# Lecture 7: Tricks + Word Embeddings 

## Alan Ritter

(many slides from Greg Durrett)

## Recall: Feedforward NNs

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P(\mathbf{y} \mid \mathbf{x})=\operatorname{softmax}(W g(V f(\mathbf{x})))
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(tanh, relu, ...)

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& \\
& n \text { features } \\
& d \times l
\end{aligned}
$$

## Recall: Backpropagation

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## This Lecture

- Training
- Word representations
- word2vec/GloVe
- Evaluating word embeddings


## Training Tips

## Training Basics

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- Basic formula: compute gradients on batch, use first-order opt. method


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- How to initialize? How to regularize? What optimizer to use?



## Training Basics

- Basic formula: compute gradients on batch, use first-order opt. method
- How to initialize? How to regularize? What optimizer to use?
- This lecture: some practical tricks. Take deep learning or optimization courses to understand this further


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## How does initialization affect learning?

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- How do we initialize V and W ? What consequences does this have?
- Nonconvex problem, so initialization matters!

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- Nonlinear model...how does this affect things?


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- Nonlinear model...how does this affect things?

- If cell activations are too large in absolute value, gradients are small
- ReLU: larger dynamic range (all positive numbers), but can produce big values, can break down if everything is too negative

Initialization

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- Want variance of inputs and gradients for each layer to be the same
- Batch normalization (loffe and Szegedy, 2015): periodically shift+rescale each layer to have mean 0 and variance 1 over a batch (useful if net is deep)


## Dropout

- Probabilistically zero out parts of the network during training to prevent overfitting, use whole network at test time

(a) Standard Neural Net

(b) After applying dropout.


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(a) Standard Neural Net

(b) After applying dropout.
- One line in Pytorch/Tensorflow


## Optimizer

- Adam (Kingma and Ba, ICLR 2015) is very widely used
- Adaptive step size like Adagrad, incorporates momentum


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- Wilson et al. NIPS 2017: adaptive methods can actually perform badly at test time (Adam is in pink, SGD in black)

(e) Generative Parsing (Training Set)

(f) Generative Parsing (Development Set)


## Optimizer

- Wilson et al. NIPS 2017: adaptive methods can actually perform badly at test time (Adam is in pink, SGD in black)
- Check dev set periodically, decrease learning rate if not making progress

(e) Generative Parsing (Training Set)

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## Elements of Machine Learning

- Four elements of a machine learning method:


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- Inference: define the network, your library of choice takes care of it (mostly...)



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- Training: lots of choices for optimization/hyperparameters

Word Representations

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- Neural networks work very well at continuous data, but words are discrete
- Continuous model <-> expects continuous semantics from input
- "You shall know a word by the company it keeps" Firth (1957)



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## Word Embeddings

- Part-of-speech tagging with FFNNs
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Fed raises interest rates in order to ...
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- Part-of-speech tagging with FFNNs
??
Fed raises interest rates in order to ...
- Word embeddings for each word form input
- What properties should these vectors have?

other words, feats, etc.

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- Want a vector space where similar words have similar embeddings



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the movie was great
$\approx$
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## Word Embeddings

- Want a vector space where similar words have similar embeddings
the movie was great
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the movie was good
- Goal: come up with a way to produce these embeddings
word2vec/GloVe


## Continuous Bag-of-Words

- Predict word from context
the dog bit the:man

Mikolov et al. (2013)

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$$
\begin{aligned}
& \text { gold label = bit, } \\
& \text { no manual labeling } \\
& \text { required! }
\end{aligned}
$$

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- Parameters: $d \mathrm{x}|\mathrm{V}|$ (one $d$-length vector per voc word), $|\mathrm{V}| \times d$ output parameters (W)


## Skip-Gram

- Predict one word of context from word
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P\left(w^{\prime} \mid w\right)=\operatorname{softmax}(W e(w))
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- Another training example: bit -> the


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- Another training example: bit -> the
- Parameters: $d \mathrm{x}|\mathrm{V}|$ vectors, $|\mathrm{V}| \mathrm{x} d$ output parameters (W) (also usable as vectors!)


## Hierarchical Softmax

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P\left(w \mid w_{-1}, w_{+1}\right)=\operatorname{softmax}\left(W\left(c\left(w_{-1}\right)+c\left(w_{+1}\right)\right)\right) \quad P\left(w^{\prime} \mid w\right)=\operatorname{softmax}(W e(w))
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- Hierarchical softmax:
$\log (|\mathrm{V}|)$ dot products of size $d$,
$|\mathrm{V}| \times d$ parameters


## Skip-Gram with Negative Sampling

Mikolov et al. (2013)

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- Objective $=\log P(y=1 \mid w, c)-\frac{1}{k} \sum_{i=1}^{n} \log P\left(y=0 \mid w_{i}, c\right)$

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## Connections with Matrix Factorization

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## Connections with Matrix Factorization

- Skip-gram model looks at word-word co-occurrences and produces two types of vectors

- Looks almost like a matrix factorization...can we interpret it this way?


## Skip-Gram as Matrix Factorization



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## Skip-Gram as Matrix Factorization



Skip-gram objective exactly corresponds to factoring this matrix:

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## Skip-Gram as Matrix Factorization



Skip-gram objective exactly corresponds to factoring this matrix:

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- ...and it's a weighted factorization problem (weighted by word freq)


## GloVe (Global Vectors)

- Also operates on counts matrix, weighted regression on the log co-occurrence matrix



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- Loss $\left.=\sum_{i, j} f\left(\operatorname{count}\left(w_{i}, c_{j}\right)\right)\left(w_{i}^{\top} c_{j}+a_{i}+b_{j}-\log \operatorname{count}\left(w_{i}, c_{j}\right)\right)\right)^{2}$


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- Constant in the dataset size (just need counts), quadratic in voc size
- By far the most common (uncontextualized) word vectors used today (20,000+ citations)


## Preview: Context-dependent Embeddings

- How to handle different word senses? One vector for balls
they dance at balls they hit the balls


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balls
- Train a neural language model to predict the next word given previous words in the sentence, use its internal representations as word vectors
- Context-sensitive word embeddings: depend on rest of the sentence
- Huge improvements across nearly all NLP tasks over GloVe


## Evaluation

## Evaluating Word Embeddings

- What properties of language should word embeddings capture?



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- Similarity: similar words are close to each other



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good is to best as smart is to ???



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- Similarity: similar words are close to each other
- Analogy:
good is to best as smart is to ???
Paris is to France as Tokyo is to ???



## Similarity

| Method | WordSim <br> Similarity | WordSim <br> Relatedness | Bruni et al. <br> MEN | Radinsky et al. <br> M. Turk | Luong et al. <br> Rare Words | Hill et al. <br> SimLex |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| PPMI | .755 | $\mathbf{. 6 9 7}$ | .745 | .686 | .462 | .393 |
| SVD | $\mathbf{. 7 9 3}$ | .691 | $\mathbf{. 7 7 8}$ | .666 | $\mathbf{. 5 1 4}$ | .432 |
| SGNS | $\mathbf{. 7 9 3}$ | .685 | .774 | $\mathbf{. 6 9 3}$ | .470 | $\mathbf{4 3 8}$ |
| GloVe | .725 | .604 | .729 | .632 | .403 | .398 |

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- GloVe does not appear to be the best when experiments are carefully controlled, but it depends on hyperparameters + these distinctions don't matter in practice


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| Random | 52.0 | 30.8 | 24.5 | 55.2 | 23.2 |
| Word2Vec + C | 52.1 | $\mathbf{3 9 . 5}$ | 20.7 | $\mathbf{6 3 . 0}$ | 25.3 |
| GE + C | 53.9 | 36.0 | 21.6 | 58.2 | 26.1 |
| GE + KL | 52.0 | 39.4 | 23.7 | 54.4 | 25.9 |
| DIVE + C• $\Delta$ S | $\mathbf{5 7 . 2}$ | 36.6 | $\mathbf{3 2 . 0}$ | 60.9 | $\mathbf{3 2 . 7}$ |

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- word2vec (SGNS) works barely better than random guessing here

Analogies


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\begin{aligned}
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& \text { king }+(\text { woman }- \text { man })=\text { queen }
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$$

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(king - man) + woman $=$ queen
king + (woman - man) = queen

- Why would this be?
- woman - man captures the difference in the contexts that these occur in
- Dominant change: more "he" with man and "she" with woman - similar to difference between king and queen



## Analogies

| Method | Google <br> Add / Mul | MSR <br> Add Mul |
| :---: | :---: | :---: |
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Maximizing for $b$ : $\operatorname{Add}=\cos \left(b, a_{2}-a_{1}+b_{1}\right) \quad$ Mul $=\frac{\cos \left(b_{2}, a_{2}\right) \cos \left(b_{2}, b_{1}\right)}{\cos \left(b_{2}, a_{1}\right)+\epsilon}$
Levy et al. (2015)

Using Semantic Knowledge


Faruqui et al. (2015)

## Using Semantic Knowledge



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- Structure derived from a resource like WordNet
- Doesn't help most problems


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- Approach 2: initialize using GloVe/ELMo, keep fixed
- Faster because no need to update these parameters
- Approach 3: initialize using GloVe, fine-tune
- Works best for some tasks, but not used for ELMo

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- Will return to this in a few weeks

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- Word vectors: learning word -> context mappings has given way to matrix factorization approaches (constant in dataset size)
- Lots of pretrained embeddings work well in practice, they capture some desirable properties
- Even better: context-sensitive word embeddings (ELMo)
- Next time: RNNs and CNNs

