## Lecture 8: RNNs

## Alan Ritter

(many slides from Greg Durrett)

Recall: Training Tips

## Recall: Training Tips

- Parameter initialization is critical to get good gradients, some useful heuristics (e.g., Xavier initializer)


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- Parameter initialization is critical to get good gradients, some useful heuristics (e.g., Xavier initializer)
- Dropout is an effective regularizer


## Recall: Training Tips

- Parameter initialization is critical to get good gradients, some useful heuristics (e.g., Xavier initializer)
- Dropout is an effective regularizer
- Think about your optimizer: Adam or tuned SGD work well



## Recall: Word Vectors

- the bresidents said that the downturn was over


[Finch and Chater 92, Shuetze 93, many others]


## Recall: Word Vectors

- the bresident said that the downturn was over *

| president | the __of |
| :---: | :---: |
| president | the __ said $\downarrow$ |
| governor | the __ of |
| governor | the __ appointed |
| said | sources __ * |
| said | president __ that |
| reported | sources __ * |


[Finch and Chater 92, Shuetze 93, many others]


## Recall: Continuous Bag-of-Words

- Predict word from context
the dog bit the man
Mikolov et al. (2013)


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Analogies


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- Why would this be?



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## Analogies

$$
\begin{aligned}
& (\text { king }- \text { man })+\text { woman }=\text { queen } \\
& \text { king }+(\text { woman }- \text { man })=\text { queen }
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$$

- Why would this be?
- woman - man captures the difference in the contexts that these occur in



## Analogies

(king - man) + woman $=$ queen
king + (woman - man) = queen

- Why would this be?
- woman - man captures the difference in the contexts that these occur in
- Dominant change: more "he" with man and "she" with woman - similar to difference between king and queen



## Analogies

| Method | Google <br> Add / Mul | MSR <br> Add Mul |
| :---: | :---: | :---: |
|  | $.553 / .679$ | $.306 / .535$ |
| SVD | $.554 / .591$ | $.408 / .468$ |
| SGNS | $.676 / .688$ | $.618 / .645$ |
| GloVe | $.569 / .596$ | $.533 / .580$ |

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- These methods can perform well on analogies on two different datasets using two different methods

Maximizing for $b$ : Add $=\underset{\text { king man woman }}{\cos \left(b, a_{2}-a_{1}+b_{1}\right)} \quad$ Mul $=\frac{\cos \left(b_{2}, a_{2}\right) \cos \left(b_{2}, b_{1}\right)}{\cos \left(b_{2}, a_{1}\right)+\epsilon}$
Levy et al. (2015)

## Using Word Embeddings

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- Approach 1: learn embeddings directly from data in your neural model, no pretraining
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- Faster because no need to update these parameters
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## Using Word Embeddings

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- Often works pretty well
- Approach 2: pretrain using GloVe, keep fixed
- Faster because no need to update these parameters
- Need to make sure GloVe vocabulary contains all the words you need
- Approach 3: initialize using GloVe, fine-tune
- Not as commonly used anymore


## This Lecture

- Recurrent neural networks
- Vanishing gradient problem
- LSTMs / GRUs
- Applications / visualizations

RNN Basics

## RNN Motivation

- Feedforward NNs can't handle variable length input: each position in the feature vector has fixed semantics
the movie was great


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1) Process each word in a uniform way

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- These don't look related (great is in two different orthogonal subspaces)
- Instead, we need to:

1) Process each word in a uniform way
2) ...while still exploiting the context that that token occurs in

## RNN Abstraction

- Cell that takes some input $\mathbf{x}$, has some hidden state $\mathbf{h}$, and updates that hidden state and produces output $\mathbf{y}$ (all vector-valued)



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## Elman Networks

output $\mathbf{y}_{\mathrm{t}}$


Elman (1990)

## Elman Networks

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$$
\mathbf{h}_{t}=\tanh \left(W \mathbf{x}_{t}+V \mathbf{h}_{t-1}+\mathbf{b}_{h}\right)
$$

- Updates hidden state based on input and current hidden state


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- Computes output from hidden state


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- Computes output from hidden state
- Long history! (invented in the late 1980s)

Elman (1990)

## Training Elman Networks


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- RNN potentially needs to learn how to "remember" information for a long time!
it was my favorite movie of 2016, though it wasn't without problems -> +


## Training Elman Networks



- "Backpropagation through time": build the network as one big computation graph, some parameters are shared
- RNN potentially needs to learn how to "remember" information for a long time!
it was my favorite movie of 2016, though it wasn't without problems -> +
- "Correct" parameter update is to do a better job of remembering the sentiment of favorite


## Vanishing Gradient


http://colah.github.io/posts/2015-08-Understanding-LSTMs/

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## LSTMs/GRUs

## Gated Connections

- Designed to fix "vanishing gradient" problem using gates

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\mathbf{h}_{t}=\mathbf{h}_{t-1} \odot \mathbf{f}+\operatorname{func}\left(\mathbf{x}_{t}\right) \quad \mathbf{h}_{t}=\tanh \left(W \mathbf{x}_{t}+V \mathbf{h}_{t-1}+\mathbf{b}_{h}\right)
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gated

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gated

- Vector-valued "forget gate" f computed based on input and previous hidden state

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\mathbf{f}=\sigma\left(W^{x f} \mathbf{x}_{t}+W^{h f} \mathbf{h}_{t-1}\right)
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- Sigmoid: elements of $\mathbf{f}$ are in ( 0,1 )


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- Sigmoid: elements of $\mathbf{f}$ are in (0, 1)
- If $f \approx 1$, we simply sum up a function of all inputs - gradient doesn't vanish!

$\mathbf{h}_{t-1} \mathbf{f} \quad \mathbf{h}_{t}$


## LSTMs

- "Cell" $\mathbf{c}$ in addition to hidden state $\mathbf{h}$

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\mathbf{c}_{t}=\mathbf{c}_{t-1} \odot \mathbf{f}+\operatorname{func}\left(\mathbf{x}_{t}, \mathbf{h}_{t-1}\right)
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- Basic communication flow: x -> c -> h -> output, each step of this process is gated in addition to gates from previous timesteps


## LSTMs



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## LSTMs



$$
\begin{aligned}
& \mathbf{c}_{\mathbf{j}}=\mathbf{c}_{\mathbf{j}-\mathbf{1}} \odot \mathbf{f}+\mathbf{g} \odot \mathbf{i} \\
& \mathbf{f}=\sigma\left(\mathbf{x}_{\mathbf{j}} \mathbf{W}^{\mathbf{x f}}+\mathbf{h}_{\mathbf{j}-\mathbf{1}} \mathbf{W}^{\mathbf{h} \mathbf{f}}\right) \\
& \mathbf{g}=\tanh \left(\mathbf{x}_{\mathbf{j}} \mathbf{W}^{\mathbf{x g}}+\mathbf{h}_{\mathbf{j}-\mathbf{1}} \mathbf{W}^{\mathbf{h g}}\right) \\
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\end{aligned}
$$

$$
\mathbf{h}_{\mathbf{j}}=\tanh \left(\mathbf{c}_{\mathbf{j}}\right) \odot \mathbf{o}
$$

$$
\mathbf{o}=\sigma\left(\mathbf{x}_{\mathbf{j}} \mathbf{W}^{\mathbf{x o}}+\mathbf{h}_{\mathbf{j}-\mathbf{1}} \mathbf{W}^{\mathbf{h o}}\right)
$$

- $\mathbf{f}, \mathbf{i}, \mathbf{o}$ are gates that control information flow
- $\mathbf{g}$ reflects the main computation of the cell


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- Can we ignore the old value of c for this timestep?


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- Can we ignore the old value of $\mathbf{c}$ for this timestep?
- Can an LSTM sum up its inputs $\mathbf{x}$ ?
- Can we ignore a particular input x?
- Can we output something without changing c?


## LSTMs



- Ignoring recurrent state entirely:
- Lets us get feedforward layer over token


## LSTMs



- Ignoring recurrent state entirely:
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- Ignoring input:
- Lets us discard stopwords


## LSTMs



- Ignoring recurrent state entirely:
- Lets us get feedforward layer over token
- Ignoring input:
- Lets us discard stopwords
- Summing inputs:
- Lets us compute a bag-of-words representation


## LSTMs



## LSTMs



- Gradient still diminishes, but in a controlled way and generally by less usually initialize forget gate $=1$ to remember everything to start


## GRUs



- LSTM: more complex and slower, may work a bit better


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- GRU: faster, a bit simpler


## GRUs



- LSTM: more complex and slower, may work a bit better

- GRU: faster, a bit simpler
- Two gates: $\mathbf{z}$ (forget, mixes $\mathbf{s}$ and h) and $\mathbf{r}$ (mixes $\mathbf{h}$ and $\mathbf{x}$ )


## What do RNNs produce?



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- Encoding of the sentence - can pass this a decoder or make a classification decision about the sentence
- Encoding of each word - can pass this to another layer to make a prediction (can also pool these to get a different sentence encoding)
- RNN can be viewed as a transformation of a sequence of vectors into a sequence of context-dependent vectors


## Multilayer Bidirectional RNN



Multilayer Bidirectional RNN


Multilayer Bidirectional RNN


## Multilayer Bidirectional RNN



- Sentence classification based on concatenation of both final outputs



## Multilayer Bidirectional RNN



- Sentence classification based on concatenation of both final outputs
- Token classification based on concatenation of both directions' token representations


## Training RNNs



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- Loss = negative log likelihood of probability of gold label (or use SVM or other loss)


## Training RNNs



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- Backpropagate through entire network


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- Example: sentiment analysis


## Training RNNs



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- Loss = negative log likelihood of probability of gold predictions, summed over the tags


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- Loss = negative log likelihood of probability of gold predictions, summed over the tags
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- Loss terms filter back through network
- Example: language modeling (predict next word given context)


## Applications

What can LSTMs model?

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- Sentiment
- Encode one sentence, predict
- Language models
- Move left-to-right, per-token prediction


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## What can LSTMs model?

- Sentiment
- Encode one sentence, predict
- Language models
- Move left-to-right, per-token prediction
- Translation
- Encode sentence + then decode, use token predictions for attention weights (later in the course)


## Visualizing LSTMs

Karpathy et al. (2015)

## Visualizing LSTMs

- Train character LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code


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- Visualize activations of specific cells (components of c) to understand them
- Counter: know when to generate \n


Karpathy et al. (2015)

## Visualizing LSTMs

- Train character LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code
- Visualize activations of specific cells to see what they track


Kutuzov, shrugging his shoulders, replied with his subtle penetrating smile: "I meant merely to say what I said."

## Visualizing LSTMs

- Train character LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code
- Visualize activations of specific cells to see what they track
- Binary switch: tells us if we're in a quote or not



Karpathy et al. (2015)

## Visualizing LSTMs

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- Visualize activations of specific cells to see what they track

```
#ifdef CONFIG_AUDITSYSCALL
static inline int audit_match_class_bits(int class, u32 *mask)
int i; (classes[class]) {
    for (i = 0; i < AUDIT_BITMASK_SIZE; i++)
    if (mask[í] & classes [class][i])
}
return 1;
}
```


## Visualizing LSTMs

- Train character LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code
- Visualize activations of specific cells to see what they track
- Stack: activation based on indentation

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## Visualizing LSTMs

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- Visualize activations of specific cells to see what they track

Karpathy et al. (2015)

## Visualizing LSTMs

- Train character LSTM language model (predict next character based on history) over two datasets: War and Peace and Linux kernel source code
- Visualize activations of specific cells to see what they track
- Uninterpretable: probably doing double-duty, or only makes sense in the context of another activation

Karpathy et al. (2015)

## What can LSTMs model?

- Sentiment
- Encode one sentence, predict
- Language models
- Move left-to-right, per-token prediction
- Translation
- Encode sentence + then decode, use token predictions for attention weights (next lecture)


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- Textual entailment


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- Textual entailment
- Encode two sentences, predict


## Natural Language Inference

## Premise

Hypothesis

A boy plays in the snow
A boy is outside

## Natural Language Inference

Premise

A boy plays in the snow
entails
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A boy plays in the snow
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The man is sleeping

## Natural Language Inference

## Premise

A boy plays in the snow

A man inspects the uniform of a figure contradicts

Hypothesis
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## Natural Language Inference

## Premise

A boy plays in the snow

A man inspects the uniform of a figure contradicts

An older and younger man smiling

Hypothesis
entails
A boy is outside

The man is sleeping
Two men are smiling and laughing at cats playing

## Natural Language Inference

## Premise

A boy plays in the snow

A man inspects the uniform of a figure contradicts

An older and younger man smiling
entails
neutral

Hypothesis

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## Natural Language Inference

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- Long history of this task: "Recognizing Textual Entailment" challenge in 2006 (Dagan, Glickman, Magnini)


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- Long history of this task: "Recognizing Textual Entailment" challenge in 2006 (Dagan, Glickman, Magnini)
- Early datasets: small (hundreds of pairs), very ambitious (lots of world knowledge, temporal reasoning, etc.)


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- Show people captions for (unseen) images and solicit entailed / neural / contradictory statements
- >500,000 sentence pairs


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- Later: better models for this


## Takeaways

- RNNs can transduce inputs (produce one output for each input) or compress the whole input into a vector
- Useful for a range of tasks with sequential input: sentiment analysis, language modeling, natural language inference, machine translation
- Next time: CNNs and neural CRFs

