

# Lecture 5: Sequence Models II

Alan Ritter

(many slides from Greg Durrett, Dan Klein, Vivek Srikumar, Chris Manning, Yoav Artzi)

# Recall: HMMs

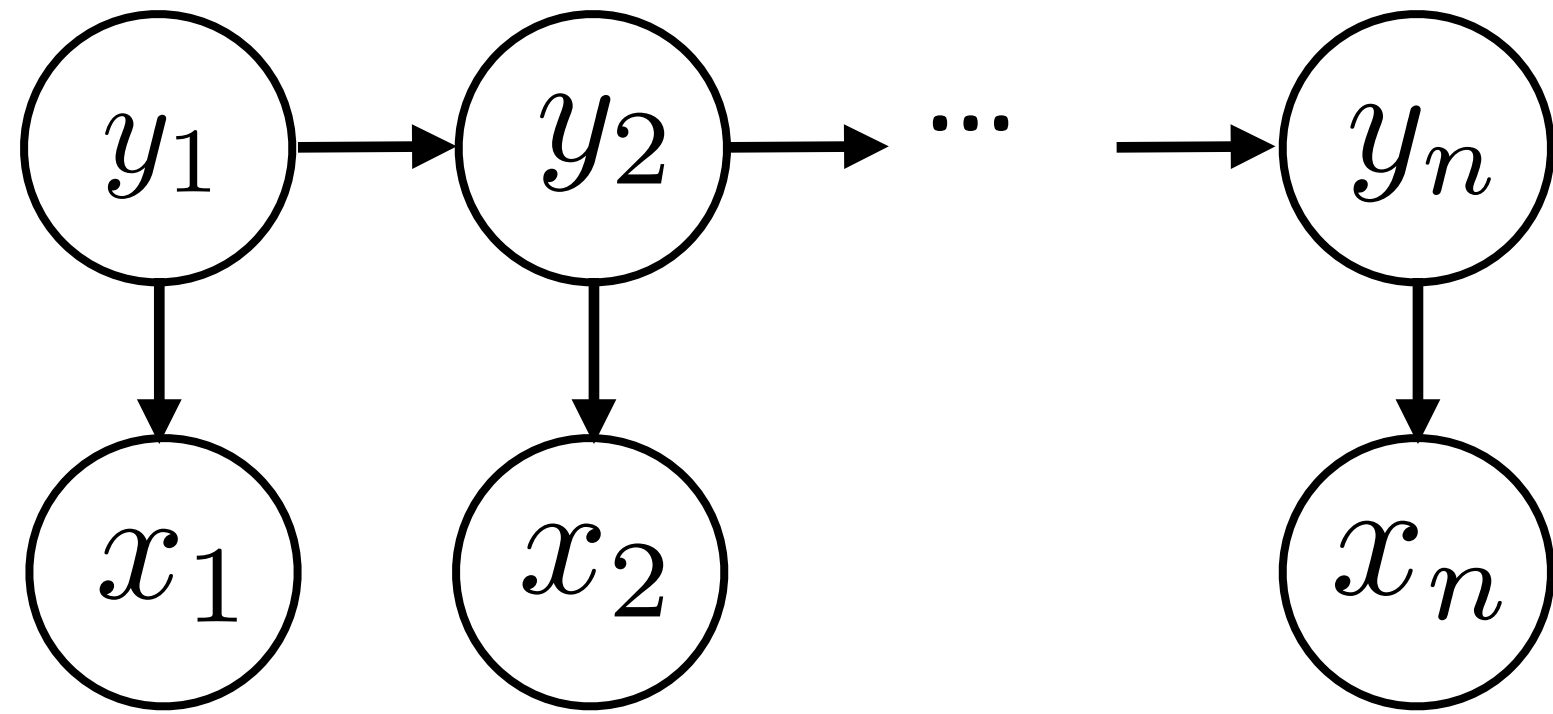
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# Recall: HMMs

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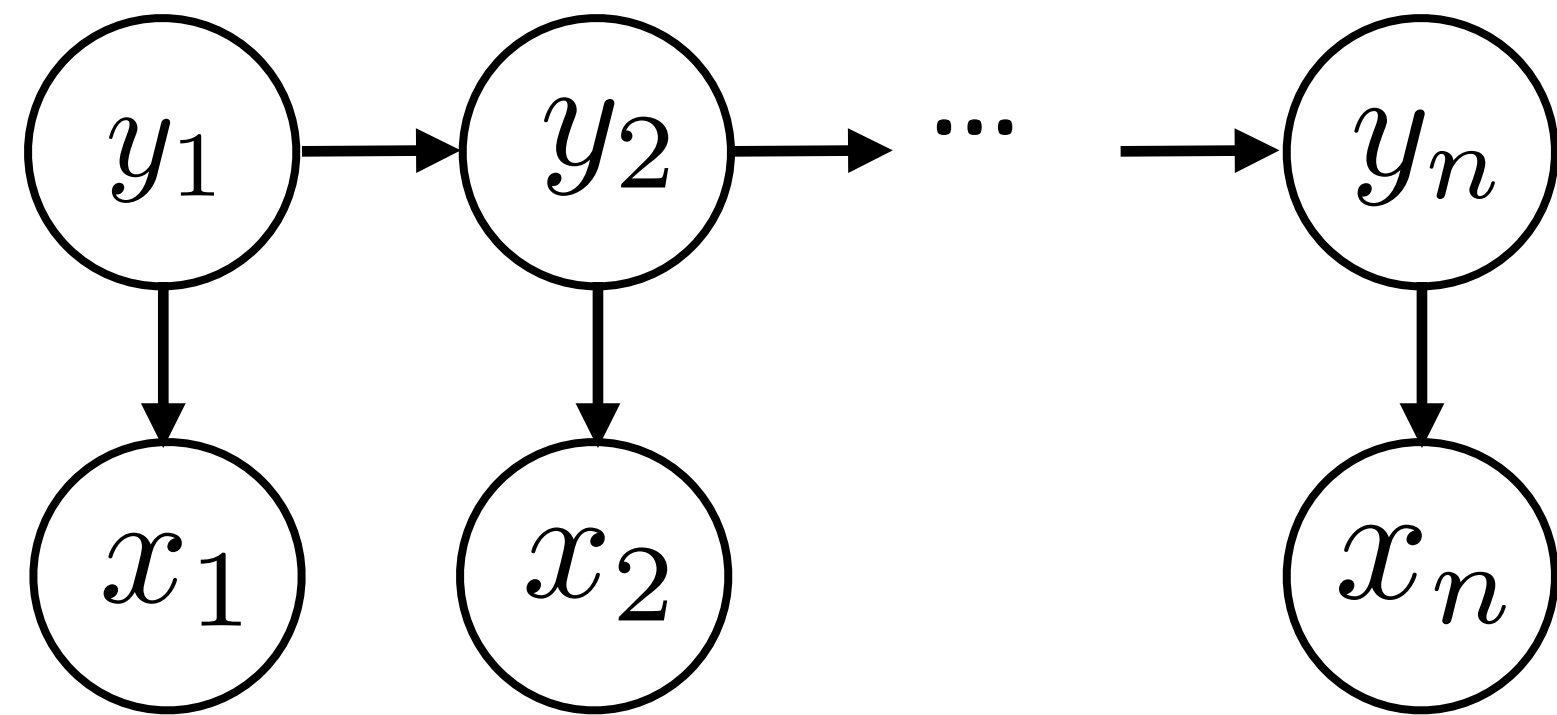
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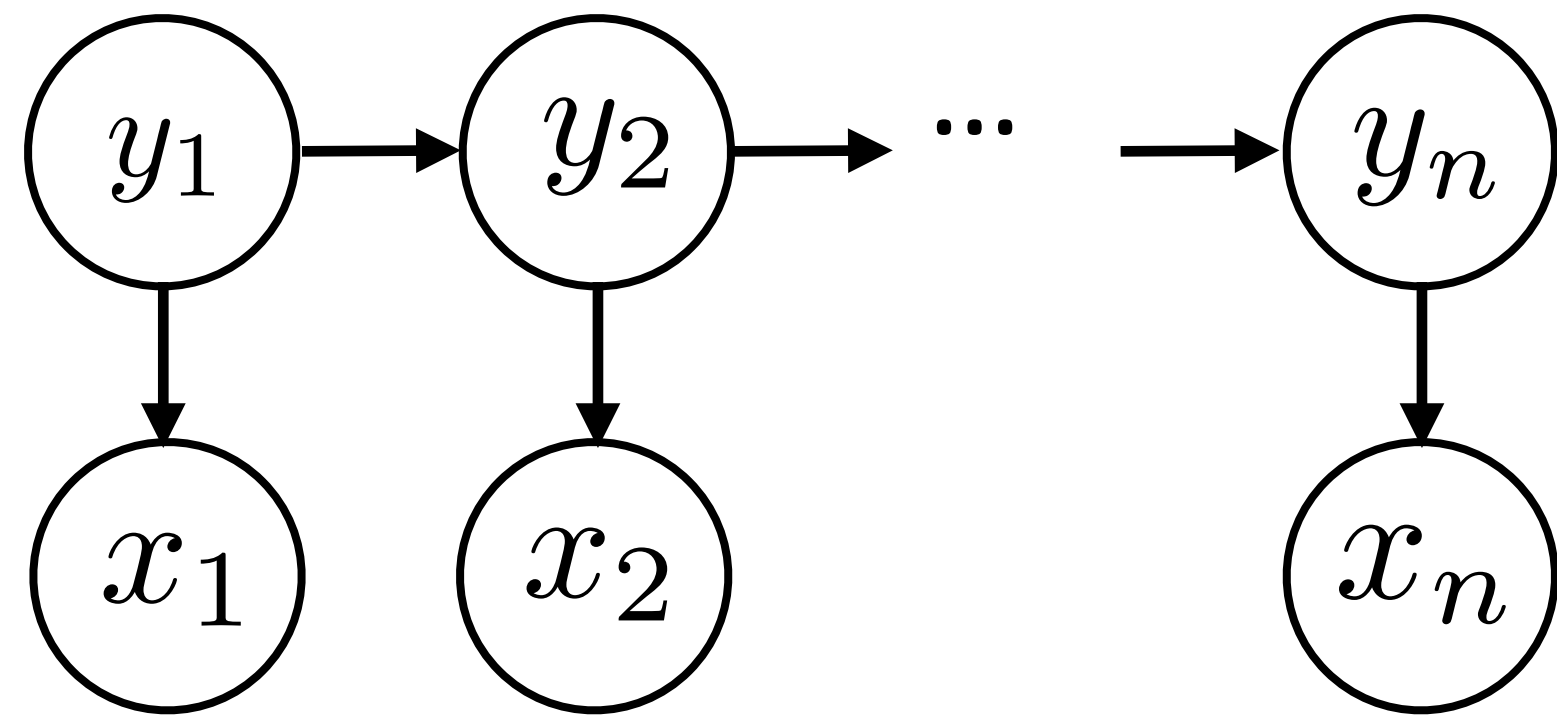


$$P(\mathbf{y}, \mathbf{x}) = P(y_1) \prod_{i=2}^n P(y_i | y_{i-1}) \prod_{i=1}^n P(x_i | y_i)$$

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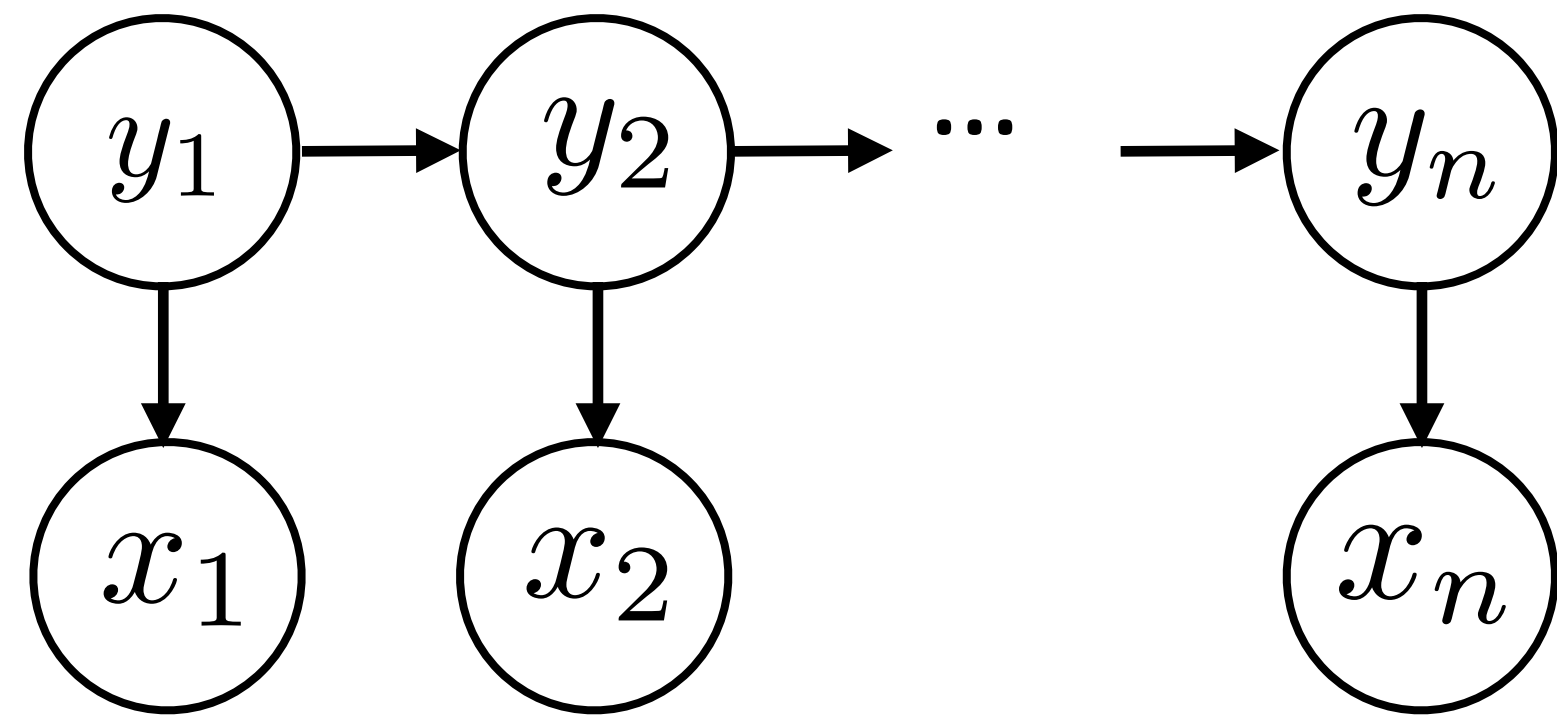


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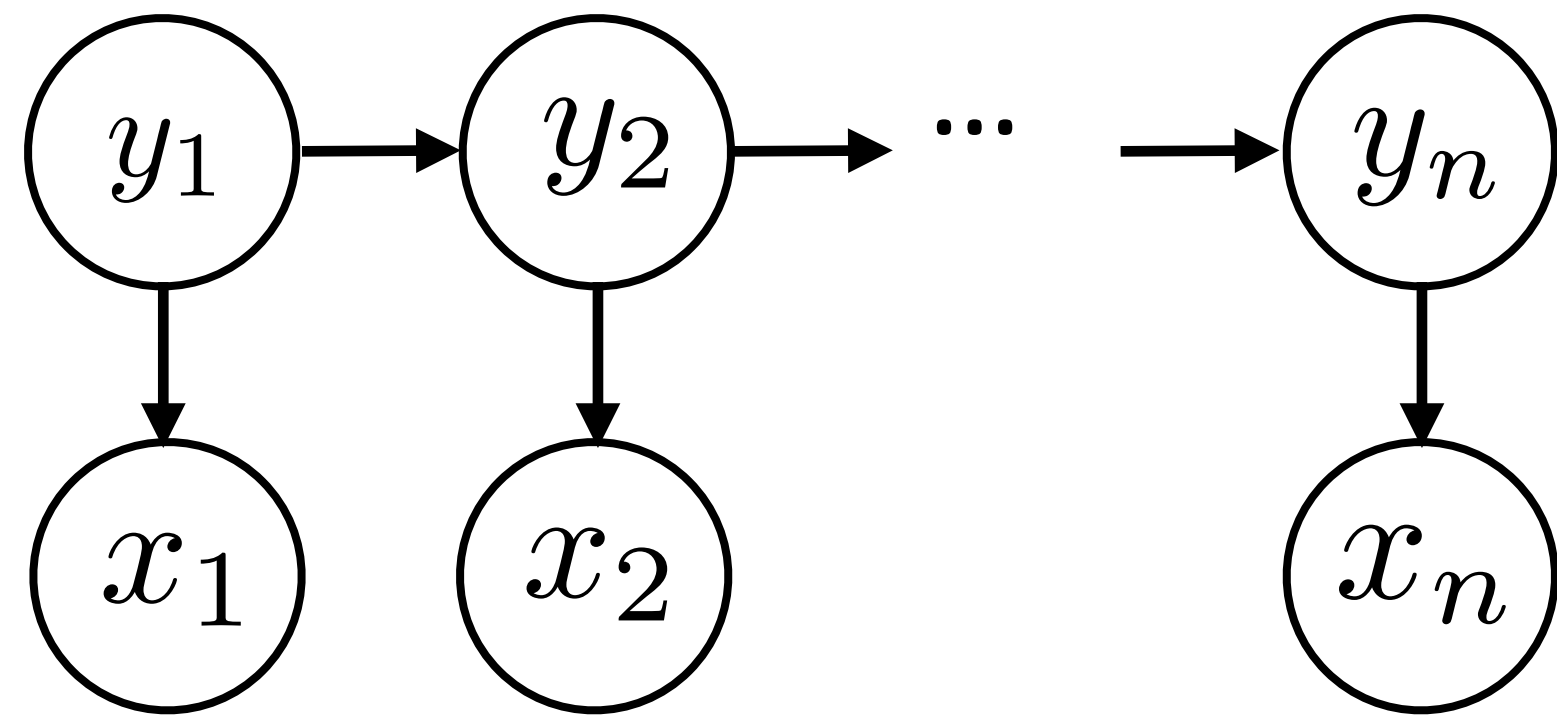


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- ▶ Viterbi:  $\operatorname{score}_i(s) = \max_{y_{i-1}} P(s | y_{i-1}) P(x_i | s) \operatorname{score}_{i-1}(y_{i-1})$

# This Lecture

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- ▶ CRFs: model (+features for NER), inference, learning
- ▶ Named entity recognition (NER)
- ▶ (if time) Beam search



# Named Entity Recognition

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*Barack Obama will travel to Hangzhou today for the G20 meeting .*

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- ▶ BIO tagset: begin, inside, outside
- ▶ Sequence of tags — should we use an HMM?
- ▶ Why might an HMM not do so well here?
  - ▶ Lots of O's, so tags aren't as informative about context
  - ▶ Insufficient features/capacity with multinomials (especially for unks)

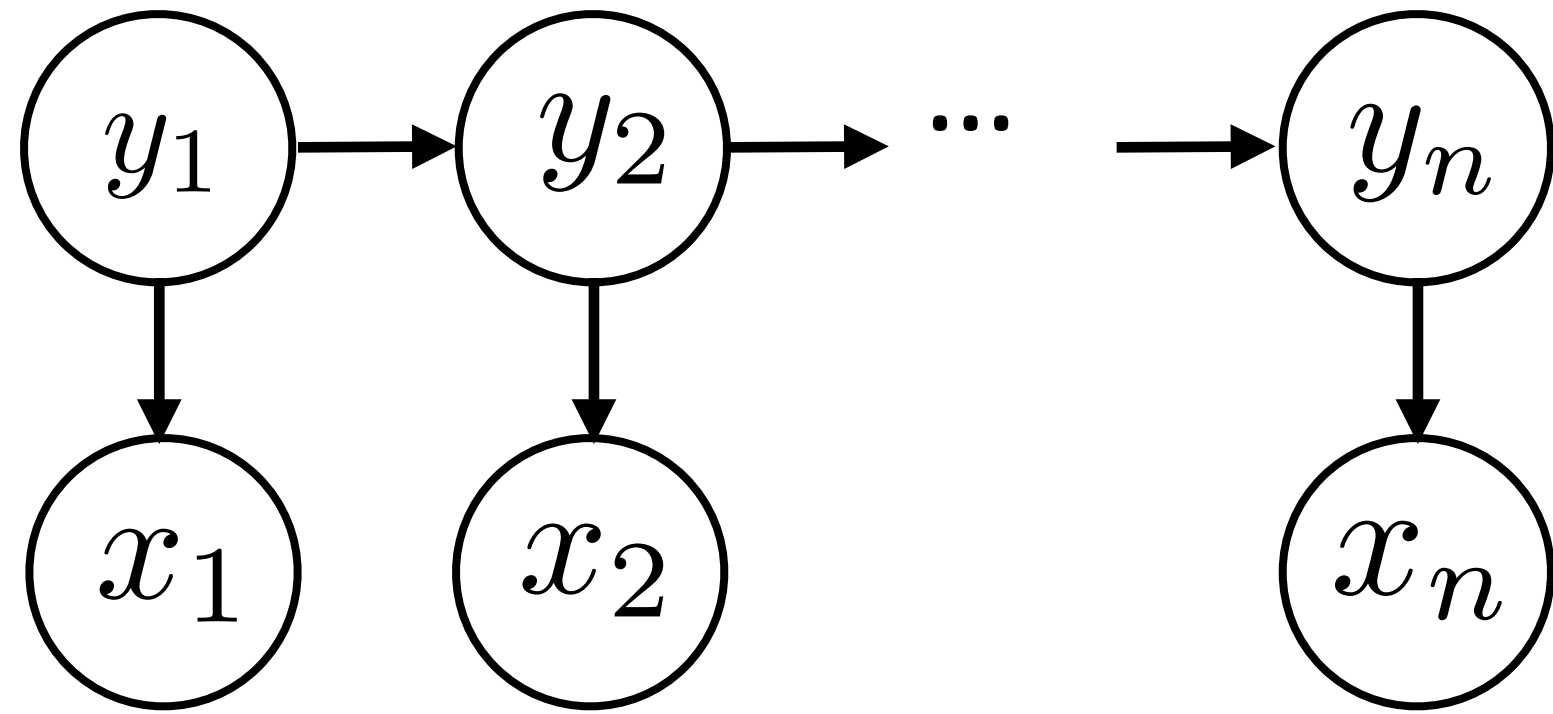
**CRFs**



# Conditional Random Fields

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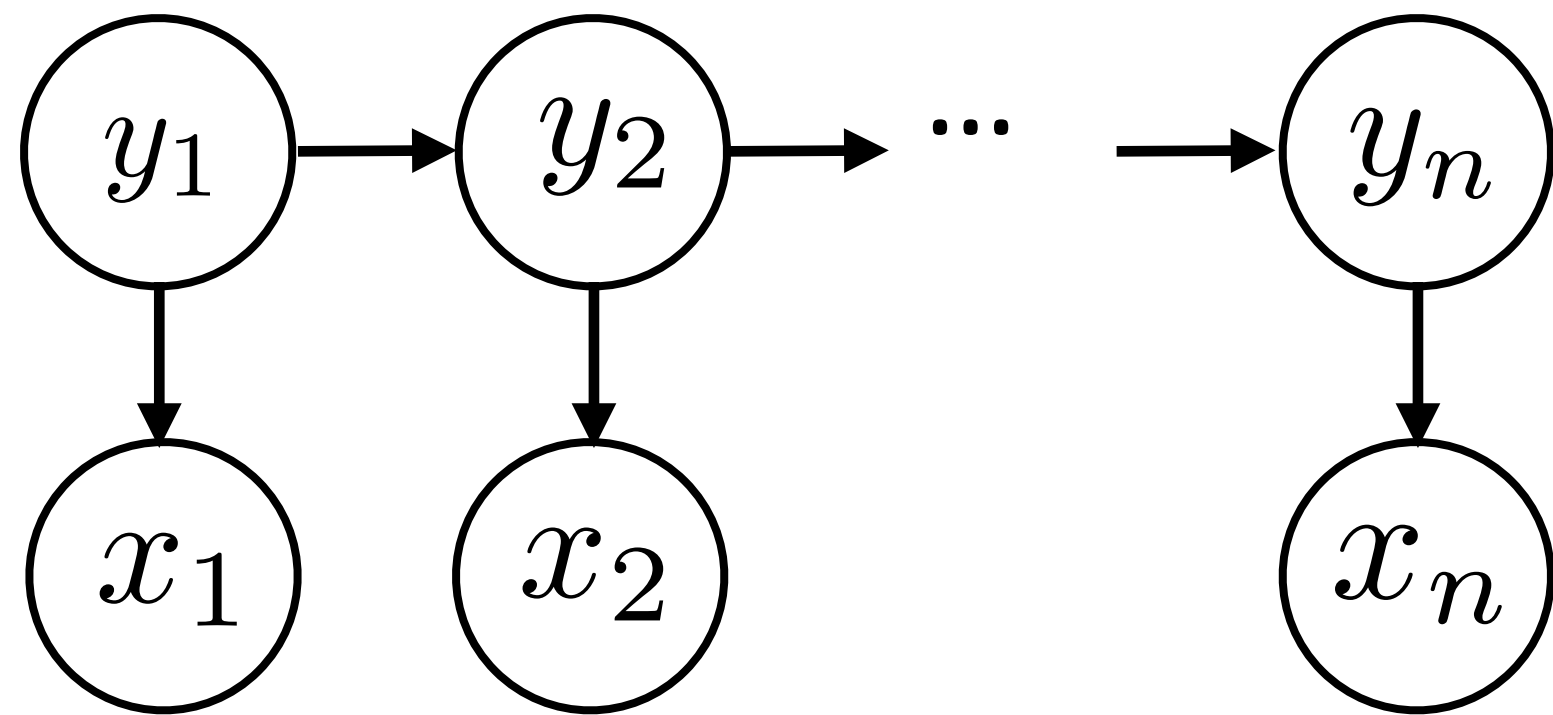
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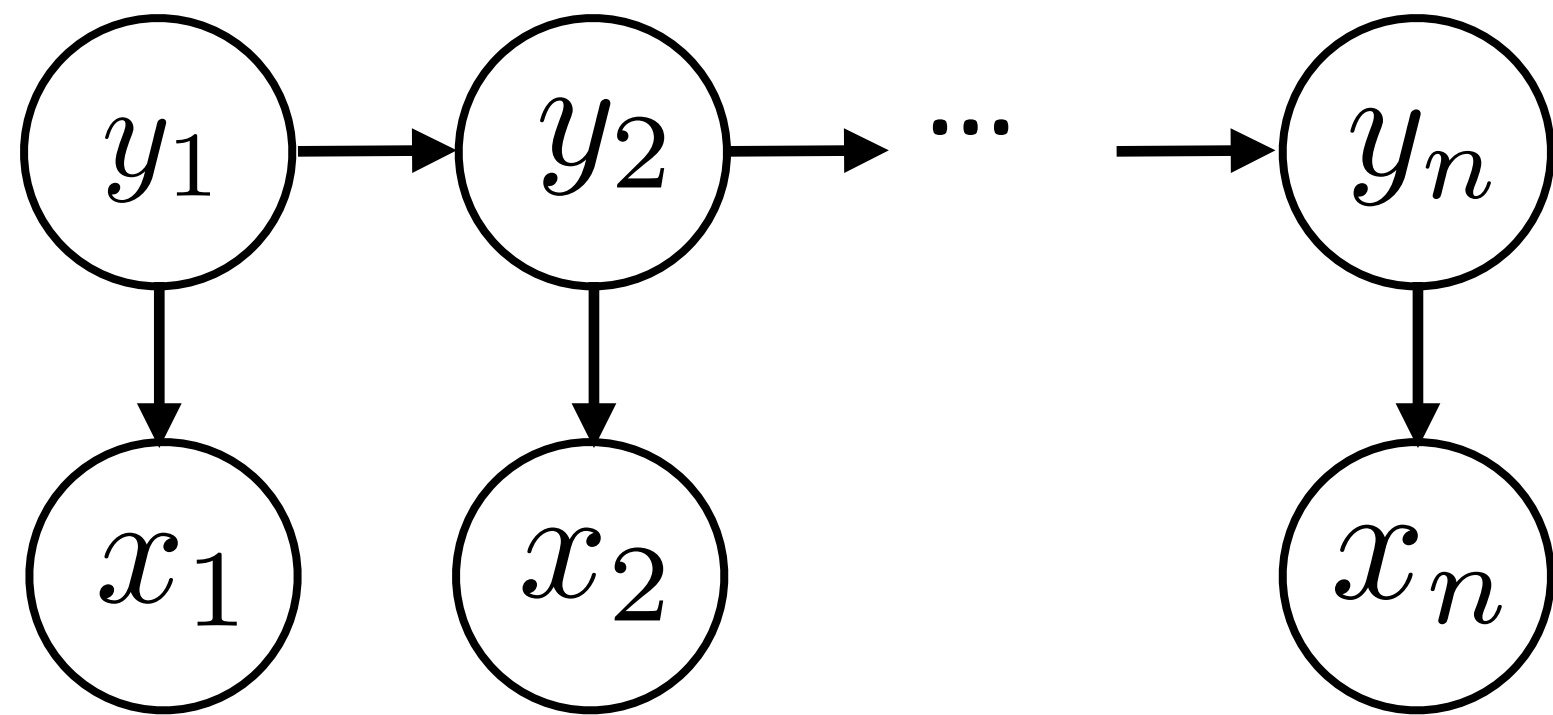
- ▶ This reflects the following decomposition:

$$P(\mathbf{y}, \mathbf{x}) = P(y_1)P(x_1|y_1)P(y_2|y_1)P(x_2|y_2) \dots$$

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- ▶ Locally normalized model: each factor is a probability distribution that normalizes

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local vs. global normalization  $\leftrightarrow$  generative vs. discriminative

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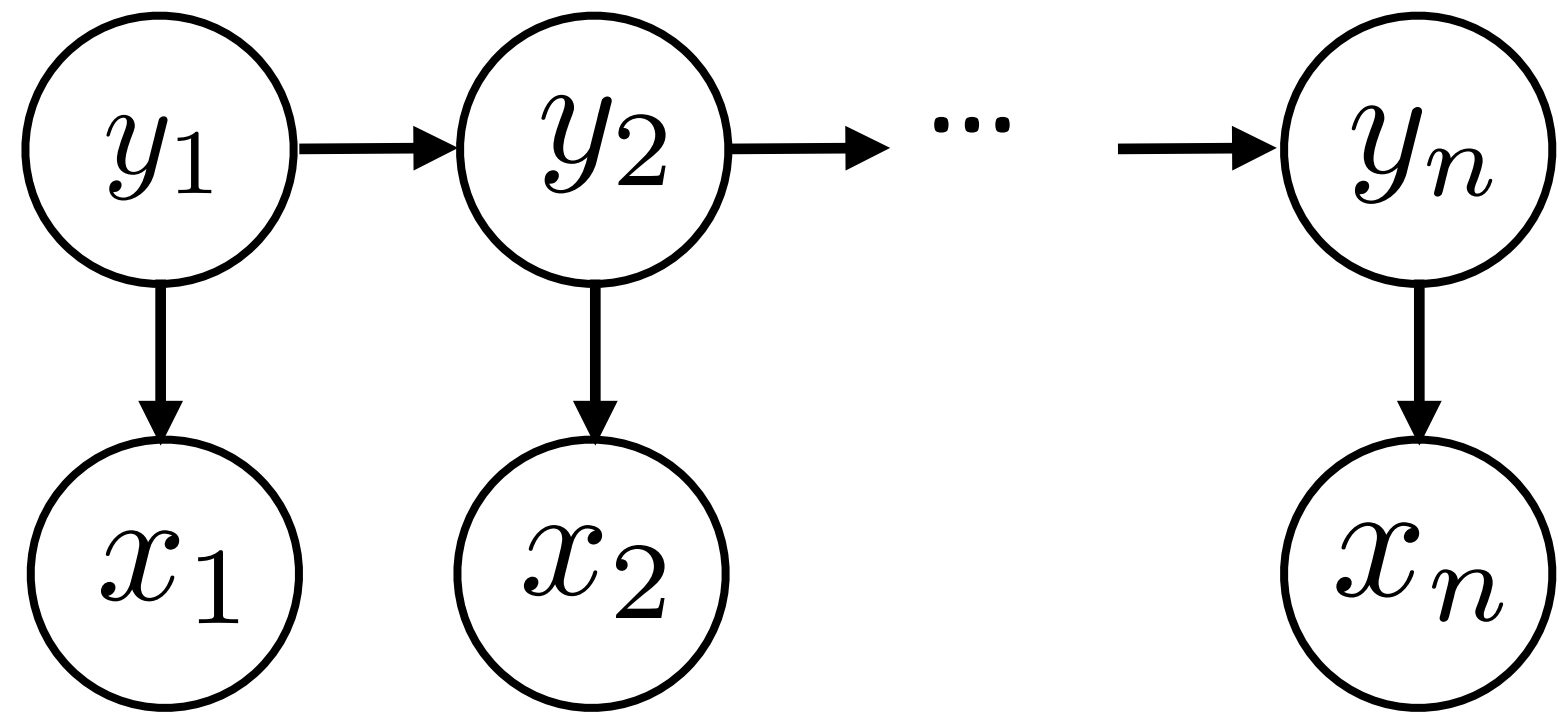
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- ▶ Naive Bayes : logistic regression :: HMMs : CRFs  
local vs. global normalization  $\leftrightarrow$  generative vs. discriminative
- ▶ Locally normalized discriminative models do exist (MEMMs)

# Sequential CRFs

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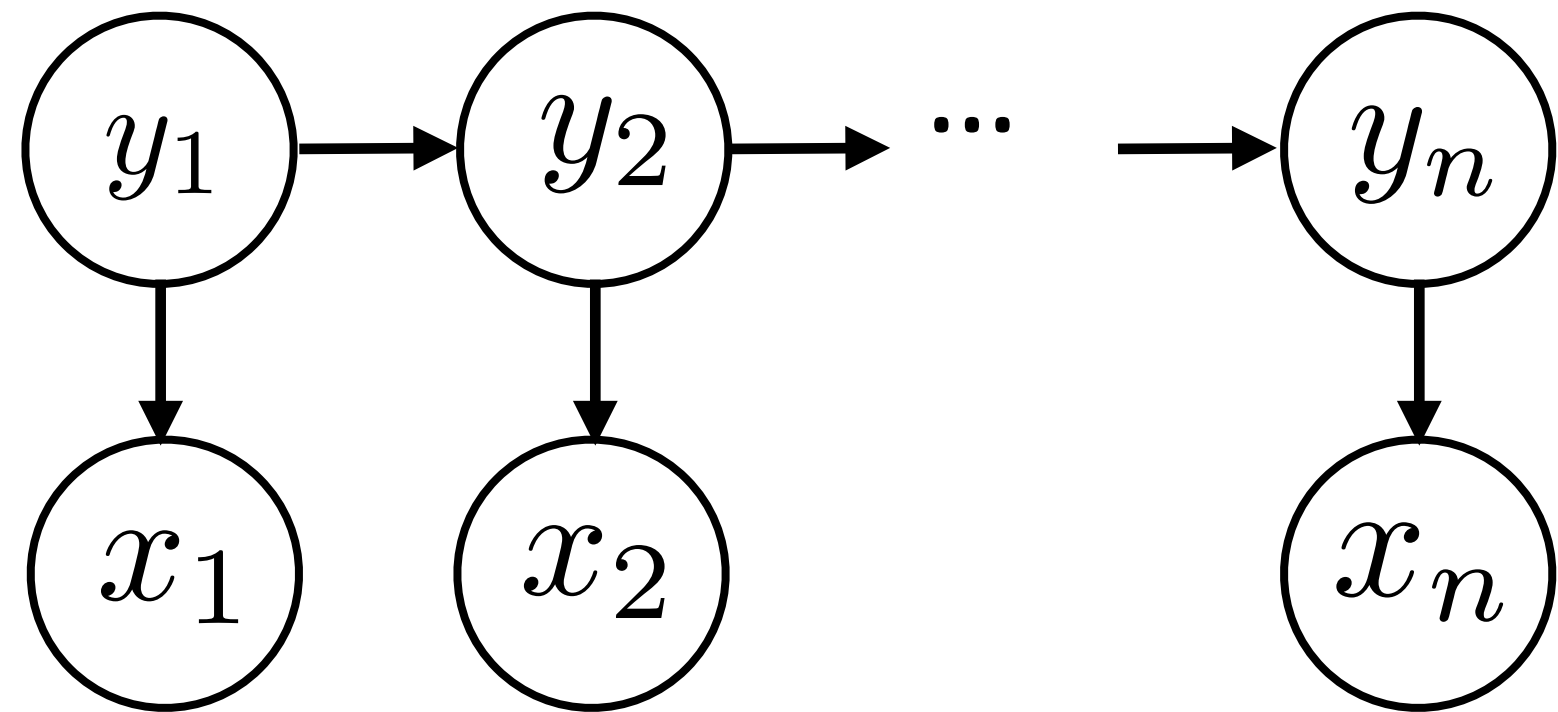


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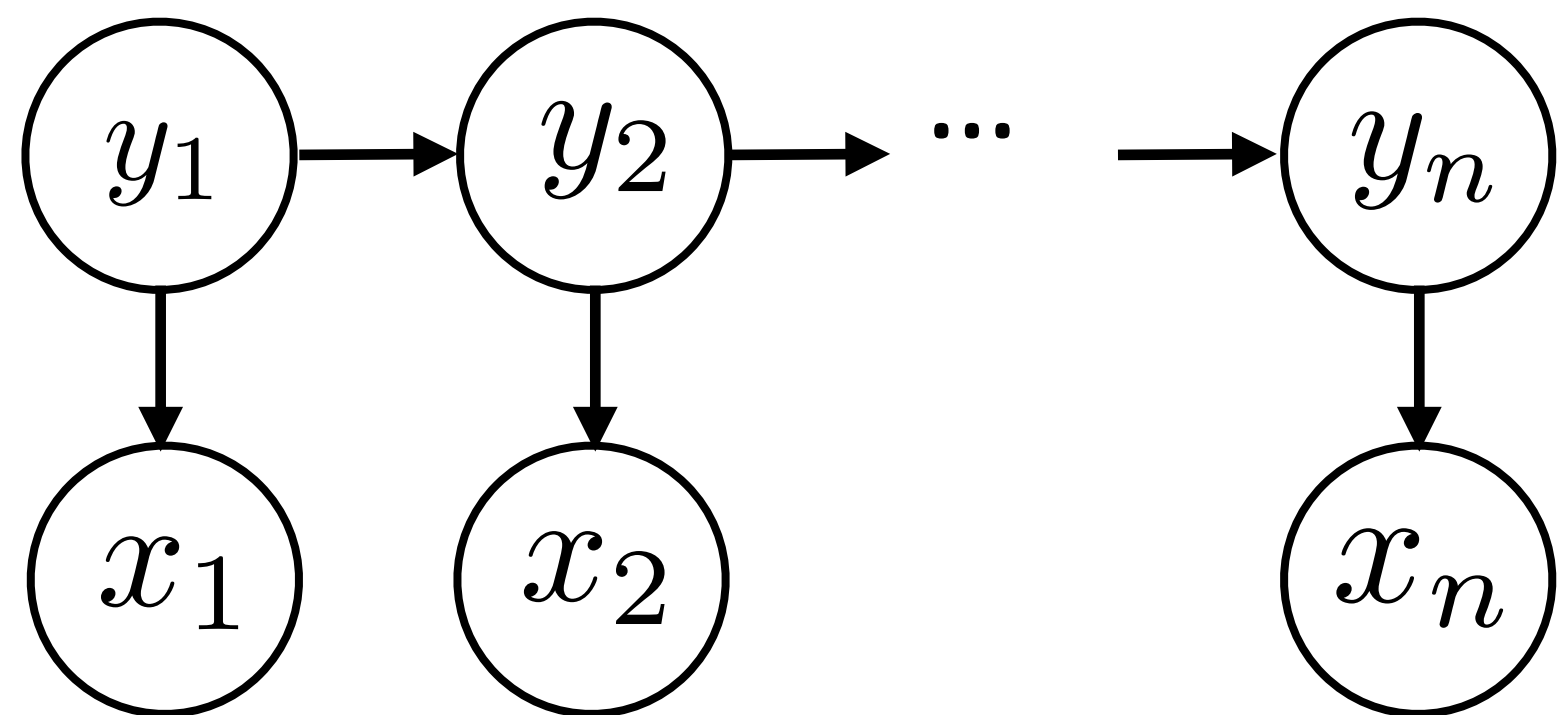
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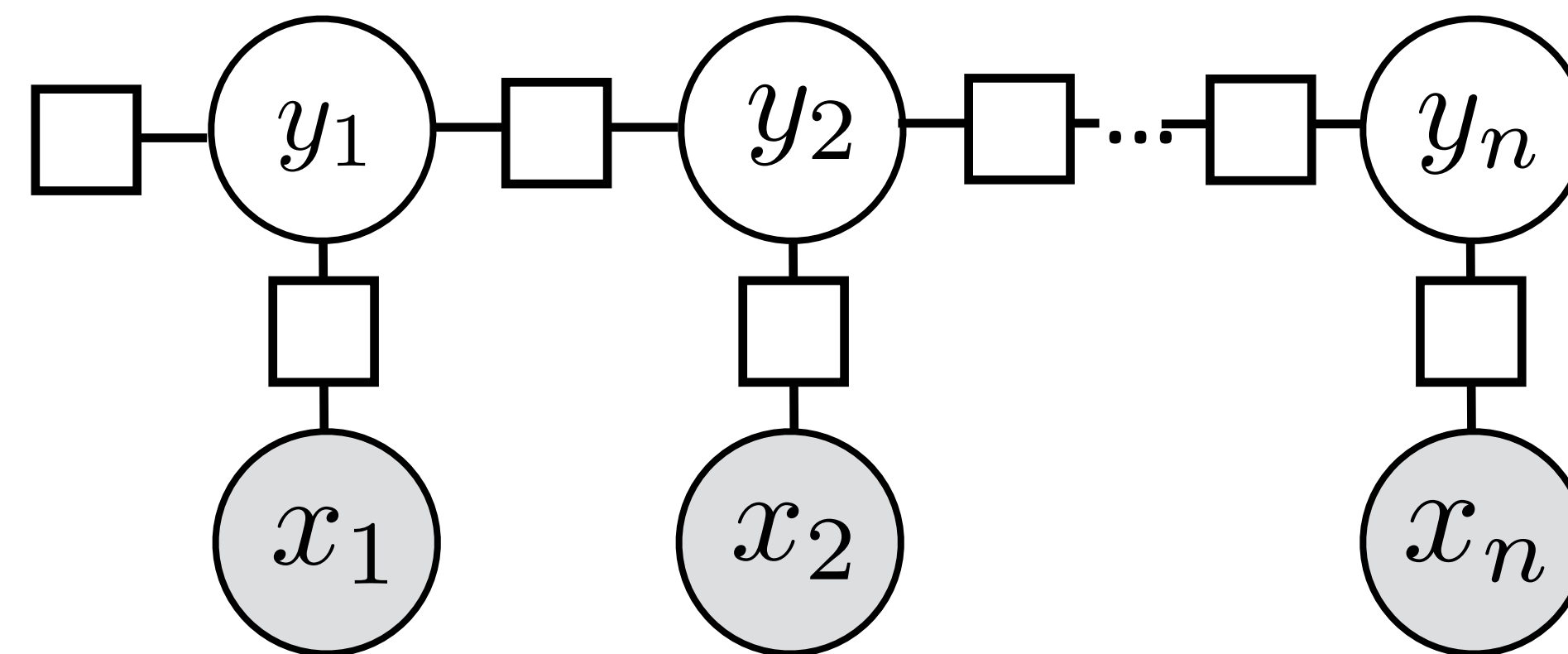
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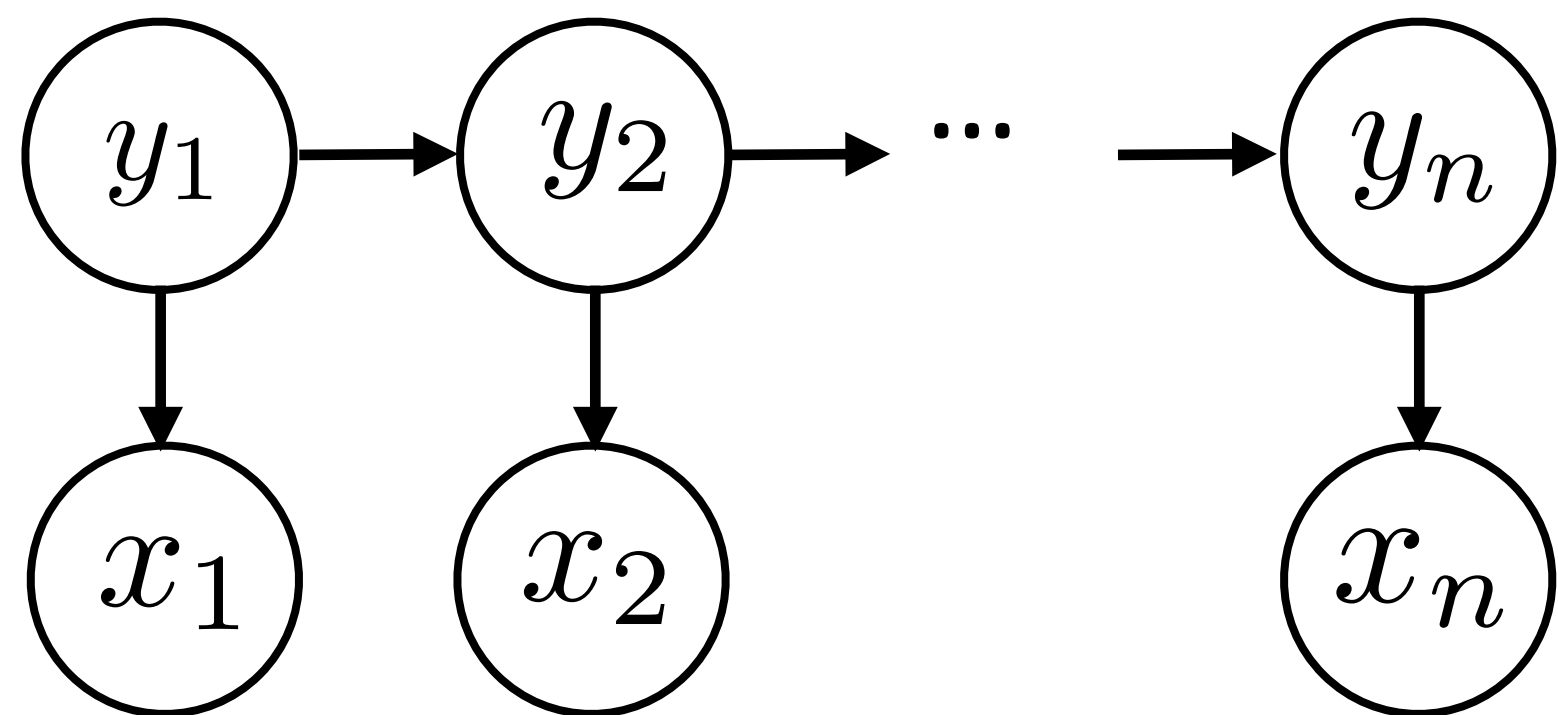
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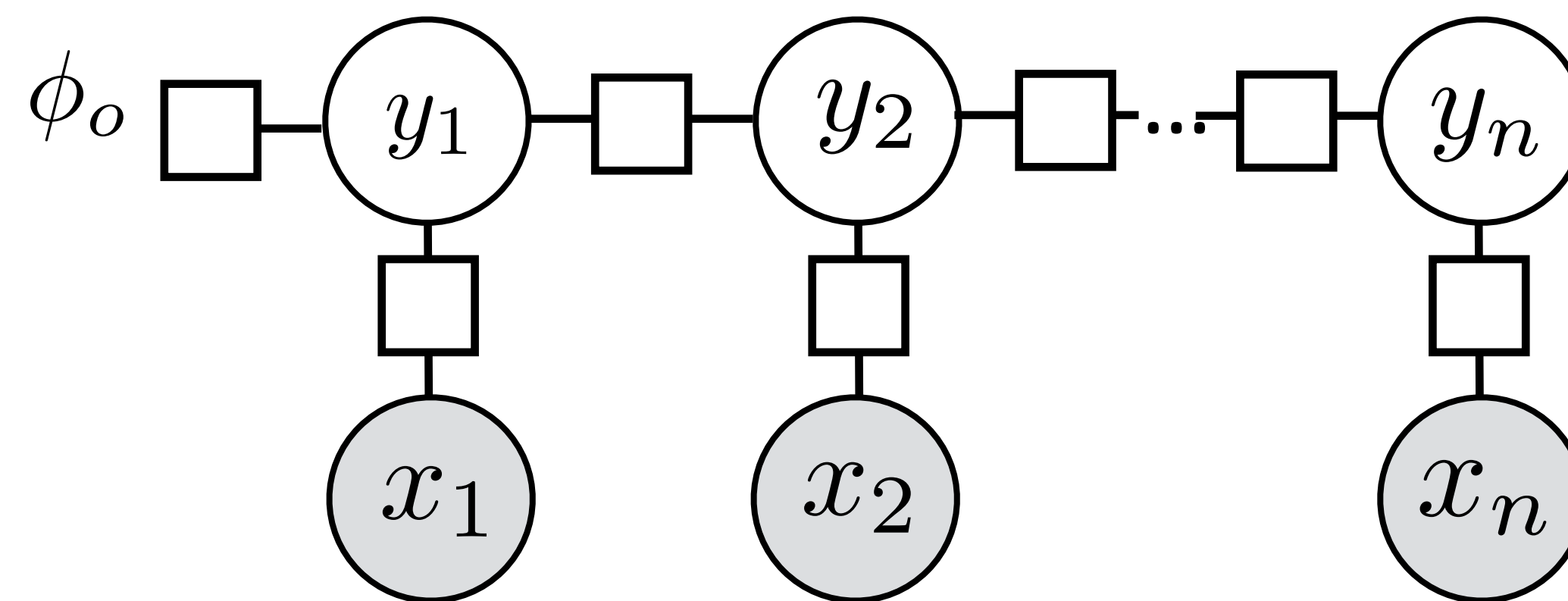
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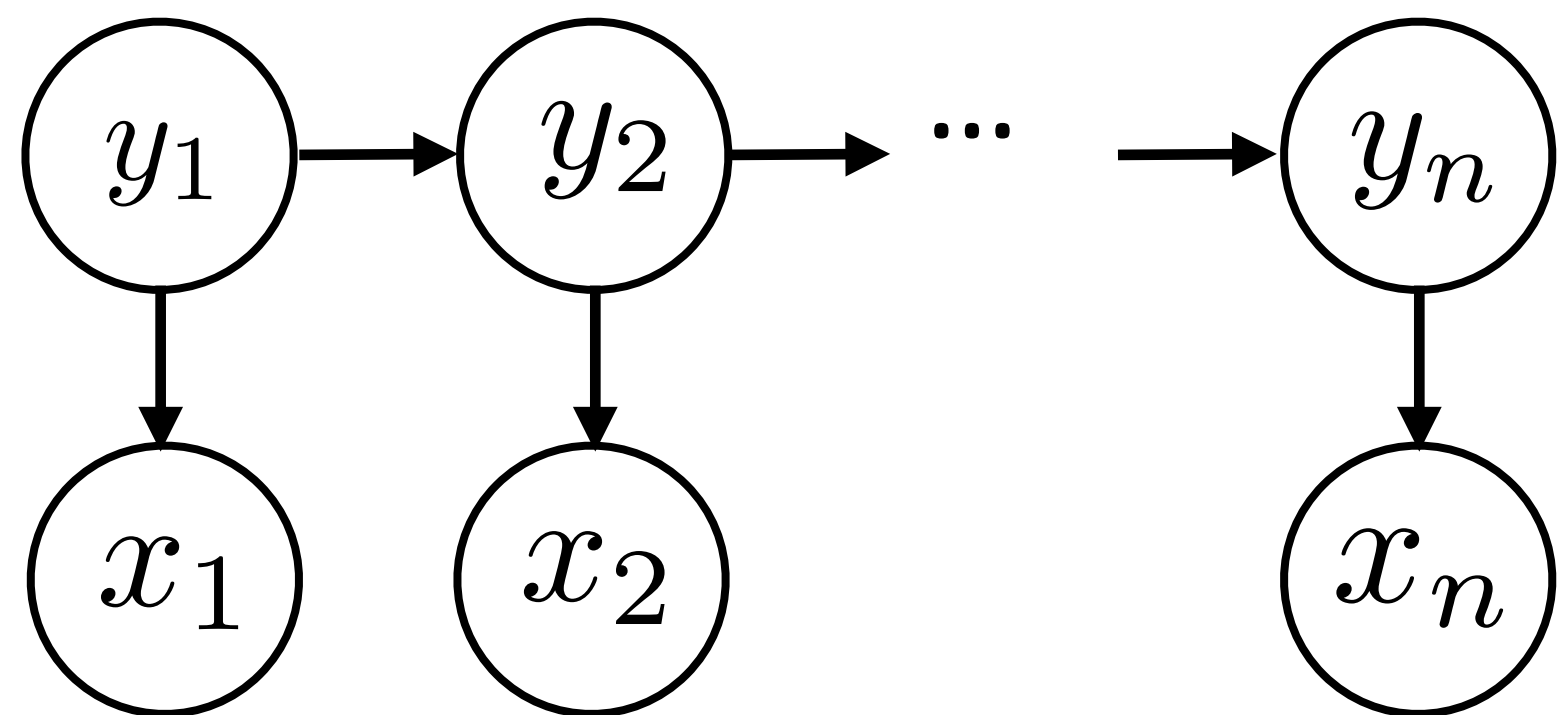
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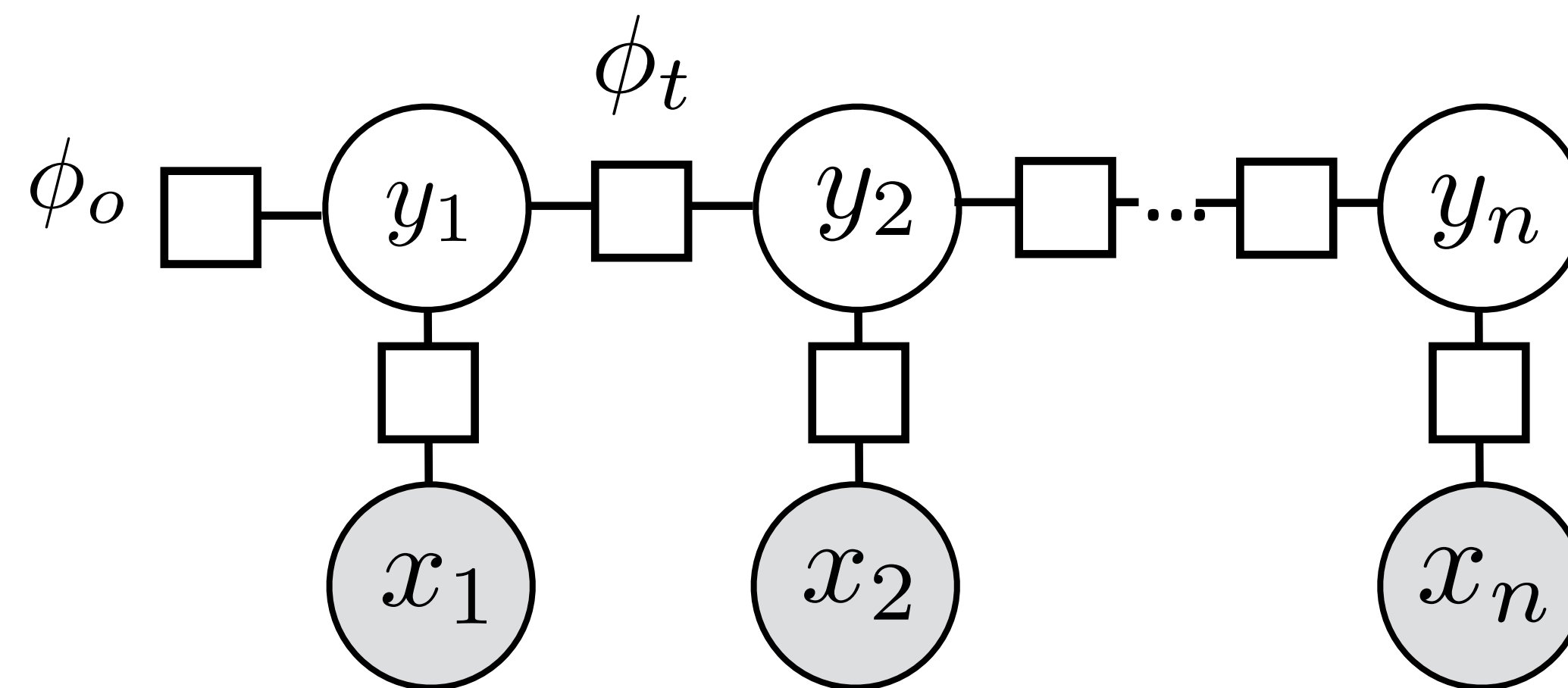
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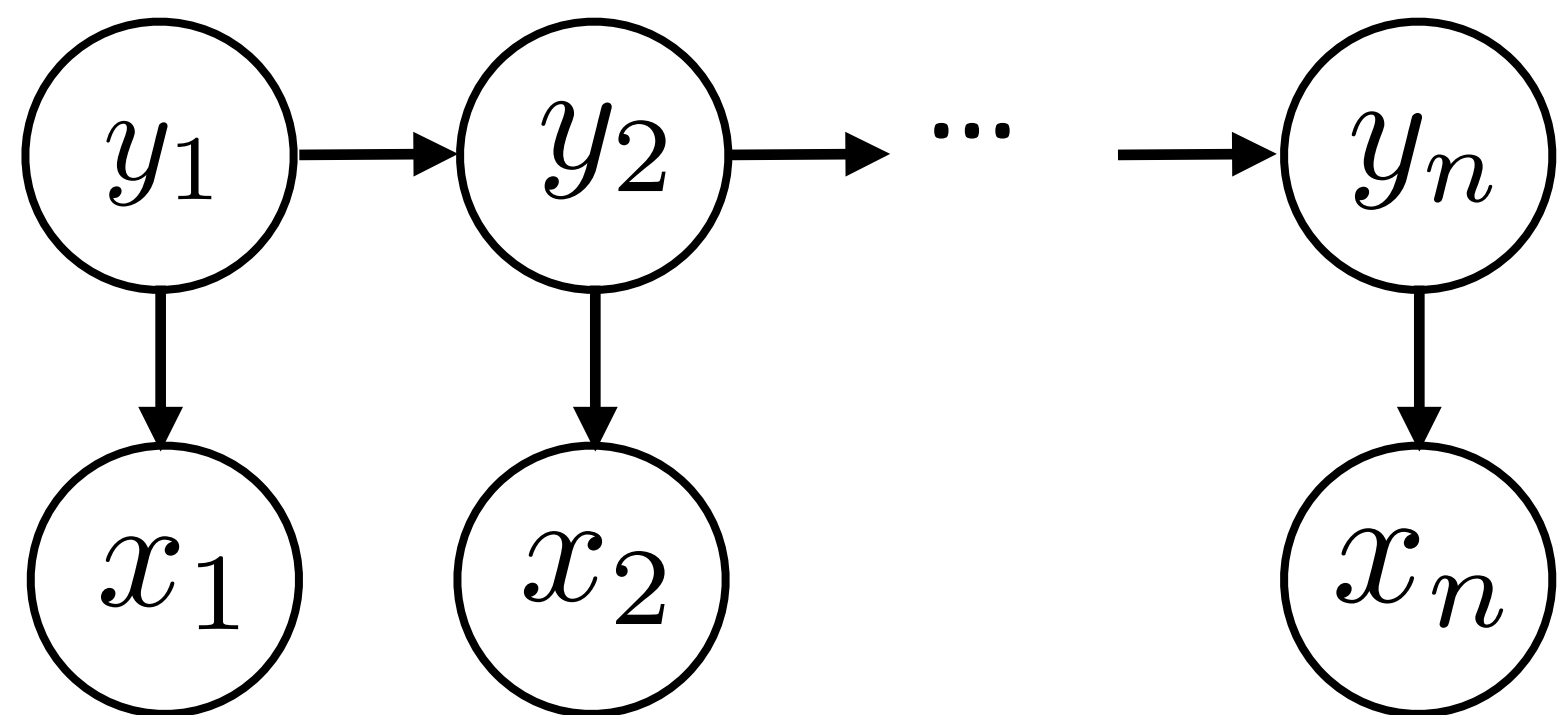
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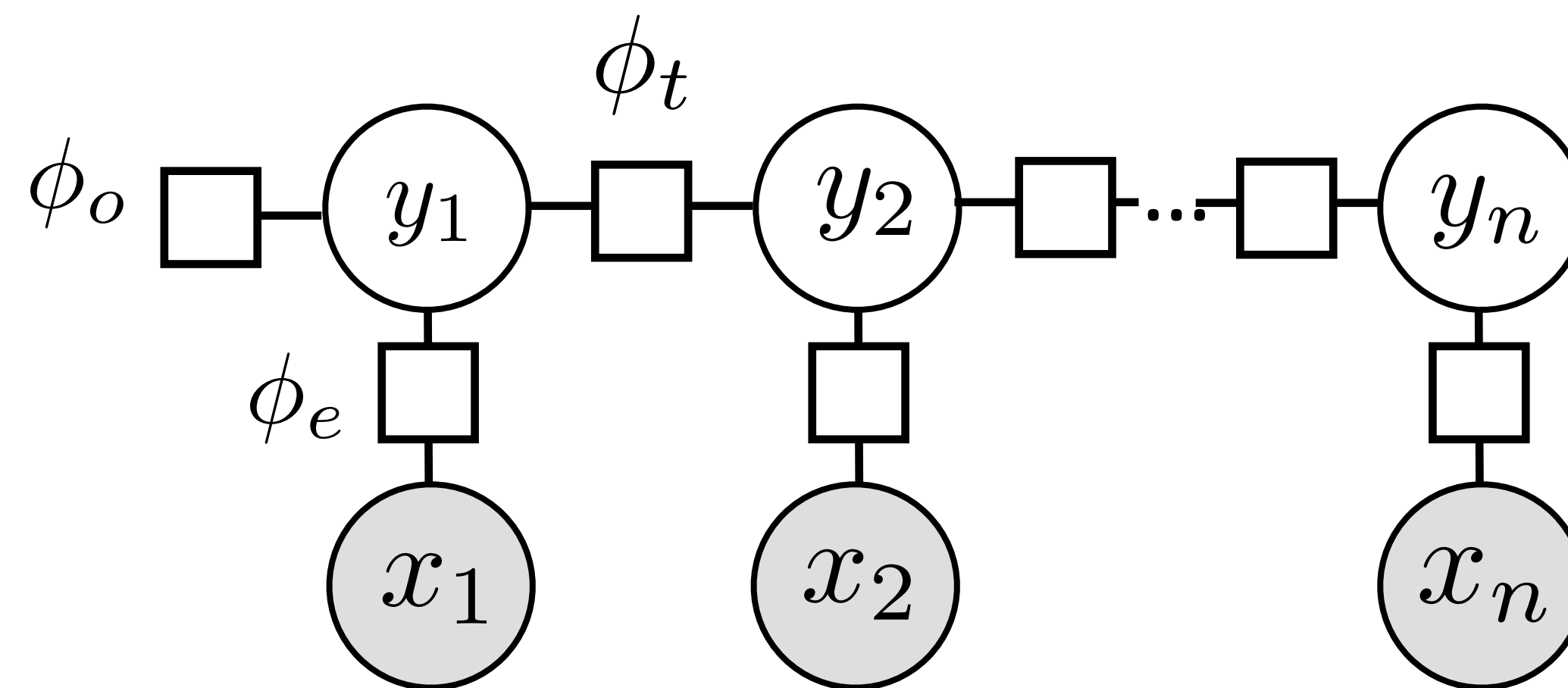
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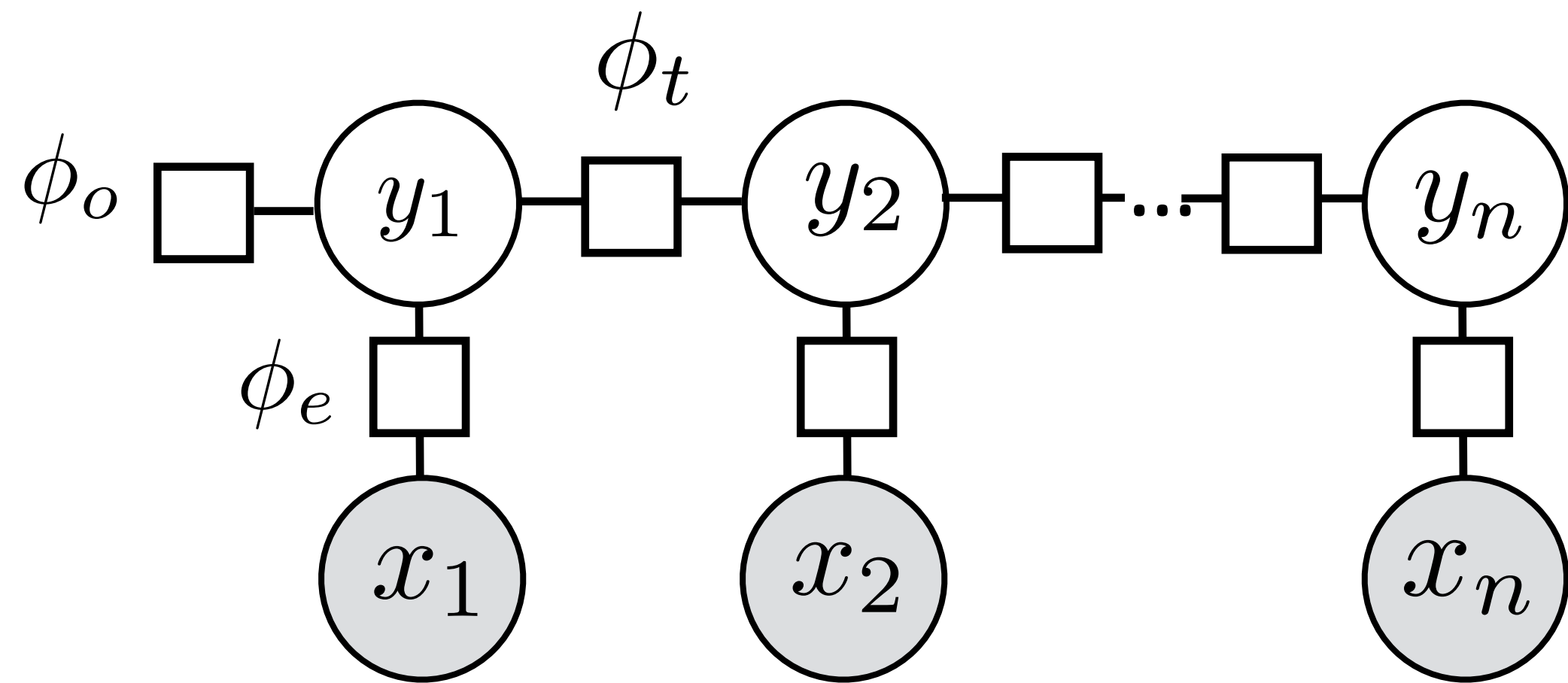


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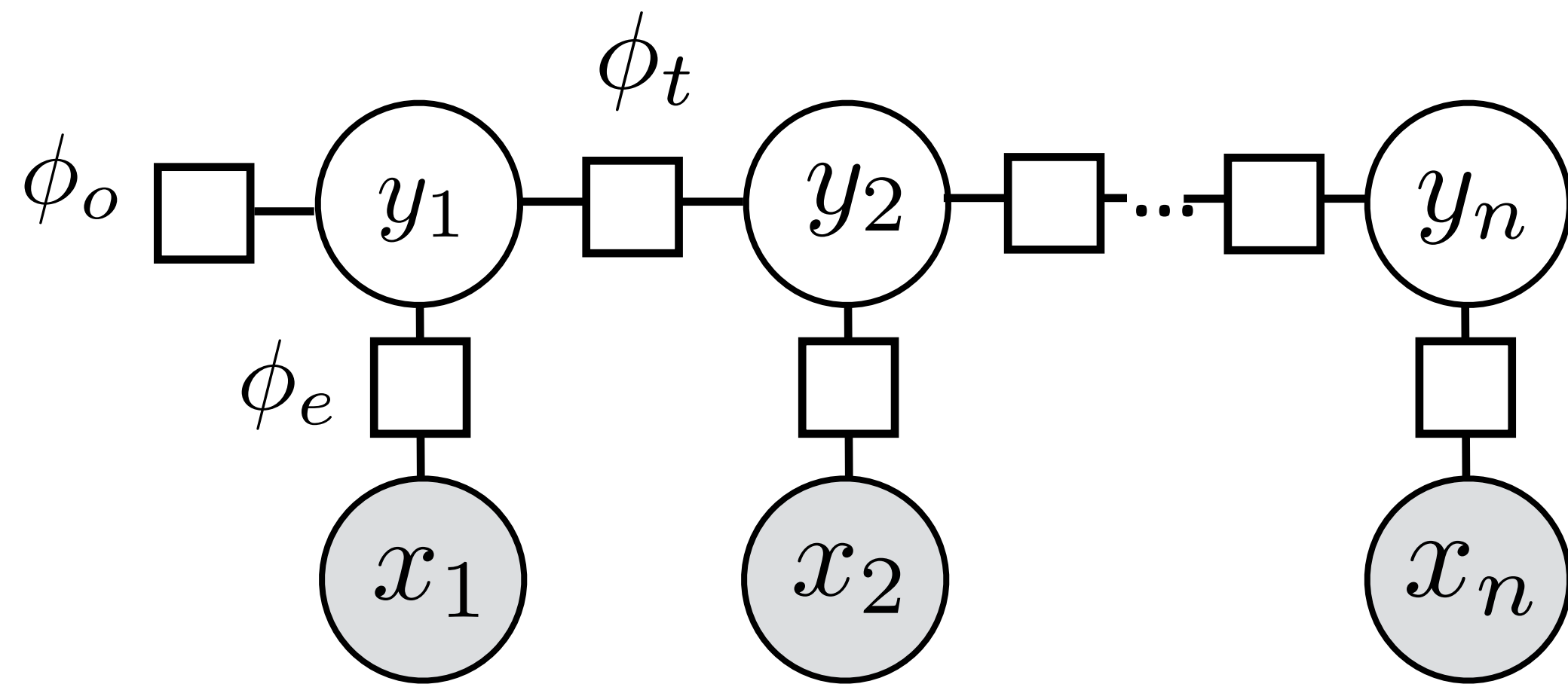
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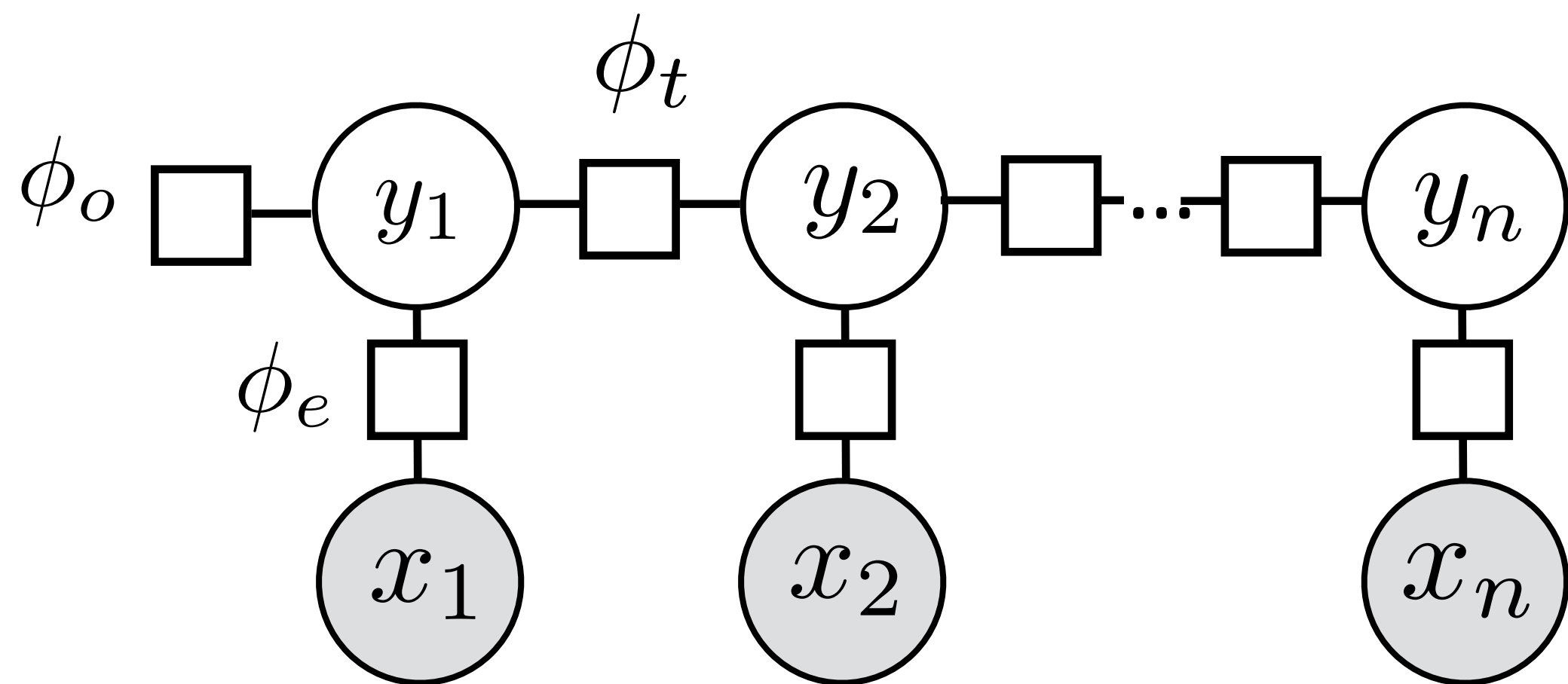
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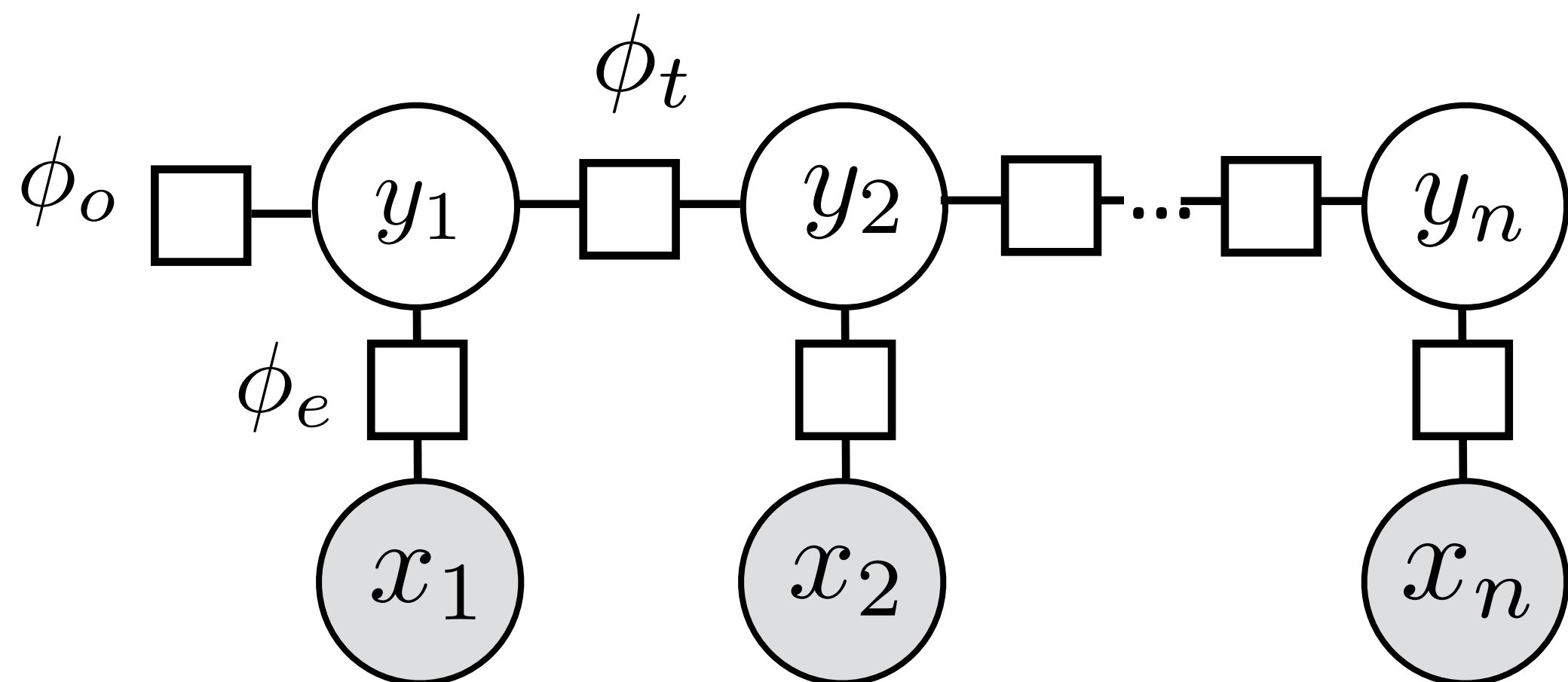


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An arrow points from the term  $\prod_{i=1}^n \exp(\phi_e(x_i, y_i))$  in the equation above to the following expression:
 
$$\prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

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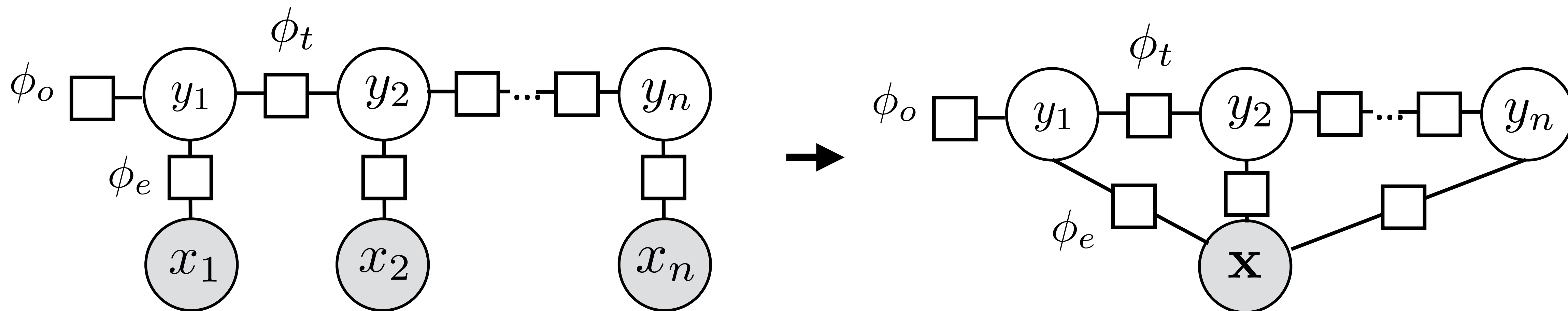
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token index — lets us look at current word

# Sequential CRFs



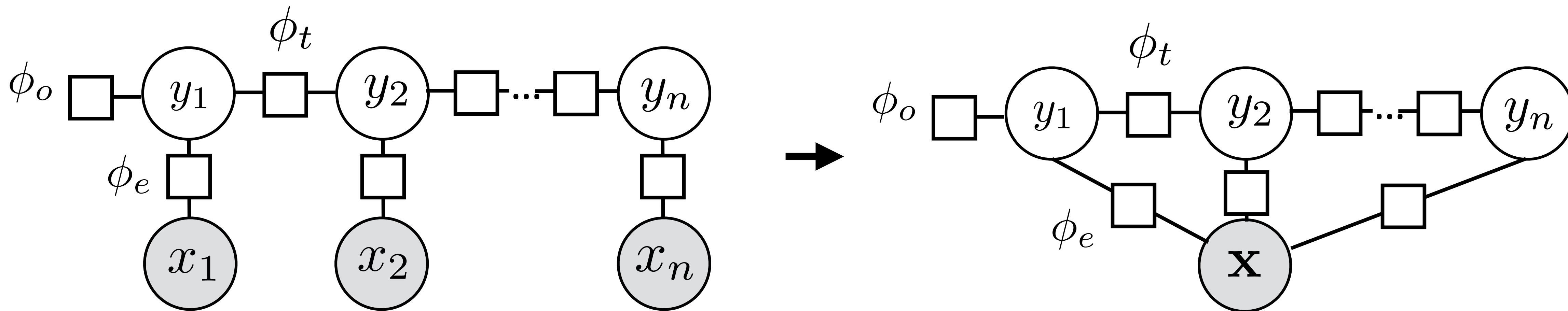
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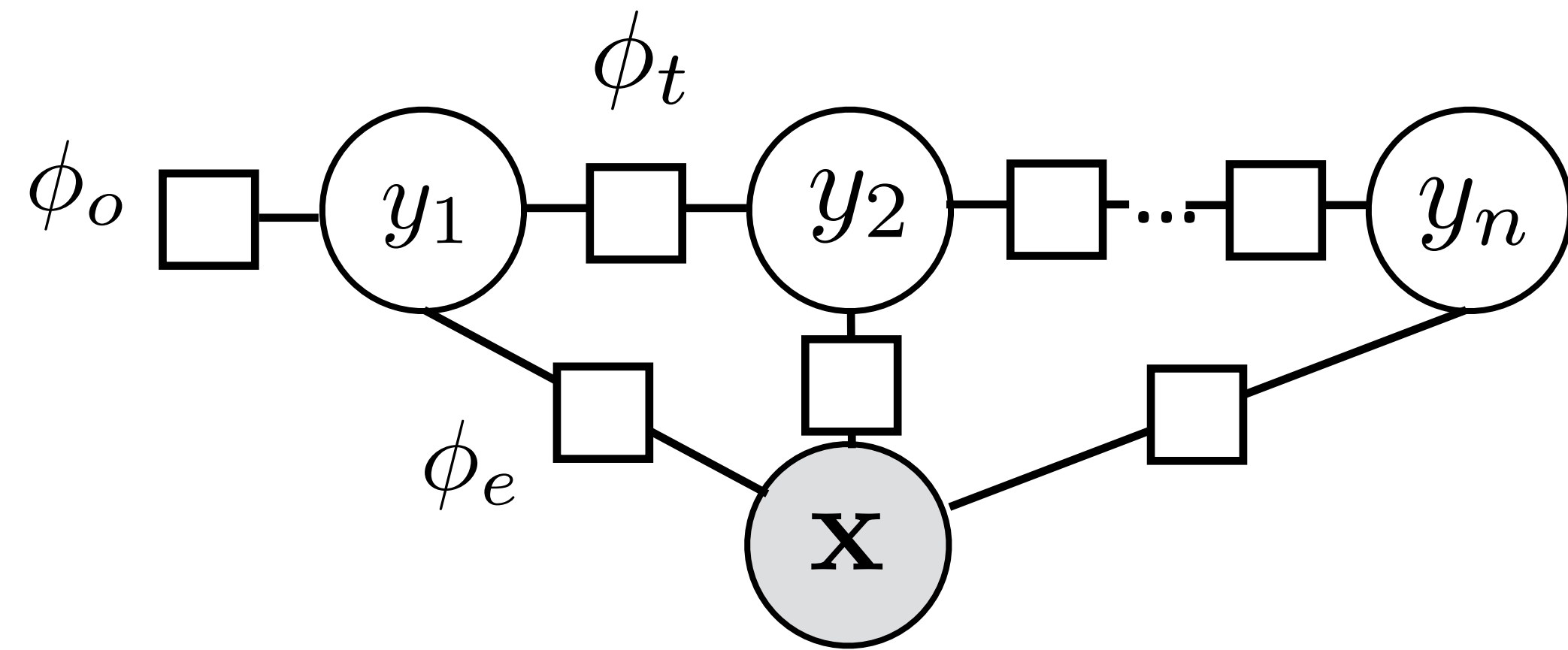
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- ▶  $\mathbf{y}$  can't depend arbitrarily on  $\mathbf{x}$  in a generative model

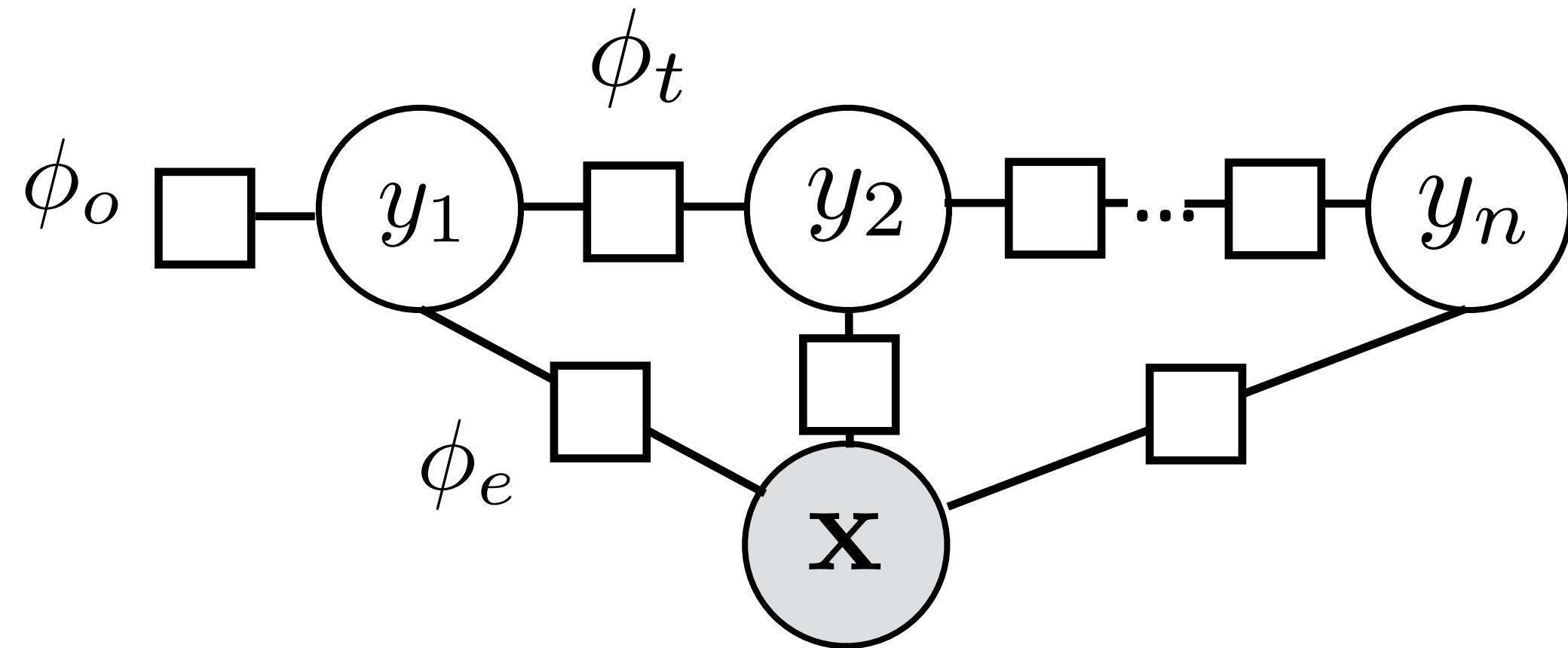
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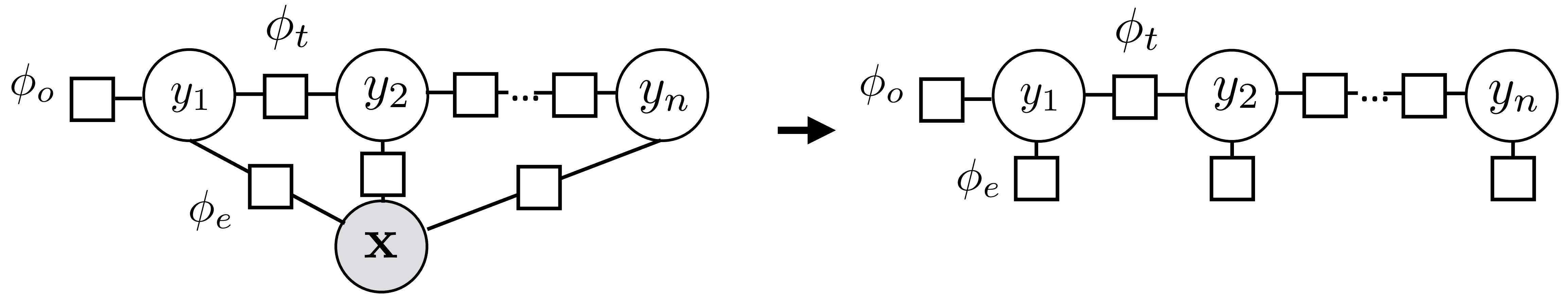
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- ▶ Notation: omit  $\mathbf{x}$  from the factor graph entirely (implicit)

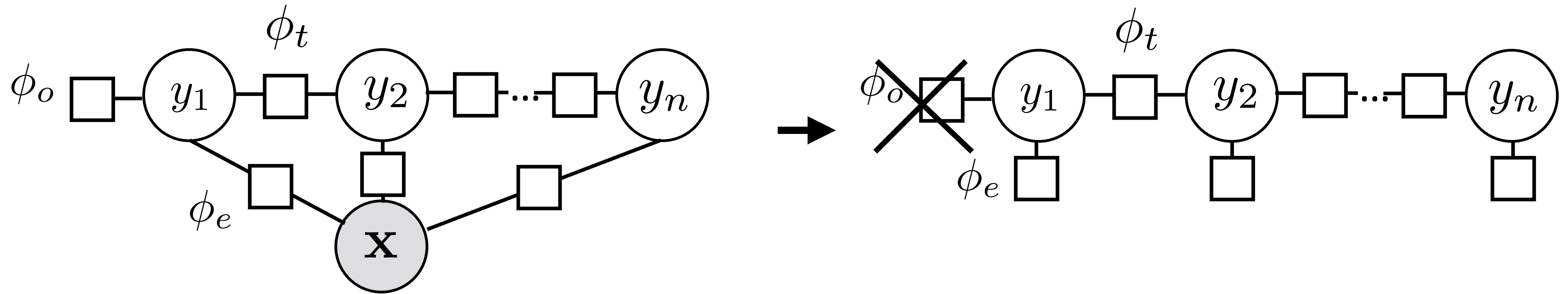


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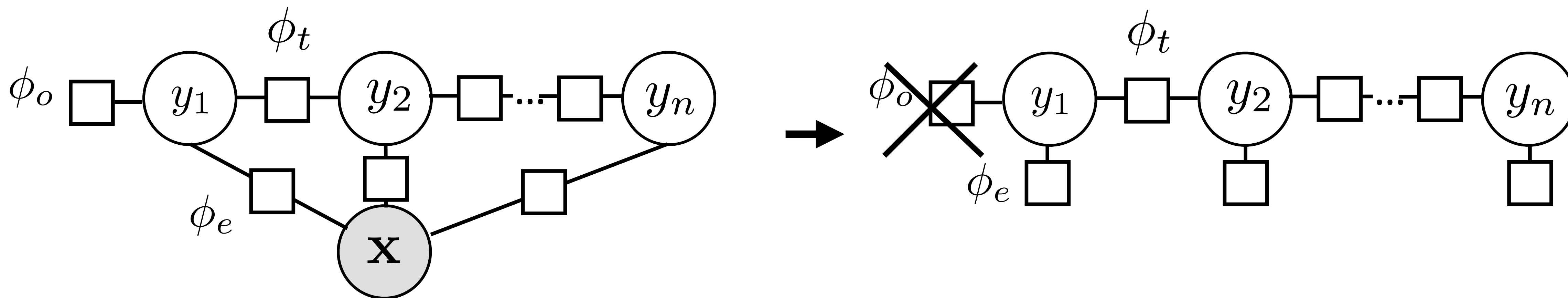
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# Sequential CRFs



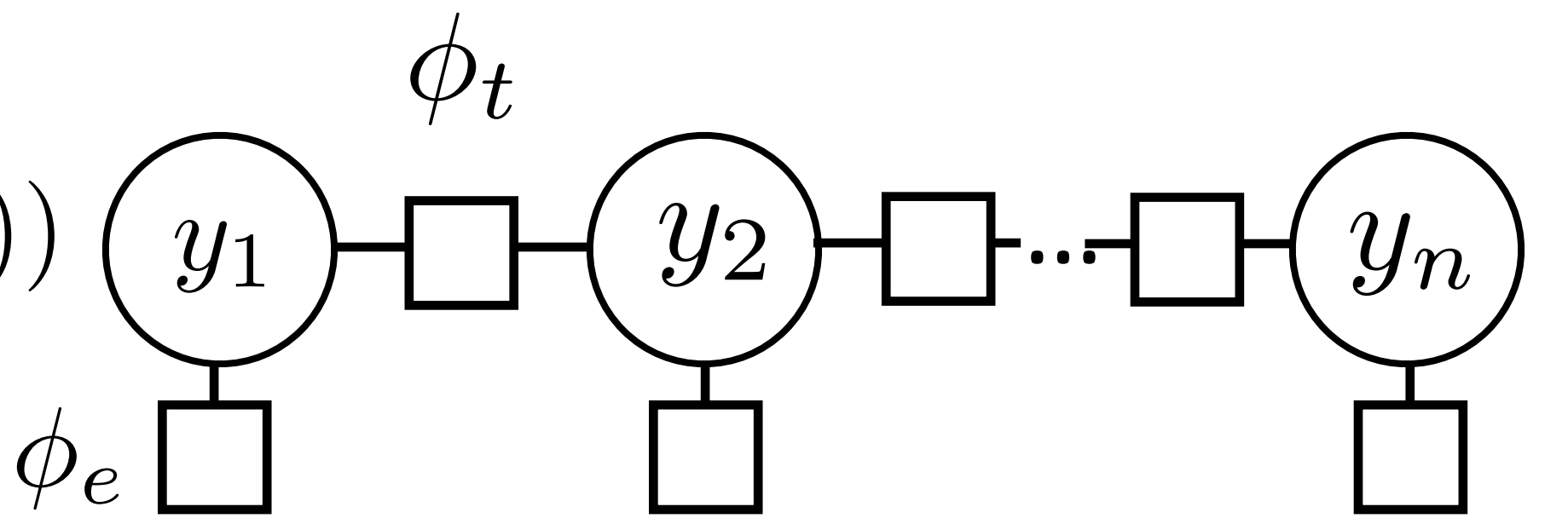
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Sequential CRFs:

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# Feature Functions

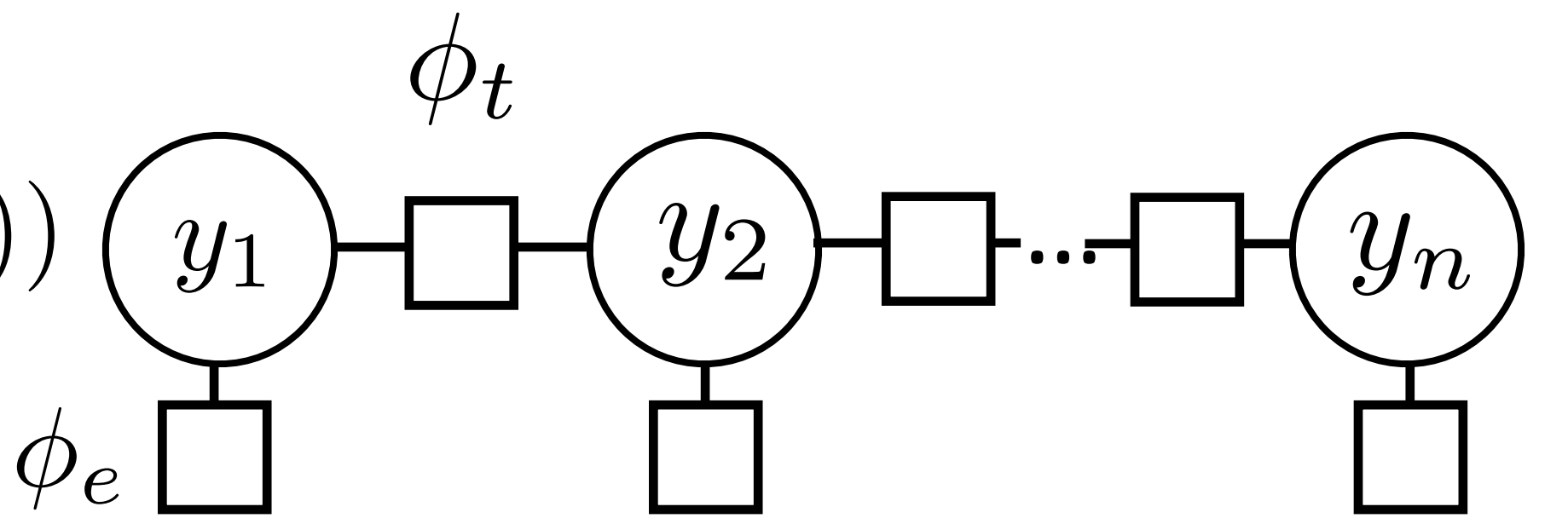
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The diagram illustrates a Markov chain structure. It consists of a sequence of nodes:  $y_1$ ,  $y_2$ , ...,  $y_n$ . Each node  $y_i$  is represented by a circle. The nodes are connected by horizontal lines, with a square box between each adjacent pair of nodes. The transition feature function  $\phi_t$  is associated with the transition between  $y_{i-1}$  and  $y_i$ , indicated by a line from the top of the transition box to the label  $\phi_t$ . The emission feature function  $\phi_e$  is associated with each node  $y_i$ , indicated by a line from the bottom of the node to the label  $\phi_e$  and a square box below it. Ellipses between  $y_2$  and  $y_n$  indicate that the chain continues for intermediate states.

# Feature Functions

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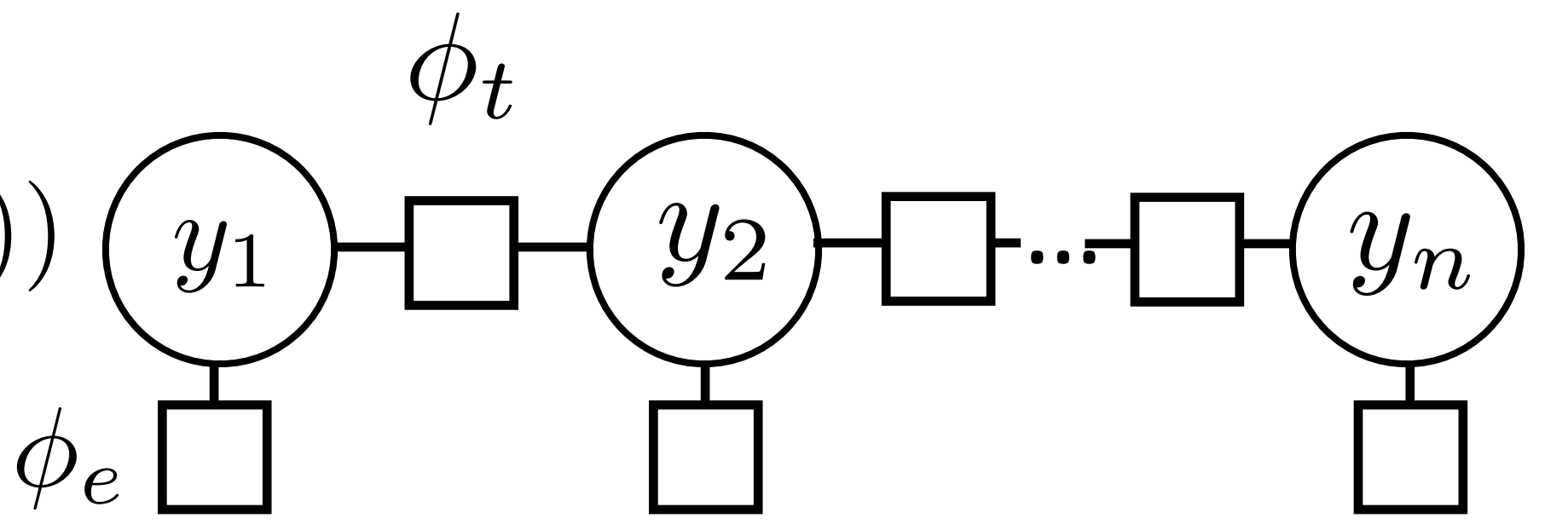
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- ▶ This can be almost anything! Here we use linear functions of sparse features

# Feature Functions

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$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$


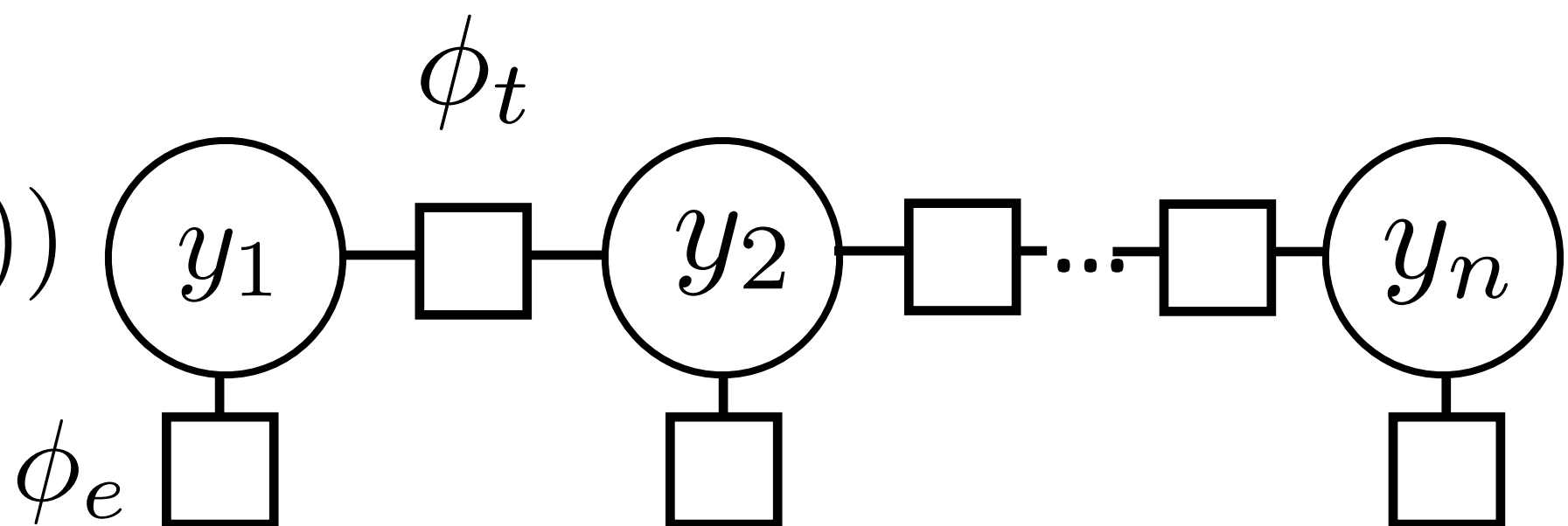
The diagram illustrates a Markov chain structure. It consists of a sequence of nodes  $y_1, y_2, \dots, y_n$  represented by circles. Each node  $y_i$  is connected to the next node  $y_{i+1}$  by a square node, representing a transition function  $\phi_t$ . Additionally, each node  $y_i$  is connected to a square node below it, representing an emission function  $\phi_e$ . The diagram shows the first two nodes  $y_1$  and  $y_2$ , followed by an ellipsis, and then the final node  $y_n$ .

- ▶ This can be almost anything! Here we use linear functions of sparse features

$$\phi_e(y_i, i, \mathbf{x}) = w^\top f_e(y_i, i, \mathbf{x})$$

# Feature Functions

---

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$


The diagram illustrates a Markov chain structure. It consists of a sequence of nodes  $y_1, y_2, \dots, y_n$  represented by circles. Each node  $y_i$  is connected to the next node  $y_{i+1}$  by a horizontal line. Below each node  $y_i$  is a square node, representing a feature function  $\phi_e$ . The label  $\phi_e$  is placed below the first square node. Between nodes  $y_1$  and  $y_2$ , there is a square node representing a transition function  $\phi_t$ . The label  $\phi_t$  is placed above this square node. Ellipses between  $y_2$  and  $y_n$  indicate the continuation of the chain.

- ▶ This can be almost anything! Here we use linear functions of sparse features

$$\phi_e(y_i, i, \mathbf{x}) = w^\top f_e(y_i, i, \mathbf{x}) \quad \phi_t(y_{i-1}, y_i) = w^\top f_t(y_{i-1}, y_i)$$

# Feature Functions

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

The diagram illustrates a Markov chain with nodes  $y_1, y_2, \dots, y_n$  represented by circles. Each node  $y_i$  is connected to its neighbors  $y_{i-1}$  and  $y_{i+1}$  by horizontal lines. Below each node  $y_i$  is a square node representing a feature function  $\phi_e$ , connected to  $y_i$  by a vertical line. The transition function  $\phi_t$  is shown between  $y_1$  and  $y_2$  by a horizontal line above the chain.

- This can be almost anything! Here we use linear functions of sparse features

$$\phi_e(y_i, i, \mathbf{x}) = w^\top f_e(y_i, i, \mathbf{x}) \quad \phi_t(y_{i-1}, y_i) = w^\top f_t(y_{i-1}, y_i)$$

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$



# Feature Functions

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

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- ▶ Looks like our single weight vector multiclass logistic regression model

# Basic Features for NER

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

*Barack Obama will travel to Hangzhou today for the G20 meeting .*

# Basic Features for NER

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

O B-LOC



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# Basic Features for NER

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$



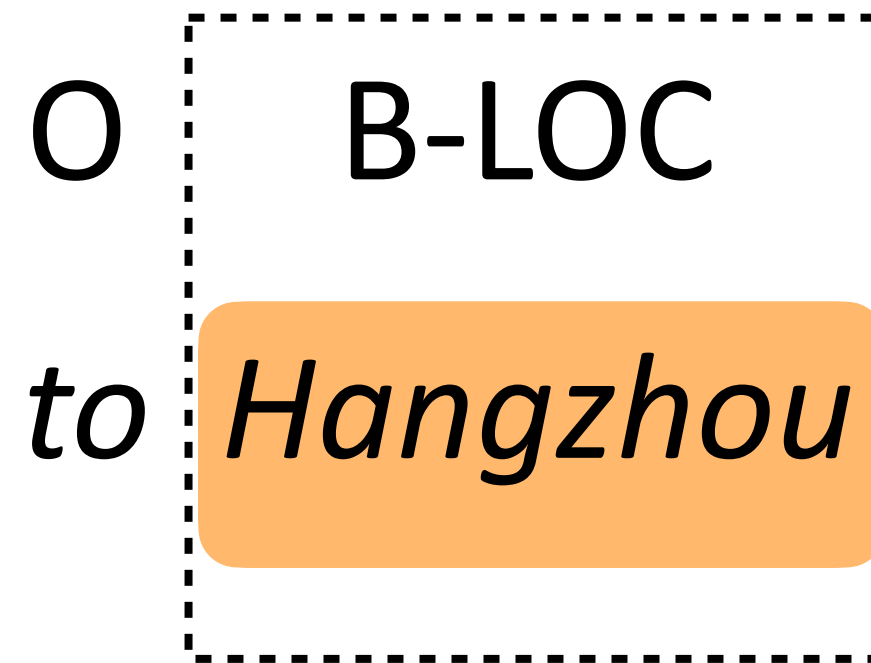
*Barack Obama will travel to **Hangzhou** today for the G20 meeting .*

Transitions:  $f_t(y_{i-1}, y_i) = \text{Ind}[y_{i-1} \ \& \ y_i] = \text{Ind}[O \text{ — } B\text{-LOC}]$

# Basic Features for NER

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$



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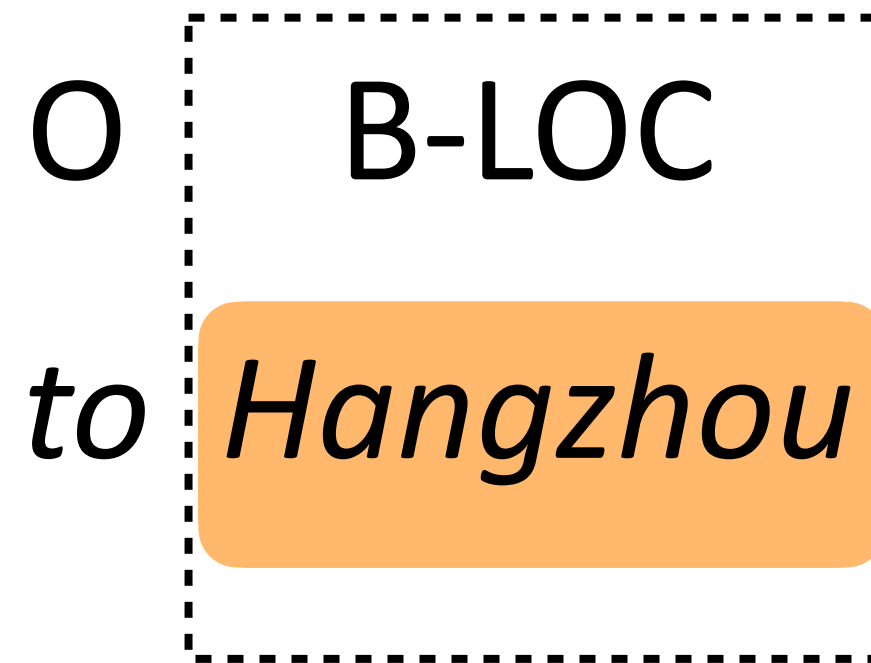
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Emissions:  $f_e(y_6, 6, \mathbf{x}) =$

# Basic Features for NER

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$



*Barack Obama will travel to **Hangzhou** today for the G20 meeting .*

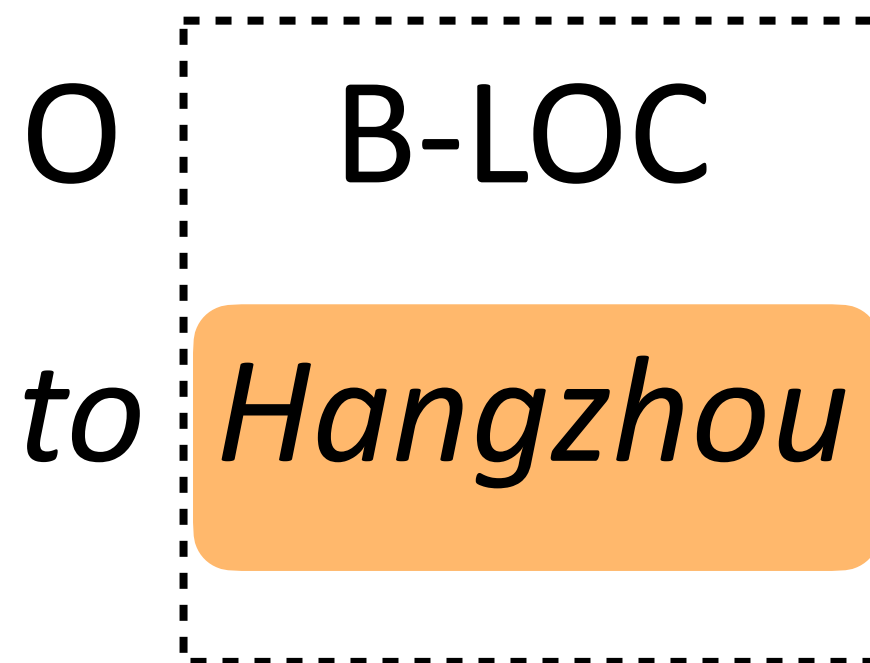
Transitions:  $f_t(y_{i-1}, y_i) = \text{Ind}[y_{i-1} \ \& \ y_i] = \text{Ind}[O \text{ — } B\text{-LOC}]$

Emissions:  $f_e(y_6, 6, \mathbf{x}) = \text{Ind}[B\text{-LOC} \ \& \ \text{Current word} = \textit{Hangzhou}]$

# Basic Features for NER

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$



*Barack Obama will travel to Hangzhou today for the G20 meeting .*

Transitions:  $f_t(y_{i-1}, y_i) = \text{Ind}[y_{i-1} \ \& \ y_i] = \text{Ind}[O \text{ — } B\text{-LOC}]$

Emissions:  $f_e(y_6, 6, \mathbf{x}) = \text{Ind}[B\text{-LOC} \ \& \ \text{Current word} = \textit{Hangzhou}]$   
 $\text{Ind}[B\text{-LOC} \ \& \ \text{Prev word} = \textit{to}]$

# Features for NER

---

$$\phi_e(y_i, i, \mathbf{x})$$

LOC

*Leicestershire* is a nice place to visit...

PER

*Leonardo DiCaprio* won an award...

LOC

*I took a vacation to Boston*

ORG

*Apple* released a new version...

LOC

*Texas* governor *Greg Abbott* said

PER

ORG

*According to the New York Times...*



# Features for NER

---

- ▶ Word features (can use in HMM)
  - ▶ Capitalization
  - ▶ Word shape
  - ▶ Prefixes/suffixes
  - ▶ Lexical indicators
- ▶ Context features (can't use in HMM!)
  - ▶ Words before/after
  - ▶ Tags before/after
- ▶ Word clusters
- ▶ Gazetteers

*Leicestershire*

*Boston*

*Apple* released a new version...

According to the *New York Times*...

# CRFs Outline

---

► Model: 
$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

► Inference

► Learning

# Computing (arg)maxes

---

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

The diagram illustrates a graphical model for a sequence of variables  $y_1, y_2, \dots, y_n$ . Each variable  $y_i$  is represented by a circle. The variables are connected sequentially by horizontal lines, representing transition functions  $\phi_t$ . Each variable  $y_i$  is also connected to a square node below it, representing emission functions  $\phi_e$ . The label  $\phi_e$  is placed below the first square node, and  $\phi_t$  is placed above the first horizontal edge.

# Computing (arg)maxes

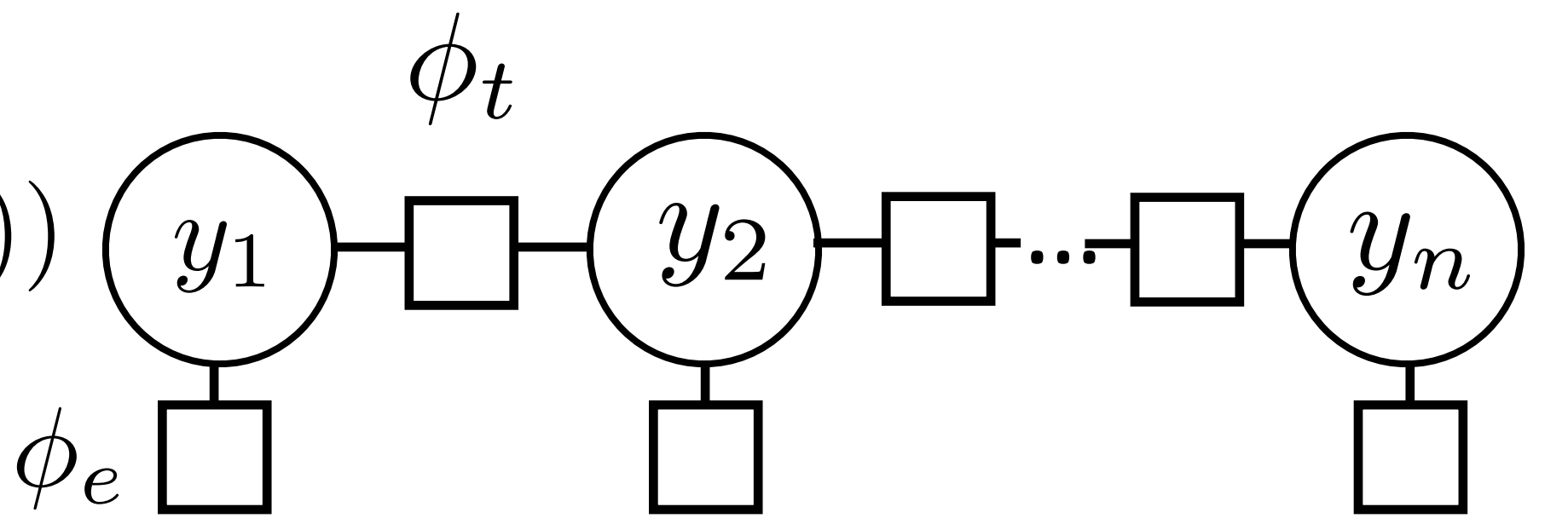
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$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

The diagram illustrates a sequence of variables  $y_1, y_2, \dots, y_n$  represented by circles. Transitions between variables are represented by squares, with the transition between  $y_{i-1}$  and  $y_i$  labeled  $\phi_t$ . Each variable  $y_i$  is also connected to a square representing an emission function  $\phi_e(y_i, i, \mathbf{x})$ .

- ▶  $\operatorname{argmax}_{\mathbf{y}} P(\mathbf{y}|\mathbf{x})$ : can use Viterbi exactly as in HMM case

# Computing (arg)maxes

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$


- ▶  $\operatorname{argmax}_{\mathbf{y}} P(\mathbf{y}|\mathbf{x})$ : can use Viterbi exactly as in HMM case

$$\max_{y_1, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots e^{\phi_e(y_2, 2, \mathbf{x})} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})}$$

# Computing (arg)maxes

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

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# Computing (arg)maxes

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# Computing (arg)maxes

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# Computing (arg)maxes

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

- $\operatorname{argmax}_{\mathbf{y}} P(\mathbf{y}|\mathbf{x})$ : can use Viterbi exactly as in HMM case

$$\begin{aligned} & \max_{y_1, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots e^{\phi_e(y_2, 2, \mathbf{x})} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})} \\ = & \max_{y_2, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots e^{\phi_e(y_2, 2, \mathbf{x})} \boxed{\max_{y_1} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})}} \\ = & \max_{y_3, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots \max_{y_2} e^{\phi_t(y_2, y_3)} e^{\phi_e(y_2, 2, \mathbf{x})} \underbrace{\max_{y_1} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})}}_{\text{score}_1(y_1)} \end{aligned}$$

# Computing (arg)maxes

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

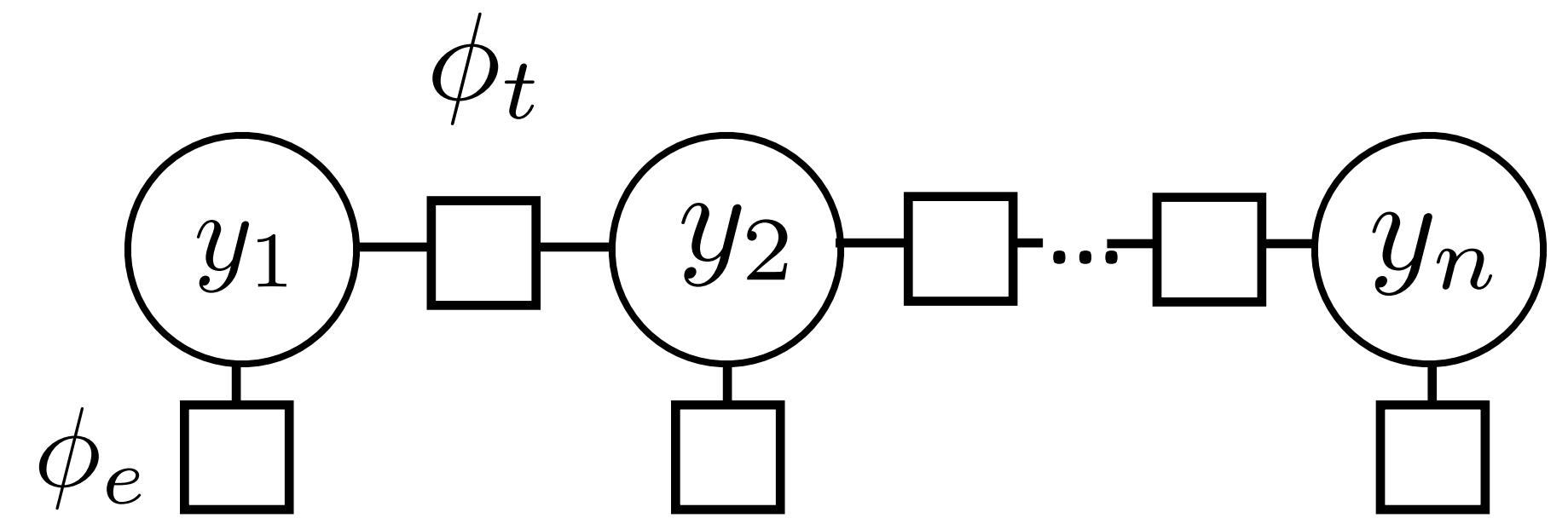
- ▶  $\operatorname{argmax}_{\mathbf{y}} P(\mathbf{y}|\mathbf{x})$ : can use Viterbi exactly as in HMM case

$$\begin{aligned} & \max_{y_1, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots e^{\phi_e(y_2, 2, \mathbf{x})} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})} \\ = & \max_{y_2, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots e^{\phi_e(y_2, 2, \mathbf{x})} \boxed{\max_{y_1} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})}} \\ = & \max_{y_3, \dots, y_n} e^{\phi_t(y_{n-1}, y_n)} e^{\phi_e(y_n, n, \mathbf{x})} \dots \max_{y_2} e^{\phi_t(y_2, y_3)} e^{\phi_e(y_2, 2, \mathbf{x})} \underbrace{\max_{y_1} e^{\phi_t(y_1, y_2)} e^{\phi_e(y_1, 1, \mathbf{x})}}_{\text{score}_1(y_1)} \end{aligned}$$

- ▶  $\exp(\phi_t(y_{i-1}, y_i))$  and  $\exp(\phi_e(y_i, i, \mathbf{x}))$  play the role of the Ps now, same dynamic program

# Inference in General CRFs

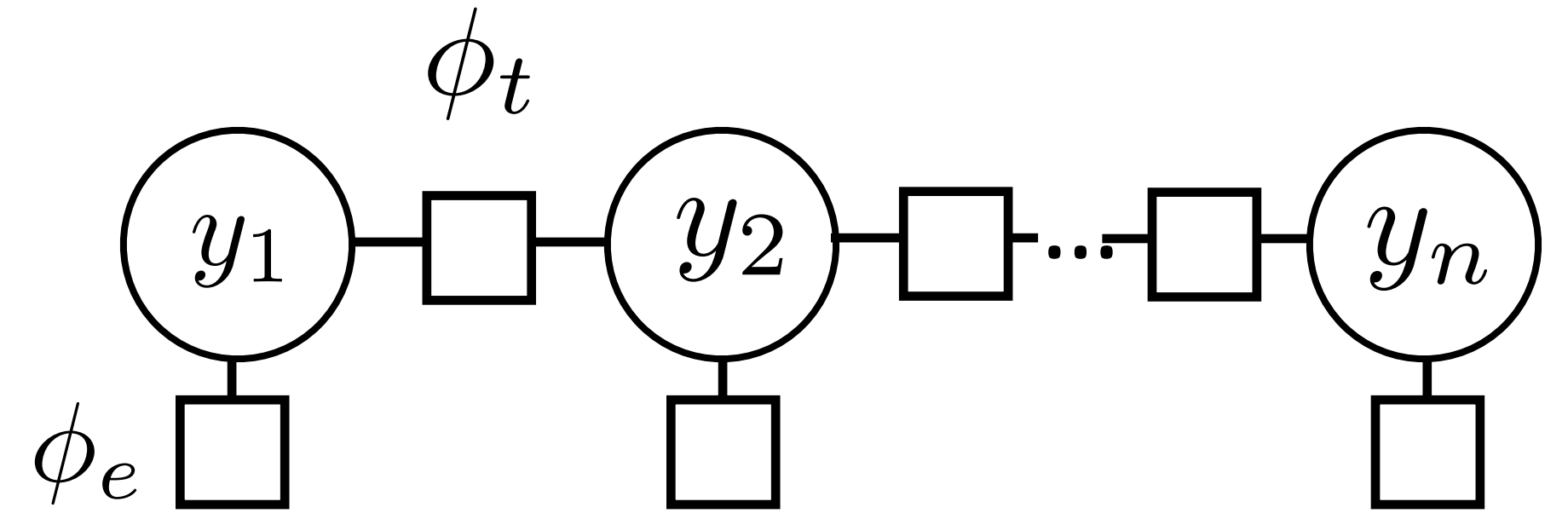
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# Inference in General CRFs

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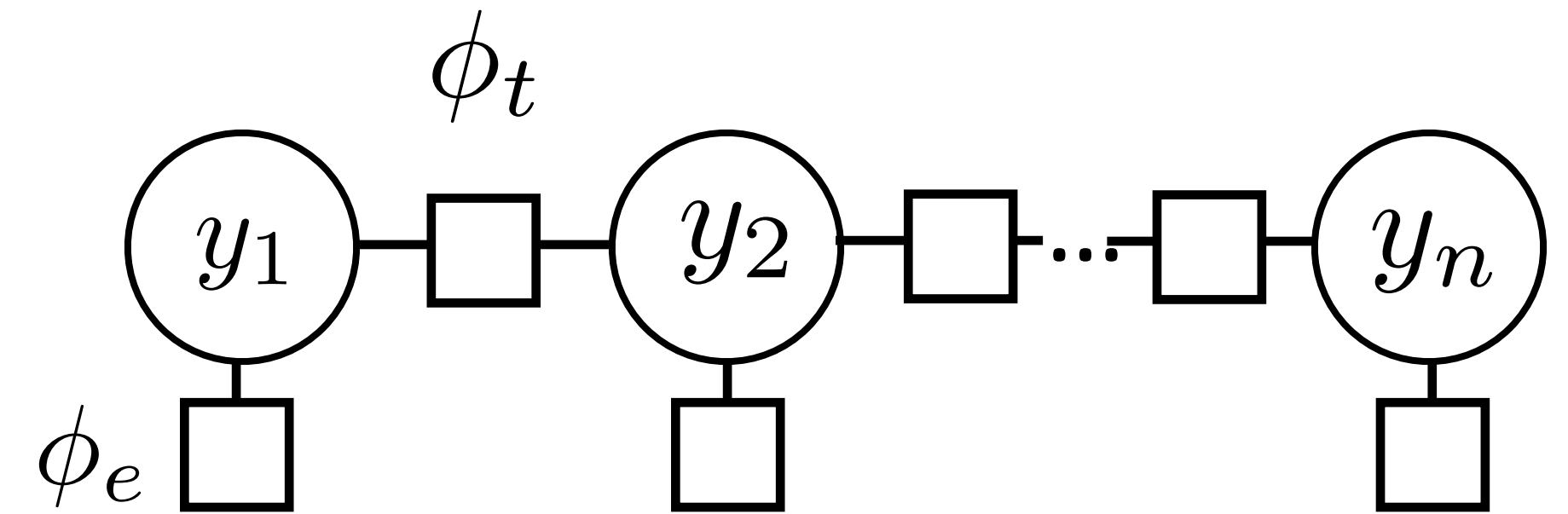
- ▶ Can do inference in any tree-structured CRF



# Inference in General CRFs

---

- ▶ Can do inference in any tree-structured CRF



- ▶ Max-product algorithm: generalization of Viterbi to arbitrary tree-structured graphs (sum-product is generalization of forward-backward)

# CRFs Outline

---

► Model: 
$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- Inference:  $\operatorname{argmax} P(\mathbf{y}|\mathbf{x})$  from Viterbi
- Learning

# Training CRFs

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$



# Training CRFs

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- ▶ Logistic regression:  $P(y|x) \propto \exp w^\top f(x, y)$

# Training CRFs

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- ▶ Logistic regression:  $P(y|x) \propto \exp w^\top f(x, y)$
- ▶ Maximize  $\mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \log P(\mathbf{y}^* | \mathbf{x})$

# Training CRFs

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

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- ▶ Gradient is completely analogous to logistic regression:

# Training CRFs

---

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- ▶ Logistic regression:  $P(y|x) \propto \exp w^\top f(x, y)$
- ▶ Maximize  $\mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \log P(\mathbf{y}^* | \mathbf{x})$
- ▶ Gradient is completely analogous to logistic regression:

$$\begin{aligned} \frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) = & \sum_{i=2}^n f_t(y_{i-1}^*, y_i^*) + \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x}) \\ & - \mathbb{E}_{\mathbf{y}} \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right] \end{aligned}$$

# Training CRFs

---

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- ▶ Logistic regression:  $P(y|x) \propto \exp w^\top f(x, y)$
- ▶ Maximize  $\mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \log P(\mathbf{y}^* | \mathbf{x})$
- ▶ Gradient is completely analogous to logistic regression:

$$\frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \sum_{i=2}^n f_t(y_{i-1}^*, y_i^*) + \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x})$$

intractable!  $\rightarrow -\mathbb{E}_{\mathbf{y}} \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$

# Training CRFs

---

$$\frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \sum_{i=2}^n f_t(y_{i-1}^*, y_i^*) + \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x})$$
$$- \mathbb{E}_{\mathbf{y}} \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

# Training CRFs

---

$$\frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \sum_{i=2}^n f_t(y_{i-1}^*, y_i^*) + \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x}) - \mathbb{E}_{\mathbf{y}} \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- ▶ Let's focus on emission feature expectation

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$$\mathbb{E}_{\mathbf{y}} \left[ \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right] = \sum_{\mathbf{y} \in \mathcal{Y}} P(\mathbf{y} | \mathbf{x}) \left[ \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

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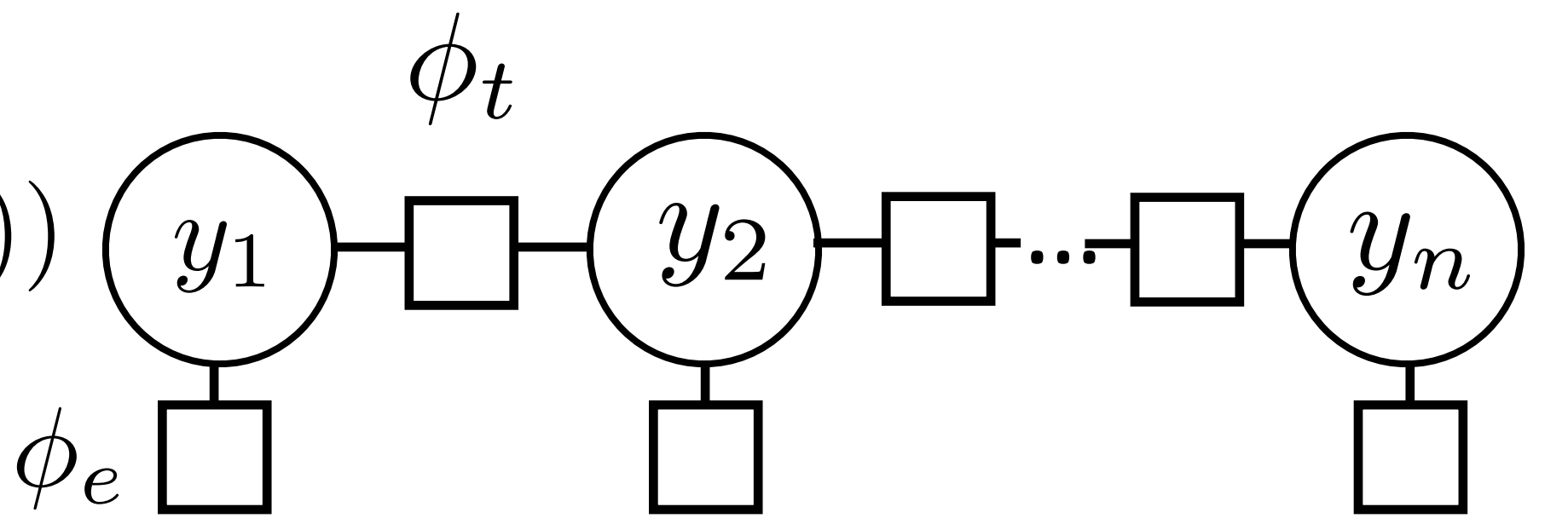
# Computing Marginals

---

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

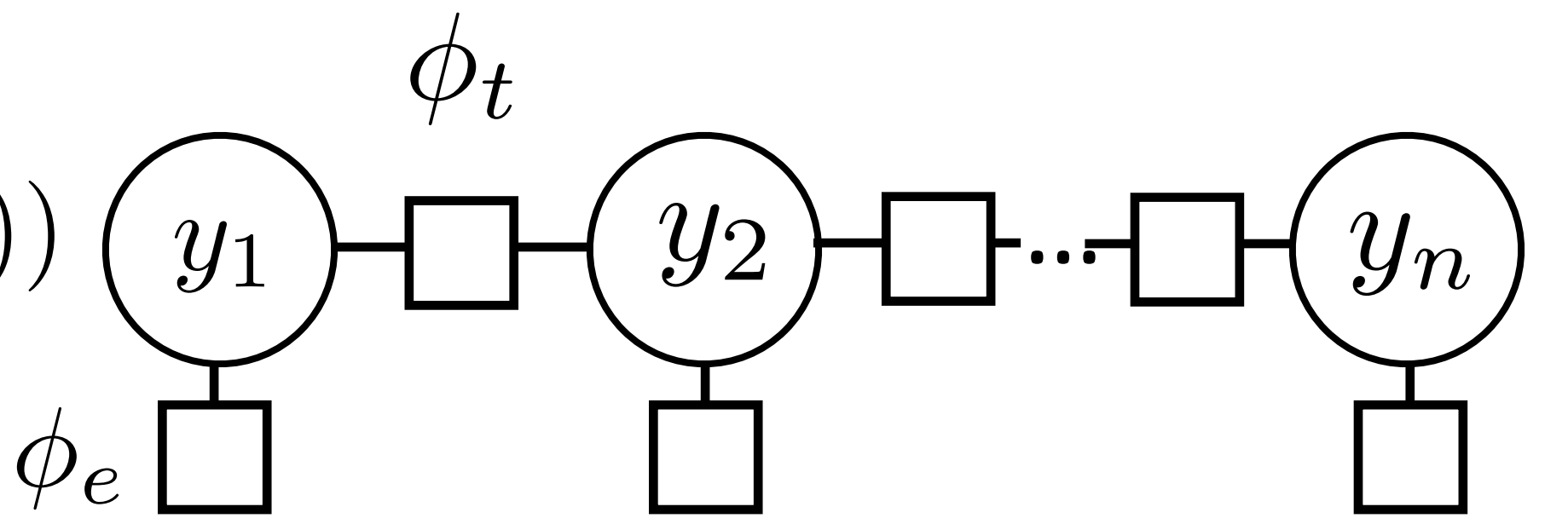
The diagram illustrates a graphical model for a sequence of variables  $y_1, y_2, \dots, y_n$ . The variables are represented by circles. The first two variables,  $y_1$  and  $y_2$ , are explicitly labeled, and the last variable,  $y_n$ , is also labeled. Between  $y_1$  and  $y_2$ , and between  $y_2$  and the next node, there are square nodes representing transition potentials. The potential between  $y_1$  and  $y_2$  is labeled  $\phi_t$ . Below each variable node ( $y_1$ ,  $y_2$ , and  $y_n$ ), there is a square node representing an emission potential. The potential below  $y_1$  is labeled  $\phi_e$ . Ellipses between the second and third square nodes indicate the continuation of the sequence.

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► Normalizing constant  $Z = \sum_{\mathbf{y}} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$

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▶ For both HMMs and CRFs:

$$P(y_i = s | \mathbf{x}) = \frac{\text{forward}_i(s) \text{backward}_i(s)}{\sum_{s'} \text{forward}_i(s') \text{backward}_i(s')}$$

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Z for CRFs,  $P(\mathbf{x})$   
for HMMs



# Posteriors vs. Probabilities

---

$$P(y_i = s | \mathbf{x}) = \frac{\text{forward}_i(s) \text{backward}_i(s)}{\sum_{s'} \text{forward}_i(s') \text{backward}_i(s')}$$

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HMM

Model parameter (usually multinomial distribution)

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Inferred quantity from forward-backward

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CRF

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	$P(x_i   y_i), P(y_i   y_{i-1})$	$P(y_i   \mathbf{x}), P(y_{i-1}, y_i   \mathbf{x})$
HMM	Model parameter (usually multinomial distribution)	Inferred quantity from forward-backward
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# Training CRFs

---

- ▶ For emission features:

$$\frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x}) - \sum_{i=1}^n \sum_s P(y_i = s | \mathbf{x}) f_e(s, i, \mathbf{x})$$



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gold features — expected features under model

- ▶ Transition features: need to compute  $P(y_i = s_1, y_{i+1} = s_2 | \mathbf{x})$   
using forward-backward as well

# CRFs Outline

---

► Model: 
$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^n \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

$$P(\mathbf{y}|\mathbf{x}) \propto \exp w^\top \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

- Inference: argmax  $P(\mathbf{y}|\mathbf{x})$  from Viterbi
- Learning: run forward-backward to compute posterior probabilities; then

$$\frac{\partial}{\partial w} \mathcal{L}(\mathbf{y}^*, \mathbf{x}) = \sum_{i=1}^n f_e(y_i^*, i, \mathbf{x}) - \sum_{i=1}^n \sum_s P(y_i = s | \mathbf{x}) f_e(s, i, \mathbf{x})$$

# Pseudocode

---

for each epoch

    for each example

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# How to “Cheat” with Automatic Differentiation

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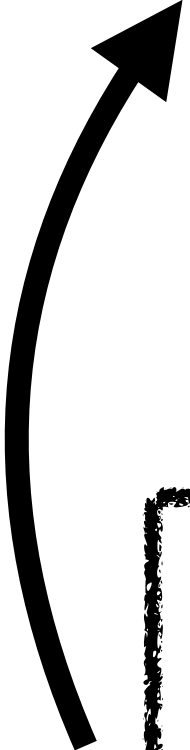
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- 
- Compute  $P(Y|X)$ , using the forward algorithm to get  $Z(X)$
  - Use auto-diff through the computation graph of the dynamic program, to compute gradients.

# Structured SVM / Structured Perceptron

# Structured Perceptron

---

- ▶ Structured Perceptron Update:

$$\hat{y} = \operatorname{argmax}_{y \in \mathcal{Y}} w^\top f(x, y)$$

$$w = w + f(x, y^*) - f(x, \hat{y})$$

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$$- \mathbb{E}_{\mathbf{y}} \left[ \sum_{i=2}^n f_t(y_{i-1}, y_i) + \sum_{i=1}^n f_e(y_i, i, \mathbf{x}) \right]$$

Replaces Expectation  
With argmax



# Structured SVM

---

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---

► CRF:  $\log P(\mathbf{y}|\mathbf{x}) \propto \sum_{i=2}^n w^\top f_t(y_{i-1}, y_i) + \sum_{i=1}^n w^\top f_e(x_i, y_i)$

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- ▶ Same as normal Viterbi but boost wrong labels' scores by 1 (if using Hamming loss)
- ▶ Only need Viterbi, not forward-backward...hmm...

**NER**

# NER

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## Tanjug

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From Wikipedia, the free encyclopedia

**Tanjug** (/ˈtʌnjʊɡ/) ( **Serbian Cyrillic**: Танјуг) is a Serbian state news agency based in [Belgrade](#).<sup>[2]</sup>

# Nonlocal Features

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ORG?

PER?

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The news agency **Tanjug** reported on the outcome of the meeting.

ORG?

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- ▶ More complex factor graph structures can let you capture this, or just decode sentences in order and use features on previous sentences

# Semi-Markov Models

---

*Barack Obama* will travel to Hangzhou today for the G20 meeting .

# Semi-Markov Models

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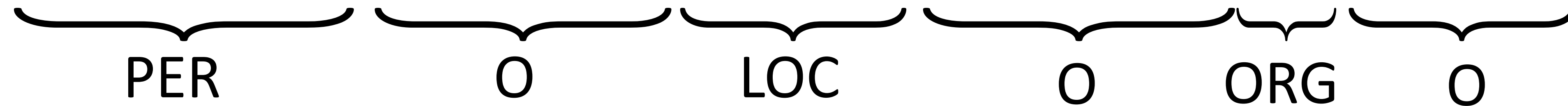
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# Semi-Markov Models

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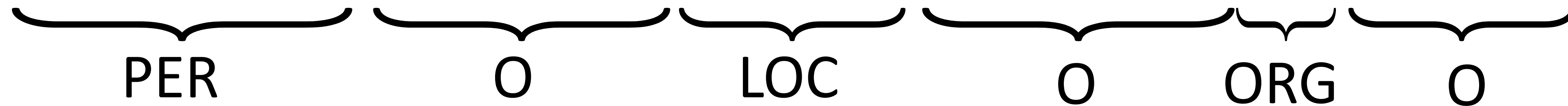


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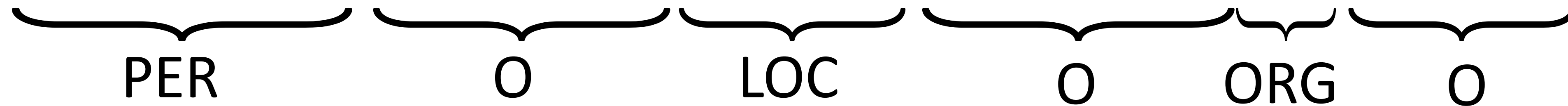


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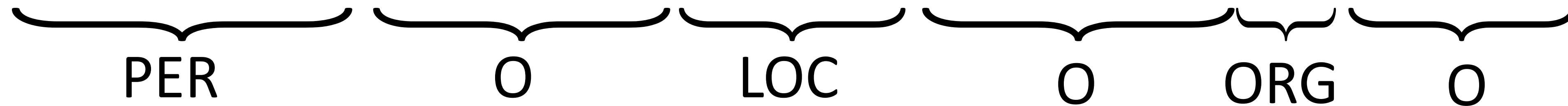


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- ▶ Chunk-level prediction rather than token-level BIO
- ▶  $y$  is a set of touching spans of the sentence
- ▶ Pros: features can look at whole span at once
- ▶ Cons: there's an extra factor of  $n$  in the dynamic programs

# Evaluating NER

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B-PER I-PER O O O B-LOC O O O B-ORG O O

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  - ▶ F-measure: harmonic mean of these two

# How well do NER systems do?

---

	System	Resources Used	$F_1$
+	LBJ-NER	Wikipedia, Nonlocal Features, Word-class Model	90.80
-	(Suzuki and Isozaki, 2008)	Semi-supervised on 1G-word unlabeled data	89.92
-	(Ando and Zhang, 2005)	Semi-supervised on 27M-word unlabeled data	89.31
-	(Kazama and Torisawa, 2007a)	Wikipedia	88.02
-	(Krishnan and Manning, 2006)	Non-local Features	87.24
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Lample et al. (2016)

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BERT-Large Devlin et al. (2019)	<b>92.8</b>

# Beam Search

# Viterbi Time Complexity

---

VBD                      VB  
VBN VBZ                VBP        VBZ  
NNP NNS                NN        NNS CD    NN  
Fed raises interest rates 0.5 percent



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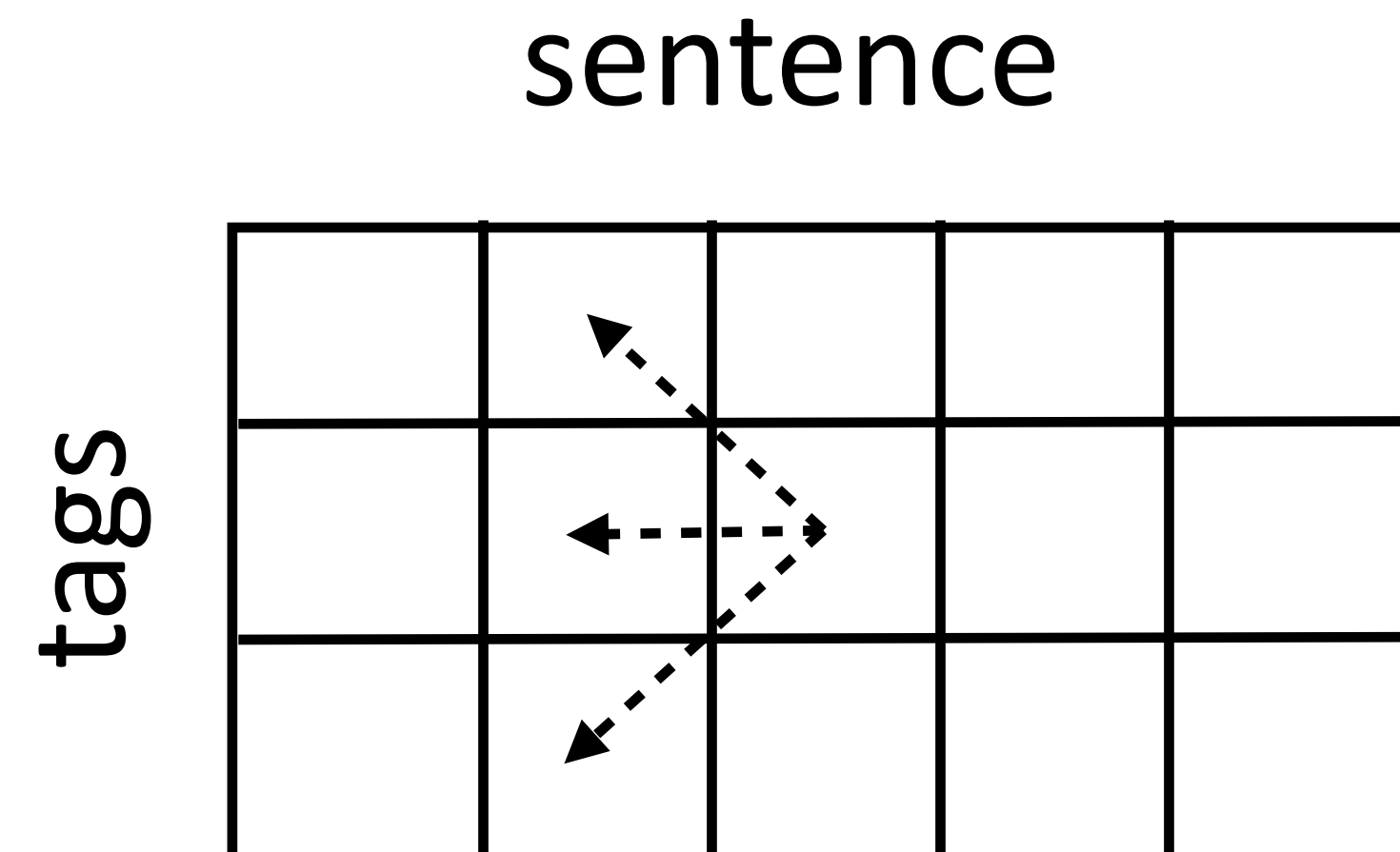
- ▶ n word sentence, s tags to consider — what is the time complexity?

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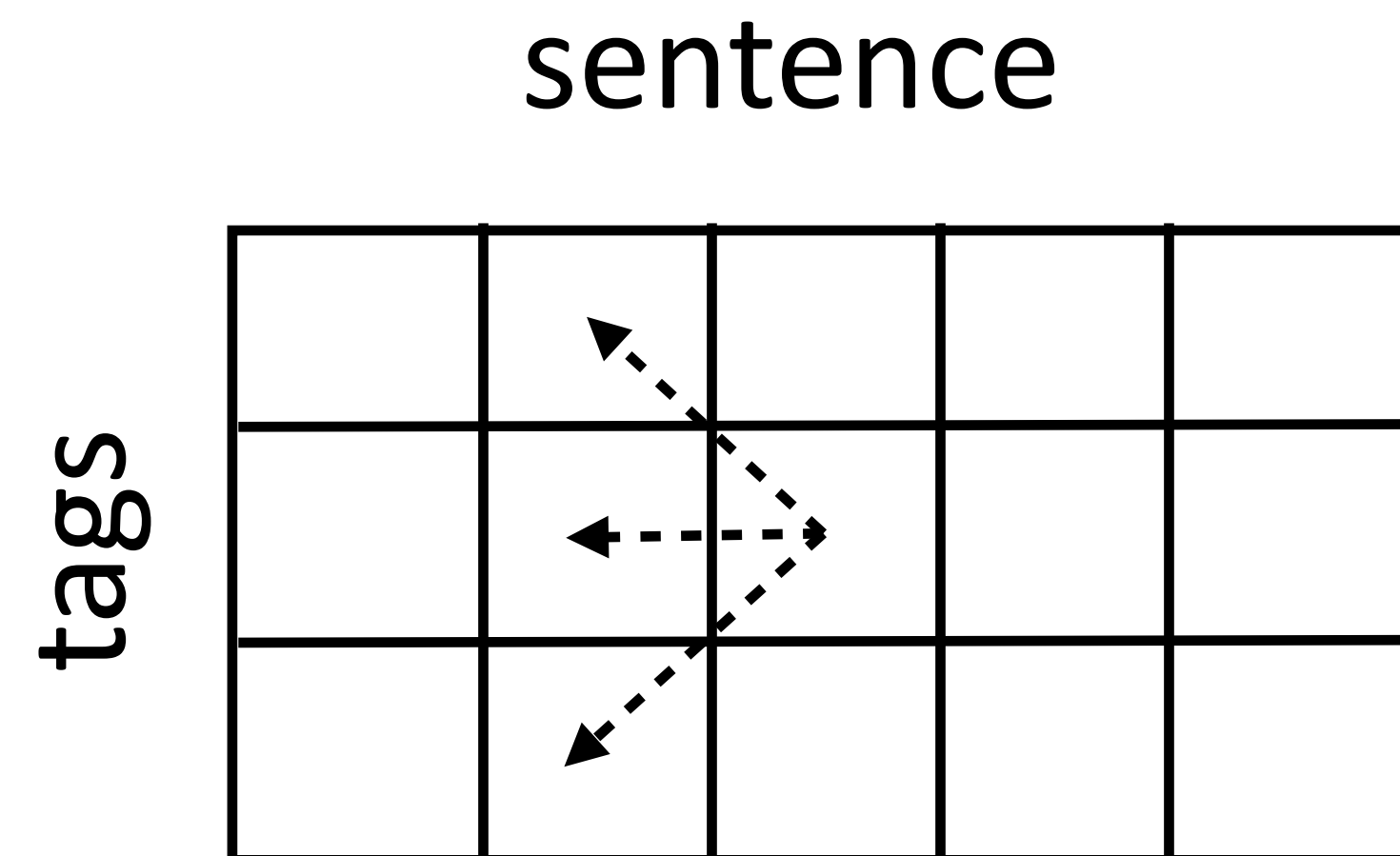


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- ▶  $O(ns^2)$  — s is  $\sim 40$  for POS, n is  $\sim 20$

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  - ▶ Prepositions?
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- ▶ Many tags are totally implausible
- ▶ Can any of these be:
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  - ▶ Adjectives?
- ▶ Features quickly eliminate many outcomes from consideration — don't need to consider these going forward

# Beam Search

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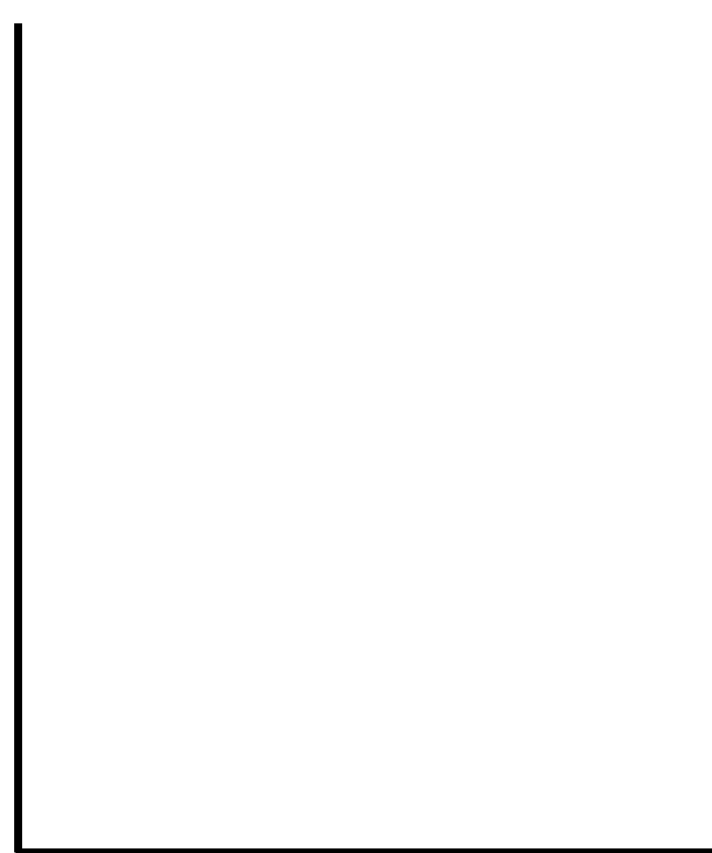
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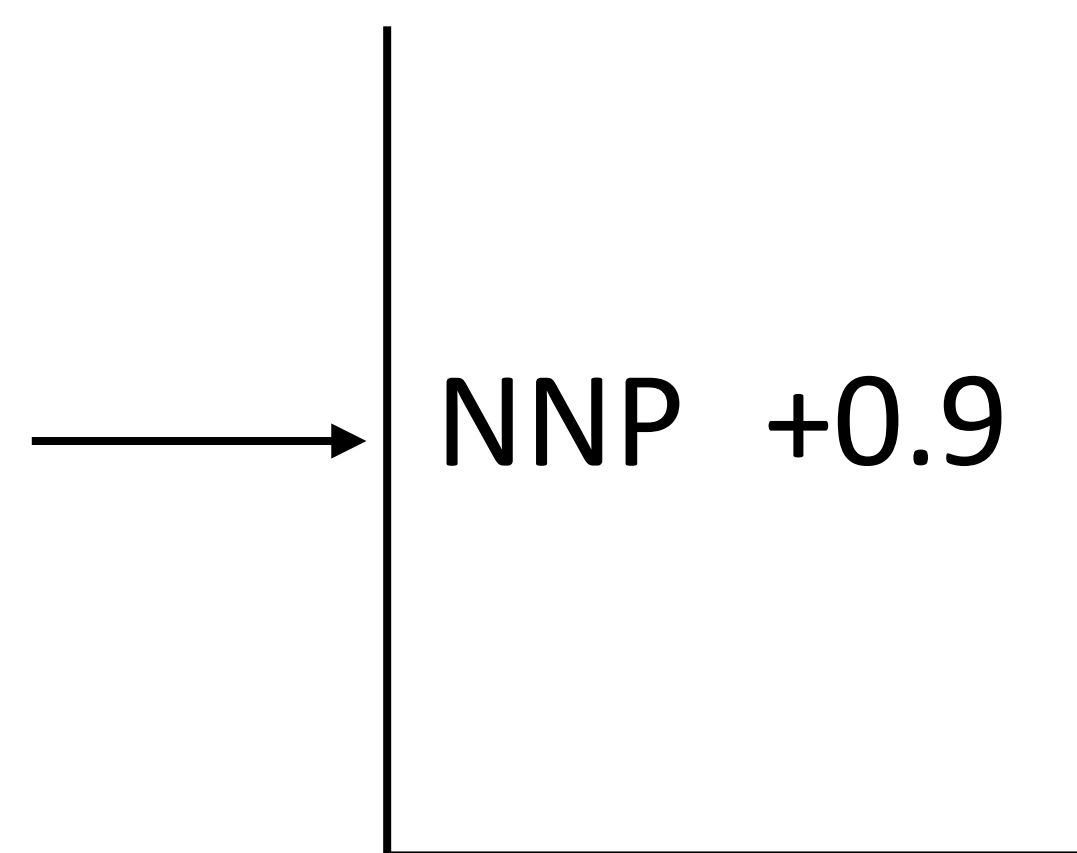
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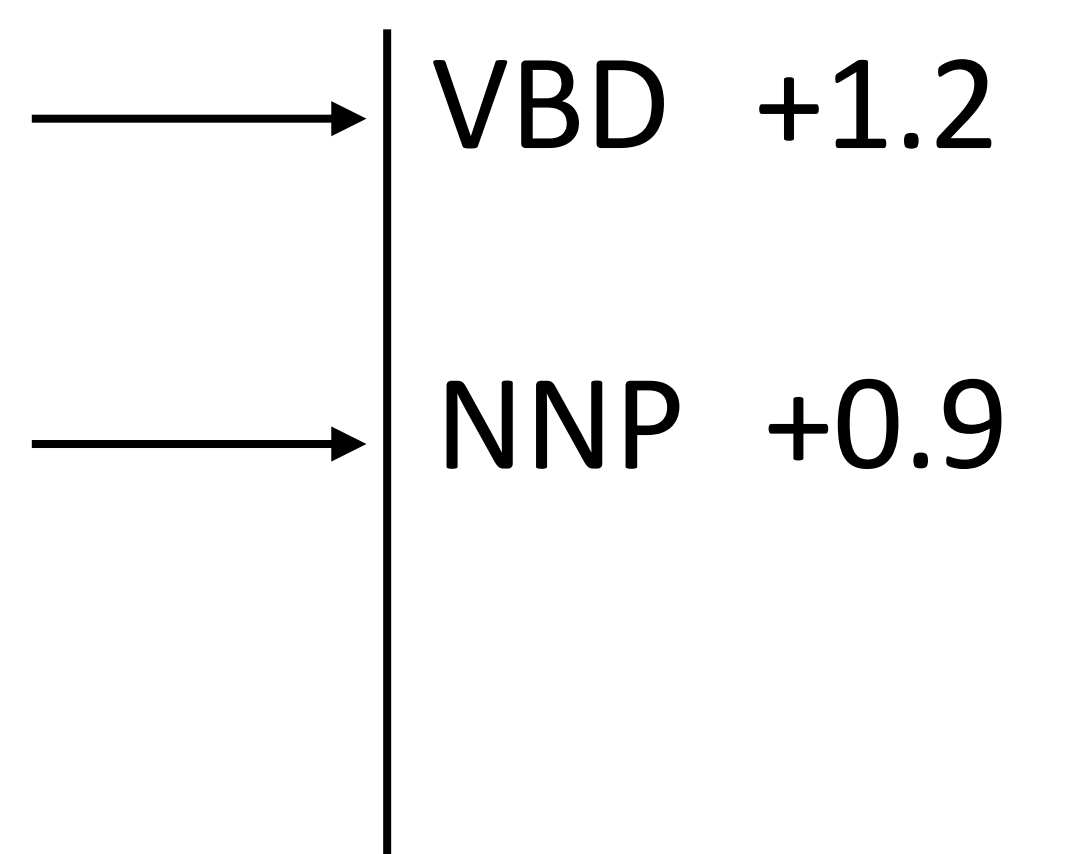
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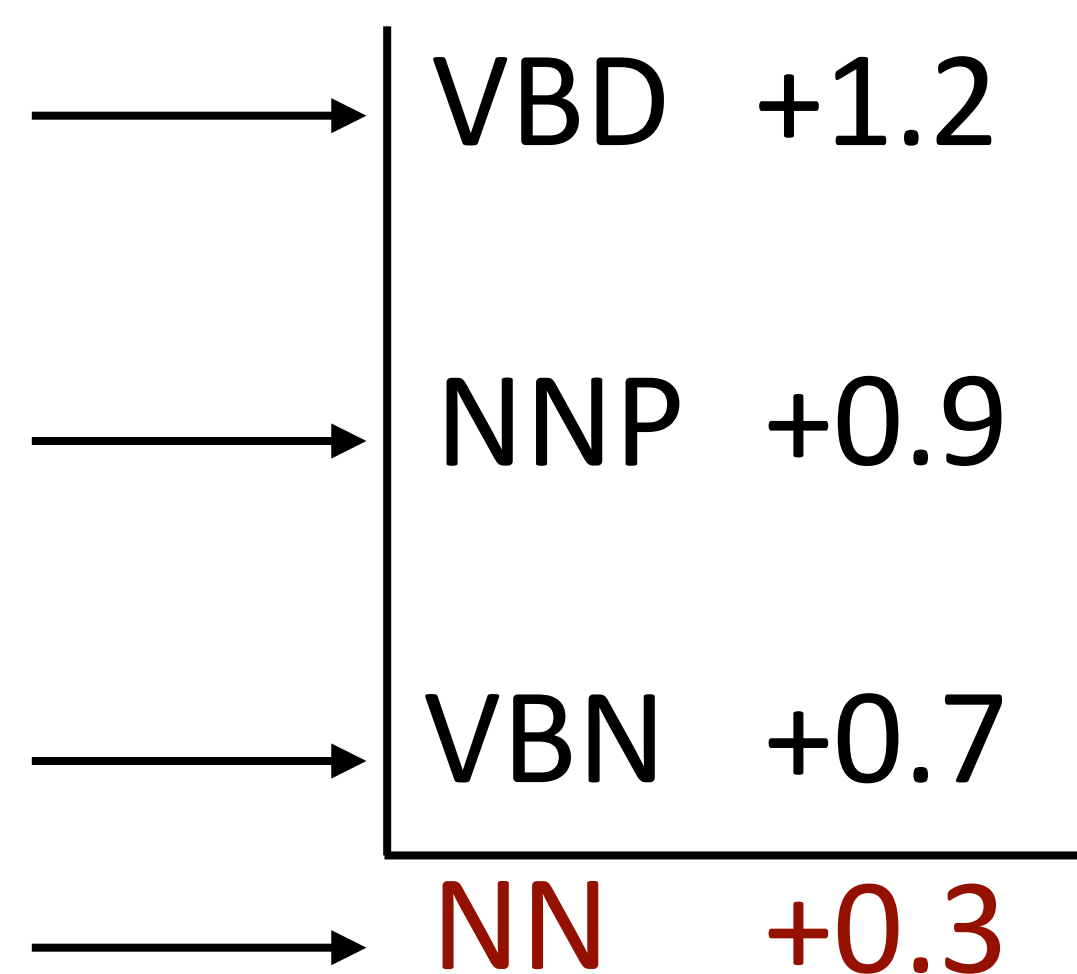
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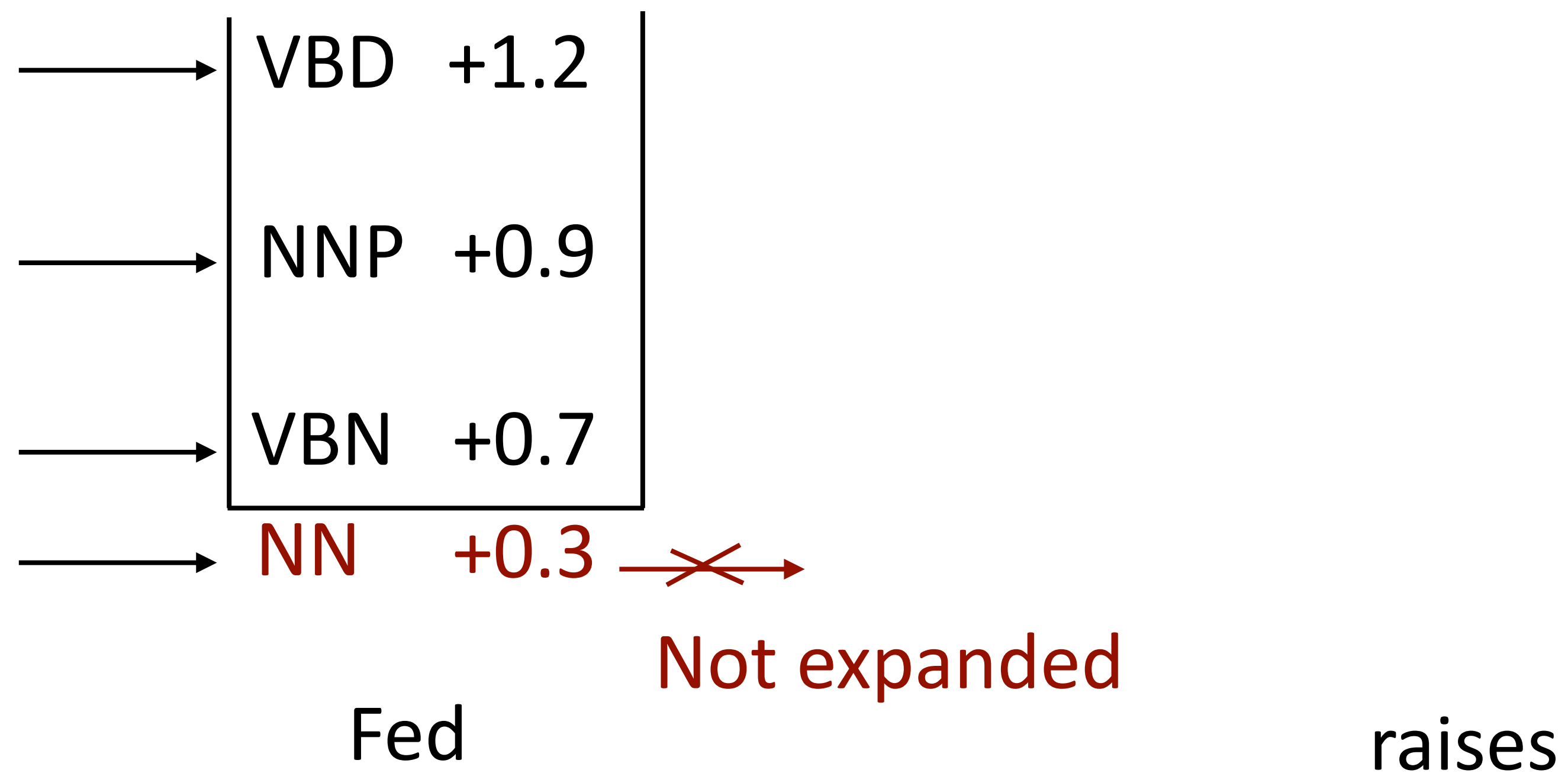
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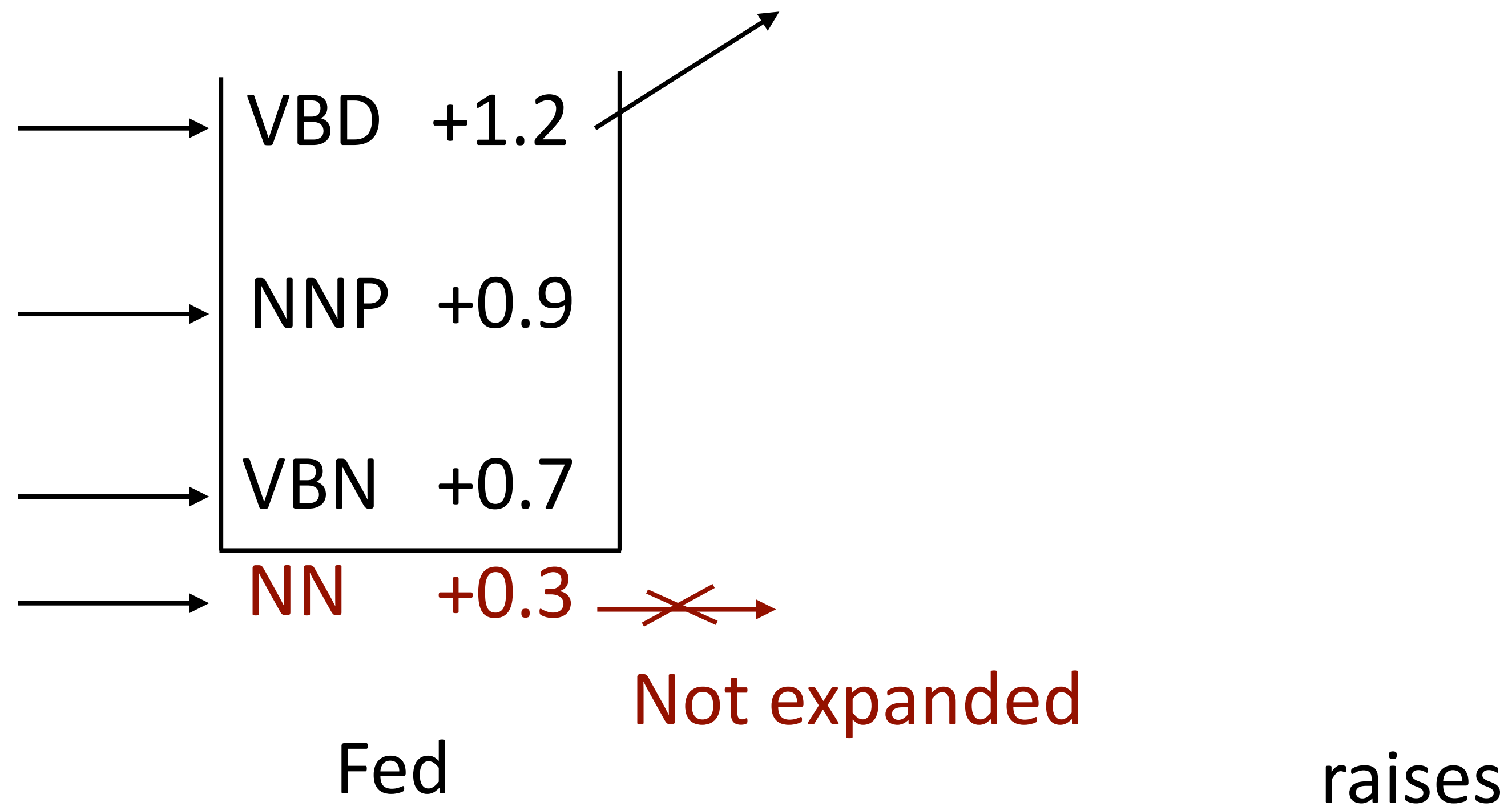
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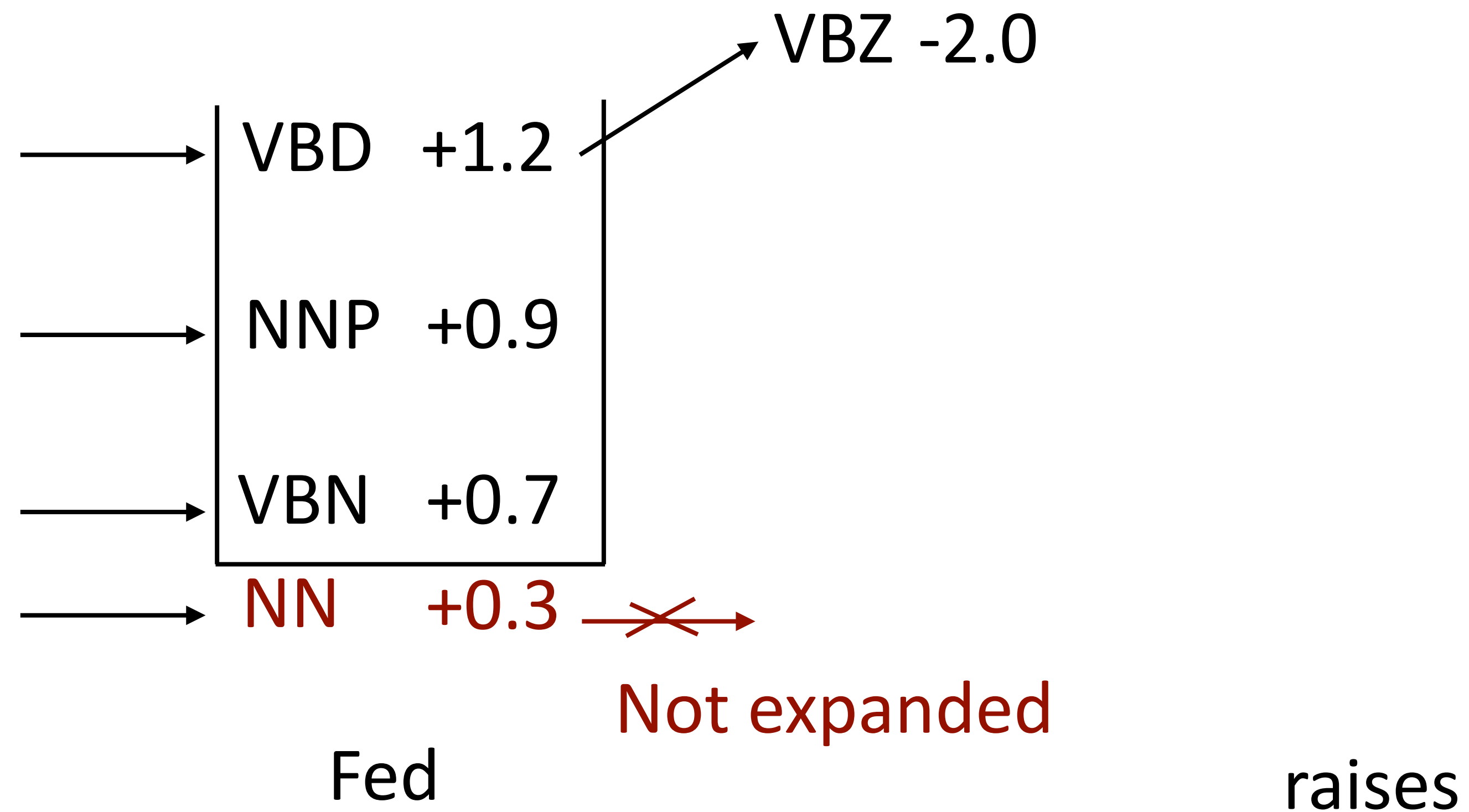
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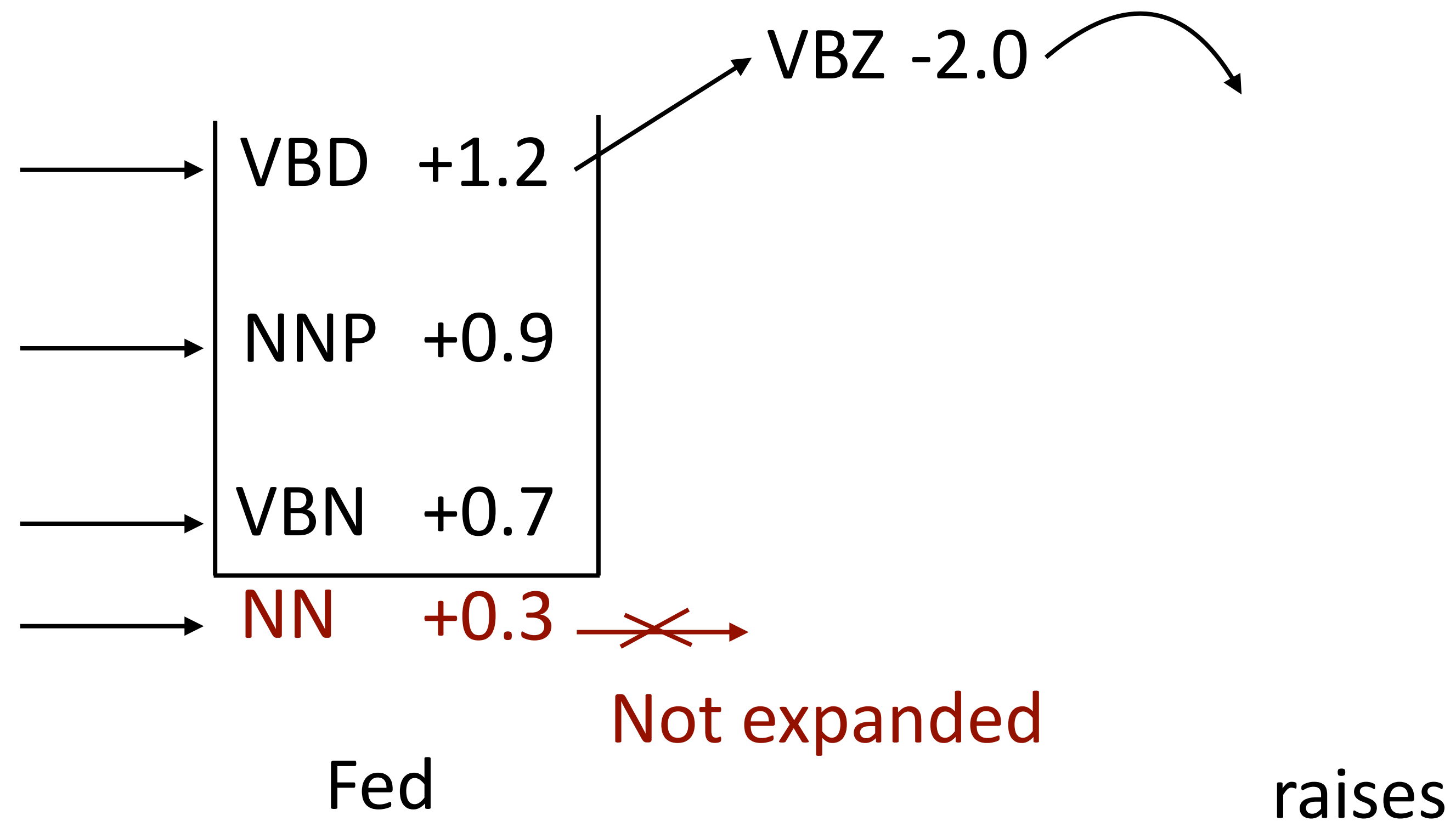
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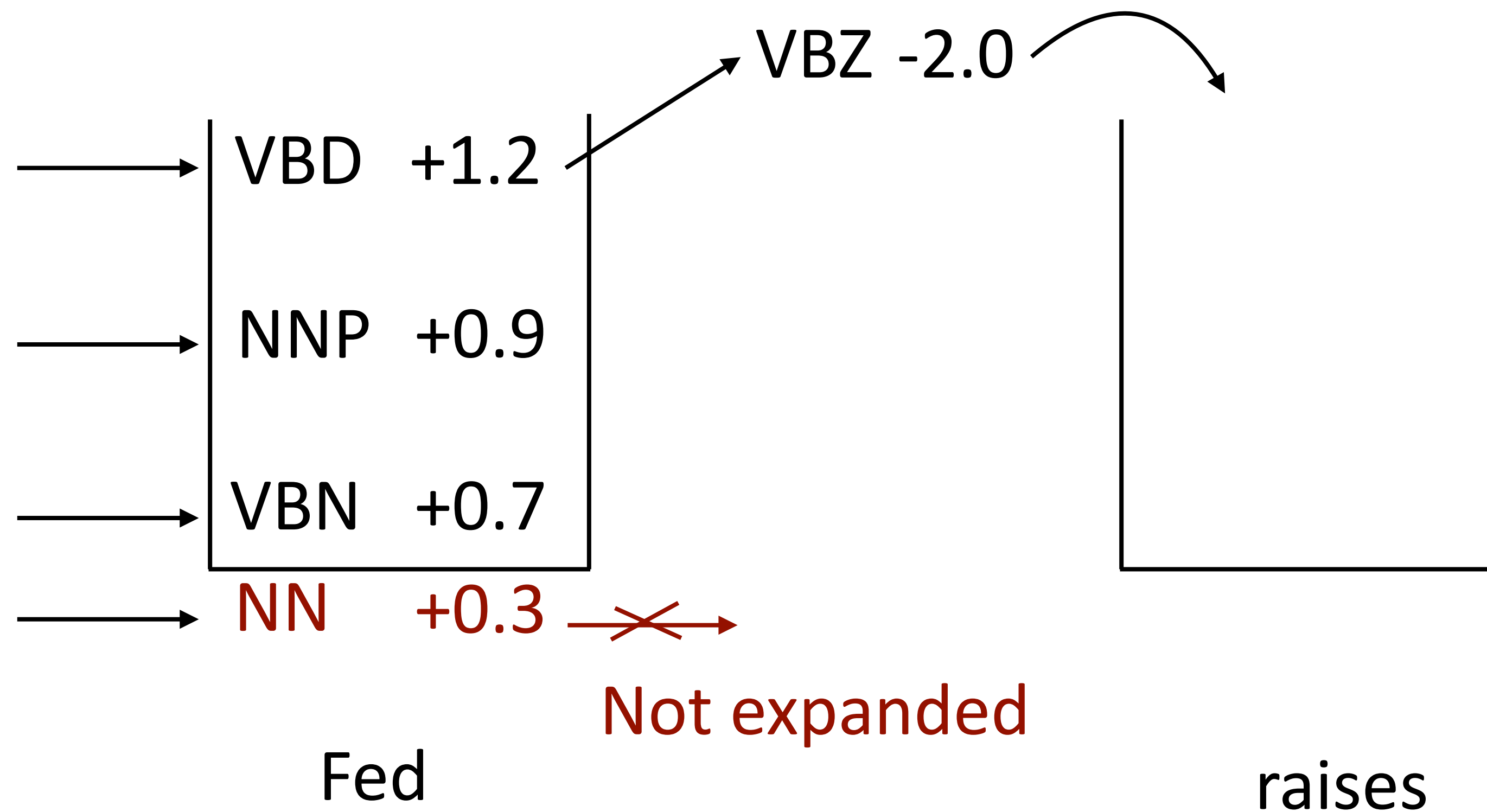
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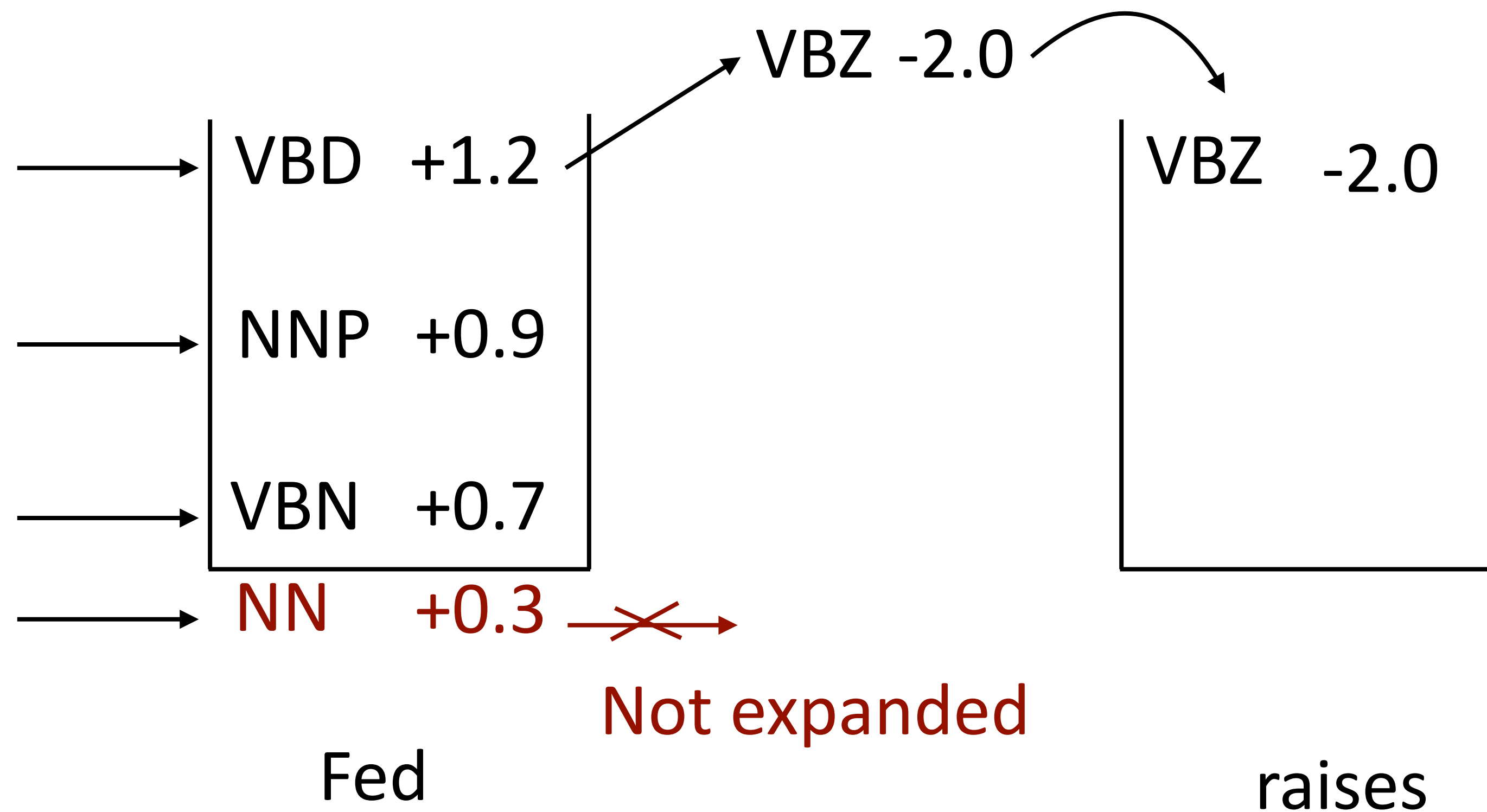
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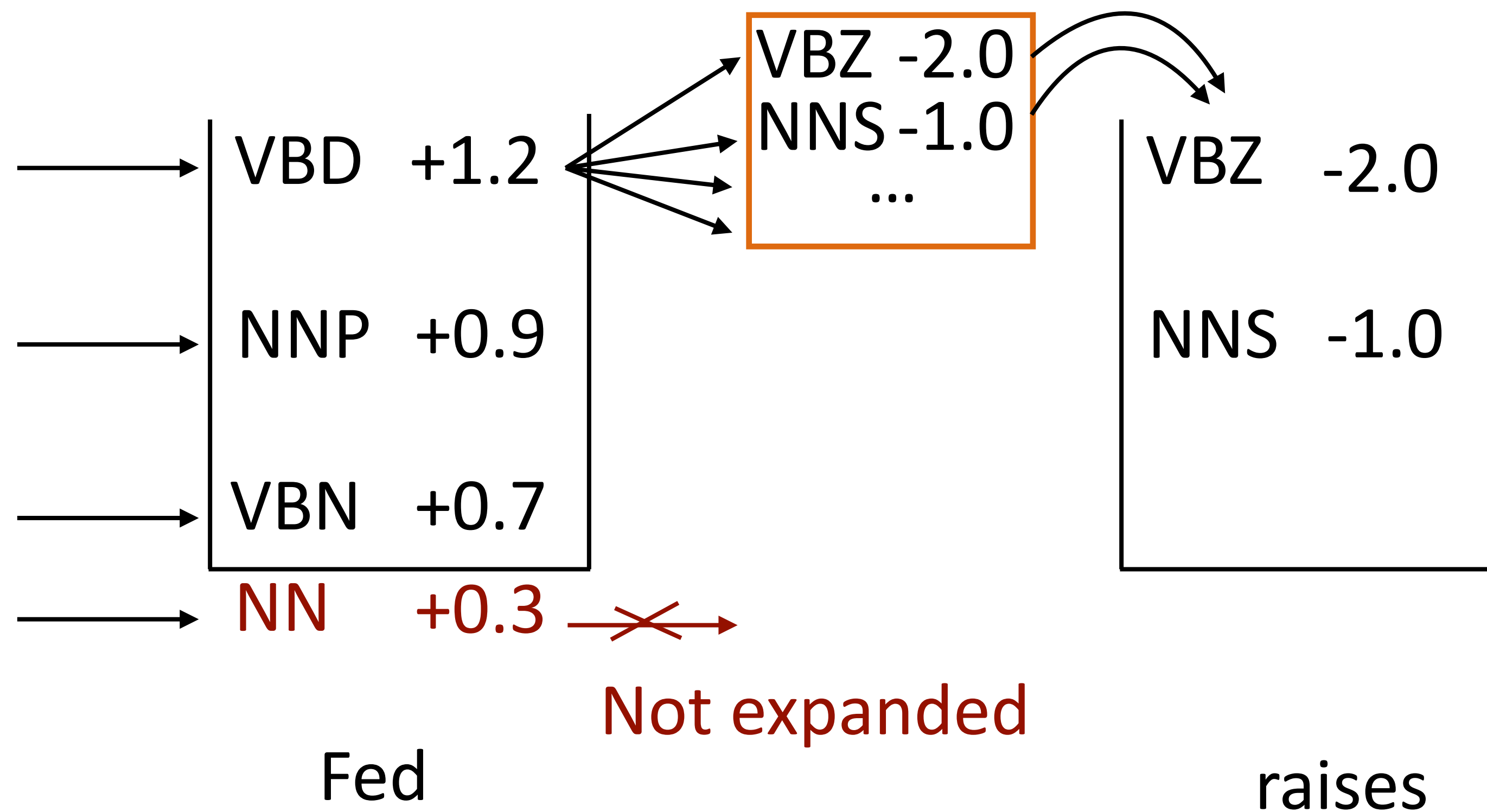
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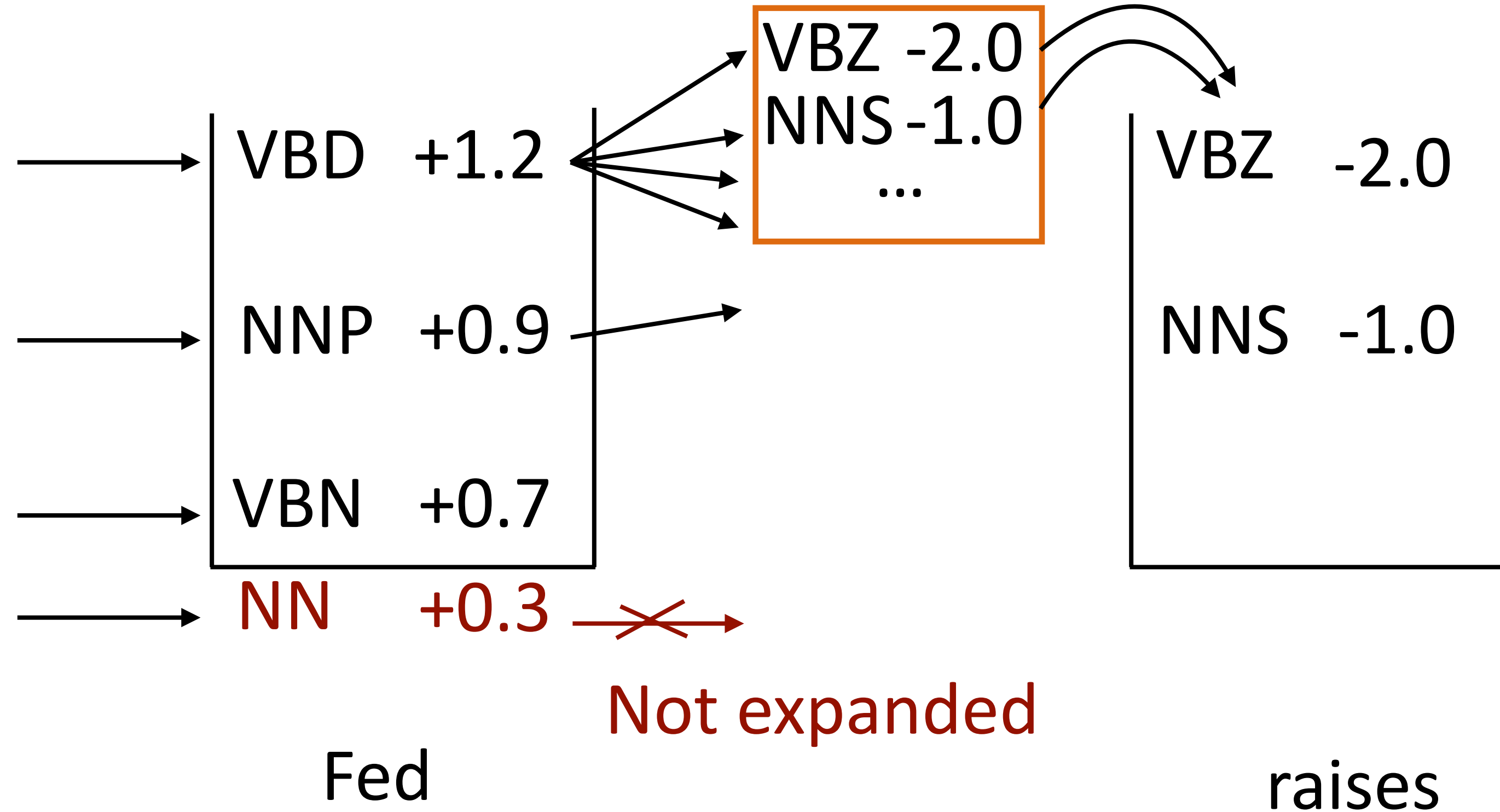
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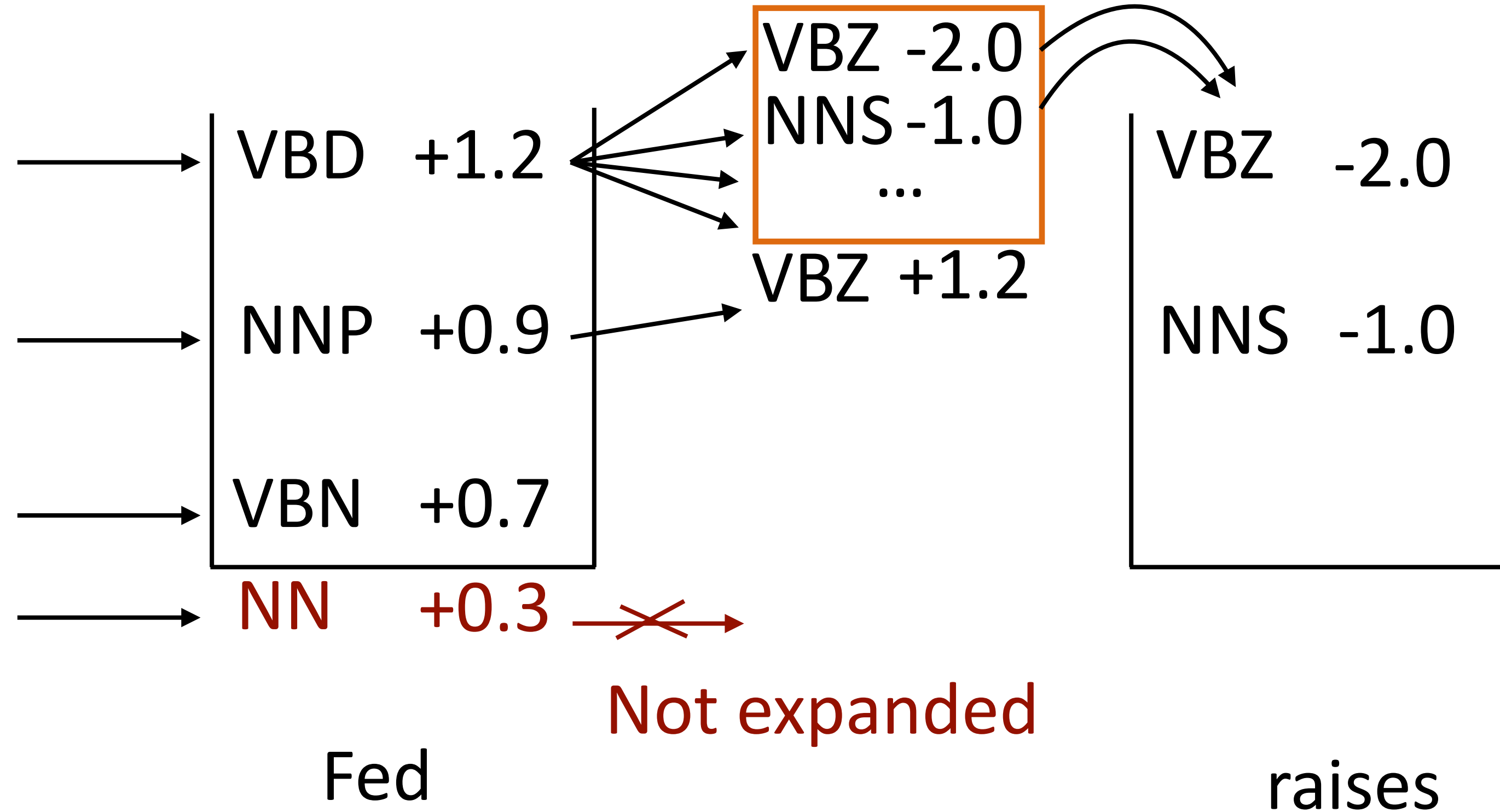
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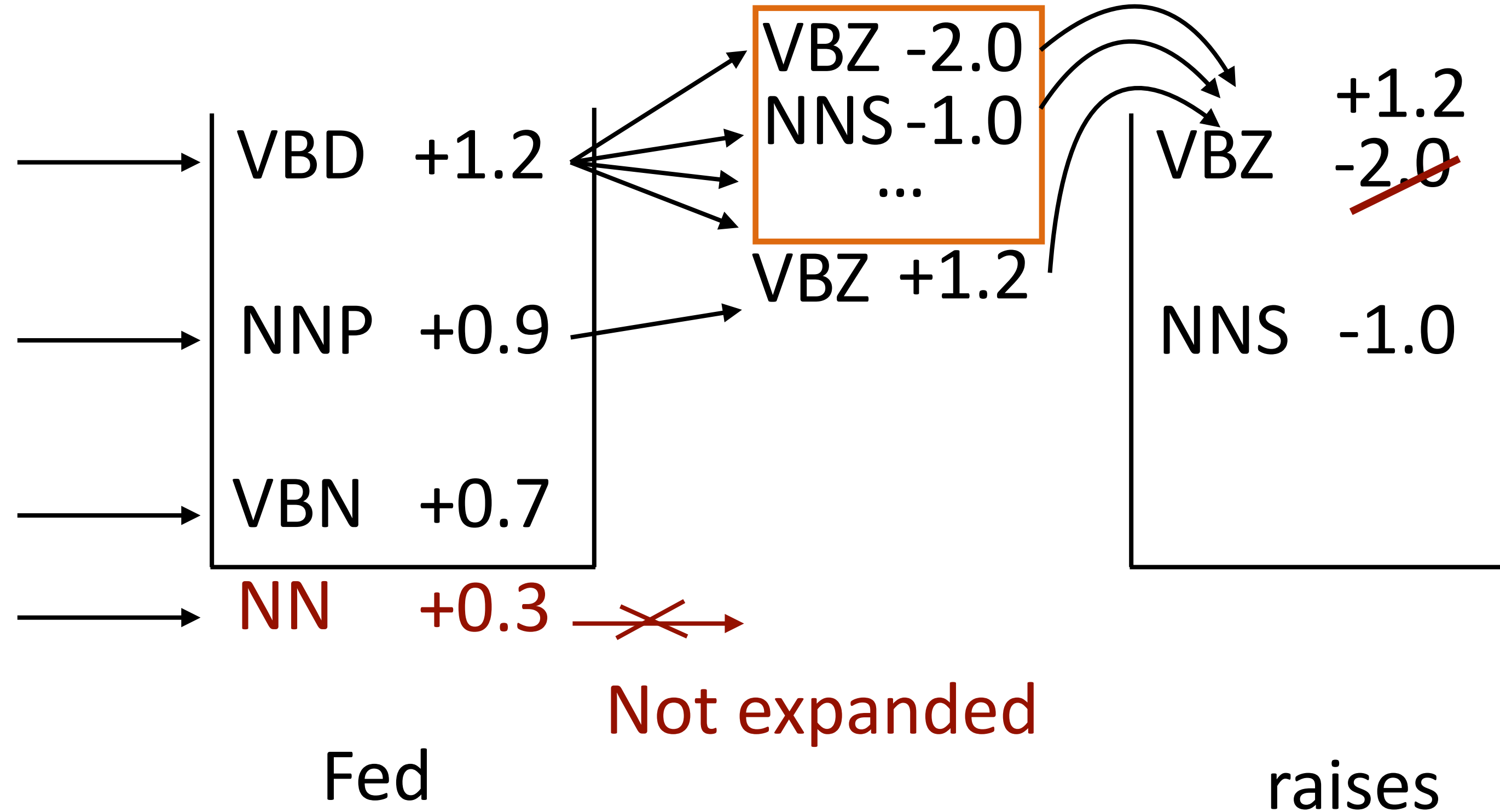
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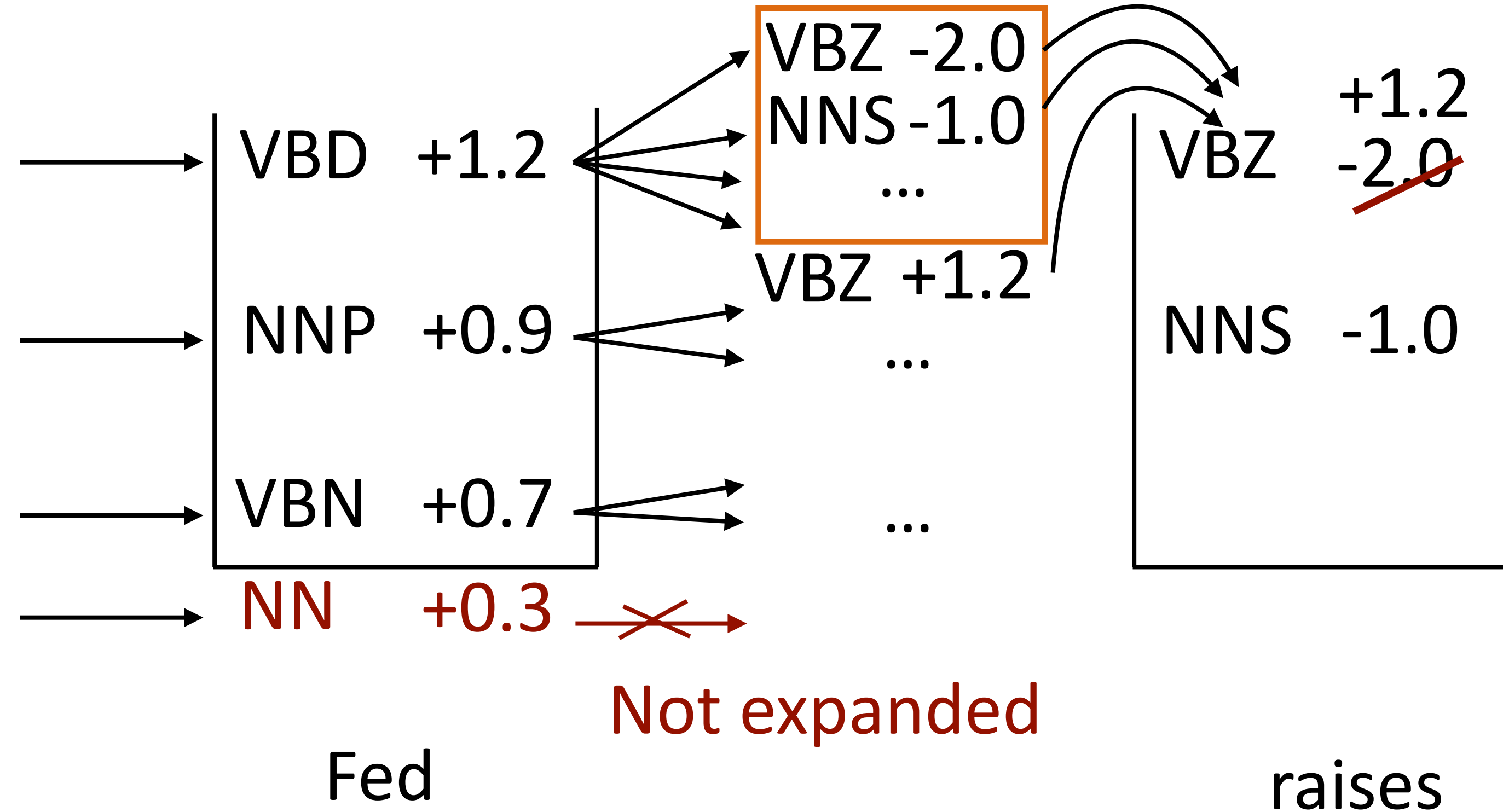
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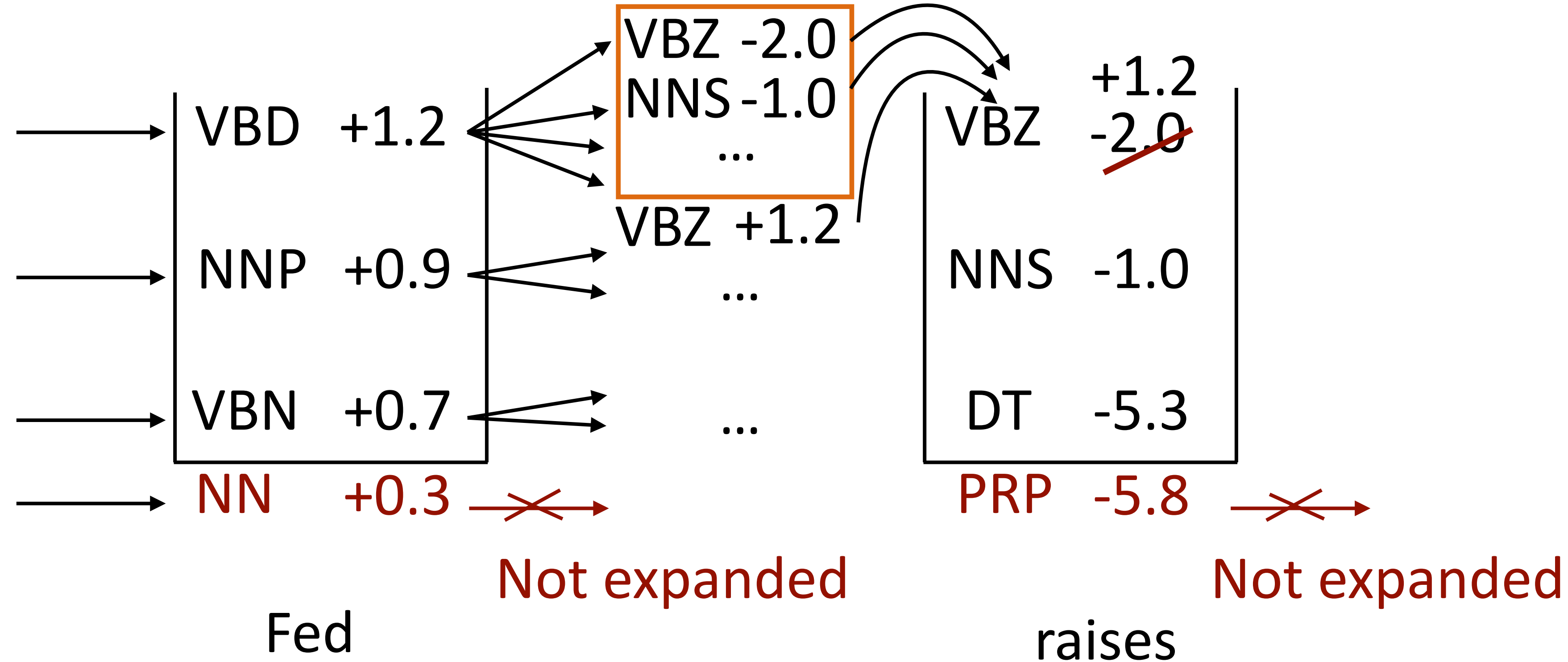
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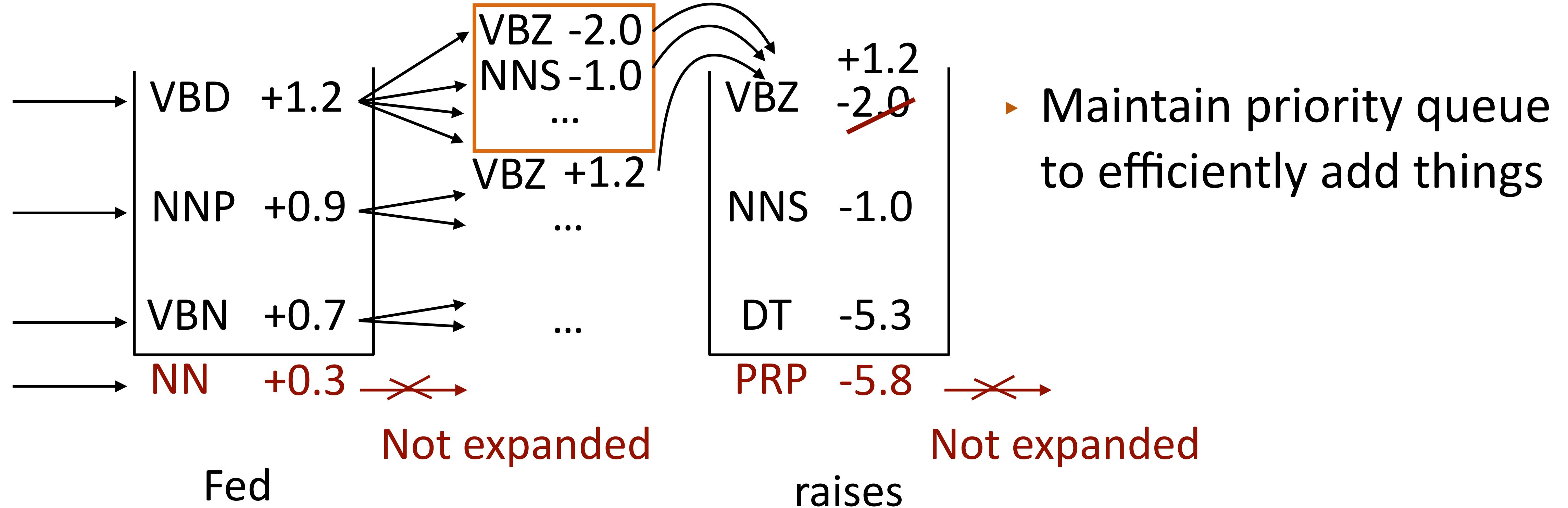
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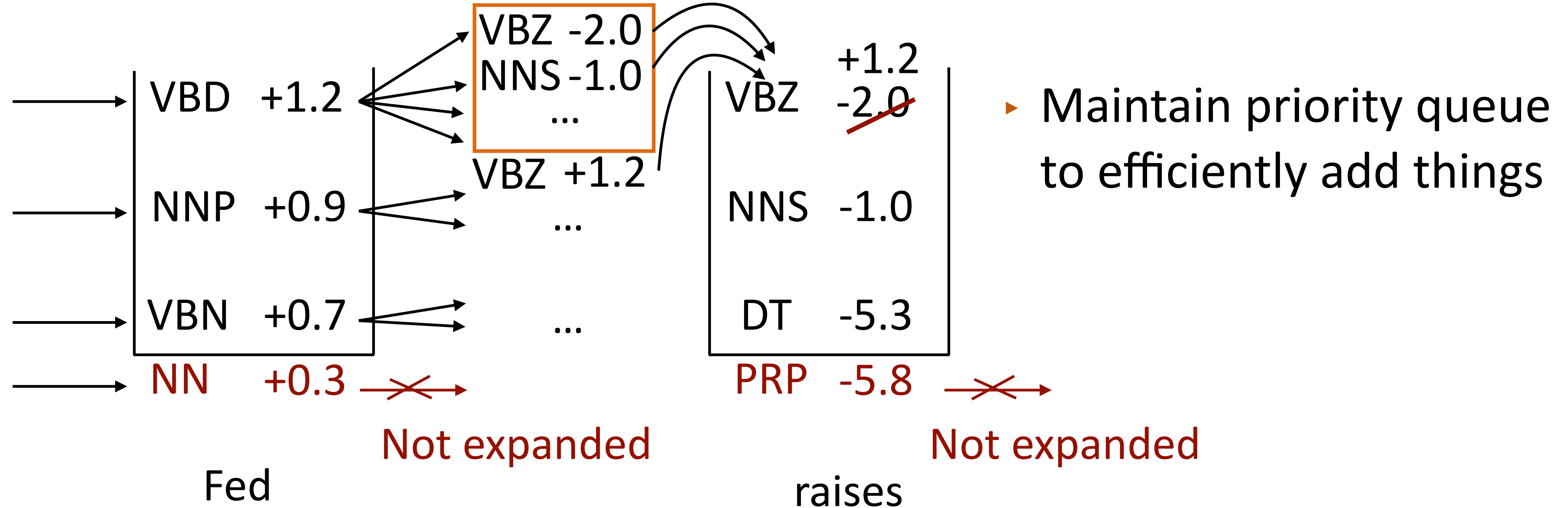
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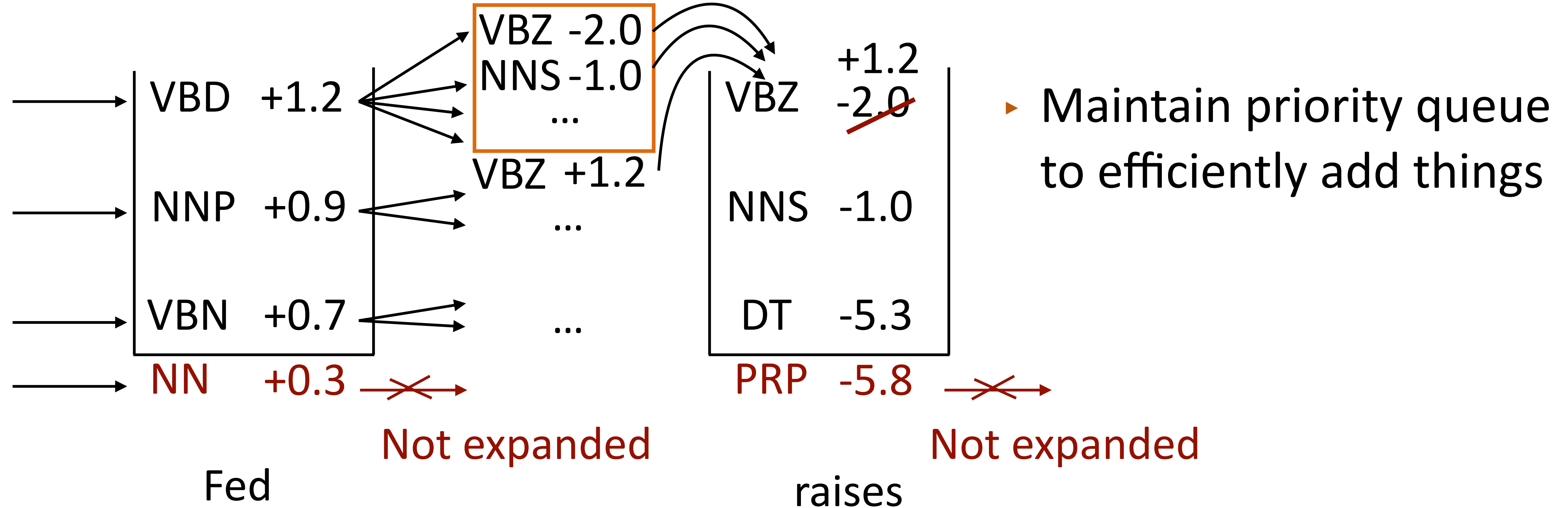
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- ▶ If beam search is much faster than computing full sums, can use structured perceptron SVM instead of CRFs
- ▶ Very similar to structured SVM