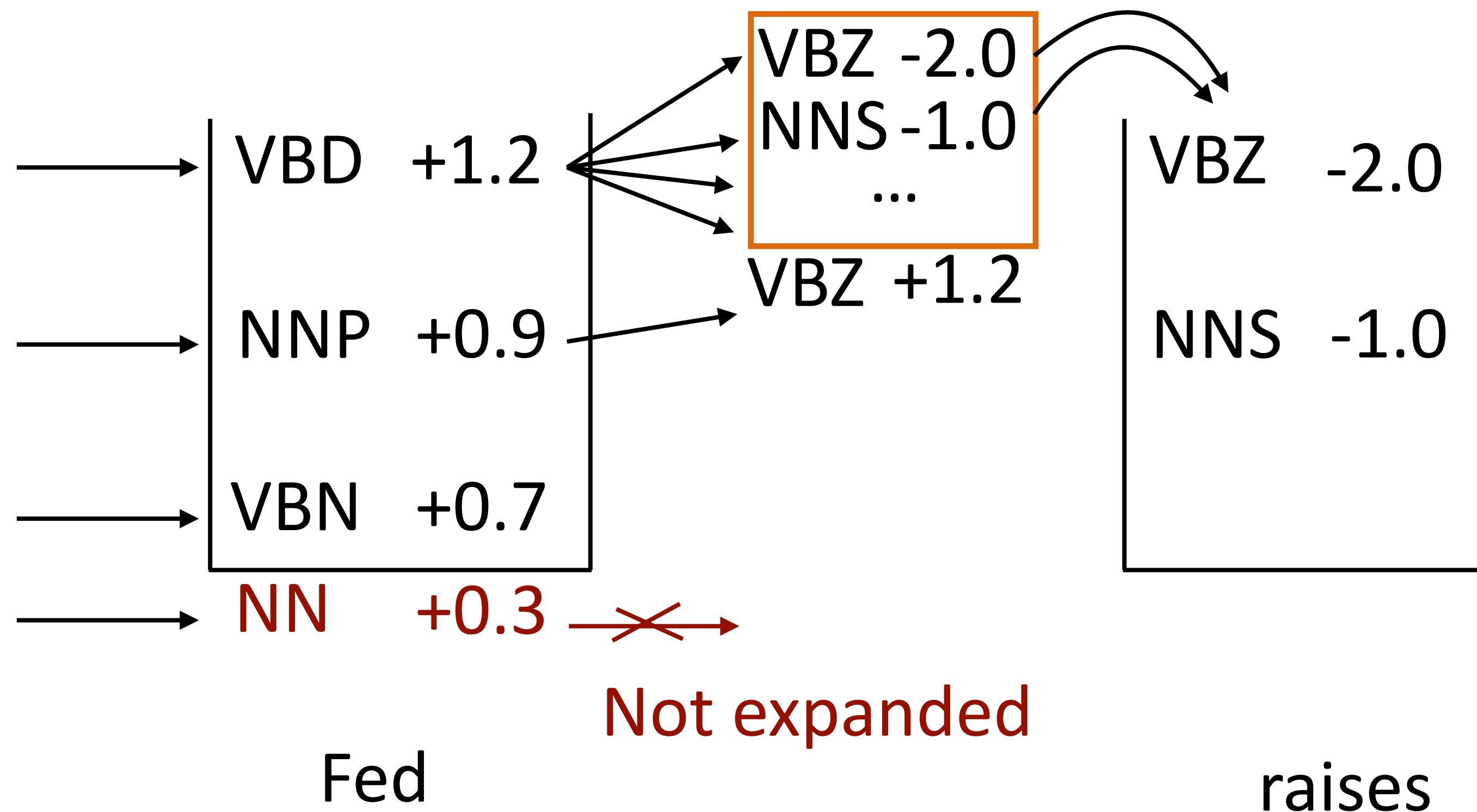
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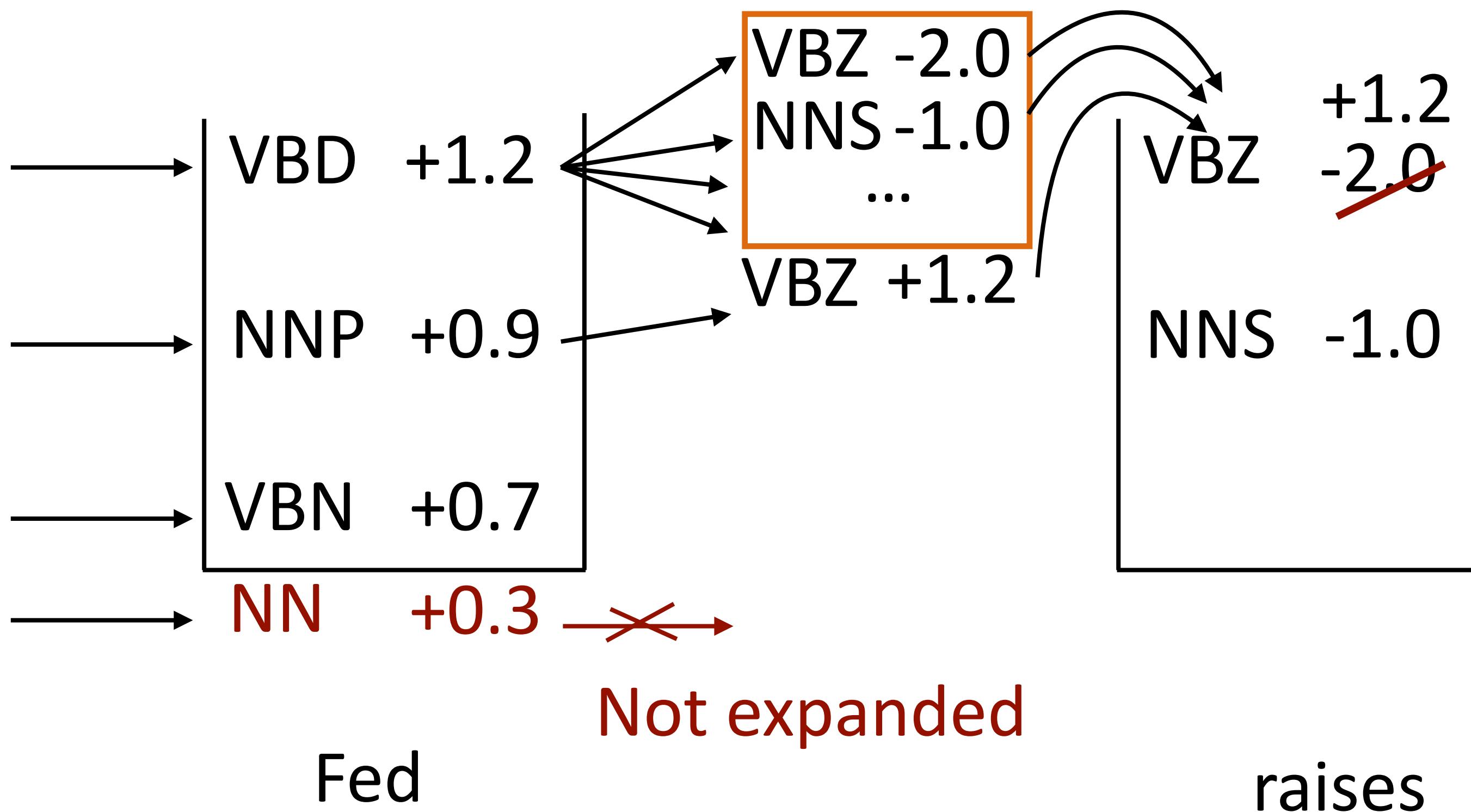
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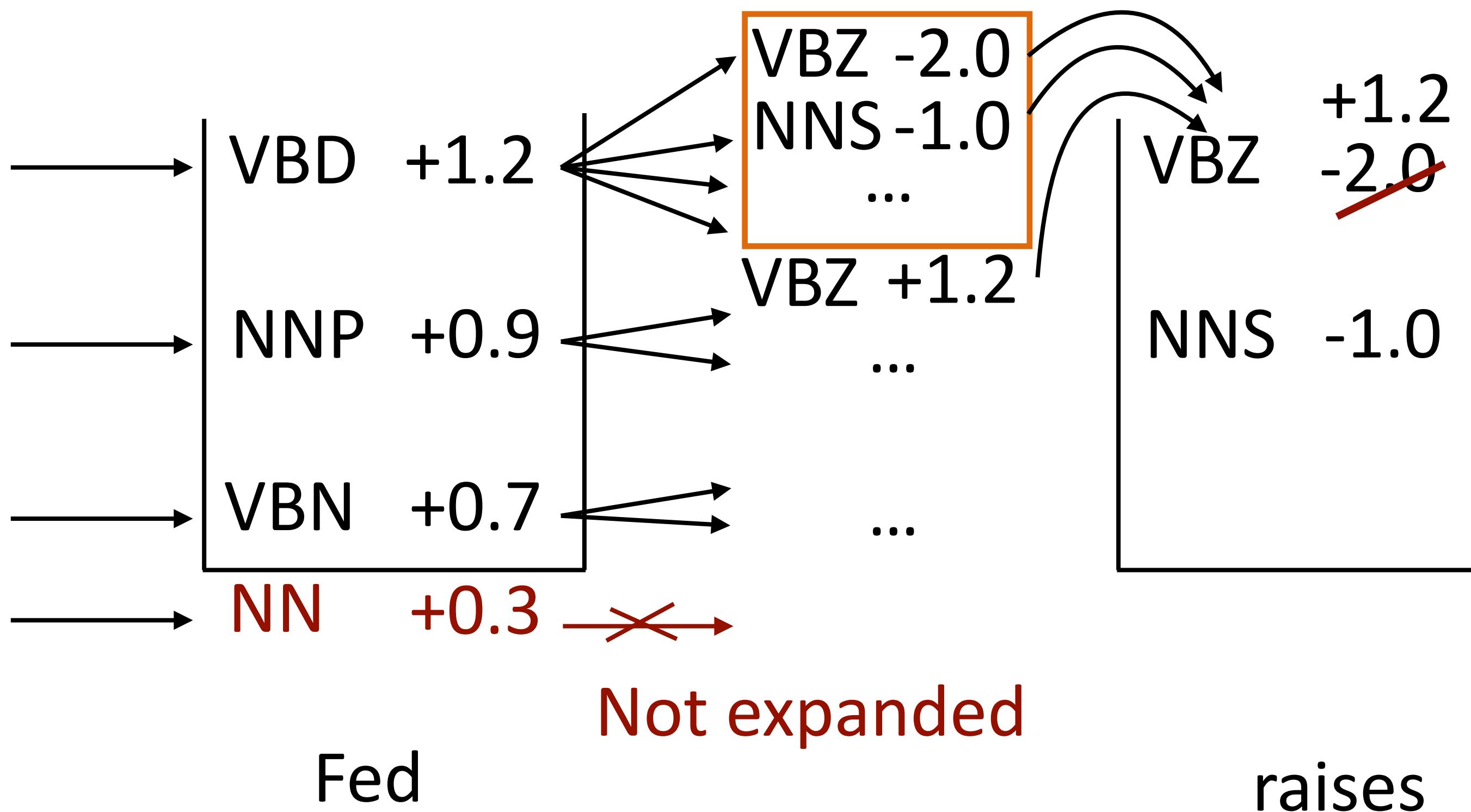
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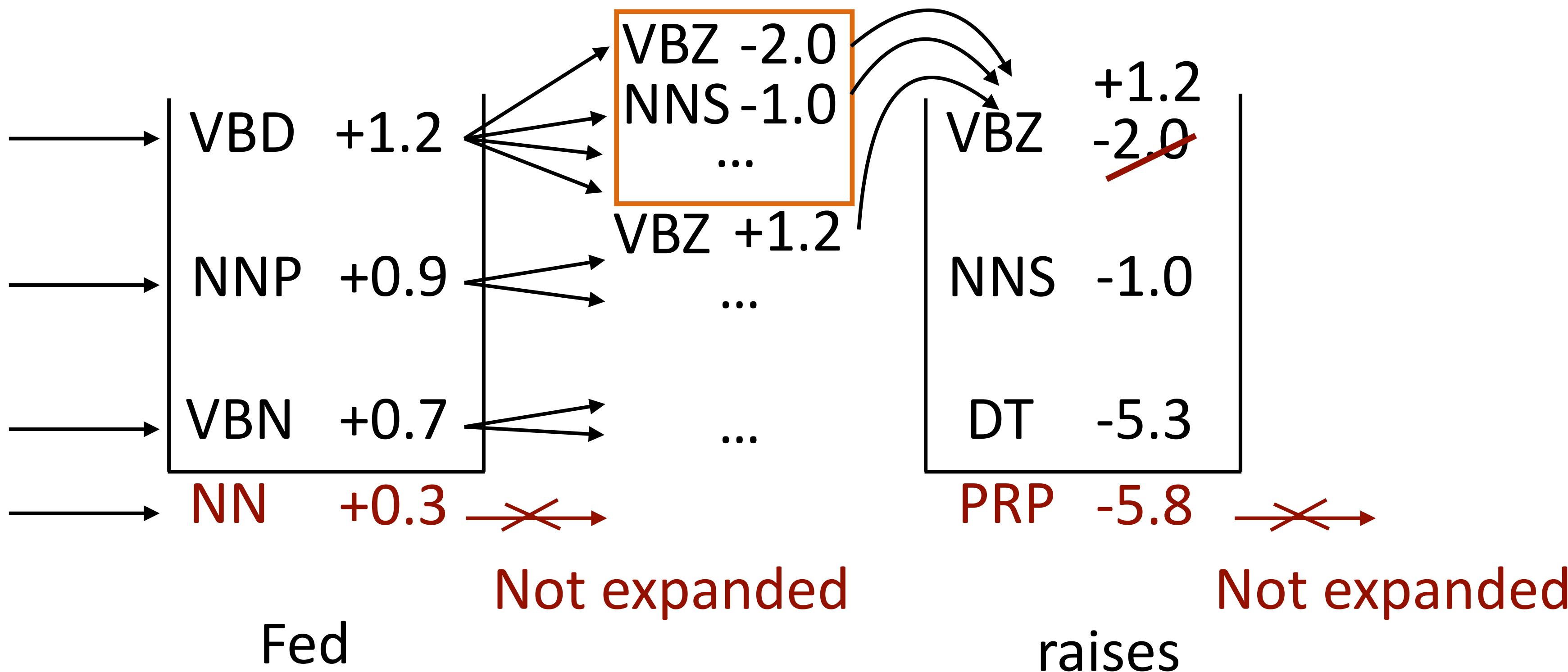
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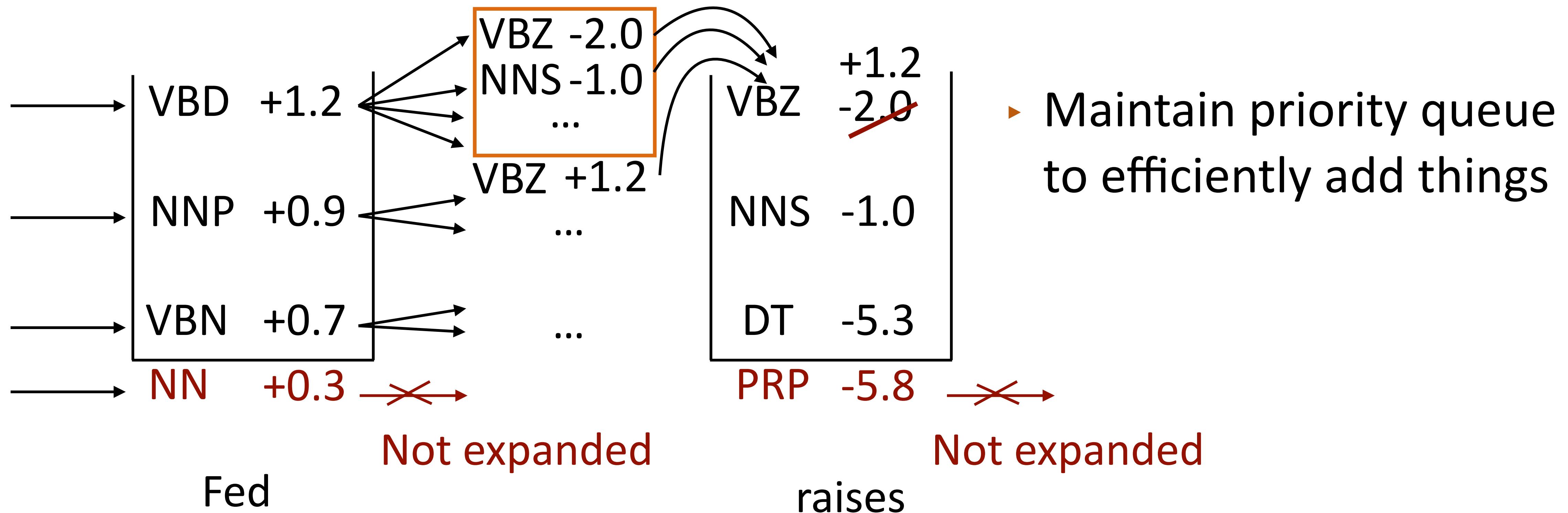
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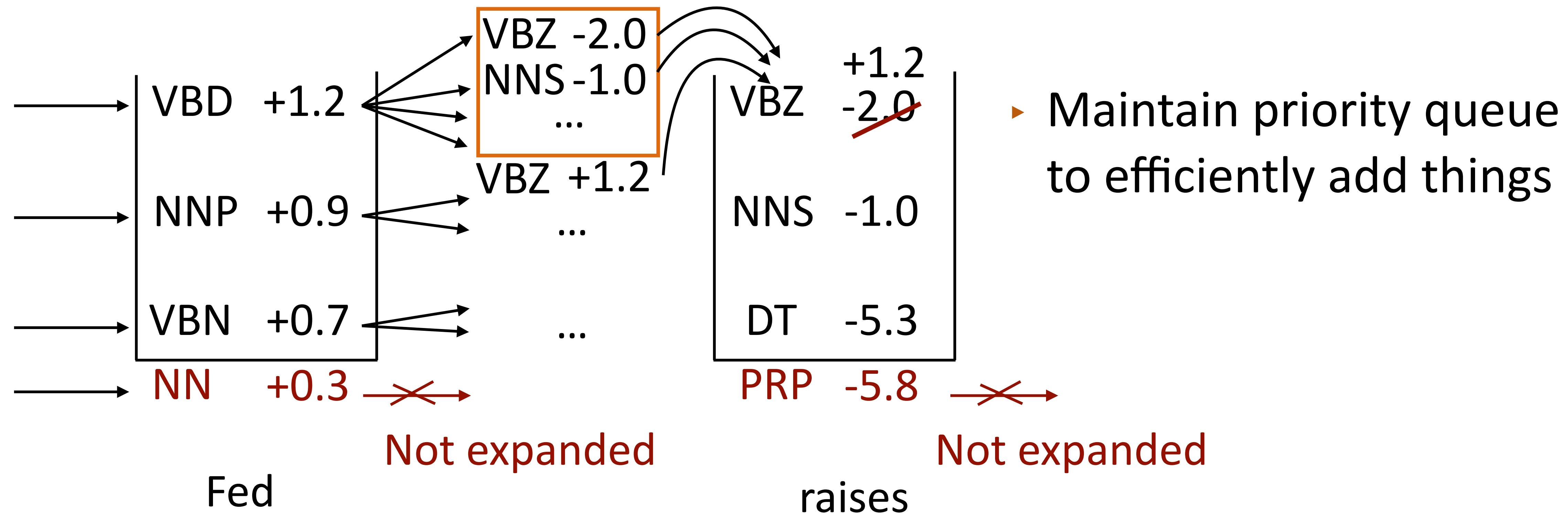
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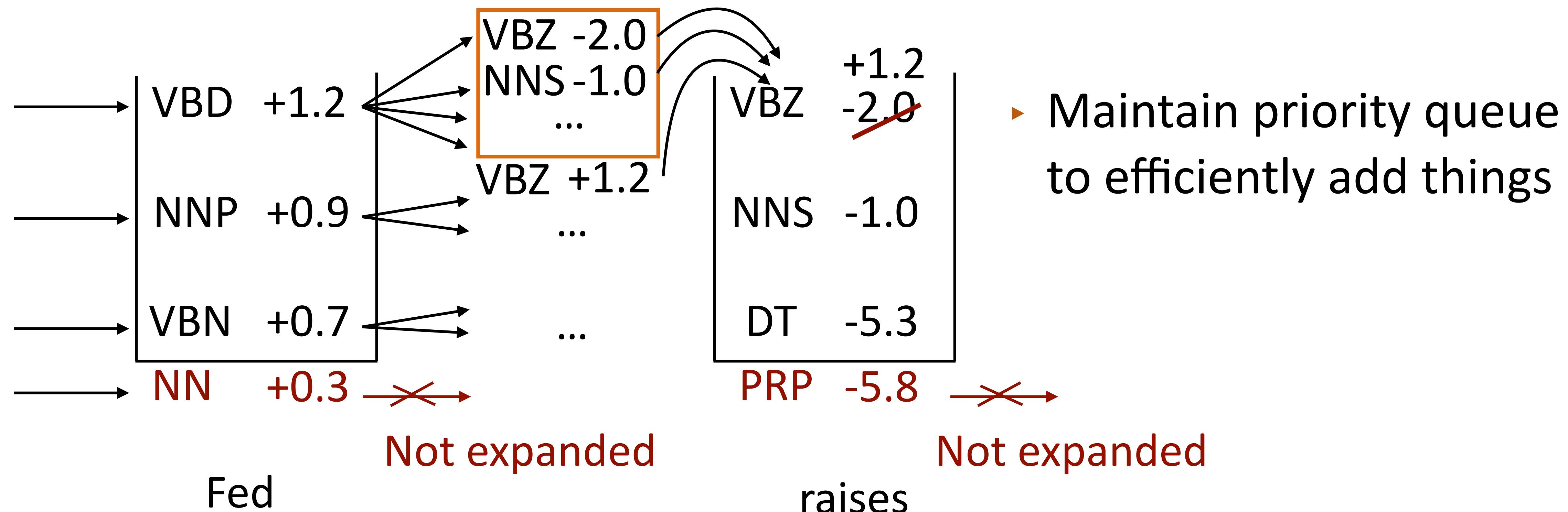
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- ▶ Beam size of k , time complexity $O(nks \log(ks))$

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- ▶ If beam search is much faster than computing full sums, can use structured perceptron instead of CRFs
- ▶ Very similar to structured SVM